U.S. Department of Homeland Security
FEMA Region X
Federal Regional Center
130 228th Street, SW
Bothell, WA 98021-8627

FEMA

MAR 22 2019

MEMORANDUM FOR: Christopher B. Smith
Director
Individual Assistance Division
DHS/FEMA Headquarters

FROM: Michael F. O'Hare
Regional Administrator
FEMA Region X

SUBJECT: Registration Period Initial Extension Notification for FEMA 4413DR-AK

The State of Alaska has requested an extension to the registration period for FEMA-4413DR-AK. The current registration period ends on April 1, 2019.

The extension is necessary to allow for the State to resolve disparities between the number of households that have applied to the State Individual Assistance (IA) Program, but have not registered with FEMA. At the time of the Governor's request 14,594 applications had been received for the State IA Program, of which only 5,254 had registered with FEMA. Additionally, as winter conditions subside, and spring thaw occurs, an extension allows households to register for assistance if damage could not be observed previously.

The information submitted by the State satisfies the requirements for extending the registration period in order to collect registrations from disaster survivors who have not yet been able to register due to the conditions described above.

Based on the justification provided by the State, I am authorizing a 60-day extension to the registration period for FEMA-4413DR-AK. The registration period will now end on May 31, 2019. This includes all areas designated for Individual Assistance.

If you have any additional questions, please contact Cindy Poe, Individual Assistance Branch Director at (202) 322-6196.

Attachment: Original request from State of Alaska

cc: Timothy B. Manner, Federal Coordinating Officer
Toney Raines, Individual Assistance Branch Chief, Region X
Cindy Poe, Individual Assistance Branch Director, 4413DR-AK
Monty LeMaire, Branch Chief, Individuals and Households Program Delivery Branch, Individual Assistance Division

STATE CAPITOL
P.O. Box 110001
Juneau, AK 99811-0001
907-465-3500

550 West Seventh Avenue, Suite 1700
Anchorage, AK 99501
907-269-7450

Governor Michael J. Dunleavy
STATE OF ALASKA

March 18, 2019

Mr. Michael F. O'Hare
Regional Administrator
Federal Emergency Management Agency Region X
130 228th Street SW
Bothell, WA 98021

Dear Administrator O'Hare:

Pursuant to 44 CFR §206.112(b), I am requesting an extension to the registration period for individuals and households to apply for federal disaster assistance through May 31, 2019. This is in response to FEMA 4413-DR-AK, the 2018 November Cook Inlet Earthquake Disaster. President Trump declared this event a Major Disaster on January 31, 2019, authorizing individual assistance for the Municipality of Anchorage, Matanuska-Susitna Borough, and Kenai Peninsula Borough. The initial registration period ends on April 1, 2019.

As you know, the State of Alaska has a robust, funded, Individual Assistance Program to assist survivors in the event a Presidential disaster declaration is not received. I extended the State application period through February 28, 2019. We have received 14,594 applications for State assistance. To date, your agency has received 5,254 registrations. My team has been working side-by-side with federal responders to ensure survivors understand the need to register with FEMA at this time. Alaska law requires that applicants to the State program apply for all governmental disaster assistance programs before State awards can be made. I believe the disparity in the number of applications is due to the complexities of having two programs running in tandem, and an extension of your registration period will ensure survivors have adequate time to understand the difference in our programs and to register with FEMA. I appreciate Administrator Long's desire to have states participate fully in disaster response and recovery, and am confident Alaska will not be penalized for having a great record of supporting our citizens in need.

Furthermore, I have serious concerns about damages that may be discovered or uncovered through the Spring. As you know, the ground was partially or completely frozen, when this earthquake occurred, and immediately thereafter southcentral Alaska received a substantial

amount of snowfall. Many survivors have been unable to ascertain the extent of damages to their homes due to this snow coverage and are very concerned about damages from the initial earthquake that may be exacerbated by spring thawing. I understand FEMA has not responded to an earthquake in the Arctic that included widespread and severe damages to homes and businesses. To that end, I feel it is important to allow survivors the time to register through Spring.

I believe these arguments provide compelling reasons for an extension to your application period. Further, it is my hope that if my request is granted, that the U.S. Small Business Administration will follow suit and extend their application period for homeowners who may be eligible for low-interest disaster loans.

I value your continued partnership, and look forward to your prompt attention to my request. If you need further information concerning this request, you may contact Bryan J. Fisher, State Coordinating Officer, at b.fisher@alaska.gov.

Sincerely,

Michael J. Dunleavy
Governor

FEMA

MEMORANDUM FOR: Constance Johnson-Cage
Federal Coordinating Officer
DR-4420-Nebraska

FROM: Christopher B. Smith
Director
Individual Assistance Division

CHRISTOPHER B SMITH Digitally signed by CHRISTOPHER B SMITH
Date: 2019.08.14 13:26:06 -04'00'

SUBJECT: Replacement Assistance for Condemned Mobile Homes Approval for FEMA-DR-4420-NE

This memorandum responds to your request, dated June 27, 2019, to approve Individuals and Households Program (IHP) Replacement Assistance for approximately 116 mobile home owners who resided in three mobile home parks condemned by the City of Bellevue or Sarpy County—Paradise Park, Green Acres Community, and Sands Park—and received Home Repair Assistance for flooding as a result of DR-4420-NE.

Your request included letters from the city and county identifying unsafe/unfit units in Paradise Park and Green Acres and stating all units in Sands Park were uninhabitable. FEMA inspections returned Home Repair Assistance rather than Replacement Assistance for most of these homes. Based on FEMA inspection results, currently only 53 applicants in these mobile home parks have received Replacement Assistance or the maximum amount of assistance available under Home Repair Assistance. The remaining applicants have varying levels of FEMA Verified Loss.

The City of Bellevue and Sarpy County confirmed the majority of the units are destroyed and based condemnations on three factors:

- Depth of water;
- Contamination in the water, including fecal matter, e-coli, jet fuel, and diesel fuel, verified by lab testing; and
- Mobile home factory certification from the U.S. Department of Housing and Urban Development. If floodwater reaches above the floor level in the home, the only way to remove the wet flooring is to completely remove the walls from the floor, which invalidates the factory certification.

Moreover, the Nebraska Emergency Management Agency confirmed that none of the mobile homes in these parks are allowed to move the properties due to health concerns, unless they are being hauled to a landfill in pieces.

Chapter 3, Section IV.G.3 of the Individual Assistance Policy and Program Guide (IAPPG) states:

- *"FEMA will not automatically determine an applicant eligible for Replacement Assistance based on submitted documentation, because local authority determinations could be based on non-disaster-caused circumstances.*
- *"After receiving appeal documentation from the applicant, FEMA will review the request to determine if another inspection is necessary in order to verify if the residence was destroyed due to disaster-caused damage."*

In sum, the City of Bellevue and Sarpy County have confirmed these applicants will not have the opportunity to repair or re-inhabit their dwellings; however, it is unlikely that appeal inspection results would differ from the original inspection. In the interest of meeting the disaster-caused needs of these applicants and the stewardship of Agency resources, I am authorizing a waiver of the above IAPPG policy language to pay Replacement Assistance to homeowners in these parks who have received Home Repair Assistance without an additional inspection.

Conditions of Eligibility

In order to be eligible for Replacement Assistance, applicants must:

- Have already been determined eligible for Home Repair Assistance;
- Have owned and resided in a mobile home in Paradise Park, Green Acres Community, or Sands Park at the time of the disaster;
- Be identified by unit or lot number in a letter from the City or County; and
- Have funds available under the Home Repair/Replacement Assistance cap.

Applicants whose units were not damaged based on determinations made by the County and/or FEMA inspection are not eligible for Replacement Assistance.[1] The JFO will continue to coordinate with the Program Management Section to determine IHP assistance for applicants whose homes were not affected by flood but are not able to access their homes due to no water or wastewater service in the park.

If you have any questions or need any additional information, please contact Monty LeMaire, Individuals and Households Program Delivery Branch Chief.

cc: Paul Taylor, Regional Administrator, Region VII
Monty LeMaire, Branch Chief, Individuals and Households Program Delivery Branch, Individual Assistance Division
Heather Smith, Recovery Division Director, FEMA Region VII
Candy Newman, Individual Assistance Branch Chief, Region VII
James Yost, Individual Assistance Branch Director, DR-4420-NE

[1] Sarpy County confirmed that three units in that county did not have water and were not damaged; however, the County has not granted those owners occupancy due to no water or wastewater service in the park. These units would be ineligible for Replacement Assistance.

U.S Department of Homeland Security
FEMA Joint Field Office
FEMA 4420-DR-NE
4600 Innovation Drive
Lincoln, NE 68521-5422

FEMA

May16, 2019

Bryan Tuma
Governor's Authorized Representative
Nebraska Emergency Management Agency
2433 NW 24th Street
Lincoln, Nebraska 68524

SUBJECT: Request for Individual Assistance Deadline Extension, FEMA-4420-DR-NE

Dear Mr. Tuma:

By letter dated May 8, 2019, the Nebraska Emergency Management Agency (NEMA) requested an extension of the registration deadline for Individual Assistance (IA) for FEMA-4420-DR-NE. This letter grants the requested extension by thirty days until June 19, 2019.

Under the provisions of 44 C.F.R. § 206.202 (f) (s), FEMA has the authority to extend this time limitation based on "extenuating circumstances" beyond the State's control. FEMA understands the magnitude of the event and the continuing response/recovery activities and hereby approves the extension of time until the close of business on June 19, 2019.

If you have any questions regarding this matter, please contact Jim Yost, at James.yost@.fema.dhs.gov or 816-506-9816

Sincerely.

Constance C. Johnson-Cage
Federal Coordinating Officer
Disaster Recovery Manager
FEMA-4420-DR-NE

FEMA

MEMORANDUM FOR: Constance C. Johnson-Cage
Federal Coordinating Officer
FEMA-4420-DR-NE

FROM: Christopher B. Smith
Director
Individual Assistance Division

CHRISTOPHER B SMITH
Digitally signed by CHRISTOPHER B SMITH
Date: 2019.08.13 14:28:39 -04'00'

SUBJECT: Registration Period Extension Approval for FEMA-4420-DR-NE

This memorandum responds to your request, dated August 12, 2019, to approve an extension to the registration period for FEMA-4420-DR-NE.

FEMA-4420-DR-NE was declared March 21, 2019, with a registration period expiring May 19, 2019. A 30-day extension to the registration period was granted May 16, 2019, extending the registration period to June 19, 2019. On August 7, 2019, the incident period for the disaster was amended and extended to July 14, 2019.

On August 13, 2019, Dawson County was added to the declaration. In order to allow adequate time for disaster survivors in all designated counties, including Dawson County, to register for assistance for disaster losses incurred between March 9 – July 14, 2019, I am approving a second registration period extension for FEMA-4420-DR-NE.[1]

The registration period for FEMA-4420-DR-NE will end on September 13, 2019, representing 30 days from the addition of Dawson County to the declaration.

If you have any questions or need any additional information, please contact Monty LeMaire, Individuals and Households Program Delivery Branch Chief.

cc: Paul J. Taylor, Regional Administrator, Region VII
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division
Heather Smith, Recovery Division Director, Region VII
Candy Newman, Individual Assistance Branch Chief, Region VII
James Yost, Individual Assistance Branch Director, FEMA-4420-DR-NE

[1] *See* Individual Assistance Policy and Program Guide, March 2019, Chapter 3, pages 69-70.

U.S. Department of Homeland Security
Washington, DC 20472

APR 2 9 2019

FEMA

MEMORANDUM FOR: Timothy J. Scranton
Federal Coordinating Officer
FEMA-DR-4421-IA

FROM: Keith Turi
Assistant Administrator
Recovery Directorate

SUBJECT: Direct Temporary Housing Approval for FEMA-DR-4421-IA

This memorandum is to approve your request, dated April 11, 2019, for FEMA to provide direct housing assistance, or "direct assistance," under the Individuals and Households Program (IHP) for FEMA-DR-4421-IA. The Housing Assistance provision of the IHP, authorized by Section 408(c) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, or "Stafford Act," as implemented in 44 C.F.R. Part 206, authorizes FEMA to provide direct assistance for temporary housing when eligible applicants are unable to obtain temporary housing. Direct assistance may be provided for a period of up to 18 months from March 23, 2019, the date of the President's declaration of a Major Disaster and ending on September 23, 2020.

The State of Iowa requested direct assistance for Fremont and Mills Counties. Rental resource availability in these counties is extremely limited based on the required housing need. As part of the State-Led Disaster Housing Task Force, Iowa contracts to maintain housing resources through an online portal. Information provided by the State shows that there are no available resources in Fremont County and limited resources within commuting distance of Mills County.

The Individual Assistance Division (IA) Disaster Housing Unit (DHU) reviewed information provided by the State and Joint Field Office and verified that as of April 23, 2019, 207 applicants are projected to qualify for direct assistance and there are no available resources in the requested counties. Therefore, standard financial assistance is insufficient to meet the housing need due to a lack of available housing resources. Increasing the Rental Assistance rate up to 125% of the Fair Market Rent (FMR) rate established by the U.S. Department of Housing and Urban Development is also inadequate to fulfill the need for temporary housing.

Based upon the State's request and the supporting information, I am authorizing Direct Temporary Housing Assistance in the form of Multi-family Lease and Repair (MLR), Manufactured Housing Units (MHUs), and Recreational Vehicles (RVs) for the following designated counties: **Fremont and Mills**. Direct Temporary Housing Assistance is subject to the conditions as set forth in the attached "Conditions for Implementation of Direct Temporary

Housing" in addition to FP-104-009-03, Individual Assistance Program and Policy Guide (IAPPG), Chapter 3, Section V.

A separate request from the State to FEMA is required for the approval of additional counties. The Regional Administrator (RA) must provide prior notification of the types of Direct Temporary Housing Assistance that will be implemented based on the recommendations from the DHU. A separate request from the Federal Coordinating Officer (FCO) is also required for the approval of Direct Lease. The request should demonstrate that other forms of Housing Assistance have been evaluated and delivered in sequential order and are not sufficient to meet the disaster-caused housing need, housing needs exceed the capacity to provide MLR units or MHUs and RVs in a timely manner, and one or more properties have been identified that meet property eligibility criteria specified in the IAPPG, Chapter 3, Section III.D.1. In addition, the RA may approve the implementation of Group Site(s) if the approved direct assistance options are not feasible. The RA must notify me in writing prior to approving any RV or MHU Group Site(s).

Should you have any questions or need additional information, please contact Christopher B. Smith, Individual Assistance Division Director, at Christopher.B.Smith@fema.dhs.gov.

Attachments: Direct Temporary Housing Conditions
FSA Amendment Template

cc: Paul Taylor, Regional Administrator, Region VII
Jeffrey Byard, Associate Administrator, Office of Response and Recovery
Jeffrey Dorko, Assistant Administrator, Logistics Management Directorate
Melissa Forbes, Acting Deputy Assistant Administrator, Recovery Directorate
Christopher B. Smith, Director, Individual Assistance Division
Monty LeMaire, Branch Chief, Individuals and Households Program Delivery Branch, Individual Assistance Division

CONDITIONS FOR IMPLEMENTATION OF DIRECT TEMPORARY HOUSING FOR FEMA-DR-4421-IA

The following conditions apply in addition to FP-104-009-03, Individual Assistance Program and Policy Guide (IAPPG), Chapter 3:

1. An amendment to the FEMA-State Agreement (FSA) is required prior to the implementation of direct assistance.

2. Unless specifically superseded by these conditions, direct assistance will be implemented in accordance with FP-104-009-03, IAPPG, Chapter 3.

3. The JFO shall prioritize accessible housing units for applicants whose household includes one or more persons with a disability or other access and functional needs. FEMA may only consider placing other eligible applicants in accessible housing units when all households with accessibility needs have been housed.

4. The JFO shall determine the cost-effectiveness of a potential MLR property by conducting an analysis of repairing the property compared to other forms of temporary housing. The analysis shall include the following factors:
   a. Determine if the potential MLR property is within the approved Rental Assistance Rate for the location where the property is located.
   b. The FCO must submit a written request with justification, including a cost benefit analysis, to the Assistant Administrator (AA) for Recovery when the estimated cost of the repairs or improvements, including accessibility-related costs, exceeds the approved Rental Assistance Rate for the county where the property is located.

5. The JFO shall prioritize the use of MHUs from FEMA's inventory. In coordination with the Logistics Management Directorate, the JFO may also use MHUs from off-the-lot purchases.
   a. The number of bedrooms in the MHU must accommodate the applicant's household composition, as recorded during the FEMA inspection.
   b. Units must be constructed in accordance with 24 C.F.R. Part 3280 Manufactured Home Construction and Safety Standards ("HUD code").
   c. Dealer inventory units are not built to Uniform Federal Accessibility Standards (UFAS) requirements, therefore, only FEMA contract inventory will be provided to households who require an accessible MHU.
   d. Any MHU provided for an eligible applicant with a disability or other access and functional needs, including any MHU that meets the UFAS, must be installed with a functioning tank and pump system (TPS) prior to occupancy.
   e. Any FEMA-sourced MHU provided for an eligible applicant must be installed with a functioning TPS prior to occupancy, except when doing so would cause a delay in allowing the applicant to take occupancy, in which case the TPS shall be installed at the earliest possible date after occupancy.

6. The JFO shall coordinate with the State and local officials regarding the use and placement of RVs.

7. FEMA will determine based on the household's needs whether an RV, MHU, or other form of direct temporary housing will be provided.

8. Placement of RVs and MHUs as temporary housing in Special Flood Hazard Area (SFHA)/1-percent annual chance year floodplain must comply with 44 C.F.R. Part 9, FEMA Directive/Instruction 108-1, Environmental Planning and Historic Preservation Responsibilities and Program Requirements, and the abbreviated decision-making process under 44 C.F.R. Part.13 when considering placement of RVs and MHUs on private and commercial sites in a floodplain.

9. FEMA will only place RVs and MHUs in SFHAs where no other housing options are available.
   a. RVs and MHUs may only be placed in an SFHA only where base flood depths are sufficiently shallow to allow for timely and safe evacuation from the RV on foot. RVs and MHUs placed in the SFHA will be adequately anchored.
   b. RVs are more vulnerable to flood risk than MHUs as when utilized as temporary housing units because, unlike MHUs, the RV industry has not developed standards for their installation, including elevation and anchoring, to address varying flood zones and wind loads.
   c. Because of the potential significant risk to the safety and welfare of occupants and potential damage to property when RVs are placed in the floodplain, when practicable, FEMA should prioritize the placement of MHUs over RVs on any site within the floodplain.
   d. RVs may be placed in the SFHA only where base flood depths are sufficiently shallow to allow for timely and safe evacuation from the RV on foot.

AMENDMENT NUMBER [INSERT NUMBER] TO THE

FEMA-STATE AGREEMENT
FEMA-DR-4421-IA

INDIVIDUAL ASSISTANCE PROGRAMMATIC ADDENDUM

This is Amendment Number [INSERT NUMBER] to the FEMA-State Agreement for major disaster FEMA-DR-4421-IA, declared on March 23, 2019. This Amendment serves to add the Individual Assistance (IA) Programmatic Addendum, including Subpart A: Temporary Direct Housing Assistance, which has been approved for this major disaster on [INSERT DATE].

Paragraph III. B. of the FEMA-State Agreement is hereby amended to read as follows:

B. **PROGRAMMATIC ADDENDUMS.** Attached and also made part of this Agreement are the following Programmatic Addendums, which unless indicated otherwise in Attachment 1 may be signed or agreed to on behalf of the State by the Governor's Authorized Representative (GAR) listed in Attachment 1, and are included on a case by case basis depending on the assistance designated and whether additional terms and conditions for implementation of specific assistance programs are needed:

[INSERT ADDENDUM TITLE]

The terms and conditions of the [INSERT AMENDMENT/ADDENDUM TITLE] are hereby incorporated by reference into this [INSERT AMENDMENT NUMBER] to the FEMA-State Agreement for major disaster FEMA-DR-4421-IA.

All other paragraphs of this Agreement remain unchanged, unless previously amended.

AGREED:

Governor's Authorized Representative	Federal Coordinating Officer Disaster Recovery Manager
Date	Date

FEMA-STATE AGREEMENT
FEMA-DR-4421-IA

INDIVIDUAL ASSISTANCE PROGRAMMATIC ADDENDUM

SUBPART [INSERT SUBPART]: TEMPORARY DIRECT HOUSING ASSISTANCE

PREVAILING TERMS AND CONDITIONS

Individual Assistance, including the Individuals and Households Program (IHP) under Stafford Act Section 408 (42 U.S.C. §5174), has been designated for this major disaster declaration, FEMA-DR-4421-IA, which was declared on March 23, 2019. Based on the damage resulting from the incident, FEMA determined that a lack of available housing resources existed in and around the declared area, and authorized temporary direct housing assistance under IHP for eligible applicants. Stafford Act Section 408(c)(1)(B)(i), 42 U.S.C. § 5174(c)(1)(B)(i). FEMA and the State agree to the following terms and conditions regarding the implementation of Temporary Direct Housing Assistance under this declaration:

1. In accordance with the Stafford Act, temporary direct housing assistance shall be provided for a period of up to 18 months from the date of the Presidential declaration. Stafford Act Section 408(c)(1)(B)(iii), 42 U.S.C. § 5174(c)(1)(B)(iii).

2. FEMA, in coordination with the State, will work with applicants to identify and achieve a permanent housing plan.

3. For this declaration, the 18-month period of assistance ends on September 23, 2019

4. If necessary, because of extraordinary circumstances, at the written request of the State, FEMA may extend the 18-month period of assistance for temporary direct housing assistance if an extension is in the public's interest. The State will request an extension, if needed, between 90 and 120 days before the end of the period of assistance. Stafford Act Section 408(c)(1)(B)(iii), 42 U.S.C § 5174(c)(1)(B)(iii). FEMA will charge fair market rent for occupants who remain in or maintain possession of the Manufactured Housing Unit (MHU) or Recreational Vehicle (RV) during the extended period of assistance. Stafford Act Section 408(c)(1)(B)(iv), 42 U.S.C. § 5174(c)(1)(B)(iv), 44 C.F.R. § 206.117(b)(1)(ii)(F).

5. FEMA will assess a monthly penalty fee for occupants who remain in or maintain possession of the MHU or RV after the end of the approved period of assistance 44 C.F.R. § 206.117(b)(1)(ii)(H).

6. Pursuant to Section 408(c)(1)(B)(ii) of the Stafford Act (42 U.S.C. § 5174(c)(1)(B)(ii)), FEMA has authority, to the extent it would be a cost-effective alternative to other temporary housing options, to enter into lease agreements with owners of multifamily rental property located in areas covered by a major disaster declaration to provide

temporary housing to eligible individuals and households. FEMA may make repairs or improvements to properties under such lease agreements, to the extent necessary, to serve as safe and adequate temporary housing. Under the terms of any lease agreement, the value of the improvements or repairs shall be deducted from the value of the lease agreement and may not exceed the value of the lease agreement.

7. Pursuant to Section 408(c)(1)(B)(i), FEMA may lease temporary housing units, including MHUs, RVs, and existing residential property, to provide directly to individuals and households who are unable to use financial rental assistance due to a lack of available housing resources.

8. Pursuant to Section 408(d)(2)(A) of the Stafford Act (42 U.S.C. § 5174(d)(2)(A)), FEMA has the authority and discretion to dispose of MHUs and RVs that were purchased for the purpose of housing eligible applicants, by selling them at a price that is "fair and equitable" directly to the individual or household occupying the unit, if those individuals or households continue to lack permanent housing. The sale of the MHU and RV is a method of disposing of a MHU or RV and is not a form of continued housing assistance.

9. Pursuant to Section 408(d)(2)(B) of the Stafford Act, if not disposed of through sales to occupants, FEMA has the authority and discretion to dispose of MHUs and RVs that were purchased for the purpose of housing eligible applicants, by donating or otherwise making them available to a State, tribe, or other government entity or to a voluntary organization for the sole purpose of providing temporary housing to disaster survivors (sometimes referred to as "victims" in the regulations) in major disasters and emergencies upon the receiving entity or organization agreeing to certain conditions:

    a. The donation of the MHU and RV is a method of disposing of an occupied MHU and is not a form of continued housing assistance.

    b. The Sales to Occupants Program must be implemented prior to initiating the occupied Donations Program and occupants who choose not to participate in the MHU or RV Sales to Occupants Program will not be considered for the MHU or RV Donations Program. Only applicants who can prove that they are unable to purchase the MHU due to their financial ability will be eligible for a potential donation.

10. Any Sales or Donation program will be carried out in accordance with all applicable FEMA regulations, policies, management directives, and standard operating procedures in effect as of the date that Amendment [INSERT AMENDMENT #] to the FEMA-State Agreement signed by both parties. If approved, Sales and Donations apply to MHUs and RVs that are occupied by eligible disaster assistance applicants. MHUs and RVs that are unoccupied will be disposed of in accordance with FEMA's excess personal property management procedures utilized by the FEMA Logistics Management Directorate. FEMA may implement a Sales or Donations program for this declaration after a written request for the Sales Program, or both, the Sales and Donations Programs, is submitted

by the State. The State will only submit its request once the State has evaluated the criteria below, as appropriate, in the request.

11. In the State's request for a Sales Program, the State must evaluate the following elements:

    a. Whether the availability of rental resources is not expected to return to a level to support those currently residing in a FEMA-provided MHU or RV within the period of assistance for the declared event;

    b. Whether completing repair or replacement of disaster-damaged residences will not be possible within the period of assistance;

    c. Whether sales of MHUs or RVs will not cause an adverse impact on the economy of the community or local MHU dealers; and

    d. Whether local codes, ordinances, and the requirement of 44 C.F.R. Part 9 will support the continued or permanent placement of MHUs or RVs processed under a Sales Program.

12. In the State's request for a Donations Program, the State must evaluate the following elements:

    a. Whether a Sales Program has also been requested, or approved;

    b. Whether the declared area lacks available permanent housing resources sufficient to house disaster survivors;

    c. Whether donation of occupied MHUs or RVs will not cause a significant adverse impact on the economy of the community or local MHU or RV dealers;

    d. Whether the State is able to identify qualifying governmental entities and/or voluntary organization(s) willing to accept ownership of the occupied MHUs or RVs under FEMA's terms and conditions for the donation; and

    e. Whether local codes, ordinances, and the requirement of 44 C.F.R. Part 9 will support the continued or permanent placement of MHUs or RVs processed under a Donations Program.

13. Upon the request of the State as described in Paragraphs 10 through 12 of this Addendum, FEMA will review and may authorize the Sales and Donations Programs based on FEMA's determination that it is in the best interest of the community, using the factors discussed in the State's request, as well as FEMA's determination that:

    a. Disposing of MHUs or RVs by sale or donation is expected to be in the best interest of the Federal Government; and

b. Sales or donations of MHUs and RVs will not cause a significant impact on FEMA's ability to maintain necessary MHU or RV inventory.

14. Upon rendering its decision on the State's written request, FEMA shall notify the State in writing of its decision to approve or deny the program.

REFERENCES:
Stafford Act Section 408, 42 U.S.C. § 5174
44 C.F.R. §§ 206.110 – 206.118
Individual Assistance Program and Policy Guide (IAPPG), FP 104-009-3, March 2019

U.S. Department of Homeland Security
Washington, DC 20472

FEMA

MEMORANDUM FOR: Timothy J. Scranton
Federal Coordinating Officer
DR-4421-IA

FROM: Christopher B. Smith
Director
Individual Assistance Division

CHRISTOPHER B SMITH
Digitally signed by CHRISTOPHER B SMITH
Date: 2019.04.30 12:08:04 -04'00'

SUBJECT: FEMA-DR-4421-IA: Lodging Expense Reimbursement Waiver for Fremont and Mills Counties

This memorandum responds to your request dated April 24, 2019, to extend the eligibility period for Lodging and Expense Reimbursement (LER) from the currently approved 30 days to 90 days from the approved date of any Rental Assistance award for Fremont and Mills counties under DR-4421-IA.

Given the expectation that the affected areas will be accessible on or around May 1, 2019, I am authorizing an extension to 60 days through a disaster-specific waiver to the Individual Assistance Program and Policy Guide (IAPPG) for LER under the Individuals and Households Program. I will consider a further extension in the future if sufficient justification is present. Policy provisions not specifically referenced in this memo remain in effect unless otherwise directed.

Lodging Expense Reimbursement

On April 16, 2019, I approved your initial request to waive the 7-day restriction on LER and extend the period of assistance eligibility to 30 days from the approved date of any initial Rental Assistance award for Fremont and Mills counties. As of April 24, 2019, 125 households in Fremont County and 78 in Mills County remain inaccessible to inspectors. Information obtained from the Iowa Homeland Security Emergency Management officials in these areas indicate an expectation of restricted access until May 1, 2019. Applicants in these counties did not receive Rental Assistance prior to March 26; therefore, an LER extension will serve as a bridge until all applicants can receive an inspection and standard Rental Assistance in early May.

If you have any questions or need any additional information, please contact Monty LeMaire, Individuals and Households Program Service Delivery Branch Chief.

cc: Paul Taylor, Regional Administrator, Region VII
Heather Smith, Regional Recovery Division Director, Region VII
Candy Newman, Individual Assistance Branch Chief, Region VII
Keith Turi, Assistant Administrator, Recovery Directorate
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division

U.S. Department of Homeland Security
Washington, DC 20472

FEMA

MEMORANDUM FOR: Regional Administrators
Federal Coordinating Officers

FROM: Christopher B. Smith
Division Director
Individual Assistance

CHRISTOPHER B SMITH

Digitally signed by CHRISTOPHER B SMITH
Date: 2020.03.19 12:00:48 -04'00'

SUBJECT: National Guidance for Disaster Housing Field Operations in Response to the Novel Corona Virus Disease

On March 13, 2020, the first nation-wide Emergency Declaration under the Stafford Act was declared for all States and Territories in response to the spread of the Novel Corona Virus Disease (COVID-19) across the nation. Currently, there are six active direct housing missions being implemented in five states and one territory. In response to varying public health guidance and mitigation measures implemented across jurisdictions, each disaster has taken measures to limit in-person contact and exposure.

The guidance below is intended to provide national guidance that is consistent and legally sufficient for FEMA to provide essential services to occupants and maintain operations for current housing missions. Furthermore, this guidance is intended to protect the safety of staff and survivors and enable continuity of operations.

FEMA will comply with all federal, state, territorial, and local public health guidance and directives concerning COVID-19. Heightened coordination between FEMA, public health, and emergency management authorities will be increased when localities escalate community mitigation measures, such as quarantines, which may require FEMA to cease direct housing functions.

In the event that a public health authority reports or an occupant residing in a FEMA Temporary Housing Unit (THU) self-reports a COVID-19 diagnosis or is placed under isolation or quarantine, FEMA should refrain from delivering housing assistance pursuant to its standard protocols which require direct (in-person) interaction with the occupant or infected premises. Federal law mandates federal noninterference and cooperation with the state's execution of its quarantine laws. In most cases, unless authorized by a public health official, FEMA cannot enter premises under quarantine to deliver housing assistance, because entering the restricted premises would be a violation of public health law.

National Guidance on Direct Housing Field Operations During COVID-19

1. **Recertification**
    a. **Implement the use of virtual recertifications only occurring every 30 days.**
    b. Recertification advisors should work with occupants to ensure compliance with the revocable license or temporary housing agreement, discuss progress and/or roadblocks for achieving their permanent housing plan (PHP), and maintain contact as a consistent presence in support of their recovery.

2. **Revocations and Terminations**
    a. **Suspend the issuance of warning notices and notices of revocation/termination for General Violations until further notice from FEMA Headquarters (HQ). Continue to terminate for Major Violations.**
    b. Warning notices, notices of revocation, and notices to surrender for general violations that were issued to occupants prior to this guidance should be placed on hold until further notice.
    c. Notices of Revocation and/or Notices to Surrender for Major Violations that were issued to occupants prior to this guidance should proceed and occur virtually, as possible.
    d. If required, FEMA HQ will provide additional guidance regarding safeguarding of personally identifiable information and maintaining records in this environment.

3. **Rent and Penalty Fee Collection**
    a. **Rent and penalty fees should continue to be issued, billed, and collected as these functions can be completed without direct contact with the disaster survivor.**
    b. The current appeal process for reduced rent is sufficient for occupants to utilize and does not need to be modified at this time.
    c. Occupants currently have the option to submit all appeals and supporting documentation electronically.
    d. The Joint Field Office (JFO) and the FEMA Finance Center should continue to coordinate in order to prevent over billing for occupants who move out of units prior to the end of the period of assistance.

4. **Sales and Donations**
    a. **Proceed with Sales and Donations as currently implemented but limit in-person interactions to the furthest extent possible.**
    b. FEMA HQ will coordinate further with the specific JFOs who are implementing FEMA Sales to Occupants and Donations to determine the current status, to include where occupants are in the process of the sale or donation and a plan for limited in person interactions (eliminating in person completely, providing personal protective equipment [PPE], etc.).

5. **Maintenance**
    a. **Perform external preventative maintenance inspections (PMI) and emergency maintenance only.**

b. This change in scope will require a modification to current contracts; however, the change should be allowable under the contracts.
c. If it is believed that external PMI should be eliminated entirely, further coordination will need to occur with FEMA HQ.

6. **Move Outs**
   a. **Proceed with move out inspections as currently implemented but limit in-person interactions to the furthest extent possible.**
   b. Move outs should be completed outside of the unit with a visual inspection of the THU only.
   c. Logistics staff will do a more detailed inspection on Transportable Temporary Housing Units (TTHUs) during deactivation.
   d. Should an occupant not want to conduct an in-person move out, keys should be left inside of the TTHU and an inspection will occur at the time of deactivation.
   e. All required documents can be scanned and distributed via email or fax.

7. **Deactivation**
   a. **Modify the deactivation process by issuing deactivation work orders electronically.**
   b. All other deactivation activities should already have limited in-person interactions.

8. **In-Person Activities**
   a. Under the General Duty Clause of the United States (U.S.) Occupational Safety & Health Act, 29 U.S.C. § 654, 51, FEMA as a federal employer has a duty to provide a workplace free from known recognized health and safety hazards that are causing or are likely to cause death or serious harms to its employees.
   b. Field disaster housing efforts should be limited to the provision of emergency maintenance services only by authorized personnel trained on appropriate the donning (put on), doffing (take off), and disposal of personal protective equipment in accordance with CDC and Occupational Safety and Health Act guidance. (29 C.F.R. § 1910.132).
   c. The CDC issued Interim Cleaning and Disinfecting Recommendations for US Community Facilities with Suspected/Confirmed Coronavirus Disease 2019, denoting that employers should work with local and state health departments to ensure appropriate protocols and guidelines, such as updated or additional guidance for cleaning and disinfection, are followed.
   d. PPE issuance and usage for FEMA staff should be in accordance with CDC guidance for all in-person interactions and activities within the THU, which can be found here: https://www.cdc.gov/coronavirus/2019-ncov/community/guidance-business-response.html.

9. **Messaging and Sharing of Information**
   a. FEMA will not provide medical information about housing recipients to other housing recipients or members of the general public. Any dissemination of information to the general public must be led by state and local public health officials.

b. FEMA cannot disclose any personal or identifying information of persons who have participated in testing, treatment, isolation or quarantine programs, absent statutory authority or a written and informed consent, to surrounding housing assistance recipients because disclosure of said information is limited to those who have a legitimate need to acquire or use the information to protect the safety of the public.
c. FEMA may share general information encouraging disaster survivors to visit the Department of Housing and Urban Development and CDC websites to learn how to protect themselves during a state of health emergency. However, FEMA should not prepare its own materials or disseminate pre-printed materials because the information may become stale in a dynamic situation.

As FEMA receives additional information related to COVID-19, further guidance will be issued. If you have any questions or need any additional information, please feel free to send an email to FEMA-IA-DHOPS@fema.dhs.gov or contact Nicole Dunne, Acting Unit Chief, Disaster Housing Unit, at nicole.dunne@fema.dhs.gov.

cc: David Bibo, Associate Administrator, Office of Response and Recovery
Carla Gammon, Acting Assistant Administrator, Logistics Management Directorate
Keith Turi, Assistant Administrator, Recovery Directorate
Monty LeMaire, Branch Chief, Individuals and Households Program Delivery Branch, Individual Assistance Division
Regional Recovery Division Directors
Regional Individual Assistance Branch Chiefs

U.S. Department of Homeland Security
Federal Emergency Management Agency
FEMA Joint Field Office (JFO)
7900 Hickman Road, Suite 200
Windsor Heights, IA 50324

FEMA

April 16, 2019

MEMORANDUM FOR: Paul Taylor
Regional Administrator
FEMA Region VII

FROM: Timothy J. Scranton
Federal Coordinating Officer
Disaster Recovery Manager
FEMA-4421-DR-IA

SUBJECT: Clean and Removal Assistance (CRA)
FEMA-4421-DR-IA

The State of Iowa has requested authorization to implement Clean and Removal Assistance under the Other Needs Assistance (ONA) provision of FEMAs Individuals and Households Program (IHP) for all eligible homeowners in designated counties included in FEMA-4421-DR-IA.

Clean and Removal Assistance will be provided to those households who because of extensive spring flooding suffered real property damages of such severity that the home was not rendered uninhabitable (habitability repairs not required) by the FEMA inspector. However, the recorded real property specifications included at the time of inspection, indicate a requirement for cleaning and/or removal of debris. Less than thirty (30) days into the Individual Assistance (IA) Registration period, while many areas remain inaccessible to inspectors, one hundred and seventy-five (175) households would benefit from this assistance.

At this time, I recommend the authorization of CRA be granted as part of the FEMA-4421-DR-IA IHP Program.

Please contact Cory Fast at 816-506-3474 if you have any questions.

Attachment: State Request Letter dated April 12, 2019

cc: Cory Fast, Individual Assistance Branch Director, FEMA-4421-DR-IA
Candy Newman, Individual Assistance Branch Chief, FEMA Region VII
Heather Smith, Recovery Division Director, FEMA Region VII

CONCUR:

PAUL J TAYLOR

Digitally signed by PAUL J TAYLOR
Date: 2019.04.17 09:23:46 -05'00'

Paul Taylor
Regional Administrator
FEMA Region VII

DATE

NON-CONCUR:

Paul Taylor
Regional Administrator
FEMA Region VII

DATE

Fields of Opportunities

STATE OF IOWA

KIM REYNOLDS
GOVERNOR

ADAM GREGG
LT. GOVERNOR

IOWA DEPARTMENT OF HOMELAND SECURITY
AND EMERGENCY MANAGEMENT
V. JOYCE FLINN, HOMELAND SECURITY ADVISOR
AND EMERGENCY MANAGEMENT DIRECTOR

April 12, 2019

Timothy Scranton, Federal Coordinating Officer
Federal Emergency Management Agency
FEMA Region VII
11224 Holmes Road
Kansas City MO 64131

RE: Request for Clean and Remove Assistance for DR-4421-IA

Dear Mr. Scranton:

I am asking FEMA to authorize and provide Clean and Removal Assistance (CRA) for the Individuals and Households in the State of Iowa affected by the recent devastating flooding.

Authorization of CRA will ensure contamination from floodwaters is addressed in a timely manner to prevent additional losses and potential health and safety concerns for those households who experienced flooding, but the level of damage did not cause their homes to become uninhabitable.

I understand that this assistance is provided under the Other Needs Assistance (ONA) component of the Individuals and Households Program (IHP) and will be subject to a 25 percent cost share responsibility and that the eligibility period for (CRA) will correspond to the standard FEMA registration period of sixty (60) days.

I am requesting CRA in the amount of $750 be authorized for eligible applicants.

If you have any questions, please contact Dennis Harper, State Coordinating Officer, at (515) 725-9348 or dennis.harper@iowa.gov.

Sincerely

V. Joyce Flinn Digitally signed by V. Joyce Flinn Date: 2019.04.16 13:11:09 -05'00'

V. Joyce Flinn
Governor's Authorized Representative

FEMA

May 23, 2019

MEMORANDUM FOR: Monty LeMaire
Chief
Individuals and Households Program Branch

FROM: Blair McDonald
Chief
Program Management Section

BLAIR B MCDONALD
Digitally signed by BLAIR B MCDONALD
Date: 2019.05.23 15:10:51 -05'00'

SUBJECT: Processing Damages for Inaccessible Homes for DR-4421-IA

The purpose of this memorandum is to describe how the Individuals and Households Program (IHP) will process damage and award additional assistance to DR-4421-IA applicants whose disaster-damaged primary residences are anticipated to remain inaccessible for an extended period, possibly into 2020.

Background

On March 23, 2019, the President declared a major disaster for the State of Iowa, FEMA-DR-4421-IA, for damage caused by severe winter storms and flooding. Due to the drastic nature of the flooding, the residences of approximately 97 applicants in Fremont and Mills County remain inaccessible. The State and Joint Field Office (JFO) anticipate these residences may remain inaccessible for a prolonged period, limiting FEMA from completing inspections and determining eligibility for additional forms of assistance. The Program Management Section will take two actions to address this challenge and provide these applicants with additional assistance while their residences remain inaccessible.

1. Rapid Damage Assessment Inspections

Pursuant to the Individual Assistance Program and Policy Guide (IAPPG), FEMA uses multiple loss verification methods, including onsite inspections, geospatial inspections and documentation. As a result of the projected extended inaccessibility in Iowa to utilize traditional inspection methods, FEMA will complete inspections using the Rapid Damage Assessment (RDA) tool. RDA is a tool that estimates damage based on high water level in the living area of the home and the affected square footage allowing FEMA to award replacement assistance, repair assistance and personal property assistance.

FEMA will implement RDA for applicants when the JFO can gather the necessary information to render an inspection determination. The JFO will obtain the following data by gaining access to the area/home via high water vehicles/boats: flooded/affected square footage area of home, floor location and maximum height the water reached, and exterior photo evidence. The in-house FEMA inspectors will input this information in the RDA tool via Automated Construction Estimating, which will transfer the inspection data into the National Emergency Management Information System (NEMIS). The JFO will also follow-up with the applicant for more information on the number of rooms and occupied bedrooms rooms in the residence.

Based on the RDA information, FEMA will provide financial assistance, to include replacement assistance or repair assistance and personal property assistance. FEMA will generate an automatic payment based on the RDA inspection tool result. FEMA will also determine potential eligibility for Direct Housing Assistance based on the FEMA Verified Loss produced by the RDA inspection tool. All applicants will be advised to contact FEMA once the home is accessible in order to complete an onsite inspection to address non-flood related damage or items not addressed by the RDA assessment.

2. Continued Rental Assistance for Long-term Inaccessibility

Applicants with inaccessible homes that cannot be reached for an RDA inspection or who are determined to have no habitability related damage to their residence will have the ability to apply for Continued Rental Assistance so long as the home remains inaccessible. FEMA will verify that the home remains inaccessible prior to providing Continued Rental Assistance. All other provisions of the IAPPG related to Continued Rental Assistance remain in effect.

Implementation actions include:

- The State or County will provide in writing the projected timeline of inaccessibility for the population of applicants in question. If the State or County anticipates inaccessibility for an extended period, possibly into 2020, FEMA will allow these applicants to apply for Continued Rental Assistance.
- To allow for a Continued Rental Assistance payment in NEMIS, applicants will receive one additional month of initial Rental Assistance and FEMA will mail them *FEMA Form 010-0-12, Individuals and Households Program Application for Continued Temporary Housing Assistance*.
- FEMA will instruct applicants to contact the Agency when their residence becomes fully accessible and free of standing water to schedule traditional inspections, if needed.
- If the applicant reports no damage once the home becomes accessible, the state provides information demonstrating the area is no longer inaccessible, or a traditional inspection is completed that verifies no habitability related damages, the applicant will not remain eligible for additional Continued Rental Assistance.

If you have any additional questions, please contact me for any further information needed.

cc: Brian Thompson, Chief, Program Implementation and Support Unit
Michael Greer, Chief, Housing Inspection Services Unit

Cory Fast, Individual Assistance Branch Director, FEMA-DR-4421-IA
Candy Newman, Individual Assistance Branch Chief, FEMA Region VII

U.S. Department of Homeland Security
Washington, DC 20472

FEMA

MEMORANDUM FOR: Timothy J. Scranton
Federal Coordinating Officer
DR-4421-IA

FROM: Christopher B. Smith
Director
Individual Assistance Division

CHRISTOPHER B SMITH
Digitally signed by CHRISTOPHER B SMITH
Date: 2019.04.16 17:24:12 -04'00'

SUBJECT: FEMA-DR-4421-IA: Lodging Expense Reimbursement Waiver for Fremont and Mills Counties

This memorandum responds to your request dated April 11, 2019, to waive the seven-day restriction on Lodging Expense Reimbursement (LER) and extend the period of assistance eligibility to thirty days from the approved date of any initial Rental Assistance award for Fremont and Mills counties under DR-4421-IA.

Due to the devastating impacts caused by severe flooding in these two counties, I am authorizing your request through a disaster-specific waiver to the Individual Assistance Program and Policy Guide (IAPPG) for LER under the Individuals and Households Program. Policy provisions not specifically referenced in this memo remain in effect unless otherwise directed.

Lodging Expense Reimbursement

Under the IAPPG, FEMA may provide LER for applicants who incur out-of-pocket temporary lodging expenses due to damage affecting the habitability; lack of utilities, such as extended power outage; or inaccessibility, such as road closures, of their primary residence as a result of a Presidentially-declared disaster.[1] Eligible lodging expenses may include the reasonable cost of the room and taxes charged by a hotel or other lodging provider and counts toward the IHP financial assistance maximum award an applicant may receive.[2] Generally, LER may be awarded from the start date of the incident, up to and not to exceed seven days from the approved date of any initial Rental Assistance award, unless FEMA authorizes an extension.[3] LER does not include costs associated with telephone, laundry, internet, movies, food, and pet charges, and is limited to the dates the applicant's primary pre-disaster residence was uninhabitable, inaccessible, or affected by an extended disaster-caused utility outage.[4]

[1] *See* Individual Assistance Program and Policy Guide (IAPPG), F 104-009-03, January 2019, Ch. 3, Individuals and Households Program at 77–78; *see* also 44 C.F.R. §§ 206.110, 206.117(b)(1)(i).
[2] *See* IAPPG, at 53.
[3] *Id*. at 77.
[4] *Id.* at 78.

A rental resources assessment conducted by the Disaster Housing Unit coordinated with the Joint Field Office and the Recovery Reporting and Analytics Division found that there are currently no rental units available at 100% or 125% U.S. Department of Housing and Urban Development Fair Market Rent rate in Fremont and Mills counties.[5] As of April 12, 2019, 147 households in Fremont County and 256 households in Mills County remain inaccessible to inspectors. Furthermore, information obtained from the Iowa Homeland Security Emergency Management officials shows officials expect the affected counties will be inundated until May 1, 2019.

To accommodate extended lodging stays due to limited rental resources and inaccessible areas for applicants within Fremont and Mills counties, I am waiving the seven-day restriction and extending the eligibility period for LER to thirty (30) days from the approved date of any initial Rental Assistance award. All other conditions of eligibility, required documentation, and limitations and exclusions still apply.[6]

This IAPPG policy waiver pertains only to LER assistance to Fremont and Mills counties for FEMA-DR-4421-IA. All other disaster operations will continue to follow the policies contained within the IAPPG unless otherwise directed via memorandum. If you have any questions or need any additional information, please contact Monty LeMaire, Individuals and Households Program Service Delivery Branch Chief.

cc: Paul Taylor, Regional Administrator, Region VII
Heather Smith, Regional Recovery Division Director, Region VII
Candy Newman, Individual Assistance Branch Chief, Region VII
Keith Turi, Assistant Administrator, Recovery Directorate
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division

[5] *See* IAPPG, at 84.
[6] *Id*. at 77–78.

U.S. Department of Homeland Security
FEMA Emergency Management Agency
Iowa's Joint Field Office
FEMA-4421-DR-IA
7900 Hickman Road, Suite 200
Windsor Heights, IA 50324

FEMA

June 28, 2019

MEMORANDUM FOR: Christopher B. Smith
Individual Assistance Division Director,
FEMA Headquarters

THROUGH: Paul J. Taylor
Regional Administrator
FEMA Region VII

DANIEL J BEST Digitally signed by DANIEL J BEST Date: 2019.07.01 10:31:12 -05'00'

FROM: Timothy J. Scranton
Federal Coordinating Officer
FEMA-4421-DR-IA

SUBJECT: ***Registration Period Extension Request FEMA-DR-4421-IA***

The State of Iowa requested an extension to the registration period in a letter dated June 21, 2019 for FEMA-DR-4421-IA. The current registration period ends on July 1, 2019.

I support this request for a number of reasons:

- On June 19, 2019, FEMA extended the incident period end date from May 16, 2019 to June 15, 2019;
- There is a pending request at HQ for reassessment of 1 add-on county for IA;
- There is an outstanding IA PDA in Muscatine County due to inaccessibility. Anticipated completion of this PDAs is anticipated to be the week of June 28, 2019;
- If add-on requests are approved, this would likely be after current the registration period ends (July 1, 2019).

Extending the registration period for IA will ensure that individuals in counties that were added later in this event and could be added still, will have adequate time to register with FEMA. There are still over 100 homes inaccessible that have registered and therefore potentially more applications as water recedes and residents return home.

The information submitted by the state satisfies the requirements for extending the registration period in order to collect registrations from disaster survivors who have not yet been able to register due to the conditions described above.

Based on the justification provided by the state, I am authorizing a 15-day extension to the registration period for FEMA-DR-4421-IA. The registration period will now end on July 16, 2019. This includes all areas designated for Individual Assistance.

If you have any questions or need any additional information, please contact Cory Fast, Individual Assistance Branch Director, at 816-506-3474.

CHRISTOPHER B SMITH Digitally signed by CHRISTOPHER B SMITH
Date: 2019.07.01 11:52:20 -04'00'

CONCUR
Christopher B. Smith
Individual Assistance Division Director
FEMA Headquarters

NON-CONCUR
Christopher B. Smith
Individual Assistance Division Director
FEMA Headquarters

Attachment: Original request from state of Iowa

cc:

Timothy J. Scranton, Federal Coordinating Officer, FEMA-4421-DR-IA
Paul Taylor, Regional Administrator, FEMA Region VII
Cory Fast, Individual Assistance Branch Director, FEMA-4421-DR-IA
Candy Newman, Individual Assistance Branch Chief, FEMA Region VII
Heather Smith, Recovery Division Director, FEMA Region VII

STATE OF IOWA

KIM REYNOLDS
GOVERNOR

ADAM GREGG
LT. GOVERNOR

IOWA DEPARTMENT OF HOMELAND SECURITY
AND EMERGENCY MANAGEMENT
V. JOYCE FLINN, HOMELAND SECURITY ADVISOR
AND EMERGENCY MANAGEMENT DIRECTOR

June 21, 2019

Timothy Scranton, FCO, FEMA 4421-DR IA
Federal Emergency Management Agency
FEMA Region VII
11224 Holmes Road
Kansas City, MO 64131

Dear Mr. Scranton,

The State of Iowa requests that FEMA extend the registration period for eligible survivors in all counties declared for the Individual Assistance Program to July 31, 2019, in order to assist disaster survivors who have not yet registered for assistance. The current registration period date ends July 1, 2019.

On June 19, 2019, FEMA extended the incident period end date from May 16, 2019 to June 15, 2019. This request is made to allow reasonable time for survivors, previously ineligible for individual assistance, to register due to the approved incident period extension. An approved extension will also accommodate a pending individual assistance appeal determination for Muscatine County where a second Joint Preliminary Damage Assessments will be conducted in the near future.

If you have any questions or comments regarding this request contact Aimee Bartlett, Deputy State Coordinating Officer, at (515) 725-9364, or by e-mail at: aimee.bartlett@iowa.gov. I appreciate your timely response on this matter.

Sincerely,

Dennis T. Harper
Alternate Governor's Authorized Representative

U.S. Department of Homeland Security
Federal Emergency Management Agency
FEMA 4421-DR-IA
7900 Hickman Road, Suite 200
Windsor Heights, IA 50324

FEMA

May 2, 2019

MEMORANDUM FOR: Paul Taylor
Regional Administrator
FEMA Region VII

FROM: Timothy J. Scranton
Federal Coordinating Officer
FEMA-4421-DR-IA

FOR: JOHN BROCAHN DFCO

SUBJECT: Registration Period Initial Extension Request
FEMA-DR-4421-IA

The State of Iowa has requested an extension to the registration period for FEMA-DR-4421-IA. The current registration period ends on May 22, 2019.

I support this request. The disaster, DR-4421-IA, has an open incident period, with three (3) pending add-on counties being requested, the State awaiting word from FEMA on two (2) counties – Cherokee and Plymouth, and one (1) county yet to complete IA PDAs due to rising floodwaters. The extension will ensure potential add-on counties have adequate time for individuals to register with FEMA. Over 100 inaccessible homes have registered, with potentially more applications to come as water recedes and residents return home.

The information submitted by the State satisfies requirements for extending the registration period to collect registrations from disaster survivors who have not been able to register due to the conditions described above.

Based on the justification provided by the State, I am recommending a forty-day (40-day) extension to the registration period for FEMA-DR-4421-IA. The registration period will now end on **July 1, 2019**. This includes all areas designated for Individual Assistance.

If you have any questions or need any additional information, please contact **Cory Fast, Individual Assistance Branch Director, at 816-506-3474**.

Attachment: Original request letter from State of Iowa dated April 30, 2019

CONCUR:

PAUL J TAYLOR

Digitally signed by PAUL J TAYLOR
Date: 2019.05.02 16:44:01 -05'00'

Paul Taylor
Regional Administrator
FEMA Region VII

DATE

NON-CONCUR:

Paul Taylor
Regional Administrator
FEMA Region VII

DATE

cc: Timothy J. Scranton, Federal Coordinating Officer, FEMA-4421-DR-IA
Cory Fast, Individual Assistance Branch Director, FEMA-4421-DR-IA
Candy Newman, Individual Assistance Branch Chief, FEMA Region VII
Heather Smith, Recovery Division Director, FEMA Region VII
Chris Smith, Individual Assistance Division Director, FEMA Headquarters

Fields of Opportunities

STATE OF IOWA

KIM REYNOLDS
GOVERNOR

ADAM GREGG
LT. GOVERNOR

IOWA DEPARTMENT OF HOMELAND SECURITY
AND EMERGENCY MANAGEMENT
V. JOYCE FLINN, HOMELAND SECURITY ADVISOR
AND EMERGENCY MANAGEMENT DIRECTOR

April 30, 2019

Timothy Scranton, FCO, FEMA 4421-DR IA
Federal Emergency Management Agency
FEMA Region VII
11224 Holmes Road
Kansas City, MO 64131

Dear Mr. Scranton,

The State of Iowa requests that FEMA extend the registration period for eligible survivors in all counties declared for the Individual Assistance Program to July 1, 2019, in order to assist disaster survivors who have not yet registered for assistance. The current registration period date ends May 22, 2019.

This request is made to allow survivors that have been unable to register due to the ongoing open incident period, continued flooding, and inaccessibility to properties to determine if damages have occurred. Currently, add-on determinations are pending for multiple counties and Joint Preliminary Damage Assessments are in process.

If you have any questions or comments regarding this request contact Aimee Bartlett, Deputy State Coordinating Officer, at (515) 725-9364, or by e-mail at: aimee.bartlett@iowa.gov. I appreciate your timely response on this matter.

Sincerely,

Dennis Harper Digitally signed by Dennis Harper
Date: 2019.04.30 15:03:32 -05'00'
Dennis T. Harper
Alternate Governor's Authorized Representative

U.S. Department of Homeland Security
Washington, DC 20472

FEMA

May 20, 2019

MEMORANDUM FOR: Timothy J. Scranton
Federal Coordinating Officer
FEMA-DR-4421-IA

THROUGH: Paul Taylor
Regional Administrator
FEMA Region VII

FROM: Keith Turi
Assistant Administrator
Recovery Directorate

SUBJECT: FEMA-DR-4421-IA: Rental Assistance Rate Increase for Fremont and Mills County

This memorandum responds to your request, dated May 7, 2019, to approve an increase to the Rental Assistance rate to 200% of the published U.S. Department of Housing and Urban Development's (HUD) Fair Market Rent (FMR) for financial Temporary Housing Assistance and Multi-Family Lease and Repair (MLR) for Fremont and Mills Counties under FEMA-4421-DR-IA due to the extreme shortage of available housing units for households displaced due to flooding.

Information provided by the Joint Field Office displays two available resources in Mills County and zero available in Fremont County. The lack of alternative temporary housing resources resulted in the April 29, 2019 approval to implement Direct Temporary Housing.

As of May 7, 2019, FEMA has completed more than 1,150 inspections in Freemont and Mills Counties. 119 households remain inaccessible and at least 500 households are displaced among these two counties with no viable alternative resources.

Per the Individual Assistance Program and Policy Guide, FEMA will only authorize increased Rental Assistance when the FEMA FMR Adjustment Calculator demonstrates that the published HUD FMR for the declared disaster area or host area is significantly less than prevailing market rates.[1] The FEMA FMR Adjustment Calculator results attached to the request indicate there is a -38.29% post-disaster vacancy rate in Fremont County and a -18.81% post-disaster vacancy rate in Mills County. This clearly demonstrates that there is a lack of available rental housing resources in these counties.

[1] *See* Individual Assistance Program and Policy Guide, F 104-009-03, March 2019, Ch. 3, Individuals and Households Program at 85.

U.S. Department of Homeland Security
Washington, DC 20472

Applicants may use their Rental Assistance to stay in extended stay hotels or motels when they provide the required documentation. However, the monthly rate for hotels in the area are significantly more expensive than the HUD FMR.

Based on the justification submitted and the FEMA FMR Adjustment Calculator results, I am authorizing an increase in the Rental Assistance rate to 200% of the FMR for financial Temporary Housing Assistance and MLR for Fremont and Mills Counties for FEMA-DR-4412-IA.

If you have any additional questions, please contact Monty LeMaire, Individuals and Households Program Service Delivery Branch Chief.

Attachments: Request Letter dated May 7, 2019
FMR Adjustment Calculator Results for Fremont and Mills County

cc: Christopher B. Smith, Director, Individual Assistance Division
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division
Heather Smith, Recovery Division Director, FEMA Region VII
Candy Newman, Individual Assistance Branch Chief, FEMA Region VII
Cory Fast, Individual Assistance Branch Director, FEMA-DR-4421-IA

U.S. Department of Homeland Security
FEMA Region VII
11224 Holmes Road
Kansas City, MO 64131

FEMA

August 7, 2019

MEMORANDUM FOR: Timothy J. Scranton
Federal Coordinating Officer (FCO)
FEMA-4421-DR-IA

FROM: Paul J. Taylor
Regional Administrator
FEMA Region VII

PAUL J TAYLOR
Digitally signed by PAUL J TAYLOR
Date: 2019.08.07 14:36:22 -05'00'

SUBJECT: Approval of Transportable Temporary Housing Units (TTHU) Sales and Donations for FEMA-4421-DR-IA

This memorandum is in response to the State of Iowa's July 23, 2019 request that FEMA authorize sales and donations of Transportable Temporary Housing Units (TTHUs) for FEMA-4421-DR-IA. In accordance with the Stafford Act Section 408 and 44 C.F.R §206.118, FEMA is authorized to dispose of Temporary Housing Units (THUs) by selling them directly to occupants or donating them to a State or governmental entity, or a voluntary organization.

Based upon the information provided, as well as your recommendation, I am authorizing the sales and donations of TTHUs for FEMA-4421-DR-IA. Adequate, alternate housing has not returned to the impacted housing market and is not expected to return, even after the 18-month period for the FEMA housing mission. There are properties available in nearby major metropolitan areas where many of the impacted individuals work, but not in the communities in which they reside. In addition, some applicants are unable to complete repairs on, or replace their disaster-damaged residences within the period of assistance. The State of Iowa continues to work closely with their local, state, federal, and voluntary agency partners to assist TTHU occupants for DR-4421-IA to transition towards more permanent housing. Disposing of TTHUs is not expected to adversely affect the local rental housing or manufactured housing markets.

Donations will only be considered after all TTHU sales to occupants have been completed. Each TTHU Donation Agreement must be approved by the Region VII Regional Administrator. The implementation of TTHU sales and donations is subject to the conditions as set forth below. All conditions and policies identified in the IAPPG remain in effect unless superseded by memorandum.

Conditions

The following conditions shall apply:

1. TTHU Sales to Occupants must be implemented prior to initiating Donations, and occupants who choose not to participate in TTHU Sales to Occupants will not be considered for Donations. However, occupants who do not have the financial ability to purchase their unit at the reduced sales price may be considered for Donations.

2. Occupants must be in compliance with all conditions and rules under the TTHU Revocable License and Receipt for Government Property in order to participate in TTHU Sales or Donations.

3. An occupant's decision not to participate in TTHU Sales or Donations does not affect their eligibility for continued direct temporary housing assistance during the Individuals and Households Program period of assistance.

4. Pre-disaster renters will be eligible to participate in the Sales and Donations Program for TTHU's on September 23, 2019, six (6) months after date of the disaster declaration.

5. Eligible occupants are responsible for all costs associated with elevating a Manufactured Housing Unit (MHU) if moved to an alternate location or when opting to move the unit to a Special Flood Hazard Area (SFHA). FEMA will not sell RVs located within a SFHA.

6. In accordance with 44 CFR §9.13(e) FEMA shall not sell or otherwise dispose of mobile homes or other readily fabricated dwellings located in floodways or coastal high hazard areas.

7. For TTHU sales, the unit will be sold "as is" and "where is". This includes all modifications and accessories in place at the time of sale, including those provided as accommodations for occupants with disabilities. The following exceptions apply to "as is" and "where is":

    a. Where necessary, FEMA may perform minimum repairs needed, based upon normal wear and tear, to ensure the unit is habitable.

    b. When the TTHU being sold is located on a commercial site, the primary occupant must either

        i. Secure an alternate location that complies with applicable laws, codes, and ordinances and 44 CFR part 9, and relocate the unit at their own expense; or
        ii. Secure a new pad lease at the site on which the unit is currently located, also at their own expense.

c. When the TTHU being sold is located in a group site, the primary occupant must secure an alternate location that complies with applicable laws, codes, and ordinances and 44 CFR part 9, and relocate the unit at their own expense.

d. In accordance with 44 CFR §9.13(e) mobile homes or other readily fabricated dwellings with a planned use in an SFHA must be elevated to at least the Base Flood Elevation (BFE) as measured from grade to the lowest floor. These costs are to be assumed by the occupant/purchaser.

e. Eligible occupants have the option to purchase the tank and pump system (TPS) installed on the MHU. When calculating the sales price for the MHU with a TPS unit, the price will be adjusted based on the value of the MHU with the functioning TPS.

8. Eligible occupants are required to obtain and maintain hazard and flood insurance on the unit, regardless of whether the MHU is or will be in a designated SFHA.

9. Eligible occupants purchasing TTHUs must be able to show copies of permits or completed inspections required by State or local government for sale and siting of the TTHU.

10. For TTHU Donations, the JFO will give priority to government agencies for receiving TTHU donations before considering donating MHUs to voluntary organizations. If government agencies are unavailable, the JFO will give priority to voluntary organizations that have been in existence for at least one year and have a history and demonstrated capability of assisting disaster survivors.

    a. In accordance with 44 CFR §9.13(e) FEMA shall not sell or otherwise dispose of mobile homes or other readily fabricated dwellings located in floodways or coastal high hazard areas.

    b. FEMA will not implement donations of RVs located within an SFHA.

11. For TTHU Donations, the recipient entity/organization must use the occupied TTHU for the sole purpose of providing temporary housing to a FEMA eligible occupant until the end of the period of assistance or for a minimum of one year, whichever is longer, unless:

    a. The eligible occupant secures permanent housing earlier; and

    b. FEMA is unable to identify another eligible applicant to occupy the unit for the remainder of this time period.

Should you or the State have any questions or need additional information, please contact Candy Newman, Regional Individual Assistance Branch Chief, at candy.newman@fema.dhs.gov or (816) 225-0701.

U.S. Department of Homeland Security
Federal Emergency Management Agency
FEMA-4438-DR-OK
Oklahoma Emergency Operations Center
2401 North Lincoln Blvd
Oklahoma City, OK 73105

FEMA

June 28, 2019

MEMORANDUM FOR: Christopher B. Smith
Director, Individual Assistance Division
Office of Response and Recovery Directorate

THROUGH: George A. Robinson
Regional Administrator

GEORGE A ROBINSON
Digitally signed by GEORGE A ROBINSON
Date: 2019.06.28 21:38:46 -05'00'

FROM: Gerard M. Stolar 6/28/2019
Federal Coordinating Officer
FEMA-4438-DR-OK

SUBJECT: Clean and Removal Assistance (CRA) Approval Notification for FEMA-DR-4438-DR-OK

The State of Oklahoma has requested that FEMA implement Clean and Removal Assistance for eligible applicants in the counties of Alfalfa, Cherokee, Craig, Garfield, Kingfisher, Leflore, Noble, Nowata, Pawnee, and Woods who were affected by flooding due to FEMA-DR-4438-OK.

Due to the devastating impact of floodwaters, Clean and Removal Assistance is necessary to assist individuals and households with their disaster-caused needs.

The State of Oklahoma understands that the eligibility period for Clean and Removal Assistance will correspond to the standard FEMA registration period of 60 days, and all of the following will apply:

- Clean and Removal Assistance is limited to a fixed amount calculated based on the average cost of cleaning, sanitizing, and removing carpet in the geographic area. The Joint Field Office will coordinate with FEMA Headquarters to determine the appropriate amount;
- Clean and Removal Assistance will be awarded as a one-time payment; and
- Clean and Removal Assistance will be deducted from any subsequent Home Repair award an applicant receives as a result of a change in the home's habitability, and if the home repair payment is greater than the Clean and Removal Assistance amount.

I recommend approval of Clean and Removal Assistance for the counties of Alfalfa, Cherokee, Craig, Garfield, Kingfisher, Leflore, Noble, Nowata, Pawnee, and Woods and request that you

approve the necessary actions to implement Clean and Removal Assistance. If you have any questions or need any additional information, please contact me at (940) 898-7240.

CONCUR:

GEORGE A ROBINSON

Digitally signed by GEORGE A ROBINSON
Date: 2019.06.28 21:39:30 -05'00'

George A. Robinson Date
Regional Administrator
Region 6

NON-CONCUR:

George A. Robinson Date
Regional Administrator
Region 6

cc: Susan Tompkins, Individual Assistance Branch Director, FEMA-DR-4438-OK
Lorie LaFon, Individual Assistance Branch Chief, Region 6
Traci Brasher, Recovery Division Director, Region 6
Keith Turi, Assistant Administrator, Recovery Directorate
Monty LeMaire, Individuals and Households Program Delivery Branch, Individual Assistance Division

Attachment: State of Oklahoma) Request Letter dated June 28, 2019

MARK GOWER
State Director

J. KEVIN STITT
Governor

STATE OF OKLAHOMA
DEPARTMENT OF EMERGENCY MANAGEMENT

June 28, 2019

Tony Robinson
Regional Administrator
FEMA Region VI
800 N. Loop 288
Denton, TX 76209

Through: Gerard M. Stolar
Federal Coordinating Officer
FEMA-State Joint Field Office
5537 Northwest Expressway
Oklahoma City, OK 73132

Dear Mr. Robinson:

The purpose of this letter is to request the Federal Emergency Management Agency (FEMA) authorize and provide Clean and Removal Assistance under DR-4438-OK. The counties requested are:

Alfalfa, Cherokee, Craig, Garfield, Kingfisher, Leflore, Noble, Nowata, Pawnee, and Woods.

The Clean and Removal Assistance is necessary to provide timely assistance in cleaning and sanitizing homes affected by flood water to prevent further damage and threats to health and safety. The State of Oklahoma understands that such assistance would be provided to eligible applicants through the "Other Needs Assistance (ONA) - Miscellaneous Other" category within the Individuals and Households Program which has a non-federal cost share of 25 percent.

Your favorable consideration is appreciated. Please contact Alden Graybill, State Coordinating Officer, at (405) 250-6063 with any questions.

Sincerely,

Mark Gower
Director
Governor's Authorized Representative
DR-4438-OK

U.S. Department of Homeland Security
Federal Emergency Management Agency
FEMA-State Joint Field Office
FEMA-4438-DR-OK
5537 NW Expressway
Oklahoma City, OK 73132

FEMA

June 14, 2019

MEMORANDUM FOR: Christopher B. Smith
Director, Individual Assistance Division
Office of Response and Recovery Directorate

THROUGH: George A. Robinson
Regional Administrator
FEMA Region 6

GEORGE A ROBINSON Digitally signed by GEORGE A ROBINSON Date: 2019.06.14 16:26:53 -05'00'

FROM: Gerard M. Stolar
Federal Coordinating Officer
FEMA-4438-DR-OK

SUBJECT: Clean and Removal Assistance Approval Notification for FEMA-4438-DR-OK

The State of Oklahoma has requested that FEMA implement Clean and Removal Assistance for eligible applicants in Canadian, Creek, Delaware, Kay, Logan, Mayes, Muskogee, Okmulgee, Osage, Ottawa, Payne, Pottawatomie, Rogers, Sequoyah, Tulsa, Wagoner and Washington who were affected by flooding due to FEMA-4438-DR-OK.

Due to the devastating impact of the flooding and severe storms, Clean and Removal Assistance (CRA) is necessary to assist individuals and households with their disaster-caused needs.

The State of Oklahoma understands that the eligibility period for CRA will correspond to the standard FEMA registration period of 60 days, and all of the following will apply:

- CRA is limited to a fixed amount calculated based on the average cost of cleaning, sanitizing, and removing carpet in the geographic area. The Joint Field Office will coordinate with FEMA Headquarters to determine the appropriate amount;
- CRA will be awarded as a one-time payment; and
- CRA will be deducted from any subsequent Home Repair award an applicant receives as a result of a change in the home's habitability, and if the home repair payment is greater than the Clean and Removal Assistance amount.

FEMA-4438-DR-OK Clean and Removal Assistance Request

I am approving CRA for FEMA-4438-DR-OK. Please proceed with the necessary actions to implement CRA. If you have any questions or need any additional information, please contact me.

CONCUR:

GEORGE A ROBINSON Digitally signed by GEORGE A ROBINSON Date: 2019.06.14 16:26:12 -05'00'

George A. Robinson Date
Regional Administrator

NON-CONCUR:

George A. Robinson Date
Regional Administrator

Cc: Gerard M. Stolar, Federal Coordinating Officer, FEMA-4438-DR-OK
Traci Brasher, Recovery Division Director, Region 6
Susan Tompkins, Individual Assistance Branch Director, FEMA-4438-DR-OK
Lorie LaFon, Individual Assistance Branch Chief, Region 6
Keith Turi, Assistant Administrator, Recovery Directorate
Monty LeMaire, Branch Chief, Individuals and Households Program Delivery Branch, Individual Assistance Division

Attachment: State of Oklahoma Request Letter dated June 12, 2019.

MARK GOWER
State Director

J. KEVIN STITT
Governor

STATE OF OKLAHOMA
DEPARTMENT OF EMERGENCY MANAGEMENT

June 12, 2019

Mr. Gerard M Stolar
Federal Coordinating Officer
Disaster Recovery Coordinator
FEMA-4438-DR-OK

Dear Mr. Stolar:

The purpose of this letter is to request that the Federal Emergency Management Agency (FEMA) authorize and provide Clean and Removal Assistance under DR-4438-OK. The Clean and Removal Assistance is necessary to provide timely assistance in cleaning and sanitizing homes affected by flood water to prevent further damage and threats to health and safety.

The State of Oklahoma understands that such assistance would be provided to eligible applicants under the "Other Needs Assistance (ONA) - Miscellaneous Other" category within the Individuals and Households Program, which has a non-federal cost share of 25 percent.

If you have any questions, please have your staff contact Alden Graybill Oklahoma State Coordinating Officer alden.graybill@oem.ok.gov 405-521-6861.

Sincerely,

Mark Gower
Director

U.S. Department of Homeland Security
Federal Emergency Management Agency
FEMA-State Joint Field Office
FEMA-4438-DR-OK
5537 NW Expressway
Oklahoma City, OK 73132

FEMA

July 23, 2019

MEMORANDUM TO: George A. Robinson
Regional Administrator
FEMA Region 6

FROM: Gerard M. Stolar
Federal Coordinating Officer
Disaster Recovery Manager
FEMA-4438-DR-OK

SUBJECT: State Request to Extend Individual Assistance Registration Deadline
FEMA-4438-DR-OK

The purpose of this memorandum is to recommend approval of a two-week extension to the Individual Assistance (IA) registration period to August 14, 2019 for eligible survivors in Alfalfa, Canadian, Cherokee, Craig, Creek, Delaware, Garfield, Kay, Kingfisher, Le Flore, Logan, Mayes, Muskogee, Noble, Nowata, Okmulgee, Osage, Ottawa, Pawnee, Payne, Pottawatomie, Rogers, Sequoyah, Tulsa, Wagoner, Washington, and Woods Counties for Individual Assistance under FEMA-4438-DR-OK.

The current 30-day deadline for applicants to register for assistance ends July 31, 2019. To-date, there are six Mobile Disaster Recovery Centers (MDRCs) in circulation. The last MDRC, located in Woods County, is scheduled for shut down on August 7, 2019.

I recommend approving a two-week extension to the IA registration deadline until August 14, 2019. This will ensure all eligible survivors in the above counties will have had DRCs in their area for the duration of the registration period.

Please contact me if you have questions.

CONCUR:

GEORGE A ROBINSON
Digitally signed by GEORGE A ROBINSON
Date: 2019.07.23 20:40:25 -05'00'

George A. Robinson Date
Regional Administrator
FEMA Region 6

NON-CONCUR:

George A. Robinson Date
Regional Administrator
FEMA Region 6

MARK GOWER
State Director

J. KEVIN STITT
Governor

STATE OF OKLAHOMA
DEPARTMENT OF EMERGENCY MANAGEMENT

July 19, 2019

Mr. Gerard M. Stolar
Federal Coordinating Officer
FEMA-State Joint Field Office
5537 Northwest Expressway
Oklahoma City, OK 73132

Dear Mr. Stolar,

The State of Oklahoma requests FEMA extend the Individual Assistance (IA) registration period for eligible survivors in Alfalfa, Canadian, Cherokee, Craig, Creek, Delaware, Garfield, Kay, Kingfisher, Le Flore, Logan, Mayes, Muskogee, Noble, Nowata, Okmulgee, Osage, Ottawa, Pawnee, Payne, Pottawatomie, Rogers, Sequoyah, Tulsa, Wagoner, Washington, and Woods Counties to August, 30, 2019, in order to assist disaster survivors who have not yet registered for assistance. The current registration period date ends July 31, 2019.

This request, in accordance with 44 CFR 206.112(b), is warranted due to the ongoing weather events, the length of time it took to add-on additional counties (between June 8th and June 20th), and the fact of the areas being extremely isolated rural areas that have suffered disaster impacts. A 30-day extension would ensure all individuals in designated counties are given the opportunity to register for the FEMA Individuals and Households Program.

On June 1, 2019, President Trump authorized a major disaster declaration for Individual Assistance in three counties due to flooding and severe storms. Since then 24 additional counties have been added to the FEMA-DR-4438-OK major declaration for IA. Recently, six counties were added to the disaster on June 20, 2019. More than 4,923 registrations have been received to date from the 27 IA designated counties. Significant numbers of survivors are still registering for assistance every day and the eligibility rate of survivors remains above 40 percent.

From April 30, 2019, through July 16, 2019, Oklahoma has been subjected to repeated bouts of severe weather resulting in extensive power outages, flooding, washed out road ways, disruption of social and civil infrastructure, and many other hazards. The repeated rounds of severe weather, coupled with already existing damages, have impacted survivors' ability to seek assistance through the Individuals and Households Program.

Your favorable consideration is appreciated. Please contact Alden Graybill, State Coordinating Officer, at (405) 250-6063 with any questions.

Sincerely,

Mark Gower, C|CISO, CISSP, CISM, CBCP
Director

U.S. Department of Homeland Security
Region VIII
Denver Federal Center, Building 710
P.O. Box 25267
Denver, CO 80225-0267

FEMA

June 11, 2019

MEMORANDUM FOR: Chris Smith
Director
Individual Assistance Division
DHS/FEMA Headquarters

FROM: James R. Stephenson
Federal Coordinating Officer
FEMA DR-4440-SD

JAMES R STEPHENSON
Digitally signed by JAMES R STEPHENSON
Date: 2019.06.11 12:31:30 -05'00'

SUBJECT: Clean and Removal Assistance (CRA) Approval Notification for FEMA DR-4440-SD

The State of South Dakota has requested that FEMA implement Clean and Removal Assistance for eligible applicants affected by flooding in: Bon Homme, Charles Mix, Hutchinson, Minnehaha, and Yankton counties; the Pine Ridge Reservation to include the counties of Oglala Lakota, Jackson, and Bennett; the Rosebud Reservation to include the counties of Mellette and Todd; and the Cheyenne River Sioux Reservation to include the counties of Dewey and Ziebach.

Due to the devastating impact of the declared event, Clean and Removal Assistance is necessary to assist individuals and households with their disaster-caused needs.

The State of South Dakota understands that the eligibility period for Clean and Removal Assistance will correspond to the standard FEMA registration period of 60 days, and all of the following will apply:

- Clean and Removal Assistance is limited to a fixed amount calculated based on the average cost of cleaning, sanitizing, and removing carpet in the geographic area. The Joint Field Office will coordinate with FEMA Headquarters to determine the appropriate amount;
- Clean and Removal Assistance will be awarded as a one-time payment; and
- Clean and Removal Assistance will be deducted from any subsequent Home Repair award an applicant receives as a result of a change in the home's habitability, and if the home repair payment is greater than the Clean and Removal Assistance amount.

I am approving Clean and Removal Assistance for FEMA DR-4440-South Dakota. Please proceed with the necessary actions to implement Clean and Removal Assistance. If you have any questions or need any additional information, please contact me.

cc: James R. Stephenson Federal Coordinating Officer, FEMA-DR-4440-SD
Susan Pederson, Individual Assistance Branch Director, FEMA-DR-4440-SD
Brannen Ulrick, Individual Assistance Branch Chief, FEMA Region VIII
Keith Turi, Assistant Administrator, Recovery Directorate
Monte LeMaire, Branch Chief, Individuals and Households Program Delivery Branch, Individual Assistance Division

Attachment: State Request Letter dated June 10, 2019

U.S. Department of Homeland Security
Emergency Management Agency
Joint Field Office
John W Bricker Federal Building
200 N High Street
Columbus, OH 43215

FEMA

MEMORANDUM FOR: Christopher Smith
Director
Individual Assistance Division
DHS/FEMA Headquarters

FROM: James K. Joseph 9/16/19
Regional Administrator
FEMA Region V

SUBJECT: Rental Assistance Rate Increase for FEMA-DR-4447-OH

This memo is to inform you that I concur with the decision of the Federal Coordinating Officer (FCO) Steve Johnson to establish a 125% increase above the published U.S. Department of Housing and Urban Development (HUD) Fair Market Rental (FMR) for Montgomery County, Ohio. The increase is necessary due to a large percentage of affordable housing in the Dayton area rendered unlivable because of the Memorial Day weekend storms, adversely impacting eligible applicants' ability to obtain rental resources.

The Joint Field Office (JFO) is currently doing outreach to applicants displaced by the storms. Of the more than 1,100 displaced survivors already contacted, 69% stated that locating "affordable" housing was a barrier to their recovery. Of those 1,100 survivors, 62% are still living with friends and family eight weeks after the storms. Available one-bedroom units reported rents ranging from $599 for a one-bedroom (FMR) to $970. Two-bedroom apartments ranged in price from $788 (FMR) to $1020, and three bedroom units ranged from $1053 (FMR) to $1315. An increase in the FMR will allow applicants who meet rental qualifications to obtain longer-term, more stable housing.

Based on the results of the FEMA FMR calculator and JFO research I am in concurrence of the increase in the Rental Assistance rate to 125 % of the FMR that was authorized by the FCO for Montgomery County for FEMA-DR-4447-OH.

If you have any additional questions, please contact me.

cc: Steve Johnson, Federal Coordinating Officer, FEMA-DR-4447-OH
Susan Jensen, Individual Assistance Branch Director, FEMA-DR-4447-OH
Jason Gamble, Individual Assistance Branch Chief, Region V
Mark Millican, Assistant Administrator, Recovery Directorate
Monty Le Maire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division

Attachment: FEMA FMR Calculator

U.S. Department of Homeland Security
536 S. Clark St. 6th Floor
Chicago, IL 60605

FEMA

MEMORANDUM

TO: Christopher Smith, Individual Assistance Division Director

THROUGH: James K. Joseph, Regional Administrator

FROM: John Boyle, Deputy Federal Coordinating Officer JOHN F BOYLE Digitally signed by JOHN F BOYLE Date: 2019.08.06 15:02:38 -04'00'

DATE: August 6, 2019

SUBJECT: Request for Individual Assistance Program Add-on to FEMA-4447-DR-OH

The previously announced suspense for 4447-DR-OH applicants for FEMA Individuals and Household program assistance was August 19, 2019 (44 CFR 206.110). Within Ohio, the Memorial Day tornadoes and other follow-on storm systems, as well as another more recent tragedy, has impacted Ohio residents and their ability to register for federal assistance. FEMA's intent is to ensure every individual affected by this disaster has the time they need to register for federal assistance. We are extending the application period by an additional two weeks.

The new suspense to register for FEMA Individual Assistance is **Monday, September 3, 2019**.

Survivors of the May 27, 2019 - May 29, 2019 Major Disaster Declaration (dated June 18, 2019) disaster now have until September 3, 2019, to apply for federal assistance by calling our FEMA Helpline at 800-621-3362 or by registering online at www.disasterassistance.gov. This deadline extension applies to the Auglaize, Darke, Greene, Hocking, Mahoning, Mercer, Miami, Montgomery, Muskingum, Perry, Pickaway counties affected by Severe Storms, Straight-line Winds, Tornadoes, Flooding, Landslides, and Mudslide (DR-4447).

U.S. Department of Homeland Security
Federal Emergency Management Agency
FEMA Joint Field Office
FEMA-4451-DR-MO
2401 East McCarty Street
Jefferson City, MO 65101

FEMA

August 9, 2019

Ron Walker
Governor's Authorized Representative
2302 Militia Dr.
Jefferson City, MO 65101

Re: Clean and Removal Assistance
FEMA-4451-DR-MO

Dear Mr. Walker:

This letter is in response to your August 6, 2019, request for authorization of Federal Emergency Management Agency (FEMA) Clean and Removal Assistance (CRA) under the Other Needs Assistance (ONA) provision of the Individuals and Households Program (IHP), for DR-4451-MO.

Based on my staff's recommendation, and with concurrence of the FEMA Region VII Regional Administrator (RA), I am pleased to inform you your request for CRA has been approved for all counties designated for Individual Assistance (IA) in FEMA-4451-DR-MO, in the amount of $750 per eligible household.

As indicated in the Individual Assistance Program and Policy Guide (IAPPG):

"FEMA may provide a limited amount of financial assistance to individuals and households with disaster-caused real property damage who do not qualify for Home Repair Assistance because the damage did not render the home uninhabitable. CRA is intended to ensure contamination from floodwaters is addressed in a timely manner to prevent additional losses and potential health and safety concerns. Individual property owners will be responsible for performing or contracting for services to remove contaminants and disinfect surface areas of their homes that have been affected by floodwater".

The eligibility period for CRA will correspond with the standard FEMA registration period of 60 days, but will not include extension periods unless specifically authorized by the RA.

In addition to meeting general conditions of eligibility, applicants must meet the following conditions in order to receive CRA:

- The pre-disaster primary residence is located in an area designated for Individual Assistance under DR-4451-MO.
- The applicant has at least one real property line item recorded during inspection as flood-damaged.
- The pre-disaster primary residence is not covered by insurance, including flood or mobile home insurance, at the time of the disaster.
- The applicant receives a denial letter from FEMA indicating the disaster-damaged primary residence was safe to occupy.

Limitations and Exclusions:

- CRA is limited to a fixed amount calculated based on the average cost of cleaning, sanitizing, and removing carpet in the geographic area.
- CRA will be awarded as a one-time payment; and
- CRA will be deducted from any subsequent Home Repair award an applicant receives as a result of a change in the home's habitability, and if the home repair payment is greater than the CRA amount.

If you or a member of your staff have any questions regarding this letter, please contact Tracy Aupperlee, Individual Assistance Branch Director, at (816) 810-8196.

Sincerely,

KEVIN A WALLACE SR.

Digitally signed by KEVIN A WALLACE SR.
Date: 2019.08.09 14:28:29 -05'00'

Kevin Wallace, Sr.
Deputy Federal Coordinating Officer
FEMA-4451-DR-MO

cc: Paul Taylor, Regional Administrator, FEMA Region VII
Heather Smith, Recovery Division Director, FEMA Region VII
Candy Newman, Individual Assistance Branch Chief, FEMA Region VII
Tracy Aupperlee, Individual Assistance Branch Director, FEMA-4451-DR-MO
Chris Smith, Individual Assistance Division Director, FEMA Headquarters
Monty LeMaire, Individuals and Households Program Section Chief, FEMA HQ

U.S. Department of Homeland Security
Joint Field Office (JFO)
FEMA 4440/4463/4467/4469-DR-SD
FEMA 4448-DR-OST
3400 West Empire Place
Sioux Falls, SD 57106

FEMA

November 19, 2019

MEMORANDUM FOR: Chris Smith
Director
Individual Assistance Division
DHS/FEMA Headquarters

FROM: James R. Stephenson
Federal Coordinating Officer
FEMA DR-4469-SD

JAMES R STEPHENSON
Digitally signed by JAMES R STEPHENSON
Date: 2019.11.19 11:05:59 -06'00'

SUBJECT: Clean and Removal Assistance (CRA) Approval Notification for FEMA DR-4469-SD

The State of South Dakota has requested that FEMA implement Clean and Removal Assistance for Eligible applicants affected by flooding in: Brookings, Charles Mix, Davison, Hanson, Hutchinson, Lake, Lincoln, McCook, Minnehaha, Moody, and Yankton Counties, and the Flandreau Santee Indian Reservation and the Yankton Indian Reservation.

Due to the devastating impact of the declared event, Clean and Removal Assistance is necessary to Assist individuals and households with their disaster-caused needs.

The State of South Dakota understands that the eligibility period for Clean and Removal Assistance will correspond to the standard FEMA registration period of 60 days, and all of the following will apply:

- Clean and Removal Assistance is limited to a fixed amount calculated based on the average cost of cleaning, sanitizing, and removing carpet in the geographic area. The Joint field Office will coordinate with FEMA Headquarters to determine the appropriate amount;
- Clean and Removal Assistance will be awarded as a one-time payment; and
- Clean and Removal Assistance will be deducted from any subsequent Home Repair award an applicant receives as a result of a change in the home's habitability, and if the home repair payment is greater than the Clean and Removal Assistance amount.

I am approving Clean and Removal Assistance for FEMA DR-4469-SD. Please proceed with the necessary actions to implement Clean and Removal Assistance. If you have any questions or need any additional information, please contact me.

Cc: James R. Stephenson, Federal Coordinating Officer, FEMA-DR-4469-SD
Susan Pederson, Individual Assistance Branch Director, FEMA-DR-4469-SD
Brannen Ulrick, Individual Assistance Branch Chief, FEMA Region VIII
Keith Turi, Assistant Administrator, Recovery Directorate
Monte LeMaire, Branch Chief, Individuals and Household Program Delievery Branch, Individual Assistance Division

Attachment: State Request Letter

U.S. Department of Homeland Security
Joint Field Office (JFO)
FEMA 4440/4463/4467/4469-DR-SD
FEMA 4448-DR-OST
3400 West Empire Place
Sioux Falls, SD 57106

FEMA

January 9, 2020

MEMORANDUM FOR: Chris Smith
Director
Individual Assistance Division
DHS/FEMA Headquarters

THROUGH: Lee K. dePalo
Regional Administrator
FEMA Region VIII

LEE K DEPALO Digitally signed by LEE K DEPALO Date: 2020.01.10 08:57:19 -07'00'

FROM: James R. Stephenson
Federal Coordinating Officer
FEMA-4469-DR-SD

JAMES R STEPHENSON Digitally signed by JAMES R STEPHENSON Date: 2020.01.09 14:21:55 -06'00'

SUBJECT: Registration Period Initial Extension
FEMA-DR-4469-SD

The state of South Dakota has requested an extension to the registration period for FEMA-DR-4469-SD. The current registration period ends on January 17, 2020.

As you know, on January 8, 2020, the State of South Dakota's appeal to add the county of Aurora for Individual Assistance was approved. The requested two-week extension period to the Individual Assistance application period is to provide survivors ample time to register for the assistance they need.

The information submitted by the state satisfies the requirements for extending the registration period in order to collect registrations from disaster survivors who have not yet been able to register due to the conditions described above.

Based on the justification provided by the state, I have approved a 14-day extension to the registration period for FEMA-DR-4469-SD. The registration period will now end on January 31, 2020. This includes all areas designated for Individual Assistance.

If you have any additional questions, please contact me at (303) 358-6785.

Attachment: Original request from state of South Dakota

cc: Lee K. dePalo, Regional Administrator, Region VIII
Brannen Ulrick, Individual Assistance Branch Chief, Region VIII
Susan Pederson, Individual Assistance Branch Director, DR-4469-SD
Monty LeMaire, Branch Chief, Individuals and Households Program Delivery Branch, Individual Assistance Division

U.S. Department of Homeland Security
Federal Emergency Management Agency
FEMA-4473-DR-PR
Joint Field Office (JFO)
2050 Ponce By Pass
Space D-1
Ponce, Puerto Rico 00716

FEMA

MAR 1 3 2020

Mr. Ottmar J. Chavez Pinero
Governor's Authorized Representative
Government of Puerto Rico
P.O. Box 9023228
San Juan, PR 00902-3228

Re: State's Request Letter for 8 Municipalities for the IA Program and Request to Extend the IA Registration Period Deadline dated March 4, 2020

Dear Mr. Chavez Pinero:

This letter is in response to your request, dated March 4, 2020, for FEMA to extend the Individuals and Households Program (IHP) registration period for FEMA-4473-DR-PR.

Section 408 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, "Stafford Act", as implemented by 44 C.F.R. Part 206, Subpart D, 206.112, (b.) allows the Regional Administrator or his/her designee to extend the registration period when the State requests more time to collect registrations from the affected population.

On February 5, 2020, the municipalities of Arecibo, Ciales, Hormigueros, Juana Díaz, Las Marías, Mayagüez, Morovis, Orocovis, and Sabana Grande were added to Individual Assistance declaration for DR-4473-PR; on March 10, 2020 the municipalities of Aguada, Añasco, Barceloneta, Coamo, Moca, Naranjito, Salinas, and Santa Isabel were also added to the Individual Assistance declaration.

Based on the justification provided, I am authorizing a 30-day extension to the registration period for FEMA-DR-4473-PR. The new registration period will end on April 15, 2020 for all areas designated for Individual Assistance.

If you have any questions, please contact Idamis De Jesús Silva, Individual Assistance Branch Director, at idamis.de-jesus-silva@fema.dhs.gov.

Sincerely,

Alex Amparo
Federal Coordinating Officer
FEMA-4473-DR-PR

Attachment: State's Request Letter for 8 Municipalities for the IA Program and Request to Extend the IA Registration Period Deadline dated March 4, 2020

cc: Thomas Von Essen, Regional Administrator, FEMA Region 2
Claude Hyacinthe, Recovery Division Director, FEMA Region 2
Christopher B. Smith, Director Individual Assistance, FEMA HQs
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division
Julie Blanciak, Individual Assistance Branch Chief, Region 2
Idamis DeJesus Silva, Individual Assistance Branch Director, DR-4473-PR

GOVERNMENT OF PUERTO RICO
Central Recovery and Reconstruction Office of Puerto Rico

Hon. Wanda Vázquez
Governor

Ottmar Chavez.
Governor's Authorized Representative

March 4, 2020

Administrator Peter T. Gaynor
Federal Emergency Management Agency (FEMA)
500 C St SW
Washington, DC 20024

Through: Mr. Alex Amparo, Federal Coordinating Officer
Federal Emergency Management Agency
GFR Media Building
65 Sector de Buchanan Parque Industrial
Guaynabo, PR 00908-8024

Major Disaster Declaration Add-Ons (8) Eight Municipalities and Extension Registration Period

Dear Mr. Gaynor:

On January 8, 2020 the Puerto Rico Emergency and Management Bureau (PREMB) along with FEMA began performing the joint Preliminary Damage Assessments (PDA's) in the municipalities of the south and southwest of the island. On January 16, 2020, President Trump declared a major disaster to Puerto Rico, granting Individual Assistance to the municipalities of Guánica, Guayanilla, Peñuelas, Ponce, Utuado and Yauco.

The Government of Puerto Rico and FEMA have diligently continued performing PDA's throughout the southern and western municipalities of the island. As of February 12, 2020 the results of these PDA's are as follows:

Municipality	Status	Amount of Teams	Cumulative Data as of Feb/12/2020				Municipal Totals
			Destroyed	Major	Minor	Affected	
Adjuntas	Complete		6	39	57	30	132
Aguada	**Complete**		4	66	53	23	146
Añasco	Complete		0	36	31	82	149
Arecibo	Complete		2	39	40	35	116
Barceloneta	**Complete**		9	43	46	40	138
Cabo Rojo	Complete		0	36	42	48	126
Ciales	Complete		11	30	55	60	156
Coamo	**Complete**		2	12	31	8	53
Corozal	Complete		5	24	25	30	84

Guanica	Complete		25	34	37	23	**119**
Guayanilla	Complete		18	32	25	75	**150**
Hormigueros	Complete		8	19	42	53	**122**
Jayuya	Complete		2	32	65	74	**173**
Juana Diaz	Complete		4	80	65	66	**215**
Lajas	Complete		1	49	92	110	**252**
Lares	Complete		10	31	47	37	**125**
Las Marias	Complete		8	74	127	111	**320**
Maricao	Complete		7	37	25	18	**87**
Mayaguez	Complete		3	82	80	99	**264**
Moca	**Complete**		10	37	49	51	**147**
Morovis	Complete		9	35	42	23	**109**
Naranjito	**Complete**		9	36	39	32	**116**
Orocovis	Complete		3	44	65	66	**178**
Peñuelas	Complete		2	5	2	25	**34**
Ponce	Complete		4	26	19	8	**57**
Sabana Grande	Complete		6	53	86	69	**214**
Salinas	**Complete**		2	19	7	11	**39**
San Germán	Complete		0	70	39	7	**116**
San Sebastián	Complete		1	16	45	24	**86**
Santa Isabel	**Complete**		3	15	17	17	**52**
Utuado	Complete		9	5	1	4	**19**
Villalba	Complete		2	8	6	7	**23**
Yauco	Complete		20	59	16	26	**121**
Total for Complete and Ongoing Municipalities			**205**	**1223**	**1418**	**1392**	**4238**

At this time, I would like to request Individual Assistance for the municipalities of Aguada, Añasco, Barceloneta, Coamo, Moca, Naranjito, Salinas and Santa Isabel.

In addition, we want to request the extension of the registration period (for all municipalities) for an additional 60 days to the March 16, 2020, deadline. The State requests more time to collect registrations from the affected population (new 8 municipalities) as referred in the CFR 44 Subpart D, Section 206.112, § 206.112 Registration period). The new deadline would be May 16, 2020; (60) sixty days after the declaration of the disaster.

In this way we want to reiterate the immense commitment between Puerto Rico, FEMA and other agencies, which together, are working to achieve the desired recovery of the inhabitants of Puerto Rico.

COR3

The Commonwealth of Puerto Rico appreciates FEMA's response and recovery assistance to date following the series of unprecedented earthquakes that have occurred since December 28, 2019. Given the extraordinary needs of individuals and households impacted by these events, we appreciate your consideration of this request.

Should you need additional information or have any questions, please feel free to contact me at your convenience. Thank you in advance for your consideration.

Cordially,

Ottmar J. Chavez Piñero
Executive Director
Governor's Authorized Representative GAR)

C.c. Mr. Thomas Von Essen
Regional Administrator
FEMA Region II

U.S. Department of Homeland Security
Washington, DC 20472

FEMA

FEB 12 2020

MEMORANDUM FOR: Alex Amparo
Federal Coordinating Officer
FEMA-DR-4473-PR

FROM: Keith Turi
Assistant Administrator
Recovery Directorate

SUBJECT: Rental Assistance Rate Increase for DR-4473-PR

This memorandum responds to your notification, dated January 29, 2020, to increase the Rental Assistance rate above the published U.S. Department of Housing and Urban Development Fair Market Rent (FMR) for financial temporary housing assistance for all 78 municipalities in Puerto Rico for DR-4473-PR. Under the *Individual Assistance Program and Policy Guide* (IAPPG), only the Assistant Administrator for Recovery may authorize Puerto Rico-wide Rental Assistance rate increases.[1]

Based on the justification provided by the Joint Field Office, the Rental Assistance rate increase FMR Calculator clearly indicates an increase to at least 125% of the FMR is warranted in all declared counties. The justification also demonstrates a shortage of available rental resources across Puerto Rico, not merely in the declared counties. FEMA Individual Assistance application data as of January 28, 2020, shows a total of 9,275 households remain in either emergency sheltering or short-term housing options that are not their pre-disaster primary residence. FEMA's Housing Resources Contractor has only identified 228 rental resources across the entirety of Puerto Rico, with the majority of those units priced above the FMR.

Based on this data, FEMA anticipates applicants will need to relocate outside of the 25 declared municipalities. While the available housing stock is insufficient to meet the needs of the displaced population for an extended period, increasing FEMA's Rental Assistance rate will slightly increase the number of affordable rental units for FEMA applicants.

Based on the justification submitted and the results of the FEMA FMR Calculator, I am authorizing an increase in the Rental Assistance rate to 200% of the FMR for all 78 municipalities in Puerto Rico.

The increase to the published FMR will be implemented upon Continued Rental Assistance in accordance with the IAPPG. FEMA does not retroactively award increased initial Rental Assistance.

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[1] See *Individual Assistance Program and Policy Guide*, F 104-009-03, March 2019, Chapter 3, Individuals and Households Program at page 85.

U.S. Department of Homeland Security
Washington, DC 20472

If you have any additional questions, please contact Monty LeMaire, Individuals and Households Program Service Delivery Branch Chief.

Attachment: FMR Notification dated January 29, 2020

cc: Thomas Von Essen, Regional Administrator, FEMA Region 2
Julie Blanciak, Individual Assistance Branch Chief, FEMA Region 2
Christopher B. Smith, Individual Assistance Division Director
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division

U.S. Department of Homeland Security
Region II
Jacob K. Javits Federal Office Building
26 Federal Plaza, Room 1807
New York, New York 10278

FEMA

March 20, 2020

Mr. Ottmar J. Chavez
Governor's Authorized Representative
Central Recovery and Reconstruction Office, COR3
P.O. Box 195014
San Juan, P.R. 0918-5014

RE: Request for 14-Day Extension for all FEMA Deadlines

Dear Mr. Chavez:

This letter is in response to the Central Recovery and Reconstruction Office of Puerto Rico's (Recipient) March 16, 2020 request for a suspension and/or extension of all terms and deadlines for the Recipient and subrecipients under individual assistance (IA), public assistance (PA), and hazard mitigation (HM) programs for 14 days for all open disaster operations. In its request, the Recipient identifies extenuating circumstances associated with the Commonwealth of Puerto Rico's response to the COVID-19 pandemic, including the closure of all non-essential governmental operations. After review, the Federal Emergency Management Agency (FEMA) partially approves the request to extend all deadlines associated with open disaster operations for 14 days, except for the 60-day deadline to submit an appeal, as the Regional Administrator does not have the authority to extend that deadline.[1] Please note that FEMA Headquarters is currently evaluating the possibility of extending appeal submittal deadlines, but as of now, there have been no extensions granted.

While FEMA approves a 14-day extension as requested, given the national emergency related to COVID-19 response, the impacts of the pandemic, the unprecedented nature of the national emergency declaration, the extraordinary mitigation measures directed by the Governor's Executive Order, and the fact that emergency management partners are actively engaged in life saving operations, limiting this approval to 14 days is not practical. It would also not be appropriate to require the territory to formally request an extension at multiple 14-day intervals at every turn of events during the COVID-19 response. To that end, both Regional Administrator and the Federal Disaster Recovery Coordinator (FDRC) agree the best alternative is to consider this extension continuously valid for the duration of the Public Health Emergency, as declared by the Secretary of the U.S. Department of Health and Human Services, unless an earlier deadline is deemed appropriate by the Regional Administrator. At that time, the Regional Administrator and the FDRC, pursuant to 44 CFR 206.202(f)(2) may extend the time limitations.

With the above noted exception to deadlines for appeal submittals, this extension applies to all deadlines for the Recipient and all subrecipients under the IA, PA, and HM programs for disaster operations in the Commonwealth of Puerto Rico that are open and active as of March 16, 2020, including FEMA-4339-DR-PR, FEMA-4336-DR-PR, FEMA-4473-DR-PR, FEMA-1798-DR-PR, FEMA-4017-DR-PR, FEMA-4004-DR-PR, and FEMA-1946-DR-PR.

[1] 44 C.F.R. § 206.206(c)(1)

This extension applies to, but is not limited to, closeouts, project worksheet periods of performance, requests for information, and site inspections.

Should you have any questions or require further assistance, please contact Ms. Claude Hyacinthe, Recovery Division Director, at 202-805-9419 or Claude.Hyacinthe@fema.dhs.gov, or Ms. Dale McShine, Grant Division Director, at 347-325-3054 or Dale.McShine@fema.dhs.gov.

Sincerely,

for Thomas Von Essen
Regional Administrator
FEMA Region II

Alex Amparo
Federal Disaster Recovery Coordinator
FEMA-4339-DR-PR, FEMA-4473-DR-PR

U.S. Department of Homeland Security
Washington, DC 20472

FEMA

March 29, 2021

MEMORANDUM FOR: Christopher B. Smith
Director
Individual Assistance Division

FROM: Monty LeMaire
Chief
Individuals and Households Program Delivery Branch

Monty J LeMaire Digitally signed by Monty J LeMaire
Date: 2021.03.29 07:56:13 -05'00'

SUBJECT: Registration Period for Rincón Municipality
(FEMA-DR-4473-Puerto Rico)

The purpose of this memorandum is to detail the registration period for the municipality of Rincón, which was designated for Individual Assistance (IA) for DR-4473-Puerto Rico on March 25, 2021.

Background
On January 16, 2020, the President declared a major disaster for the Commonwealth of Puerto Rico for damage caused by earthquakes beginning on December 28, 2019 and continuing. On February 27, 2020, an amendment was issued to the disaster declaration to close the incident period effective February 4, 2020. On May 11, 2020, the incident period was reopened to include damage from the earthquakes that occurred after February 4, 2020, including the May 2, 2020 earthquake and aftershocks. On September 10, 2020, an amendment was issued to the declaration to close the incident period effective July 3, 2020, the date of the last aftershock above magnitude 5.0.

On December 10, 2020, the Commonwealth requested the addition of Rincón to DR-4473-PR. The president approved the addition of the municipality of Rincón on March 25, 2021.

The registration period for DR-4473-Puerto Rico ended July 2, 2020. The late registration period began July 3, 2020 and closed on September 1, 2020. With the designation of Rincón for IA, FEMA must provide survivors in Rincón with the opportunity to apply for assistance. However, FEMA's system of record, the National Emergency Management Information System (NEMIS), does not permit reopening the registration period for one municipality, without reopening the period for all declared municipalities.

In order to accept and process applications from Rincón without re-opening NEMIS to registrations in all declared municipalities, NEMIS will designate all additional applications received under FEMA-DR-4473-PR as occurring in the late registration period in NEMIS. Applicants from other municipalities who apply during this period will receive a denial and their

applications will not be reviewed. Rincón's residents may apply normally during the period of March 25, 2021 through May 24, 2021. While NEMIS will designate these applications as "late," FEMA will process them normally and will not require justification for the "late application." This will be the "registration period" for Rincón for the purpose of all FEMA regulations and policies. Beginning May 25, 2021, Rincón's applicants will be considered late and will be required to provide suitable documentation justifying their late registration, per 44 C.F.R. §206.112(c). The period of assistance for this disaster ends on July 16, 2021.

Recommendation

In coordination with the Region and the Joint Field Office, the Individuals and Households Program Delivery Branch recommends allowing Rincón's applicants to apply from March 25, 2021 through May 24, 2021. Applications received from this municipality during that period are not late and therefore will not require justification for the late submission. In order to ensure that Rincón's residents have an opportunity to apply, late applications will continue to be accepted only from Rincón applicants after May 24, 2021. Late applications from areas other than Rincón will continue to be denied if they are received after September 1, 2020, as this represents the end of the late registration period for all other municipalities.

Approve/date CHRISTOPHER B SMITH Digitally signed by CHRISTOPHER B SMITH Date: 2021.03.30 11:58:46 -04'00' Disapprove/date _____

Modify/date _____ Needs Discussion/date _____

cc: Ina Chan, Individual Assistance Branch Director, FEMA Region II
Julie Blanciak, Individual Assistance Branch Chief, FEMA Region II
Idamis DeJesus Silva, Individual Assistance Caribbean Area Office, Region II

U.S. Department of Homeland Security
Federal Emergency Management Agency
FEMA-4473-DR-PR
Joint Field Office (JFO)
2050 Ponce By Pass
Space D-1
Ponce, Puerto Rico 00716k

FEMA

April 6, 2020

MEMORANDUM FOR: Christopher B. Smith
Director
Individual Assistance Division
DHS/FEMA Headquarters

THROUGH: Thomas Von Essen
Regional Administrator
FEMA Region II

THOMAS J VON ESSEN Digitally signed by THOMAS J VON ESSEN Date: 2020.04.07 17:44:46 -04'00'

FROM: Alex Amparo
Federal Coordinating Officer
FEMA-4473-DR-PR

ALEXIS AMPARO Digitally signed by ALEXIS AMPARO Date: 2020.04.06 14:55:43 -04'00'

SUBJECT: Registration Period Second Extension Request for FEMA-4473-DR-PR

The Government of Puerto requested an extension to the registration period for FEMA-4473-DR-PR on March 4, 2020. The current registration period ends on April 15, 2020.

On March 13, 2020, the Federal Coordinating Officer (FCO) through delegated authority approved a 30-day extension to the registration period for FEMA-4473-DR-PR to allow additional time for the survivors of the municipalities of Arecibo, Ciales, Hormigueros Juana Diaz, Las Marias, Mayaguez, Morovis, Orocovis, Sabana Grande, Aguada, Añasco, Barceloneta, Coamo, Moca, Naranjito, Salinas and Santa Isabel to register for assistance.

On March 14, 2020, all field operations were suspended to include Disaster Recovery Centers (DRC) and Disaster Survivors Assistance (DSA) teams. DRCs and DSA teams are vital in providing FEMA presence in the impacted municipalities. The DRCs have supported in the completion of 5,123 new registrations since the first center opened for service. The day of the suspension, of all field operational DRCs reported a cumulative of 310 registrations completed. DSAs mission to establish a timely presence primarily focused on identifying critical and emerging issues which potentially hinder the community's ability to recover and address the needs of disproportionately impacted population and disaster survivors. Also, DSA teams were not able to continue visiting impacted communities to provide accessible, in-person case-specific information and referrals to whole community partners. DSA supported community events to ensure survivors, to include persons with disabilities and access and functional needs, were registering for federal assistance.

In our effort to continue to support disaster survivors to register in the current environment, the DSA teams supported in a review effort to approximately 1,676 potential applicants who had not registered and the same was cross-referenced with the National Emergency Management Information System (NEMIS). Once validation was completed a callout was performed to 600 potential applicants with approximately 125

registrations successfully completed. There presently remains applicants to contact to ensure they are provided the opportunity to register for federal assistance. Extending the registration period is in the best interest of the public and provides the opportunity to collect registrations from disaster survivors who have not yet been able to register due to the conditions described above.

Based on the justification provided by the Government of Puerto Rico, I recommend the extension to the registration period for FEMA-4473-DR-PR until May 31, 2020 for all areas designated for Individual Assistance.

If you have any questions, please contact Idamis De Jesús Silva, Individual Assistance Branch Director, at idamis.de-jesus-silva@fema.dhs.gov.

CHRISTOPHER B SMITH Digitally signed by CHRISTOPHER B SMITH
Date: 2020.04.13 17:44:18 -04'00'

CONCUR
Christopher B. Smith
Director
Individual Assistance Division
DHS/FEMA Headquarters

NON-CONCUR
Christopher B. Smith
Director
Individual Assistance Division
DHS/FEMA Headquarters

Attachment: Registration Counts since March 16, 2020 RI Extension
Registration Intake & Inspection Projections
State's Request Letter dated March 4, 2020

cc: Thomas Von Essen, Regional Administrator, FEMA Region II
Claude Hyacinthe, Recovery Division Director, FEMA Region II
Christopher B. Smith, Director Individual Assistance, FEMA HQs
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division
Julie Blanciak, Individual Assistance Branch Chief, Region II
Idamis DeJesus Silva, Individual Assistance Branch Director, DR-4473-PR

U.S. Department of Homeland Security
Washington, DC 20472

FEMA

MEMORANDUM FOR: Alex Amparo
Federal Coordinating Officer
FEMA-DR-4473-PR

FROM: Christopher B. Smith
Director
Individual Assistance Division

CHRISTOPHER B SMITH
Digitally signed by CHRISTOPHER B SMITH
Date: 2020.06.02 15:53:31 -04'00'

SUBJECT: Registration Period Third Extension Request for FEMA-DR-4473-PR

This memorandum is in response to the Government of Puerto Rico's request, dated May 28, 2020, for an additional 30-day extension to the registration period for DR-4473-PR. The current registration period ended on May 31, 2020.

On May 2, 2020, a 5.4 magnitude earthquake followed by several aftershocks occurred. According to the Government of Puerto Rico's request, the ongoing seismic activity has caused additional damage and affected additional households that had not been previously affected and registered with FEMA. In particular, the elderly and people with disabilities and physical limitations have not had the same amount of time to react and obtain the necessary documents required to register with FEMA.

According to FEMA Recovery Analytics Division (RAD)'s analysis, an additional 1,143 new registrations have been processed since the last registration extension of April 15, 2020. Also, since the May 2, 2020 magnitude aftershock, 689 new registrations have been received. Moreover, on May 11, 2020, FEMA amended the disaster declaration and reopened the incident period for DR-4473-PR due to the additional seismic activity.

The information submitted by the Government of Puerto Rico satisfies the requirements for extending the registration period in order to collect registrations from disaster survivors who have not yet been able to register due to the conditions described above. Based on the justification provided by the Government of Puerto Rico and additional disaster-related analysis, I am authorizing an additional extension to the registration period for DR-4473-PR. The registration period will now end on July 2, 2020. This includes all areas designated for Individual Assistance.

If you have any questions or need any additional information, please contact Monty LeMaire, Branch Chief, Individuals and Households Program Delivery Branch, Individual Assistance Division.

cc: Thomas Von Essen, Regional Administrator, FEMA Region II
Claude Hyacinthe, Recovery Division Director, FEMA Region II
Ina Chan, Individual Assistance Branch Director, FEMA Region II
Julie Blanciak, Individual Assistance Branch Chief, FEMA Region II
Idamis DeJesus Silva, Individual Assistance Caribbean Area Office, Region II
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division

U.S. Department of Homeland Security
Washington, DC 20472

FEMA

MEMORANDUM FOR: Alex Amparo
Federal Coordinating Officer
FEMA-DR-4473-PR

FROM: Christopher B. Smith
Individual Assistance Division Director
Recovery Directorate

CHRISTOPHER B SMITH
Digitally signed by CHRISTOPHER B SMITH
Date: 2020.02.24 08:54:26 -05'00'

SUBJECT: Transitional Sheltering Assistance Add-on Municipalities Request for FEMA-DR-4473-PR

This memorandum serves as an acknowledgement and response to the Government of Puerto Rico's request to designate Transitional Sheltering Assistance (TSA) for additional municipalities under declared major disaster FEMA-DR-4473-PR. The requested municipalities are Adjuntas, Jayuya, Juana Diaz, Lajas, Las Marias, Mayaguez, Sabana Grande and Utuado. The request is approved.

This assistance is authorized under Section 403, 42 U.S.C. 5170b, Essential Assistance, and Section 408, 42 U.S.C. 5174, Federal Assistance to Individuals and Households the Robert T. Stafford Disaster Relief and Emergency Assistance Act (Stafford Act), as amended. The relevant TSA policy is recorded in the FEMA Recovery Policy FP 104-009-16, Transitional Sheltering Assistance for the FEMA-DR-4473-PR (Earthquakes) (Interim), and supersedes Chapter 2 of the Individual Assistance Program and Policy Guide, dated March 1, 2019.

Following a presidentially declared disaster, TSA is intended to provide short-term sheltering to any eligible registrant who: was displaced from identified areas and who has a pre-disaster primary residence that is inaccessible or uninhabitable, or cannot utilize FEMA rental assistance.

The factors substantiating the request include continued impacts from earthquakes and aftershocks in many communities within these municipalities; data demonstrating that rental resources do not meet disaster caused needs; and damage to multiple facilities traditionally used as congregate shelters, limiting the ability to conduct shelter operations within the impacted areas.

The Government of Puerto Rico requested TSA for the current 90-day initial period of assistance with up to 150% of the currently approved applicable Department of Defense lodging rate by locality to include taxes.

The Government of Puerto Rico acknowledges that TSA is a short-term sheltering solution until other temporary or permanent housing solutions can be implemented. TSA is a type of emergency protective measure, authorized under Section 403 of the Stafford Act. Therefore costs are shared between the Federal government and the Government of Puerto Rico. The Government of Puerto Rico's cost share will not exceed 25 percent.

FEMA approves the request as follows:

- TSA will be available to any eligible registrant with a pre-disaster residence located in the municipalities of Adjuntas, Jayuya, Juana Diaz, Lajas, Las Marias, Mayaguez, Sabana Grande or Utuado from the date of this memorandum;

- The TSA program is approved for a period of assistance up to 90 days from the date of the major disaster declaration, which was January 16, 2020. Note that the period of assistance for TSA is not the same as the length of time in which an eligible registrant may participate in TSA. The length of time an eligible registrant may participate in TSA will vary based on each registrant's unique circumstances, consistent with the TSA FEMA Recovery Policy FP 104-009-16; and

- The lodging rate for TSA is approved up to 150% of the current approved applicable Department of Defense lodging rate by locality to include taxes.

TSA registrant eligibility is based on the conditions of Initial and / or Continued Eligibility articulated in FEMA Recovery Policy 104-009-16. Eligible registrants will be notified of their initial eligibility and receive seven (7) days' notice prior to their TSA end dates. Continued eligibility for TSA will be based upon a registrant's eligibility, as identified in FEMA Recovery Policy 104-009-16.

The Government of Puerto Rico may request an extension of TSA. The Regional Administrator (RA) may approve an extension of any remaining days of TSA up to the 180-days from the date of declaration. This request must be received by the RA at least 30 days prior to the end of the initial 90-day period of assistance, which ends on May 8, 2020. FEMA will not consider any TSA extensions that will result in a period of assistance that exceed 180 total days, consistent with 44 C.F.R. § 206.204(c).

Please contact Zachary Usher, Branch Chief, at Zachary.Usher@fema.dhs.gov with questions or concerns.

cc: Keith Turi, Assistant Administrator, Recovery Directorate
Thomas Von Essen, Regional Administrator, Region II

U.S. Department of Homeland Security
Federal Emergency Management Agency
FEMA-4473-DR-PR
Joint Field Office (JFO)
2050 Ponce By Pass
Space D-1
Ponce, Puerto Rico 00716

FEMA

April 26, 2020

MEMORANDUM FOR: Thomas Von Essen
Regional Administrator
Region II

FROM: Alex Amparo
Federal Coordinating Officer
FEMA-4473-DR-PR

ALEXIS AMPARO

Digitally signed by ALEXIS AMPARO
Date: 2020.04.26 13:00:32 -04'00'

SUBJECT: Transitional Sheltering Assistance (TSA) Extension Request for FEMA-4473-DR-PR

The purpose of this memorandum is to recommend the approval of the Government of Puerto Rico request to extend the Transitional Sheltering Assistance (TSA) program up to the full 180 days of assistance with the end date of July 14, 2020 as the last day of stay. The Government of Puerto Rico may submit to the Regional Administrator a written request to extend TSA if the initial approval was for less than the maximum 180-day period of assistance from the date of the declaration. This assistance is authorized under Section 403, 42 U.S.C. 5170b, Essential Assistance, and Section 408, 42 U.S.C. 5174, Federal Assistance to Individuals and Households the Robert T. Stafford Disaster Relief and Emergency Assistance Act (Stafford Act), as amended. The relevant TSA policy is recorded in the FEMA Recovery Policy FP 104-009-16, Transitional Sheltering Assistance for the FEMA-4473-DR-PR (Earthquakes) (Interim) and supersedes Chapter 2 of the Individual Assistance Program and Policy Guide, dated March 1, 2019.

On February 2, 2020, FEMA approved TSA for eligible registrants in the approved municipalities commencing on February 8, 2020 and with a termination date of May 8, 2020 in accordance with the Interim Policy 104-009-16. The municipalities of Guánica, Guayanilla, Peñuelas, Ponce, San German, Yauco, Adjuntas, Jayuya, Juana Diaz, Lajas, Las Marias, Mayaguez, Sabana Grande and Utuado were designated as eligible for both, Section 403 of the Stafford Act, Emergency Protective Measures, and Section 408 of the Stafford Act, Individual Assistance under the Individuals and Households Program. FEMA is providing emergency lodging for eligible TSA registrants through direct contracts, limited to specific and approved lodging providers located within Puerto Rico at up to 150% of the established applicable Department of Defense (DoD) lodging rate by locality to include taxes.

As of April 23, 2020, there are 112 eligible TSA applicants in 116 rooms which consists of a population of 235 lodging in direct contracted hotels. Survivors from the impacted municipalities continue to face challenges in returning to their homes or finding adequate rental or other temporary housing resources to transition to a long-term housing solution. Within the eligible TSA applicants there are 60 owners and 52 renters that require additional time to complete their permanent housing plan (PHP). The below chart 1: TSA Permanent Housing Plan provides a breakdown on the count of applicants and their PHP status. Other factors limiting the applicant's inability to identify or secure a long-term housing solution is the current curfew in place for the citizens of Puerto Rico to shelter in place due to the worldwide pandemic. As well, the applicants (owners) from the severely impacted municipalities are waiting for the approval of the Private Property Debris Removal program (PPDR) to ensure destroyed properties are demolished to be able to rebuild and maximize the replacement assistance provided through the Individuals and Households Program (IHP).

Chart 1: TSA Permanent Housing Plan (PHP)

Permanent Housing Plan	Count
Repair Damaged Dwelling	56
New rental unit	41
Return to Damaged Dwelling	10
Reside in DD while completing Repairs	3
Locate another Elderly Home Program 173	1
Purchase a new home	1
Total	**112**

Granting the extension request will allow eligible TSA applicants the necessary time to secure a long-term housing solution and support their recovery from the earthquakes. Unfortunately, applicants from the impacted municipalities have endured the loss from the 2017 hurricanes (Irma and Maria), the earthquakes and now the worldwide pandemic. The TSA extension will also provide emotional stability to the impacted survivors and ensure we are survivor centric.

Should you have any questions or need additional information, please contact Idamis De Jesús Silva, Individual Assistance Branch Director at idamis.de-jesus-silva@fema.dhs.gov.

Thomas Von Essen (CONCUR)
Regional Administrator
FEMA Region II

Thomas Von Essen (NON-CONCUR)
Regional Administrator
FEMA Region II

cc: Christopher B. Smith, Director Individual Assistance, FEMA HQs
Keith Turi, Assistant Administrator, Recovery, FEMA HQs
Zachary Usher, Branch Chief, Mass Care, FEMA HQs
Julie Blanciak, Individual Assistance Branch Chief, FEMA Region II

FEMA

Transitional Sheltering Assistance for FEMA-4473-DR-PR (Earthquakes) (Interim)

FEMA Policy 104-009-16

BACKGROUND

Based on unique circumstances as a result of earthquakes in Puerto Rico, and in alignment with FEMA's Strategic Plan to reduce complexity and simplify recovery, the Recovery Directorate issues this policy on the use of Transitional Sheltering Assistance (TSA) for the earthquakes in Puerto Rico. The Government of Puerto Rico, in a letter dated January 31, 2020, requested a non-congregate sheltering solution utilizing only lodging resources within the Government of Puerto Rico.

PURPOSE

To serve as FEMA's policy on how non-congregate sheltering TSA will be implemented and limited to lodging providers located within the Government of Puerto Rico. In accordance with Chapter 2, Section IX, of the FEMA Policy (FP) 104-009-03, Individual Assistance Program and Policy Guide (IAPPG) (March 2019), this policy applies only to Major Disaster Declaration **FEMA-4473-DR-PR** (Earthquakes).

PRINCIPLES

A. FEMA will procure lodging through direct contracts to approved lodging providers located within the Government of Puerto Rico for eligible TSA registrants.
B. FEMA is responsible for securing rooms for TSA eligible registrants into an approved contracted lodging property.
C. This assistance meets the temporary non-congregate sheltering needs of eligible registrants and their pre-disaster household to alleviate immediate threats to life and property resulting from **FEMA-4473-DR-PR**.
D. This assistance is specifically for individually contracted hotels on the island of Puerto Rico, only.
E. FEMA coordinates with the Government of Puerto Rico to establish initial eligibility utilizing standard TSA criteria, review periods, continued eligibility criteria, and program end date.
F. FEMA provides TSA based on demonstrated need for the assistance, supported by data-informed analysis.

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G. FEMA and the Government of Puerto Rico clearly communicates information about this assistance to registrants in a simple, accessible, and effective manner.
H. The Government of Puerto Rico promotes territorial understanding of the assistance's scope, benefits, limitations, and costs.

REQUIREMENTS

A. AUTHORIZING TSA

FEMA authorizes TSA in necessary circumstances based upon the Government of Puerto Rico's requests for assistance in meeting the emergency needs of survivors in the area of the Presidentially-declared Major Disaster. The Government of Puerto Rico must submit their request to the IA Division Director (IADD) through the Federal Coordinating Officer (FCO), and the Regional Administrator (RA). The request package must include and/or address:

1. TSA Activation Request Letter signed by the Governor or Governor's Authorized Representative which must include;
   a. The specific length of time being requested, not to exceed 180 days from the date of declaration; and
   b. An acknowledgement that the Government of Puerto Rico will provide the relevant non-federal cost share.
2. A signed, fully executed and accepted Resource Request Form (RRF);
   a. The RRF must specify the estimated number of rooms, households and overall costs being requested for this activation.
3. The IA- and PA-designated municipalities requested by the Government Puerto Rico to be designated as eligible for TSA;
4. Demonstrated need for temporary, non-congregate sheltering. One or more of the following factors must be identified as applicable to the current incident:
   a. The need to return facilities serving as congregate shelter(s) to their pre-disaster use (e.g., schools to provide education);
   b. The need to close survivor base camps and reduce the number of non-traditional shelters;
   c. Insufficient shelter capacity to meet the sheltering needs of the eligible displaced population;
   d. Congregate shelter resources are inadequate to support long-term sheltering (e.g., shelter operators and other partners project that they will be unable to sustain congregate shelter operations for more than 30 days);
   e. Availability of lodging providers within the Government of Puerto Rico, as determined by FEMA in coordination with the Government of Puerto Rico. The lodging providers must be assessed by structural engineers to have suitable structural components; or
   f. Available rental resources, as determined by FEMA in coordination with the Government of Puerto Rico, are not sufficient to meet the needs of potentially eligible TSA population based on the conditions of initial eligibility as listed below.

FEMA

5. In collaboration with FEMA field staff, a concurred exit strategy to ensure effective transition from non-congregate sheltering to alternate housing solutions.

When the Government of Puerto Rico's request is submitted to FEMA Headquarters by the RA or FCO, a signed concurrence letter, including the FCO's recommendation and RA concurrence, must be included as part of the TSA request package to the IADD for final review and approval.

FEMA's IADD may approve, deny the request, or request additional information be supplied prior to making a decision.

1. CONDITIONS FOR TSA
   a. Housing Inspections confirm pre-disaster primary residences are uninhabitable;
   b. A lack of available rental resources to meet the needs of the eligible displaced population; and
   c. A sufficient number of lodging providers within the Government of Puerto Rico to temporarily shelter the eligible displaced population:
      i. Lodging providers must verify and/or validate the following:
         1) Full description of facility that indicates they are licensed, registered and in compliance with the Government of Puerto Rico/industry fire safety standards.
         2) Suitable structural components, confirmed by structural engineer assessment, to ensure safe, sanitary and functional lodging.
         3) Completion of System for Award Management as confirmed by DUNS number.
         4) Availability and timeframe of resources to meet the needs of the identified population, to include access and functional needs.
         5) Ability to provide a daily, weekly, and monthly occupancy reports.
         6) Ability to submit invoices on a minimum 30-day billing cycle for TSA room charges.
         7) Agreement that the approved TSA room rate will not exceed the negotiated lodging rate by municipality. FEMA will pay for the agreed upon rate and lodging taxes and fees as specified in the contract with each lodging provider.
2. TSA ACTIVATION and PERIOD OF ASSISTANCE
   a. The IADD may approve TSA for up to 180 days from the date of the disaster declaration.
   b. If the IADD initial approval is less than 180 days from the date of declaration, any extension within the 180-day maximum period of assistance will be considered for approval by the RA, in consultation with the IADD.

FEMA

B. CONDITIONS OF INITIAL REGISTRANT ELIGIBILITY

TSA is authorized for registrants who have a documented need for non-congregate sheltering. The initial period of TSA eligibility is 30 days and is subject to the following registrant eligibility criteria.

To be considered for TSA eligibility, registrants must:

1. Be a U.S. citizen, non-citizen national, or qualified alien;
2. Complete a valid registration with FEMA for federal disaster assistance;
3. Pass identity verification;
4. Have a primary residence located in an area designated for TSA;
5. Not be linked with another valid registration for FEMA assistance;
6. Pass occupancy verification;
7. Not voluntarily withdraw from consideration for disaster assistance;
8. Have a current location recorded in NEMIS of car, place of employment, or mass shelter (inclusive of Church/Place of Worship and tent);
9. Have a FEMA Housing Inspection that verifies as a result of the disaster, the pre-disaster residence is uninhabitable and requires repairs to make the residence habitable;
10. Not be insured for the cause of damage or has insufficient coverage to meet temporary housing needs (e.g., Additional Living Expenses [ALE], Loss of Use coverage); and
11. Be willing to relocate while repairs are being made to their pre-disaster residence.

The FCO shall possess the authority to change an individual registrant's eligibility status on a case-by-case basis. If a change is warranted, the TSA field team, with the FCO's concurrence, will submit written justification and approval through the Regional Administrator to FEMA Headquarters TSA staff, who will then coordinate an update to the registrant's eligibility status.

C. TSA REGISTRANT REQUIREMENTS

The TSA registrant requirements are clear and consistent to ensure effective implementation.

1. TSA-eligible registrants will coordinate with FEMA to secure TSA lodging and to check-in to a specific approved TSA lodging provider.
2. Registrants are required to sign the TSA Terms and Conditions at the time of check-in, acknowledging the responsibilities and criteria identified in the document that may affect continued TSA eligibility. Failure to return the Terms and Conditions to FEMA within seven days will prevent a registrant from remaining eligible for TSA.
3. The registrant and/or co-registrant must be present during check-in to the TSA contracted lodging provider and show a government-issued photo identification or other approved form of identification.

FEMA

4. FEMA will use the standard TSA formula for determining the number of eligible rooms per pre-disaster household members:
   a. A household of four or fewer members will be authorized to occupy one room.
   b. A household of five or more will be authorized for additional rooms, based on a limit of four individuals per room. An adult must be present in each room.
   c. An exception to this rule may be made to provide additional rooms to accommodate people with disabilities or other access and functional needs; however, the request must be made orally or in writing.
5. FEMA will pay for pet fees incorporated into the room rate and taxes up to the contracted room rate not to exceed the negotiated lodging rate by municipality. The registrant will be responsible for any pet-related deposits as they are refundable back to the guest.
6. The registrant will be responsible for incidental costs associated with the lodging and amenities, such as room service, laundry, parking, telephone, or other ancillary services.

D. CONTINUED REGISTRANT ELIGIBILITY

Continued eligibility requirements for TSA are based on a registrant's continued need for sheltering assistance.

Throughout the approved TSA period of assistance, a registrant's continued TSA eligibility will be regularly reviewed, typically every two weeks, beginning 30 days after the initial activation. TSA will end at the next interval for those registrants determined to be no longer eligible.

At any point a registrant may become ineligible for further assistance if any of the below criteria are met. Additional criteria may be identified as disaster circumstances warrant. The criteria:

1. The registrant voluntarily withdrew from consideration for disaster assistance;
2. The registrant has insurance that provides ALE coverage based on the cause of damage verified by the inspection;
3. The registrant does not respond to FEMA outreach efforts after at least three documented contact attempts using multiple and alternative methods of communication;
4. The registrant is included with another household already receiving FEMA Individuals and Households Program assistance;
5. The registrant is determined eligible for Continued Temporary Housing Assistance;
6. The registrant chooses not to accept emergency sheltering from another provider;
7. The registrant chooses not to accept Direct Temporary Housing assistance, if approved, when offered;
8. The registrant has been leased into a direct housing unit, if approved;
9. The registrant has changed their current location to a new rental unit;

FEMA

10. Repairs to the registrant's home, either by a FEMA supported program or other resource, have been completed; or
11. The registrant does not comply with the TSA Terms and Conditions.

If other continued eligibility criteria are required, the changes must be added as an addendum to this policy which requires written approval by the Assistant Administrator of the Recovery Directorate.

FEMA will provide no less than seven days' notice to all registrants who are determined no longer eligible for TSA, except under the following exceptions:

1. A registrant is licensed into a direct housing unit, if direct housing is requested and authorized for this disaster. In such circumstances, the registrant will be provided three nights in TSA to coordinate their move into direct housing.
2. In instances where there is a validated concern that fraud is being committed, registrants may be notified of immediate termination of TSA eligibility. This is addressed in the Terms and Conditions.
3. In instances where there is a credible belief that a registrant is a threat to themselves or others as validated by participating lodging providers, other service providers, law enforcement, or other entity providing validation. FEMA will be the final authority to determine when a registrant will be notified of immediate termination of TSA eligibility.

E. CHANGES TO TSA REQUIRING AN RRF UPDATE

The Government of Puerto Rico must submit justification, including a recommendation from the FCO and concurrence from the RA, when requesting additional IA- and PA-designated municipalities (see Section A of this policy).

Further, the IADD may authorize:

1. An increase or decrease in the lodging rate based upon modifications to the contract with the lodging provider. Increases or decreases in authorized rates may be required when contracts are extended or otherwise modified.
2. These increased or decreased changes in rates will only occur at the time of activation of the initial contract (base period) or if/when FEMA exercises additional option periods of performance.

An updated RRF must be submitted to include the increase/decrease, the estimated room nights, added localities, and any other changes must be fully executed prior to implementation of the requested changes.

FEMA

F. EXTENDING THE INITIAL TSA PERIOD OF PERFOMANCE IF LESS THAN 180 DAYS

In coordination with the FCO, the Government of Puerto Rico may submit to the RA a written request to extend TSA if the initial approval was for less than the maximum 180-day period of assistance from the date of the declaration.

The request must:

1. Have been received a minimum of 30 days prior to the end of the approved TSA period of assistance;
2. Specify exigent circumstances justifying the extension (e.g., continued lack of rental resources, inability to access impacted areas, demonstrated need for a direct housing mission, etc.);
3. Include the projected TSA completion date for the requested extension;
4. Include a transition plan/exit strategy to address the continued individual transition from non-congregate sheltering to alternate or temporary housing; and
5. Include an updated RRF covering any anticipated increased costs, if they will exceed costs covered by initial RRF.

When submitting a request for a TSA extension the FCO will coordinate, document, and submit the exit strategy describing the actions and/or components that will assist registrants' transition to temporary or permanent housing solutions. Extensions will only be considered if the initial TSA approval was for less than the maximum 180-day period of assistance.

G. ENDING TSA

TSA will end when:

1. The Government of Puerto Rico does not request an extension prior to the end of the authorized TSA period of assistance;
2. The RA denies an extension request beyond the authorized TSA period of assistance. The RA may deny extension requests when sufficient additional resources are available to meet the sheltering or temporary housing needs of displaced individuals in the impacted areas; or
3. The maximum period of assistance, 180 days from date of declaration, is reached.

Keith Turi
Assistant Administrator, Recovery Directorate

February 7, 2020

Date

FEMA

ADDITIONAL INFORMATION

REVIEW CYCLE

FEMA Policy FP 104-009-16, Transitional Sheltering Assistance for **FEMA-DR-4473-DR-PR (Earthquakes) (Interim)** will expire following the end of TSA associated with **FEMA-DR-4473-PR**.

AUTHORITIES

A. Section 403, 42 U.S.C. § 5107b, Essential Assistance, of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (Stafford Act), as amended.
B. Section 502, 42 U.S.C 5192, of the Stafford Act, Category B Emergency Protective Measures.
C. Section 408, 42 U.S.C. § 5174, of the Stafford Act.

Note: Policies do not have the force and effect of law, except as authorized by law or as incorporated into a contract.

REFERENCES

A. FEMA Policy 104-009-3, Individual Assistance Program and Policy Guide, March 2019.

MONITORING AND EVALUATION

Following the expiration of this policy, FEMA will review and consider changes necessary to FP 104-009-3, Individual Assistance Program and Policy Guide.

QUESTIONS

Direct questions to Christopher B. Smith, Director, Individual Assistance Division, at christopher.b.smith@fema.dhs.gov

U.S. Department of Homeland Security
Washington, DC 20472

FEMA

February 7, 2020

MEMORANDUM FOR: Thomas Von Essen
Regional Administrator
Region II

Alex Amparo
Federal Coordinating Officer
DR-4473-PR

FROM: Christopher B. Smith CHRISTOPHER B SMITH
Digitally signed by CHRISTOPHER B SMITH
Date: 2020.02.07 18:45:41 -05'00'
Individual Assistance Division Director
FEMA HQ

SUBJECT: Transitional Sheltering Assistance Request For FEMA DR-4473-PR

This memorandum is in response to the request from the Government of Puerto Rico to activate Transitional Sheltering Assistance (TSA) for DR-4473-PR. This assistance is authorized under Section 403, 42 U.S.C. § 5170b, Essential Assistance; Section 408, 42 U.S.C. § 5174, Federal Assistance to Individuals and Households; and Section 502, 42 U.S.C. § 5192, Federal Emergency Assistance of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (Stafford Act), as amended. The TSA policy for this disaster is recorded in interim policy 104-009-16 (Transitional Sheltering Assistance for FEMA-4473-DR-PR (Earthquakes)) and can be found on the Disaster Specific Page for DR 4473 PR. TSA is intended to provide short-term sheltering to displaced registrants from identified areas who are unable to return to their home as a result of an emergency or major declared disaster.

The Government of Puerto Rico is requesting FEMA activate TSA due to recent earthquake damages, and aftershocks as a result of the ongoing earthquakes since December 28, 2019, and the subsequent evacuations posing significant challenges for disaster survivors in their recovery from the ongoing incident. The Government of Puerto Rico, in coordination with FEMA field staff, has requested TSA be activated for an initial period of up to 90 days, for an estimated 300 applicants at up to 150% of the established applicable Department of Defense (DoD) lodging rate by locality to include taxes. The Government of Puerto Rico further requests TSA eligibility be extended to registrants with a damaged dwelling address within the 6 municipalities designated as eligible for both, Section 403 of the Stafford Act, Emergency Protective Measures, and Section 408 of the Stafford Act, Individual Assistance under the Individuals and Households Program. The municipalities approved for TSA as of the date of this memorandum are Guanica, Guayanilla,

Penuelas, Ponce, San German, and Yauco. In addition, the Government of Puerto Rico is requesting a 45-day mid-term eligibility determination.

The Government of Puerto Rico acknowledges that TSA is a short-term sheltering solution until other intermediate housing solutions can be implemented. The Government of Puerto Rico acknowledges the 75/25% Federal to Government of Puerto Rico cost share funding for the disaster incident period starting the date of TSA activation.

FEMA will provide emergency lodging for eligible TSA registrants through direct contracts, limited to specific and approved lodging providers located within Puerto Rico.

FEMA approves the activation of TSA as requested by the Government of Puerto Rico for a period of 90 days. TSA is approved at the negotiated contract rate not to exceed 150% of the established applicable Department of Defense (DoD) lodging rate for the locality to include applicable taxes. Assistance to TSA-eligible registrants in the approved municipalities will commence February 8, 2020 and terminate on May 8, 2020. Initial eligibility criteria will be applied in accordance with the approved interim policy 104-009-16.

The Regional Administrator has the delegated authority to approve any remaining days of TSA up to the 180-days from the date of declaration. The Government of Puerto Rico must present their request and justification for continued TSA to the RA at least 30 days prior to the initially approved end date of May 8, 2020.

FEMA will provide a mid-term eligibility determination on March 23, 2020. This review will be done in accordance with the continued eligibility criteria listed in the approved interim policy 104-009-16.

Please contact Zachary Usher at Zachary.Usher@fema.dhs.gov with questions or concerns.

cc: Keith Turi, Assistant Administrator, Recovery, FEMA HQ
Zachary Usher, Branch Chief, Mass Care, FEMA HQ
Thomas Von Essen, Regional Administrator, Region II
Alex Amparo, Federal Coordinating Officer, DR-4473-PR

U.S. Department of Homeland Security
Region V
536 South Clark Street, Floor 6
Chicago, IL 60605

FEMA

MEMORANDUM FOR: Christopher Smith
Director
Individual Assistance Division
DHS/FEMA Headquarters

FROM: *for* Kevin M. Sligh, Sr.
Acting Regional Administrator
FEMA Region V

IRIS R ANDRADE Digitally signed by IRIS R ANDRADE Date: 2020.07.22 11:55:00 -05'00'

SUBJECT: Rental Assistance Rate Increase Request for FEMA-DR-4547-MI

This memo is to inform you that I concur with the decision of the Federal Coordinating Officer (FCO), Waddy Gonzalez to establish a 125% increase above the published U.S. Department of Housing and Urban Development (HUD) Fair Market Rental (FMR) for Arenac, Gladwin, Iosco, Midland, and Saginaw counties. The increase is necessary due to an increased percentage of affordable housing in the impacted areas rendered unlivable because of the dam breaches and flooding adversely impacting eligible applicants' ability to obtain rental resources.

Based on the initial housing assessment data provided by the Disaster Housing Unit at Headquarters, the increased FMR would result in making an additional 57%, or 84 units available to survivors. The virtual IHP staff in coordination with the NPSC are making call outs to all survivors to validate their housing needs. Per the RAD Dashboard for the current locations, 34% of the registered survivors are reporting housing needs eight weeks after the flooding. The available one-bedroom units reported rents ranging from $574 for a one bedroom to $782. Two-bedroom apartments ranging in price from $713 to $1006, and three-bedroom units ranged from $910 to $1427. An increase in the FMR will allow applicants who meet rental qualifications to obtain longer term, stable housing.

Based on the results of the FEMA FMR calculator and JFO research, I am in concurrence of the increase in the Rental Assistance rate to 125 % of the FMR that was authorized by the FCO for Arenac, Gladwin, Iosco, Midland, and Saginaw counties for FEMA DR 4547 MI.

If you have any questions, please have your staff contact Scott Richardson, Individual Assistance Branch Director, DR-4547-MI at (312)810-6849.

Concur:

CHRISTOPHER B SMITH Digitally signed by CHRISTOPHER B SMITH Date: 2020.07.24 18:25:19 -04'00'

Christopher Smith Date
Director
Individual Assistance Division

Non-Concur:

Christopher Smith Date
Director
Individual Assistance Division

Cc:

Waddy Gonzalez, Federal Coordinating Officer. DR-4547-MI
Scott Richardson, Individual Assistance Branch Director, DR-4547-MI
Michelle Gonzalez, IHP Group Supervisor, DR-4547-MI
Jason C. Gamble, Individual Assistance Branch Chief, FEMA Region V
Chris Smith, Individual Assistance Division Director, FEMA HQ
Keith Turi, Assistant Administrator, Recovery Directorate
Monty Le Maire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division

U.S. Department of Homeland Security
Federal Emergency Management Agency
Joint Field Office
2007 Austin St.
Midland, MI 48642

FEMA

MEMORANDUM FOR: Christopher Smith
Individual and Household Assistance Program
FEMA Headquarters

THROUGH: Kevin M. Sligh, Sr.
Acting Regional Administrator
FEMA Region V

FROM: Waddy Gonzalez
Federal Coordinating Officer
FEMA-DR-4547-MI

WADDY O GONZALEZ Digitally signed by WADDY O GONZALEZ Date: 2020.09.02 12:09:03 -04'00'

SUBJECT: Registration Period Extension Request for FEMA-DR-4547-MI

The state of Michigan has requested an extension to the registration period for FEMA-DR-4547-MI. The current registration period ends on September 8, 2020.

The well and septic issues on DR 4547 have been a challenge for IA since prior to the declaration, and in many areas the aquafer is still moving, causing additional wells to go dry. Many of the homes with damage to the wells and septic systems did not have damage to their homes so inspections were no issued. The state claims there are 394 residents with compromised wells, and we have identified 274 who have applied for assistance. Due to the discrepancy in numbers for the well issues, limited connectivity in many areas, and a large elderly population in the areas where the wells were impacted, IA feels that an extension to the registration period would greatly help in allowing us the opportunity to reach out to the remaining survivors that have not registered for this assistance yet. Wells and Septic systems are considered non-insurable and are eligible for 100% of the cost to replace or repair them.

Based on the justification provided by the MI in the attached letter and the conditions described above, I am authorizing the 22-day extension as requested to the registration period for FEMA-DR-4547-MI. The registration period will now end on September 30, 2020. This includes all six counties designated for Individual Assistance: Arenac, Gladwin, Iosco, Midland, and Saginaw.

cc: Scott Richardson, Individual Assistance Branch Director, FEMA-DR-4547-MI
Fred Kaehler, Recovery Division Director, FEMA Region V
Jason Gamble, Individual Assistance Branch Chief, FEMA Region V

U.S. Department of Homeland Security
Federal Emergency Management Agency
FEMA-State Joint Field Office
FEMA-4557-DR-IA
7900 Hickman Rd., Suite 100
Windsor Heights, IA 50324-4432

FEMA

October 7, 2020

MEMORANDUM TO: Paul Taylor
Regional Administrator
FEMA Region VII

FROM: DuWayne Tewes
Federal Coordinating Officer
Disaster Recovery Manager
FEMA-4557-DR-IA

DUWAYNE W TEWES Digitally signed by DUWAYNE W TEWES Date: 2020.10.07 10:44:34 -05'00'

SUBJECT: Individual Assistance Registration Period Extension
FEMA-4557-DR-IA

On October 5, 2020, Clinton County was designated for Individual Assistance (IA) for FEMA-4557-DR-IA. The current registration deadline of October 19, 2020 does not provide sufficient time for affected households in Clinton County to register for IA.

Additionally, new registrations continue to be submitted in previously designated counties with over 1,500 registrations received since September 28, 2020.

For the purpose of allowing sufficient time for affected households of Clinton County to register as well as additional time for households in all IA designated counties, I am recommending approval of an extension of the registration period through Monday, November 2, 2020.

If you have any questions concerning the registration period extension, please contact Tracy Aupperlee, Individual Assistance Branch Director, at 816-810-8196.

I approve/do not approve the extension of the IA registration period to November 2, 2020:

PAUL J TAYLOR Digitally signed by PAUL J TAYLOR Date: 2020.10.07 15:03:29 -05'00'

APPROVE _____ DO NOT APPROVE _____

Attachments: Iowa's Registration Extension Request Letter
cc: Monty Lemaire, IHP Branch Chief, FEMA HQ's
Heather Smith, Acting Deputy Regional Administrator Region VII
Stacy Robarge-Silkiner, Acting Recovery Division Director
Candy Newman, IA Branch Chief, FEMA Region VII
Cory Fast, Lead IA Program Specialist, FEMA Region VII
Tracy Aupperlee, IA Branch Director, FEMA-4557-DR-IA

U.S. Department of Homeland Security
Region IX
1111 Broadway, Suite 1200
Oakland, CA 94607-4052

FEMA

November 12, 2020

MEMORANDUM FOR: Keith Turi
Assistant Administrator
Recovery Directorate

FROM: Robert J. Fenton
Regional Administrator
FEMA Region IX

SUBJECT: Butte County Direct Temporary Housing for FEMA-4558-DR-CA

The State of California requested direct housing for FEMA-4558-DR-CA in Butte, Napa, and Santa Cruz counties on September 25, 2020. Based upon the State's request and the supporting analysis, and in accordance with your memorandum dated November 6, 2020, I am approving direct temporary housing assistance utilizing the most cost-efficient form of direct housing, which would include options for direct lease or commercial sites with travel trailers for Butte County only. The last resort for housing would be the use of manufactured housing units. I am not approving Direct Temporary Housing Assistance for Napa or Santa Cruz counties at this time.

The Housing Assistance provision of the Individuals and Households Program, authorized by Section 408(c) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, or "Stafford Act," as implemented in 44 C.F.R. Part 206, authorizes FEMA to provide direct assistance for temporary housing when eligible applicants are unable to obtain temporary housing with financial assistance due to a lack of available housing resources. Direct Housing may be provided for a period of up to 18 months from August 22, 2020, the date of the President's declaration of a Major Disaster, to February 22, 2022 for FEMA-DR-4558-CA.

As of November 12, 2020, there are 515 households in (Butte 297), (Napa 62), and (Santa Cruz 156) counties potentially eligible for direct housing. FEMA uses the Real Property-FEMA Verified Loss of $17,000 or greater for owners and renters whose pre-disaster residence is recorded as "major damage" or "destroyed" during FEMA's inspection.

FEMA has taken steps to assist survivors by increasing the rental assistance rate to 125% of the U.S. Department of Housing and Urban Development (HUD) Fair Market Rent (FMR) for Lake, Napa, Sonoma, Solano, and Santa Cruz, and Monterrey Counties on September 30, 2020. A subsequent rental assistance rate increase was authorized to 150% of the HUD FMR for Butte and Santa Cruz counties on October 31, 2020.

The Joint Field Office found there is an increase in households meeting the Real Property-FEMA Verified Loss thresholds in Butte county, further increasing the need for rental resources to an already struggling county and increasing the need for direct temporary housing assistance. There appear to be sufficient rental resources at the rental assistance rate increase of 125% of HUD FMR for Napa County and 150% of HUD FMR in Santa Cruz County.

cc: Robert M. Pesapane, Regional Recovery Division Director, Region 9
Willie G. Nunn, Federal Coordinating Officer, FEMA-DR-4558-CA
David Bibo, Acting Associate Administrator, Office of Response and Recovery
Carla Gammon, Acting Assistant Administrator, Logistics Management Directorate
Christopher B. Smith, Director, Individual Assistance Division
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division

FEMA

September 12, 2020

MEMORANDUM FOR: Robert J. Fenton
Regional Administrator
Region IX

FROM: Christopher B. Smith
Director
Individual Assistance Division

CHRISTOPHER B SMITH
Digitally signed by CHRISTOPHER B SMITH
Date: 2020.09.12 12:18:52 -04'00'

SUBJECT: Critical Needs Assistance Authorization for FEMA-DR-4558-CA - Amendment

This memorandum is an amendment to the August 28, 2020 memorandum, *Critical Needs Assistance Authorization for FEMA-DR-4558-CA*.

To promote consistency across disasters and to address potential longer wait times for inspections due to additional disaster declarations, applicants may now receive Critical Needs Assistance (CNA) prior to receiving a remote inspection in accordance with *FEMA Policy 104-009-17, Pandemic Remote Inspection Process Individuals and Households Program Policy (Interim)*. To reduce potential fraud, FEMA will continue to require all associated high-risk fraud flags[1] be resolved prior to an applicant receiving CNA.

Insured applicants who meet all of the eligibility criteria, including reporting damage to their home that would require an inspection to confirm, will be eligible for CNA. However, applicants that state at registration they have minor damage but are able to live in their home, in accordance with *FEMA Policy 104-009-15, Streamlined Inspection Process Individuals and Households Program Policy (Interim)*, will remain ineligible for CNA. If these applicants meet all other CNA criteria and later receive an inspection that verifies home damage, they will later receive CNA.

All other criteria remain unchanged. If you have any questions or need any additional information, please contact Monty LeMaire, Individuals and Households Program Service Delivery Branch Chief.

cc: Keith Turi, Assistant Administrator, Recovery Directorate
Melissa Forbes, Deputy Assistant Administrator, Recovery Directorate
Willie G. Nunn, Federal Coordinating Officer, DR-4558-CA
Robert Pesapane, Recovery Division Director, Region IX
Magda De-La-Matta, Individual Assistance Branch Chief, Region IX

[1] The criteria for assigning fraud flags are identified in the memorandum titled *Processing Potentially Fraudulent "Hold Cases"* and subsequent amendments to the memo noting additional fraud flags.

Andrea Monemzadeh, Individual Assistance Branch Director, DR-4558-CA
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division

FEMA

August 28, 2020

MEMORANDUM FOR: Robert J. Fenton
Regional Administrator
Region IX

FROM: Christopher B. Smith CHRISTOPHER B SMITH Digitally signed by CHRISTOPHER B SMITH Date: 2020.08.28 12:01:45 -04'00'
Director
Individual Assistance Division

SUBJECT: Critical Needs Assistance Authorization for FEMA-DR-4558-CA

This memorandum is in response to the State of California's request, dated August 22, 2020, for FEMA to provide Critical Needs Assistance (CNA) under the Individuals and Households Program (IHP) for DR-4558-CA. Section 408(e)(2) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (Stafford Act) and 44 C.F.R. 206.119(c)(6)(ii) authorize FEMA to provide assistance for necessary expenses or serious needs resulting from a major disaster.

Per FEMA Policy 104-009-03, Individual Assistance Program and Policy Guide, FEMA may provide financial assistance under the Other Needs Assistance (ONA) provision of IHP to applicants who have immediate or critical needs because they are displaced from their primary residence. Immediate or critical needs are life-saving and life-sustaining items including, but not limited to: water, food, first aid, prescriptions, infant formula, diapers, consumable medical supplies, durable medical equipment, personal hygiene items, and fuel for transportation. As CNA is implemented under the ONA provision of the IHP, any assistance awarded for CNA is subject to a 25 percent cost share with the State of California.[1] CNA is limited to a one-time award of $500 per household.

Based on analysis of registration data and the justification provided by the Joint Field Office and State of California, I am authorizing CNA for an initial 14-day period from the date of the Presidential declaration for eligible applicants in all currently declared counties. Any additional counties added to the declaration for Individual Assistance by September 5, 2020, the end of the 14-day period, will also be approved for CNA.

In order to reduce exposure to fraud related to CNA, this authorization includes modifications to the existing CNA eligibility criteria.

Applicants in DR-4558-CA will be considered for CNA if all the following apply:

- They pass FEMA's identity verification process;

[1] Section 408(g)(2) of the Stafford Act and 44 C.F.R. 206.110(i)(2)).

- Any associated high-risk fraud flags[2] are resolved;
- At registration, they assert they have critical needs and request financial assistance for those expenses;
- Their pre-disaster primary residence is located in a declared county;
- They are displaced from their pre-disaster primary residence as a result of the disaster; and
- They report damage to their home that requires a FEMA inspection.

In addition to the above requirements, applicants will not receive CNA funds until FEMA has completed a remote inspection in accordance with FEMA Policy 104-009-17, Pandemic Remote Inspection Process Individuals and Households Program Policy (Interim). While applicants must register by September 5th to be eligible for CNA, the date of their inspection will not impact their eligibility. In accordance with FEMA Policy 104-009-15, Streamlined Inspection Process Individuals and Households Program Policy (Interim), applicants that state at registration they have minor damage but are able to live in their home, will not receive CNA unless they later inform FEMA they have damage that requires an inspection.

If you have any questions or need any additional information, please contact Monty LeMaire, Individuals and Households Program Service Delivery Branch Chief.

cc: Keith Turi, Assistant Administrator, Recovery Directorate
Melissa Forbes, Deputy Assistant Administrator, Recovery Directorate
Willie G. Nunn, Federal Coordinating Officer, DR-4558-CA
Robert Pesapane, Recovery Division Director, Region IX
Magda De-La-Matta, Individual Assistance Branch Chief, Region IX
Andrea Monemzadeh, Individual Assistance Branch Director, DR-4558-CA
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division

2 The criteria for assigning fraud flags are identified in the memorandum titled Processing Potentially Fraudulent "Hold Cases" and subsequent amendments to the memo noting additional fraud flags.

FEMA

September 18, 2020

MEMORANDUM FOR: Robert J. Fenton
Regional Administrator
Region IX

FROM: Christopher B. Smith
Director
Individual Assistance Division

CHRISTOPHER B SMITH
Digitally signed by CHRISTOPHER B SMITH
Date: 2020.09.18 18:52:14 -04'00'

SUBJECT: Critical Needs Assistance for FEMA-DR-4558-CA: Butte County

This memorandum is in response to the State of California's request, dated September 15, 2020, for FEMA to provide Critical Needs Assistance (CNA) under the Individuals and Households Program (IHP) for Butte County in DR-4558-CA. Section 408(e)(2) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (Stafford Act) and 44 C.F.R. 206.119(c)(6)(ii) authorize FEMA to provide assistance for necessary expenses or serious needs resulting from a major disaster.

Based on analysis of registration data and the recommendation provided by the Regional Administrator on September 16, 2020, I am authorizing a $500 CNA award per eligible household for 14-days from September 13, 2020, the date Butte County was added to the Presidential declaration for DR-4558-CA.

Applicants in Butte County who register for assistance by September 27, 2020, will be considered for CNA based on criteria reflected in the initial *Critical Needs Assistance Authorization for FEMA-DR-4558-CA* memo, dated August 28, 2020, and the *Critical Needs Authorization for FEMA-DR-4558-CA-Amendment* memo, dated September 12, 2020.

If you have any questions or need any additional information, please contact Monty LeMaire, Individuals and Households Program Service Delivery Branch Chief.

cc: Keith Turi, Assistant Administrator, Recovery Directorate
Melissa Forbes, Deputy Assistant Administrator, Recovery Directorate
Willie G. Nunn, Federal Coordinating Officer, DR-4558-CA
Robert Pesapane, Recovery Division Director, Region IX
Magda De-La-Matta, Individual Assistance Branch Chief, Region IX
Andrea Monemzadeh, Individual Assistance Branch Director, DR-4558-CA
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division

FEMA

September 5, 2020

MEMORANDUM FOR: Robert J. Fenton
Regional Administrator
Region IX

FROM: Christopher B. Smith
Director
Individual Assistance Division

CHRISTOPHER B SMITH
Digitally signed by CHRISTOPHER B SMITH
Date: 2020.09.05 16:35:39 -04'00'

SUBJECT: Critical Needs Assistance Extension Request for DR-4558-CA

This memorandum is in response to the State of California's request to extend the eligibility period for Critical Needs Assistance (CNA) to September 12, 2020.

On August 28, 2020, I approved CNA for an initial 14-day period from the date of the Presidential declaration for eligible applicants in all declared counties authorized for Individual Assistance by September 5, 2020. The initial authorization stipulated applicants must meet all eligibility criteria specified in the approval memorandum and register for FEMA assistance by September 5, 2020.

On September 3, 2020, the State of California submitted a request to extend the eligibility period to September 12, 2020, in order to address a large number of disaster survivors who may be in need of CNA but have not yet been able to register for FEMA assistance. At the time of their request, California notes that only 4,271 people had registered for FEMA assistance, while 2,963 were in non-congregate shelters, and more than 11,941 were under mandatory evacuation orders. Cal Fire damage inspections indicated that 12,251 civilians have been impacted by the fires. Region IX recommends approving the extension and an analysis of recent FEMA registration data demonstrates that the number of registrations received daily for DR-4558-CA continues to increase.

Based on the information provided by State of California, Region IX's recommendation, and the continued increase in FEMA registrations, I am extending the CNA eligibility period to September 12, 2020 for all currently declared counties. All criteria identified in the original CNA approval memo remain unchanged.

If you have any questions or need any additional information, please contact Monty LeMaire, Individuals and Households Program Service Delivery Branch Chief.

cc: Keith Turi, Assistant Administrator, Recovery Directorate
Melissa Forbes, Deputy Assistant Administrator, Recovery Directorate
Willie G. Nunn, Federal Coordinating Officer, DR-4558-CA
Robert Pesapane, Recovery Division Director, Region IX

Magda De-La-Matta, Individual Assistance Branch Chief, Region IX
Andrea Monemzadeh, Individual Assistance Branch Director, DR-4558-CA
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division

U.S. Department of Homeland Security
FEMA Region 9
1111 Broadway, Suite 1200
Oakland, CA 94607- 4052

FEMA

December 16, 2021

MEMORANDUM FOR: Keith Turi
Assistant Administrator
Recovery Directorate

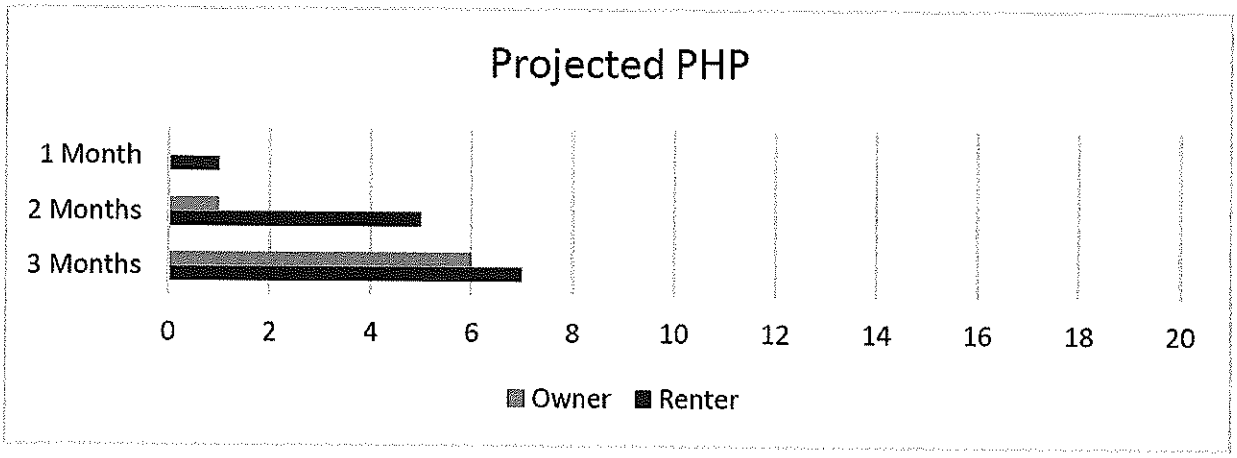
FROM: Robert J. Fenton
Regional Administrator

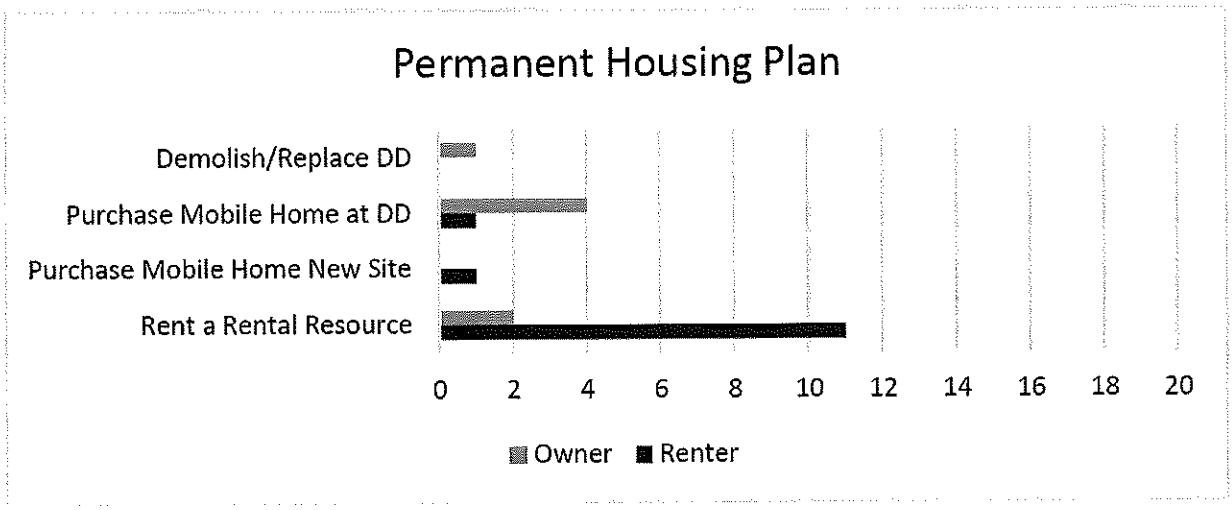
SUBJECT: Direct Temporary Housing Period of Assistance Extension Request for FEMA-DR-4558-CA

This memorandum is in response to the State of California's request, dated November 19, 2021, for an extension through February 22, 2023 of the Direct Temporary Housing Program period of assistance under the Individuals and Households Program (IHP) for FEMA-DR-4558-CA.

Section 408 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, "Stafford Act", as implemented in 44 C.F.R. § 206.117, authorizes FEMA to provide Direct Temporary Housing Assistance for a period of up to 18 months from the date of a Presidentially-declared major disaster. The 18-month period of assistance may be extended if FEMA determines that due to extraordinary circumstances an extension would be in the public interest.

The current period of Direct Temporary Housing Assistance for FEMA-DR-4558-CA will end on February 22, 2022. As of December 14, 2021, there were seven owners and 13 renters occupying Temporary Housing Units (THUs). Based on an analysis of the recertification data, seven households are estimated to complete their Permanent Housing Plan (PHP) by February 22, 2022. The remaining 13 households are projected to complete their PHP in three months, narrowly missing that deadline. Of those, eight are in THUs and five are in direct lease units.





Survivors are demonstrating a willingness to achieve their PHPs and are currently making progress. Of the owners, two plan to find a rental unit, one plans to replace their disaster dwelling, and four plan to purchase and place a mobile home on their disaster dwelling site. Of the renters, 11 are working to find a rental resource, one intends to purchase and place a mobile home on a new site, and one plans to purchase and place a mobile home on their disaster dwelling site.

The state has expressed continued challenges that are preventing direct housing occupants from achieving their PHPs. There are several barriers impacting the ability of pre-disaster renters to find new rental units. The destruction caused by the 2018 Camp Fire and 2020 North Complex Fire has eliminated 16 percent of the housing stock in Butte County. Within the incorporated area of the county, 44 building permit applications have been received, 13 permits have been issued, and no homes in the North Complex Fire burn area have been approved for occupancy. Development of low-income housing tax-credit funded projects are expected to provide 489 affordable housing units throughout Butte County, but none are scheduled to be complete until the end of 2022. As well, the effects of the COVID-19 pandemic have delayed construction efforts due to supply chain issues and labor shortages.

The State conducted an analysis of private development housing projects and identified 649 housing units under construction in Butte County. Of those, only 18 units will be available at a rate that falls below the 30-50 percent of the area median income for the City of Chico: $52,537. Also, these units exceed 80 percent of the fair market rate which is the Housing Authority of Butte County's baseline for rent in affordable housing units.

While many low-income households qualify for the U.S. Department of Housing and Urban Development (HUD) Section 8 vouchers, the vouchers are in limited supply. The Butte County Housing Authority prioritized housing solutions for Camp Fire survivors, due to the end of the Direct Housing program on September 12, 2021. HUD prioritizes those households without any housing and therefore is not a viable option to those currently participating in the direct housing program for DR-4558-CA. CalOES continues to coordinate with the Butte County Housing Authority, the Department of Social Services, and Disaster Case Management (DCM) staff. The

Housing Authority and the DCM team are currently working with survivors to identify housing solutions, including providing regular rental updates. CalOES, FEMA, and DCM staff hold bi-weekly phone calls to discuss unmet needs of current survivors.

There are a few programs available that may provide resources to survivors. The CalHome Disaster Assistance Program became available August 2021, which awards funds to local jurisdictions for predevelopment, site development, acquisition, and rehabilitation; replacement of manufactured homes; and down-payment assistance. The California Accelerator Program became available September 2021, allowing the California Department of Housing and Community Development (HCD) to make loans and grants for projects that are ready to break ground and have not received funding in the past. In addition, Project HomeKey awards funds to provide housing for individuals and families experiencing homelessness, or at risk of experiencing homelessness, and who are impacted by the COVID-19 pandemic.

FEMA Region 9 recommends a limited extension of FEMA-DR-4558-CA Direct Temporary Housing Assistance to May 22, 2022. The data indicate the majority of occupants will narrowly miss achieving their PHPs by the original date of February 22, 2022 due to significant barriers in obtaining viable housing solutions, including an extraordinarily difficult housing market. As a result, FEMA Region 9 recommends a maximum of three additional months to assist survivors in completing the transition from direct temporary housing assistance to long-term housing solutions. During this period, FEMA staff will continue to offer coordinated assistance to support survivors in timely completion of their PHPs.

As a condition of this extension, CalOES must fully participate in and support weekly roundtable meetings, held by FEMA, to discuss each case, identify specific actions to helpsurvivors achieve their PHPs by the revised end of program, and provide updates on their progress. All state stakeholder agencies, DCM, and Voluntary Agency Liaisons must participate in these meetings as well. CalOES must actively engage with local counties, state agencies, and the private sector to address current roadblocks for permitting, information sharing, and contractor availability.

Eligible occupants who remain in FEMA THUs after February 22, 2022 will be charged rent beginning March 1, 2022 during the extension period in accordance with 44 C.F.R. § 206.117 and FP-104-009-03, *Individual Assistance Program and Policy Guide (IAPPG),* Chapter 3, Section V.D. Prior to February 22, 2022, FEMA will provide a 30-day written notice of the requirement to pay monthly rent to occupants remaining in a FEMA THU. FEMA staff will actively work with occupants to determine the amount of rent within their financial ability, up to the HUD Fair Market Rent (FMR). This amount will be based on each household's income and current housing expenses.

At the end of the extended period of assistance, all occupants must return the THU to FEMA. Failure to return possession of the THU to FEMA by May 22, 2022 will result in FEMA assessing the occupant a monthly penalty fee, in accordance with *Chapter 3, Section V.D. of the IAPPG.* In addition, FEMA will continue to assess HUD FMR rent as previously described and the case will be referred to the Department of Justice for action.

If you have any questions or need any additional information, please contact Andrea Monemzadeh, Individual Assistance Branch Director at (202) 230-7389 or Andrea.Monemzadeh@fema.dhs.gov.

CONCUR 12/22/2021
Keith Turi
Assistant Administrator
Recovery Directorate

NON-CONCUR
Keith Turi
Assistant Administrator
Recovery Directorate

cc: Robert M. Pesapane, Recovery Division Direction, Region 9
Christopher Smith, Individual Assistance Division Director
Magda de la Matta, Individual Assistance Branch Chief, Region 9
Andrea Monemzadeh, Individual Assistance Branch Director, FEMA-DR-4558-CA
Monty Lemaire, Branch Chief, Individuals and Households Program Delivery Branch, Individual Assistance Division

Attachment: State of California Request Letter dated November 19, 2021

U.S. Department of Homeland Security
FEMA Region IX
1111 Broadway, Suite 1200
Oakland, CA 94607

FEMA

October 6, 2020

MEMORANDUM FOR: Christopher B. Smith
Director
Individual Assistance Division

FROM: Robert J. Fenton
Regional Administrator
FEMA Region IX

SUBJECT: Registration Period Initial Extension Request for FEMA-4558-DR-CA

The State of California has requested an extension to the registration period for FEMA-4558-DR-CA to November 21, 2020. The current registration period ends on October 21, 2020.

According to the State's request:

- There are over 4,244 residents in designated counties currently under mandatory evacuation orders, 5,490 individuals in 298 non-congregate shelters, and 86 individuals in two congregate shelters.
- Butte County was approved for Individual Assistance on September 13, 2020, which is 22 days after the date of the major disaster declaration.
- As of the date of the request, the cumulative number of registered households in Butte County was 854. Registrations have now increased to 1,826.
- An extension would allow individuals and families, many of whom are facing devastating consequences as a result of the wildfires, additional time to apply for assistance.

In addition, Santa Clara County was approved for Individual Assistance on September 28, 2020, which is 37 days after the date of the major disaster declaration. To date, 130 Santa Clara County households have registered for assistance.

Given the above information, FEMA concurs that the current registration period does not allow survivors in Butte and Santa Clara Counties sufficient time to register for FEMA programs. In addition, FEMA Recovery Analytics Divisions (RAD) has provided an in-depth registration period extension analysis, which indicates that a disaster of a similar size and incident type extended their registration period for an additional 50 days. The full document is attached.

The information provided by the State of California satisfies the requirements for extending the registration period to collect registrations from disaster survivors who have not yet been able to register due to the conditions described above. Based on the justification provided by the State and additional disaster-related information and analysis, I am authorizing a 31-day extension to

the registration period for FEMA-4558-DR-CA. The registration period will now end on November 21, 2020. This includes all areas designated for Individual Assistance.

If you have additional questions, please contact Jesus Ceja, Individual Assistance Branch Director for DR-4558-CA, at 202-805-6724 or jesus.ceja@fema.dhs.gov.

cc: Willie G. Nunn, Federal Coordinating Officer, FEMA-DR-4558-CA
Magda De-La-Matta, Individual Assistance Branch Chief, Region IX
Jesus Ceja, Individual Assistance Branch Director, FEMA-DR-4558-CA
Monty LeMaire, Branch Chief, Individuals and Households Program Delivery Branch, Individual Assistance Division

Attachment: California Request Letter dated September 18, 2020

FEMA

October 31, 2020

MEMORANDUM FOR: Willie G. Nunn
Federal Coordinating Officer
DR-4558-CA

FROM: Christopher B. Smith
Director
Individual Assistance Division

CHRISTOPHER B SMITH
Digitally signed by CHRISTOPHER B SMITH
Date: 2020.10.31 14:09:00 -04'00'

SUBJECT: Rental Assistance Rate Increase to 150% of Published FMR for DR-4558-CA: Butte and Santa Cruz Counties

This memorandum is in response to your request, dated October 27, 2020, to increase the Rental Assistance rate to 150% of the published Fair Market Rent (FMR), as determined by the U.S. Department of Housing and Urban Development (HUD) for eligible applicants in Butte and Santa Cruz Counties under FEMA's Individuals and Households Program for DR-4558-CA. The Rental Assistance rate for Santa Cruz County was previously increased to 125% of the published HUD FMR on September 30, 2020.

Your request identifies that affordable housing is very limited in the impacted areas due to a pre-existing housing shortfall and repeated fires. As of October 27, 2020, the Joint Field Office had identified 209 applicants in Butte County and additional 91 applicants in Santa Cruz County who received FEMA Rental Assistance and have been unable to find affordable housing. In addition to FEMA applicants, insured or otherwise ineligible disaster survivors in the area are also competing for limited available resources. Based on information provided by a contracted rental resource entity, there are fewer than 40 rental units available to meet the housing need in these areas at the published HUD FMR. Increasing the Rental Assistance rate to 125% of the HUD FMR, which is supported by the FEMA Rental Assistance Rate Calculator for both counties, increased the number of available units to 112 units. Increasing the Rental Assistance rate to 150% of the HUD FMR, further increases the number of available units to 184 units.

Based on analysis of the FCO's request, the disaster's effects on available temporary housing in Butte and Santa Cruz Counties, and in order to mitigate the need for a Direct Housing mission in the affected area, I am authorizing an increase of 150% of the FMR for Rental Assistance to eligible applicants in Butte and Santa Cruz Counties for DR-4558-CA. FEMA only applies Rental Assistance rate increases to Continued Temporary Housing Assistance awards.

If you have any questions or need any additional information, please contact Monty LeMaire, Individuals and Households Program Service Delivery Branch Chief.

cc: Keith Turi, Assistant Administrator, Recovery Directorate
Melissa Forbes, Deputy Assistant Administrator, Recovery Directorate
Robert Fenton, Regional Administrator, Region IX
Robert Pesapane, Recovery Division Director, Region IX
Magda De-La-Matta, Individual Assistance Branch Chief, Region IX
Andrea Monemzadeh, Individual Assistance Branch Director, DR-4558-CA
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch

U.S. Department of Homeland Security
FEMA-DR-4558-CA
2995 Prospect Park Drive
Rancho Cordova, CA 95670

FEMA

September 30, 2020

MEMORANDUM FOR: Keith Turi
Assistant Administrator
Recovery Directorate

FROM: Willie G. Nunn
Federal Coordinating Officer
FEMA-4558-DR-CA

SUBJECT: Rental Assistance Rate Increase Notification for FEMA-DR-4558-CA

The purpose of this memorandum is to notify you of the Rental Assistance Rate Increase to 125% of the published U.S. Department of Housing and Urban Development (HUD) Fair Market Rent (FMR) for FEMA-DR-4558-CA in Lake, Napa, Sonoma, Solano, Santa Cruz, and Monterey Counties, as requested by the State of California on September 8, 2020.

Pursuant to FP 104-009-3, *Individual Assistance Program and Policy Guide (IAPPG),* FEMA may increase Rental Assistance above the published HUD FMR when elevated housing market rates adversely impact eligible applicants' ability to obtain rental resources. FEMA evaluates the need for a Rental Assistance rate increase by comparing U.S. Census Bureau American Community Survey statistics on housing inventory and vacancy rates to the best available data on disaster-caused housing impacts in declared disaster areas.

While the calculators provided for each of the counties in question do not show a need for an increase in the Rental Assistance rate, FEMA is aware that the census data is outdated due to the number of housing resources that have been destroyed since the last census data was gathered.

Alternatively, FEMA conducted an analysis of rental resource availability in the affected counties in order to validate the State-provided data. The analysis determined that there are insufficient rental resources available in the affected areas at the 100% FMR to meet the potential demand. In addition, a number of survivors are receiving assistance through their insurance provider, increasing the competition for rentals in the affected areas. Given these variables, the demand for rental resources will likely be high.

Lack of Affordable Housing

The State of California has experienced a historic under-production of homes since 2001. 180,000 homes are needed per year to keep up with projected household growth from 2015-2025.

Currently only 80,000 homes are being produced per year, resulting in a 100,000 per year housing shortfall. As household growth continues to exceed housing development, available housing is in short supply. The majority of the housing available, is priced above the HUD FMR guidelines making it unaffordable to many. The already limited rental inventory was further reduced by the additional wildfires in recent years, worsening an already bad situation.

Analysis of Rental Resources

FEMA's analysis determined that there are insufficient rentals available in the affected areas at the 100% FMR to meet the potential demand. Results of our analysis are below:

County	Lake	Napa	Sonoma	Solano	Monterey	Santa Cruz
Vacancy Rate*	4.9%	3.9%	2.8%	3.4%	4.6%	2.0%
Households on Rental Assistance	5	63	38	60	22	408
Homes available at 100% FMR**	5	6	92	41	18	41

* Source: US Census Bureau
**Data from Zillow – 9/10/20 does not necessarily represent total number of available area resources.

In addition, FEMA utilized the Housing Resources Contract to identify rental resource availability in the requested counties. The findings support FEMA's initial assessment and reflect a significant decrease in resource availability since the first analysis. As well, availability is significantly higher at the 125% FMR. Results are below.

County	Lake	Napa	Sonoma	Solano	Monterey	Santa Cruz
Rentals available at 100% FMR	1	3	67	41	8	26
Rentals available at 125% FMR	4	56	290	79	65	50

The limited availability of rentals makes finding housing challenging, especially for residents with limited income, a disability, transportation issues, or other special needs. The impacts of the destructive wildfires from recent years combined with the State's historic under-production of homes significantly exacerbated the existing housing crisis in California.

Based on the justification provided by the State and our analysis above, I have authorized an increase in the Rental Assistance rate to 125% of the FMR for Lake, Napa, Sonoma, Solano, Santa Cruz, and Monterey counties for FEMA-DR-4558-CA.

If you have any questions or need any additional information, please contact Jesus Ceja, Individual Assistance Branch Director for DR-4558-CA, at 202-805-6724 or Jesus.Ceja@fema.dhs.gov.

Sincerely,

WILLIE G NUNN Digitally signed by WILLIE G NUNN
Date: 2020.09.30 11:15:20 -07'00'

Willie G. Nunn
Federal Coordinating Officer
FEMA-4558-DR-CA

Attachment: California Request Letter dated September 8, 2020

cc: Robert Fenton, Regional Administrator, Region IX
Magda De-La-Matta, Individual Assistance Branch Chief, Region IX
Jesus Ceja, Individual Assistance Branch Director, FEMA-DR-4558-CA
Monty LeMaire, Branch Chief, Individuals and Households Program Delivery Branch, Individual Assistance Division

U.S. Department of Homeland Security
FEMA Region IX
1111 Broadway, Suite 1200
Oakland, CA 94607

FEMA

November 20, 2020

MEMORANDUM FOR: Christopher B. Smith
Director
Individual Assistance Division

FROM: Robert J. Fenton
Regional Administrator
FEMA Region IX

SUBJECT: Registration Period Subsequent Extension Request
for FEMA-DR-4558-CA

The State of California requested an extension to the registration period for FEMA-DR-4558-CA for an additional 30 days to end at close of business on December 21, 2020. Based on information below, I recommend partially approving the state's request.

The current registration period ends on November 23, 2020. On October 6, 2020, an initial extension request was approved for 31 additional days, extending the registration period from October 21, 2020 to November 21, 2020. SBA advised that the registration deadline would end on a weekend and requested an adjustment to end the registration deadline on Monday, November 23, 2020, which was approved.

The state's justification in their request letter for extending the registration period is based on the recent addition of Mendocino and Stanislaus Counties on November 12, 2020, many weeks after the major disaster declaration on August 22, 2020. The state claims that affected individuals in these counties will have a shortened application period. The state concluded their justification by stating that "by extending the current registration deadline for IA, all eligible households will have an equitable opportunity to apply for federal assistance and receive IA benefits as they undergo the long-term recovery process from this disaster."

Registration Information for DR-4558-CA

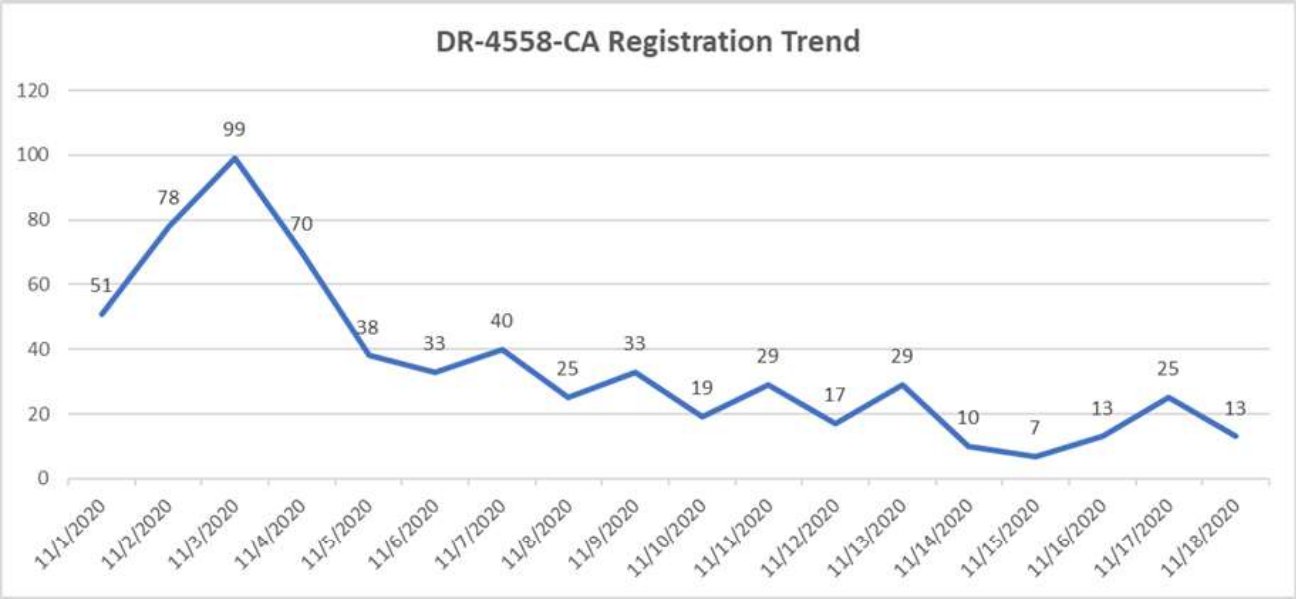
The following information has been verified by FEMA Individual Assistance:

- A total of 104 valid registrations have been received for the most recent add-on counties between November 1-November 18, 2020. A breakdown of registrations by county and eligible for IHP assistance and average daily registration number is below.

County	Total Registrations	Owner	Renter	Received IHP Assistance	Total Regs (11/1 – 11/18)	Average Regs (11/1-11/18)
Lassen	69	36	33	1 (1 HA Max Grant)	7	1

Mendocino	66	36	30	0	5	1
Stanislaus	90	38	52	0	7	1
Trinity	127	96	31	5	35	2
Tulare	301	178	123	1	50	3
Total	**653**	**384**	**269**	**7**	**104**	**1**

- For all designated counties in DR-4558-CA, FEMA has verified that an average of 32 valid registrations (not including undesignated counties) have been received daily between November 01-November 18, 2020.



Disaster Recovery Centers (DRC)

DRC status in the designated counties recently added on to DR-4558-CA.

- DR-4558-CA has had a total of 14 Mobile Registration Centers (MRIC) operated throughout 15 designated counties. Some counties did not request MRIC support.
- The MRIC in Lassen County opened 11/17/20 and is scheduled to close 11/20/20.
- The MRIC in Trinity County opened 10/29/20 and closed 11/10/20.
- The MRIC in Tulare County opened 10/20/20 and is scheduled to close 11/23/20.
- Stanislaus County MRIC opening is TBD. CalOES and FEMA submitted an introductory call and MRIC discussion invite to Stanislaus county, but we have not yet heard back.
- Mendocino County is looking at dates for opening MRIC for DR-4558-CA. They had an MRIC from 10/26-10/29 operated under DR-4569-CA.

Recommendation

Based on the information provided by the state and the registration analysis conducted, I recommend partially approving the state's request by providing an extension for the registration period until Friday, December 11, 2020 for FEMA-DR-4558-CA. This extension will provide affected individuals in the most recently added counties sufficient opportunity to register for FEMA assistance.

If you have any additional questions, please contact Jesus Ceja, Individual Assistance Branch Director, DR-4558-CA, at 202-805-6724 or jesus.ceja@fema.dhs.gov.

CONCUR:

CHRISTOPHER B SMITH Digitally signed by CHRISTOPHER B SMITH
Date: 2020.11.21 12:12:15 -05'00'

Christopher B. Smith
Director
Individual Assistance Division
DHS/FEMA Headquarters

NON-CONCUR:

Christopher B. Smith
Director
Individual Assistance Division
DHS/FEMA Headquarters

Attachment: Registration Deadline Extension Request from the State of California

cc: Willie G. Nunn, Federal Coordinating Officer, DR-4558-CA
Magda de la Matta, Individual Assistance Branch Chief, Region IX
Jesus Ceja, Individual Assistance Branch Director, DR-4558-CA
Monty LeMaire, Branch Chief, Individuals and Households Program Delivery Branch, Individual Assistance Division

U.S. Department of Homeland Security
Washington, DC 20472

FEMA

January 18, 2022

MEMORANDUM FOR: George A. Robinson
Regional Administrator
FEMA Region 6

FROM: Dr. Melissa Forbes
Acting Assistant Administrator
Recovery Directorate

MELISSA K FORBES Digitally signed by MELISSA K FORBES Date: 2022.01.22 09:05:11 -05'00'

SUBJECT: Direct Temporary Housing Period of Assistance Extension and Rent Waiver Request for FEMA-4559-DR-LA and FEMA-4570-DR-LA

This memorandum is in response to the State of Louisiana's requests, dated November 17, 2021 for FEMA-4559-DR-LA and January 11, 2022 for FEMA-4570-DR-LA, to extend the period of assistance and waive rent collection during the extension period under the Individuals and Households Program (IHP) for FEMA-4559-DR-LA and FEMA-4570-DR-LA through October 31, 2022. Section 408 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, "Stafford Act", as implemented in 44 C.F.R. Part 206, authorizes FEMA to provide Direct Housing Assistance for a period of up to 18 months from the date of a Presidentially-declared major disaster. The 18-month period of assistance may be extended if FEMA determines that due to extraordinary circumstances an extension would be in the public interest (Stafford Act § 408(c)(1)(B)(iii) and 44 C.F.R. § 206.110(e)). FEMA may charge up to the fair market rent for temporary housing units provided during the extended period of assistance (Stafford Act § 408(c)(1)(B)(iv) and 44 C.F.R. § 206.117(b)(1)(ii)(F). When computing the survivor's financial ability to pay fair market rent, FEMA considers the household's pre- and post-disaster housing costs and income, and may consider extreme or unusual financial circumstances (44 C.F.R. 206.111).

The current period of Direct Temporary Housing Assistance for FEMA-4559-DR-LA will end on February 28, 2022 and FEMA-4570-DR-LA will end on April 16, 2022. As of January 10, 2022, there are 1,092 homeowners and 723 renters occupying Temporary Housing Units (THUs) for FEMA-4559-DR-LA and 69 homeowners and 62 renters occupying THUs for FEMA-4570-DR-LA. Approximately 33% of the survivors for both disasters have self-reported access and functional needs (AFN) and 72% of all households remaining in direct housing are considered low or very low income. Based on the analysis of the recertification data, approximately 1,268 households are estimated to complete their permanent housing plans (PHP) by the end of February, with a remaining 339 completing their PHP by end of October 2022.

The State of Louisiana has expressed continued challenges preventing direct housing occupants from achieving their PHP and access to available and affordable rental properties. These challenges include: seven major disaster declarations over the past year, on-going unmet needs to include limited labor and construction materials, lack of affordable rental properties (as of December 2021, only 193 units were available in the impacted area at 100%-125% fair market rate) and delayed appropriations for Community Disaster Block Grant – Disaster Recovery (CDBG-DR). The State of Louisiana continues to provide case work and case management to assist survivors in direct housing through the disaster case management program and the state administered recertification grant. In addition, the state has diverted $500,000 from Louisiana Housing Corporation HOME Tenant Based Rental Assistance funds to support renters within Direct Housing and received appropriations for CDBG-DR in September 2021.

Based on the State's request and supporting analysis, **I am authorizing an extension of Direct Temporary Housing Assistance through October 31, 2022 for FEMA-4559-DR-LA and FEMA-4570-DR-LA and denying the request to waive rent. All eligible occupants who remain in FEMA THUs will be charged rent during the extension period in accordance with 44 C.F.R. § 206.117 and FP-104-009-03, Individual Assistance Program and Policy Guide (IAPPG) Chapter 3, Section V, except for the waiver of the rent appeal process as described below.** Prior to the end of the period of assistance, FEMA will provide a 30-day written notice of the requirement to pay monthly rent to occupants remaining in a FEMA THU after the initial 18-month period of assistance. FEMA staff will actively work with THU occupants to determine the amount of rent within their financial ability, up to the Department of Housing and Urban Development (HUD) Fair Market Rent (FMR), for THU occupants who remain in or maintain possession of a THU beyond the original EOPs for both disasters. This amount will be based on each household's current income and housing expenses (including mortgage payments on their pre- disaster residence).

Rent Appeal Process Waiver

To simplify the rent reduction appeal process, I am approving a streamlined adjusted rent amount process. First, FEMA will conduct proactive outreach to occupants to assist them with the documentation necessary to evaluate their ability to pay rent. This will reduce the burden for occupants to appeal the rent amount determined by FEMA. Second, occupants who provide documentation of their post-disaster income that meets or is below the HUD Very Low-Income Limit will qualify for the fully reduced rent of $50 per month without having to appeal and submit documentation of both pre-disaster housing costs. Third, occupants with household incomes above the HUD Very Low-Income Limit will only have to provide documentation of post-disaster income and housing costs to calculate their financial ability to pay rent and the amount of rent to be charged.

Other Conditions

As a condition of the extension, the State, in collaboration with the Joint Field Office, will:

- Ensure all Direct Housing occupants have viable PHPs with move out dates scheduled prior to October 31, 2022.
- By February 28, 2022, develop and submit a comprehensive 90-, 60-, 30-day milestone timeline to map out the housing mission closeout. This includes further definition and determination of unmet needs preventing individuals from achieving a permanent

housing solution and identification of potential resources to assist.

- Continue to identify non-responsive and ineligible occupants and refer them to FEMA for revocation, as appropriate.
- Participate in weekly roundtable meetings including FEMA, all state stakeholder agencies, Disaster Case Management, and Voluntary Agency Liaisons to provide updates on the progress of assisting individual families identify and move to viable housing solutions.
- Coordinate monthly with local public housing authorities to obtain status of repairs to impacted units.
- Develop a strategy to leverage mitigation funding to assist repairs and rebuilding for homeowners in special flood hazard areas.

At the end of the extended period of assistance, all occupants must return the THU to FEMA. Failure to return possession of the THU to FEMA by October 31, 2022 will result in FEMA assessing the occupant a monthly penalty fee in accordance with Chapter 3, Section V.D. of the IAPPG. FEMA will continue to work with the State and each occupant to help them identify options and overcome any obstacles to obtaining permanent housing.

If you have any questions or need any additional information, please contact Kevin Sligh, Individual Assistance Division Director, at (312) 408-5350.

cc: Anne Bink, Associate Administrator, Office of Response and Recovery
Jeffrey Dorko, Assistant Administrator, Logistics Management Division
Kevin Sligh, Individual Assistance Division Director
Monty LeMaire, Branch Chief, IHP Service Delivery Branch, Individual Assistance Division
Traci Brasher, Recovery Division Director, Region 6
John Long, Federal Coordinating Officer, FEMA-4559-DR-LA and FEMA-4570-DR-LA
Lorie LaFon, Individual Assistance Branch Chief, Region 6
Elizabeth Redfearn, Direct Housing Task Force Lead, FEMA-4559-DR-LA and FEMA-4570-DR-LA

FEMA

September 8, 2020

MEMORANDUM FOR: Tony Robinson
Regional Administrator
Region VI

FROM: Christopher B. Smith
Director
Individual Assistance Division

CHRISTOPHER B SMITH
Digitally signed by CHRISTOPHER B SMITH
Date: 2020.09.08 08:39:54 -04'00'

SUBJECT: Addition of 5 Parishes: Critical Needs Assistance Authorization for FEMA-DR-4559-LA

This memorandum is an amendment to the Critical Needs Assistance (CNA) Authorization for DR-4559-LA memorandum approved on August 31, 2020, which authorized CNA under the Individuals and Households Program for eligible applicants in specific parishes. Section 408 (e)(2) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act and 44 C.F.R. §206.119(c)(6)(ii) authorize FEMA to provide assistance for necessary expenses or serious needs resulting from a major disaster.

On September 5, 2020, the State of Louisiana submitted a request to authorize CNA for five additional parishes. In the request, Louisiana noted that 70% of residents in Winn Parish, 50% of residents in Grant Parish, and between 22% and 36% of residents in the remaining parishes included in the request are without electricity. Additionally, the water systems in Rapides and Winn Parishes have been severely damaged, leaving residents without fresh water. On September 5, 2020, the Region concurred with Louisiana's request.

Based on the continued critical needs noted at registration and the information provided by the State of Louisiana, I am authorizing CNA for the following additional parishes: Grant, Natchitoches, Rapides, Sabine, and Winn for DR-4559-LA.

All other implementation criteria and conditions, as outlined in the original approval, remain in effect.

If you have any questions or need any additional information, please contact Monty LeMaire, Individuals and Households Program Service Delivery Branch Chief.

cc: Keith Turi, Assistant Administrator, Recovery Directorate
Melissa Forbes, Deputy Assistant Administrator, Recovery Directorate
John Long, Federal Coordinating Officer, DR-4559-LA

Tony Robinson, Recovery Division Director, Region VI
Lorie LaFon, Individual Assistance Branch Chief, Region VI
Jamie Dake, Individual Assistance Branch Director, DR-4559-LA
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division

FEMA

August 31, 2020

MEMORANDUM FOR: Tony Robinson
Regional Administrator
Region VI

FROM: Christopher B. Smith
Director
Individual Assistance Division

CHRISTOPHER B SMITH
Digitally signed by CHRISTOPHER B SMITH
Date: 2020.08.31 18:22:03 -04'00'

SUBJECT: Critical Needs Assistance Authorization for FEMA-DR-4559-LA

This memorandum is in response to the State of Louisiana's request, dated August 30, 2020, for FEMA to provide Critical Needs Assistance (CNA) under the Individuals and Households Program (IHP) for DR-4559-LA. Section 408(e)(2) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (Stafford Act) and 44 C.F.R. 206.119(c)(6)(ii) authorize FEMA to provide assistance for necessary expenses or serious needs resulting from a major disaster.

Per FEMA Policy 104-009-03, *Individual Assistance Program and Policy Guide*, FEMA may provide financial assistance under the Other Needs Assistance (ONA) provision of IHP to applicants who have immediate or critical needs because they are displaced from their primary residence. Immediate or critical needs are life-saving and life-sustaining items including, but not limited to: water, food, first aid, prescriptions, infant formula, diapers, consumable medical supplies, durable medical equipment, personal hygiene items, and fuel for transportation. As CNA is implemented under the ONA provision of the IHP, any assistance awarded for CNA is subject to a 25 percent cost share with the State of Louisiana.[1] CNA is limited to a one-time award of $500 per household.

The state of Louisiana requested the CNA amount be increased to $1,500 and Region VI recommended the award be increased to $800 per household due to the potential for extended displacement and the impacts of COVID-19. As CNA is intended to meet immediate needs and Rental Assistance and other forms of assistance may be available to applicants who are displaced from their home longer term, I am not authorizing an increase in the standard CNA award amount.

Based on analysis of registration data and the recommendation provided by the Regional Administrator on August 30, 2020, I am authorizing a $500 CNA per eligible household for an initial 14-day period from the date of the Presidential declaration for eligible applicants in the following parishes: Cameron, Beauregard, Calcasieu, Jefferson Davis, Vernon, and Allen.

[1] Section 408(g)(2) of the Stafford Act and 44 C.F.R. 206.110(i)(2)).

Applicants in DR-4559-LA who register for assistance by September 11, 2020, will be considered for CNA if all the following apply:

- They pass FEMA's identity verification process;
- Any associated high-risk fraud flags[2] are resolved;
- At registration, they indicate critical needs and request financial assistance for those expenses;
- Their pre-disaster primary residence is located in a declared parish; and
- They are displaced from their pre-disaster primary residence as a result of the disaster.
- They report damage to their home that requires a FEMA inspection.

If you have any questions or need any additional information, please contact Monty LeMaire, Individuals and Households Program Service Delivery Branch Chief.

cc: Keith Turi, Assistant Administrator, Recovery Directorate
Melissa Forbes, Deputy Assistant Administrator, Recovery Directorate
John Long, Federal Coordinating Officer, DR-4559-LA
Tony Robinson, Recovery Division Director, Region VI
Lori Lafon, Individual Assistance Branch Chief, Region VI
Jamie Dake, Individual Assistance Branch Director, DR-4559-LA
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division

[2] The criteria for assigning fraud flags are identified in the memorandum titled Processing Potentially Fraudulent "Hold Cases" and subsequent amendments to the memo noting additional fraud flags.

FEMA

September 14, 2020

MEMORANDUM FOR: Tony Robinson
Regional Administrator
Region VI

FROM: Christopher B. Smith CHRISTOPHER B SMITH
Director
Individual Assistance Division

Digitally signed by CHRISTOPHER B SMITH
Date: 2020.09.14 18:44:18 -04'00'

SUBJECT: Critical Needs Assistance Extension for DR-4559-LA

This memorandum authorizes an extension to the Critical Needs Assistance (CNA) eligibility period for Beauregard, Calcasieu, and Cameron parishes for DR-4559-LA, previously approved for CNA on August 31, 2020. Section 408 (e)(2) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act and 44 C.F.R. §206.119(c)(6)(ii) authorize FEMA to provide assistance for necessary expenses or serious needs resulting from a major disaster.

On September 11, 2020, the State of Louisiana submitted a request to award an additional CNA payment and extend the CNA eligibility period for eligible applicants with pre-disaster primary residences in Beauregard, Calcasieu, and Cameron parishes. The request noted continued electrical utility outages affecting a large percentage of residents in the three requested parishes: 56% in Beauregard, 90% in Calcasieu, and 99% in Cameron parishes. The request also noted these outages may have created challenges for impacted residents in these parishes attempting to apply for FEMA assistance by September 11, 2020, the end of the initial 14-day CNA eligibility period for this disaster. On September 12, 2020, Region VI recommended approving the State's request for an extension and awarding additional funds.

The request for an additional $500 CNA award to applicants previously awarded CNA is not approved. CNA is intended to meet immediate needs for life-saving and life-sustaining items. The justification that individuals may be out of their homes for an extended period of time does demonstrate that applicants who have already received CNA continue to have such needs, nor does it acknowledge the other forms of assistance FEMA makes available to these applicants. Financial rental assistance, other needs assistance and other forms of assistance may be available to applicants who are displaced from their home longer term.

Based on the information provided by the State of Louisiana regarding registration delays due to continued power outage, I am approving a seven-day extension to the eligibility period for CNA through September 18, 2020, for the following parishes: Beauregard, Calcasieu, and Cameron.

All other eligibility conditions, as outlined in the initial *Critical Needs Assistance Authorization for FEMA-DR-4559-LA* memorandum, remain in effect.

If you have any questions or need any additional information, please contact Monty LeMaire, Individuals and Households Program Service Delivery Branch Chief.

cc: Keith Turi, Assistant Administrator, Recovery Directorate
Melissa Forbes, Deputy Assistant Administrator, Recovery Directorate
John Long, Federal Coordinating Officer, DR-4559-LA
Tony Robinson, Recovery Division Director, Region VI
Lorie LaFon, Individual Assistance Branch Chief, Region VI
Jamie Dake, Individual Assistance Branch Director, DR-4559-LA
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division

FEMA

October 23, 2020

MEMORANDUM FOR: Tony Robinson
Regional Administrator
FEMA Region VI

FROM: Keith Turi
Assistant Administrator
Recovery Directorate

SUBJECT: Direct Housing Temporary Assistance Approval for the Additional Parishes of Grant and Rapides for FEMA-DR-4559-LA

This memorandum is in response to your recommendation, dated October 16, 2020, to approve two additional parishes, Grant and Rapides, for direct temporary housing assistance through the Individuals and Households Program (IHP) for FEMA-DR-4559-LA. The Housing Assistance provision of the IHP, authorized by Section 408(c) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, or "Stafford Act," as implemented in 44 C.F.R. Part 206, authorizes FEMA to provide direct assistance for temporary housing when eligible applicants are unable to obtain temporary housing with financial assistance due to a lack of available housing resources. Direct temporary housing assistance may be provided for periods of up to 18 months from August 28, 2020, the date of the President's declaration of a Major Disaster, to February 18, 2022.

Direct temporary housing assistance in the forms of Multi-Family Lease and Repair (MLR), Direct Lease, and Transportable Temporary Housing Units (TTHUs) [Recreational Vehicles and Manufactured Housing Units] was approved on September 11, 2020, for the parishes of Allen, Beauregard, Calcasieu, Cameron, Jefferson Davis, and Vernon.

As of October 22, 2020, Individual Assistance (IA) registration data shows 244 owners and 152 renters self-assessed their level of home damage as major or destroyed for Rapides and Grant Parishes.[1] The Region continues to work with state partners to evaluate the disaster-related temporary housing needs of each parish affected and to identify housing solutions, challenges, and barriers. The Region has validated an overwhelming temporary housing need through needs assessments, reports, and analysis of damage assessments.

Upon review of inspection data and availability of existing rental resources, the IA Disaster Housing Unit determined there are currently 396 applicants eligible for pre-placement interviews (PPIs) to determine need for direct temporary housing assistance; there are only 35 available housing resources in Grant and Rapides Parishes. The number of potentially eligible applicants that may have a temporary housing need exceeds the number of available housing resources within the two

[1] Data from FIDA 37138 report, October 22, 2020.

requested parishes. Therefore, financial rental assistance is insufficient to meet the temporary housing need due to a lack of available housing resources. Increasing the rental assistance rate up to 125% of the Fair Market Rent (FMR) rate established by the U.S. Department of Housing and Urban Development is also inadequate to fulfill the need for temporary housing.

Parish	Number of Applicants Eligible for PPI*	Total Number of Rental Resources @ 100% FMR**	Total Number of Rental Resources @ 125% FMR**
Grant	110	1	0
Rapides	286	32	2
Total:	**396**	**33**	**2**

**PPI Data as of 10/22/20.*[2]
***Rental Resource Data from public websites as of 10/22/20*

Based upon the Regional recommendation and supporting data, **I am authorizing direct temporary housing assistance in the form of TTHUs, Direct Lease, and MLR for Grant Parish and Rapides Parish**. The State of Louisiana may participate in the administration of direct temporary housing assistance under a State-Administered direct housing grant, with the details of their participation to be developed following the approval process identified. Conditions specified in the attached September 11, 2020, Direct Housing Assistance Approval for FEMA-DR-4559-LA memorandum will remain in effect.

FEMA will continue to evaluate whether there is a need to approve any additional parishes based on the state's request for direct housing assistance.

Should you have any questions or need additional information, please contact Christopher B. Smith, Individual Assistance Division Director, at Christopher.B.Smith@fema.dhs.gov.

Attachment: Direct Housing Assistance Approval for FEMA-DR-4559-LA

cc: David Bibo, Acting Associate Administrator, Office of Response and Recovery
Carla Gammon, Acting Assistant Administrator, Logistics Management Directorate
Christopher B. Smith, Director, Individual Assistance Division
Monty LeMaire, Branch Chief, Individuals and Households Program Delivery Branch, Individual Assistance Division

[2] PPI eligible applicants is from the FIDA 37138 report, October 22, 2020.

FEMA

September 11, 2020

MEMORANDUM FOR: Tony Robinson
Regional Administrator
FEMA Region VI

FROM: Keith Turi
Assistant Administrator
Recovery Directorate

SUBJECT: Direct Housing Assistance Approval for FEMA-DR-4559-LA

This memorandum is in response to the State of Louisiana's request, dated September 8, 2020, for direct temporary housing assistance through the Individuals and Households Program (IHP) for FEMA-DR-4559-LA. The Housing Assistance provision of the IHP, authorized by Section 408(c) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, or "Stafford Act," as implemented in 44 C.F.R. Part 206, authorizes FEMA to provide direct assistance for temporary housing when eligible applicants are unable to obtain temporary housing with financial assistance due to a lack of available housing resources. Direct temporary housing assistance may be provided for periods of up to 18 months from August 28, 2020, the date of the President's declaration of a Major Disaster, to February 18, 2022.

The State of Louisiana requested direct temporary housing assistance in all sixteen (16) Individual Assistance (IA) declared parishes. Region VI partially supports the State's request to approve direct temporary housing assistance and provided a recommendation on September 10, 2020 to approve six (6) of the declared parishes based on the available data. The Region recommended the approval of direct temporary housing assistance in the form of Multi-Family Lease and Repair (MLR), Direct Lease, and Transportable Temporary Housing Units (TTHUs) [Recreational Vehicles and Manufactured Housing Units].

As of September 8, 2020, IA registration data shows 17,726 owners and 13,570 renters self-assessed their level of home damage as major or destroyed. A large majority of these applicants are unable to return to their homes due to extensive home damage and unrestored community lifelines. Of those who reported, 92% have been referred to IHP for housing assistance, although it is estimated that 40% of IHP referred applicants are identified as having a potential duplication of benefits. The Region has also been closely working with state partners to evaluate the disaster related temporary housing needs of each parish affected and to identify housing solutions, challenges, and barriers. The State has validated an overwhelming temporary housing need through needs assessments, reports, and analysis of damage assessments.

Upon review of the data and information provided by the State and Region VI, the availability of existing rental resources, and inspection data, the IA Disaster Housing Unit (DHU) determined there are currently 6,125 applicants eligible for pre-placement interviews (PPIs) to determine need for

direct temporary housing assistance and 209 available resources in the requested parishes. The number of potentially eligible applicants that may have a temporary housing need exceeds the number of available housing resources within the requested parishes. Therefore, financial rental assistance is insufficient to meet the temporary housing need due to a lack of available housing resources. Increasing the rental assistance rate up to 125% of the Fair Market Rent (FMR) rate established by the U.S. Department of Housing and Urban Development is also inadequate to fulfill the need for temporary housing.

Parish	Number of Applicants Eligible for PPI	Total Number of Rental Resources @ 100% FMR	Total Number of Rental Resources @ 125% FMR
Allen	81	0	0
Beauregard	311	2	0
Calcasieu	5,163	74	125
Cameron[1]	318	0	0
Jefferson Davis	149	1	0
Vernon[2]	103	3	4
Total:	**6,125**	**80**	**129**

Data as of 9/8/20.

Additionally, FEMA released the "Direct Housing Implementation During a Pandemic" operational guidance in May 2020, outlining that direct temporary housing assistance approved during a pandemic would initially be limited to TTHUs and Direct Lease. The guidance prioritizes TTHU installation on private sites for owners and Direct Lease for renters. If private sites do not meet the need, TTHU installation in commercial parks is recommended for owners and renters. This guidance recommends prioritizing TTHUs and Direct Lease over other forms of assistance such as MLR; however, the Regional Administrator has the authority to decide which forms of assistance to implement based on the needs of survivors.

Based upon the State's request, the "Direct Housing Implementation During a Pandemic" operational guidance, and the supporting analysis, **I am authorizing direct temporary housing assistance in the form of TTHUs, Direct Lease, and MLR through a FEMA implemented direct housing mission** for the following IA designated parishes: Allen, Beauregard, Calcasieu, Cameron, Jefferson Davis, Vernon.

Direct temporary housing assistance is subject to the conditions set forth in the attached "Direct Temporary Housing Conditions" in addition to FP-104-009-03, Individual Assistance Program and Policy Guide (IAPPG), Chapter 3, Section V.

FEMA will also continue to evaluate whether there is a need to approve any additional parishes based on the state's request.

The Regional Administrator (RA) must provide prior notification of the types of direct temporary housing assistance that will be implemented based on the recommendations outlined in the

[1] As of 9/10/20 Calcasieu and Cameron Parish have mandatory evacuation orders in place and an increase in eligible applicants may occur once the evacuation order is lifted.
[2] Rental Resources for Vernon Parish were pulled separately from the rental resource contract.

Sheltering and Housing Assessment. In addition, the RA may approve the implementation of Group Site(s) if the other forms of direct temporary housing assistance authorized are not sufficient to meet eligible applicants' disaster-caused temporary housing needs. The RA must notify me in writing prior to approving any TTHU Group Site(s).

State Administration of Direct Housing

The State of Louisiana expressed interest in participating in the administration of direct temporary housing assistance, with the details of their participation to be developed following this approval. FEMA will continue to work with the State of Louisiana to identify opportunities for the State to support FEMA's delivery of direct temporary housing assistance and build disaster housing capacity for future events.

In order to receive financial assistance from FEMA for this purpose, the State of Louisiana must submit an application for a cooperative agreement which includes:

- Standard Form (SF) 424, Application for Federal Assistance
- SF-424C Budget Information for Non-Construction Programs
- SF-424D Assurances for Non-Construction Programs
- SF-LLL Disclosure of Lobbying Activities
- Lobbying Certification Form
- Indirect Cost Rate Agreement or Proposal (if the State wants to charge indirect costs)
    - If the state requests to use the de minimis rate, justification for this exception must be provided.
- A Housing Strategy which outlines the approach of the State in working with Federal partners, Indian tribal governments, local communities, nongovernmental organizations, and individual disaster survivors to meet disaster-related sheltering and temporary housing needs. It should also include the establishment of an activation plan for a State Disaster Housing Task Force, as outlined in the National Disaster Housing Strategy, to bring together State, tribal, local, Federal, nongovernmental, and private sector expertise to evaluate housing requirements, consider potential solutions, and recognize special needs populations.
- An Administrative Plan which demonstrates the State's ability to manage the work to be performed under the agreement and how the State will comply with all applicable Federal laws and regulations.

Should you have any questions or need additional information, please contact Christopher B. Smith, Individual Assistance Division Director, at Christopher.B.Smith@fema.dhs.gov.

Attachments: Direct Temporary Housing Conditions
FSA Amendment Template

cc: David Bibo, Acting Associate Administrator, Office of Response and Recovery
Carla Gammon, Assistant Administrator, Logistics Management Directorate
Christopher B. Smith, Director, Individual Assistance Division
Monty LeMaire, Branch Chief, Individuals and Households Program Delivery Branch, Individual Assistance Division

CONDITIONS FOR IMPLEMENTATION OF DIRECT TEMPORARY HOUSING FOR FEMA-DR-4559-LA

The following conditions apply in addition to FP-104-009-03, Individual Assistance Program and Policy Guide (IAPPG), Chapter 3:

1. An amendment to the FEMA-State Agreement (FSA) is required prior to the implementation of direct assistance.

2. Unless specifically superseded by these conditions, direct assistance will be implemented in accordance with FP-104-009-03, Individual Assistance Program and Policy Guide (IAPPG), Chapter 3.

3. The Joint Field Office (JFO) shall prioritize accessible housing units for applicants whose household includes one or more persons with a disability or other access and functional needs. FEMA may only consider placing other eligible applicants in accessible housing units when all households with accessibility needs have been housed.

4. The JFO shall determine the cost-effectiveness of a potential MLR property by conducting an analysis of repairing the property compared to other forms of temporary housing. The analysis shall include the following factors:
   a. Determine if the potential MLR property is within the approved Rental Assistance Rate for the location where the property is located.
   b. The FCO must submit a written request with justification, including a cost benefit analysis, to the Assistant Administrator (AA) for Recovery when the estimated cost of the repairs or improvements, including accessibility-related costs, exceeds the approved Rental Assistance Rate for the county where the property is located.

5. The JFO shall prioritize the use of MHUs from FEMA's inventory. In coordination with the Logistics Management Directorate, the JFO may also use MHUs from off-the-lot purchases.
   a. The number of bedrooms in the MHU must accommodate the applicant's household composition, as recorded during the FEMA inspection.
   b. Units must be constructed in accordance with 24 C.F.R. Part 3280 Manufactured Home Construction and Safety Standards ("HUD code").
   c. Dealer inventory units are not built to UFAS requirements, therefore, only FEMA contract inventory will be provided to households who require an accessible MHU.
   d. Any MHU provided for an eligible applicant with a disability or other access and functional needs, including any MHU that meets the UFAS, must be installed with a functioning TPS prior to occupancy.
   e. Any FEMA-sourced MHU provided for an eligible applicant must be installed with a functioning TPS prior to occupancy, except when doing so would cause a delay in allowing the applicant to take occupancy, in which case the TPS shall be installed at the earliest possible date after occupancy.

6. The JFO shall coordinate with the State and local officials regarding the use and placement of RVs.

7. FEMA will determine based on the household's needs whether an RV, MHU or other form of direct temporary housing will be provided.

8. Placement of RVs and MHUs as temporary housing in SFHA/1-percent annual chance year floodplain must comply with 44 C.F.R. Part 9, FEMA Directive/Instruction 108-1, Environmental Planning and Historic Preservation Responsibilities and Program Requirements, and the abbreviated decision-making process under 44 C.F.R. Part.13 when considering placement of RVs and MHUs on private and commercial sites in a floodplain.

9. FEMA will only place RVs and MHUs in SFHAs where no other housing options are available.
    a. RVs and MHUs may only be placed in an SHFA only where base flood depths are sufficiently shallow to allow for timely and safe evacuation from the RV on foot. RVs and MHUs placed in the SFHA will be adequately anchored.
    b. RV are more vulnerable to flood risk than MHUs as when utilized as temporary housing units because, unlike MHUs, the RV industry has not developed standards for their installation, including elevation and anchoring, to address varying flood zones and wind loads.
    c. Because of the potential significant risk to the safety and welfare of occupants and potential damage to property when RVs are placed in the floodplain, when practicable FEMA should prioritize the placement of MHUs over RVs on any site within the floodplain.
    d. RVs may be placed in the SFHA only where base flood depths are sufficiently shallow to allow for timely and safe evacuation from the RV on foot.

**AMENDMENT NUMBER [INSERT NUMBER] TO THE
FEMA-STATE AGREEMENT
FEMA-DR-4559-LA**

INDIVIDUAL ASSISTANCE PROGRAMMATIC ADDENDUM

This is Amendment Number [INSERT NUMBER] to the FEMA-State Agreement for FEMA-DR-4559-LA**,** declared on [INSERT DATE]**.** This Amendment serves to add the Individual Assistance (IA) Programmatic Addendum, including Subpart A: Temporary Direct Housing Assistance, which has been approved for this major disaster on [INSERT DATE].

Paragraph III. B. of the FEMA-State Agreement is hereby amended to read as follows:

B. PROGRAMMATIC ADDENDUMS. Attached and also made part of this Agreement are the following Programmatic Addendums, which unless indicated otherwise in Attachment 1 may be signed or agreed to on behalf of the State by the Governor's Authorized Representative (GAR) listed in Attachment 1, and are included on a case by case basis depending on the assistance designated and whether additional terms and conditions for implementation of specific assistance programs are needed:

[INSERT ADDENDUM TITLE]

The terms and conditions of the [INSERT AMENDMENT/ADDENDUM TITLE] are hereby incorporated by reference into this [INSERT AMENDMENT NUMBER] to the FEMA-State Agreement for major disaster FEMA-DR-4559-LA.

All other paragraphs of this Agreement remain unchanged, unless previously amended.

AGREED:

Governor's Authorized Representative	Federal Coordinating Officer Disaster Recovery Manager
Date	Date

FEMA-STATE AGREEMENT
FEMA-DR-4559-LA

INDIVIDUAL ASSISTANCE PROGRAMMATIC ADDENDUM

SUBPART [INSERT SUBPART]: TEMPORARY DIRECT HOUSING ASSISTANCE

PREVAILING TERMS AND CONDITIONS

Individual Assistance, including the Individuals and Households Program (IHP) under Stafford Act Section 408 (42 U.S.C. §5174), has been designated for this major disaster declaration, FEMA-DR-4559-LA, which was declared on [INSERT DATE]. Based on the damage resulting from the incident, FEMA determined that a lack of available housing resources existed in and around the declared area, and authorized temporary direct housing assistance under IHP for eligible applicants. Stafford Act Section 408(c)(1)(B)(i), 42 U.S.C. § 5174(c)(1)(B)(i). FEMA and the State agree to the following terms and conditions regarding the implementation of Temporary Direct Housing Assistance under this declaration:

1. In accordance with the Stafford Act, temporary direct housing assistance shall be provided for a period of up to 18 months from the date of the Presidential declaration. Stafford Act Section 408(c)(1)(B)(iii), 42 U.S.C. § 5174(c)(1)(B)(iii).

2. FEMA, in coordination with the State, will work with applicants to identify and achieve a permanent housing plan.

3. For this declaration, the 18-month period of assistance ends on [INSERT DATE].

4. If necessary, because of extraordinary circumstances, at the written request of the State, FEMA may extend the 18-month period of assistance for temporary direct housing assistance if an extension is in the public's interest. The State will request an extension, if needed, between 90 and 120 days before the end of the period of assistance. Stafford Act Section 408(c)(1)(B)(iii), 42 U.S.C § 5174(c)(1)(B)(iii). FEMA will charge fair market rent for occupants who remain in or maintain possession of the Manufactured Housing Unit (MHU) or Recreational Vehicle (RV) during the extended period of assistance. Stafford Act Section 408(c)(1)(B)(iv), 42 U.S.C. § 5174(c)(1)(B)(iv), 44 C.F.R. § 206.117(b)(1)(ii)(F).

5. FEMA will assess a monthly penalty fee for occupants who remain in or maintain possession of the MHU or RV after the end of the approved period of assistance 44 C.F.R. § 206.117(b)(1)(ii)(H).

6. Pursuant to Section 408(c)(1)(B)(ii) of the Stafford Act (42 U.S.C. § 5174(c)(1)(B)(ii)), FEMA has authority, to the extent it would be a cost-effective alternative to other temporary housing options, to enter into lease agreements with owners of multifamily rental property located in areas covered by a major disaster declaration to provide temporary housing to eligible individuals and households. FEMA may make repairs or improvements to properties under such lease agreements, to the extent necessary, to serve as safe and adequate temporary housing. Under the terms of any lease agreement, the value of the improvements or repairs

shall be deducted from the value of the lease agreement and may not exceed the value of the lease agreement.

7. Pursuant to Section 408(c)(1)(B)(i), FEMA may lease temporary housing units, including MHUs, RVs, and existing residential property, to provide directly to individuals and households who are unable to use financial rental assistance due to a lack of available housing resources.

8. Pursuant to Section 408(d)(2)(A) of the Stafford Act (42 U.S.C. § 5174(d)(2)(A)), FEMA has the authority and discretion to dispose of MHUs and RVs that were purchased for the purpose of housing eligible applicants, by selling them at a price that is "fair and equitable" directly to the individual or household occupying the unit, if those individuals or households continue to lack permanent housing. The sale of the MHU and RV is a method of disposing of a MHU or RV and is not a form of continued housing assistance.

9. Pursuant to Section 408(d)(2)(B) of the Stafford Act, if not disposed of through sales to occupants, FEMA has the authority and discretion to dispose of MHUs and RVs that were purchased for the purpose of housing eligible applicants, by donating or otherwise making them available to a State, tribe, or other government entity or to a voluntary organization for the sole purpose of providing temporary housing to disaster survivors (sometimes referred to as "victims" in the regulations) in major disasters and emergencies upon the receiving entity or organization agreeing to certain conditions:

    a. The donation of the MHU and RV is a method of disposing of an occupied MHU and is not a form of continued housing assistance.

    b. The Sales to Occupants Program must be implemented prior to initiating the occupied Donations Program and occupants who choose not to participate in the MHU or RV Sales to Occupants Program will not be considered for the MHU or RV Donations Program. Only applicants who can prove that they are unable to purchase the MHU due to their financial ability will be eligible for a potential donation.

10. Any Sales or Donation program will be carried out in accordance with all applicable FEMA regulations, policies, management directives, and standard operating procedures in effect as of the date that Amendment [INSERT AMENDMENT #] to the FEMA-State Agreement signed by both parties. If approved, Sales and Donations apply to MHUs and RVs that are occupied by eligible disaster assistance applicants. MHUs and RVs that are unoccupied will be disposed of in accordance with FEMA's excess personal property management procedures utilized by the FEMA Logistics Management Directorate. FEMA may implement a Sales or Donations program for this declaration after a written request for the Sales Program, or both, the Sales and Donations Programs, is submitted by the State. The State will only submit its request once the State has evaluated the criteria below, as appropriate, in the request.

11. In the State's request for a Sales Program, the State must evaluate the following elements:

    a. Whether the availability of rental resources is not expected to return to a level to support those currently residing in a FEMA-provided MHU or RV within the period of assistance for the declared event;

    b. Whether completing repair or replacement of disaster-damaged residences will not be possible within the period of assistance;

    c. Whether sales of MHUs or RVs will not cause an adverse impact on the economy of the community or local MHU dealers; and

    d. Whether local codes, ordinances, and the requirement of 44 C.F.R. Part 9 will support the continued or permanent placement of MHUs or RVs processed under a Sales Program.

12. In the State's request for a Donations Program, the State must evaluate the following elements:

    a. Whether a Sales Program has also been requested, or approved;

    b. Whether the declared area lacks available permanent housing resources sufficient to house disaster survivors;

    c. Whether donation of occupied MHUs or RVs will not cause a significant adverse impact on the economy of the community or local MHU or RV dealers;

    d. Whether the State is able to identify qualifying governmental entities and/or voluntary organization(s) willing to accept ownership of the occupied MHUs or RVs under FEMA's terms and conditions for the donation; and

    e. Whether local codes, ordinances, and the requirement of 44 C.F.R. Part 9 will support the continued or permanent placement of MHUs or RVs processed under a Donations Program.

13. Upon the request of the State as described in Paragraphs 10 through 12 of this Addendum, FEMA will review and may authorize the Sales and Donations Programs based on FEMA's determination that it is in the best interest of the community, using the factors discussed in the State's request, as well as FEMA's determination that:

    a. Disposing of MHUs or RVs by sale or donation is expected to be in the best interest of the Federal Government; and

    b. Sales or donations of MHUs and RVs will not cause a significant impact on FEMA's ability to maintain necessary MHU or RV inventory.

14. Upon rendering its decision on the State's written request, FEMA shall notify the State in writing of its decision to approve or deny the program.

REFERENCES:

Stafford Act Section 408, 42 U.S.C. § 5174
44 C.F.R. §§ 206.110 – 206.118
Individual Assistance Program and Policy Guide (IAPPG), FP 104-009-3, March 2019

FEMA

December 15, 2020

MEMORANDUM FOR: Tony Robinson
Regional Administrator
FEMA Region VI

FROM: Keith Turi
Assistant Administrator
Recovery Directorate

SUBJECT: DR-4559-LA Direct Lease Fair Market Rent Increase Approval for Calcasieu Parish

This memorandum is in response to your recommendation, dated December 10, 2020, to increase the U.S. Department of Housing and Urban Development (HUD) Fair Market Rent (FMR) for Direct Lease in Calcasieu Parish for DR-4559-LA. Direct temporary housing assistance in the forms of Multi-Family Lease and Repair (MLR), Direct Lease, and Transportable Temporary Housing Units (TTHUs) [Recreational Vehicles and Manufactured Housing Units] was approved on September 11, 2020 for the parishes of Allen, Beauregard, Calcasieu, Cameron, Jefferson Davis, and Vernon. Two additional parishes, Grant and Rapides, were approved on October 23, 2020.

As of December 9, 2020, FEMA estimates indicate approximately 1,920 households will require direct temporary housing assistance in Calcasieu Parish. The Direct Housing Taskforce continues to identify property owners and property management companies willing to participate in Direct Lease and MLR; however, there are little to no housing resources available in Calcasieu Parish within the currently approved cost, 200% of the HUD FMR. In addition, there are considerably fewer available commercial site locations than needed to address the eligible disaster-caused housing need, which is the basis for your approval of group site development.

FEMA identified a Direct Lease property in Calcasieu Parish with up to 300 available units that are available at a cost of 360% of HUD FMR. The Individual Assistance Division, Disaster Housing Unit has reviewed the cost comparison analysis provided in support of your request and confirmed providing Direct Lease at 360% of HUD FMR is cost-effective compared to providing TTHUs at a group site, which is the only remaining form of temporary housing assistance available for eligible disaster survivors. Additionally, increasing the allowable costs for Direct Lease will expedite FEMAs delivery of temporary housing assistance based on timelines for group site implementation.

Based upon your recommendation and the supporting analysis, **I am approving an increase of allowable Direct Lease costs to 360% of the HUD FMR in Calcasieu Parish for FEMA-DR-4559-LA**. All other conditions specified in the attached September 11, 2020 memorandum "Direct Housing Assistance Approval for FEMA-DR-4559-LA", will remain in effect.

Should you have any questions or need additional information, please contact Christopher B. Smith, Individual Assistance Division Director, at Christopher.B.Smith@fema.dhs.gov.

cc: David Bibo, Acting Associate Administrator, Office of Response and Recovery
Carla Gammon, Acting Assistant Administrator, Logistics Management Directorate
Christopher B. Smith, Director, Individual Assistance Division
Monty LeMaire, Branch Chief, Individuals and Households Program Delivery Branch, Individual Assistance Division
Lorie LaFon, Individual Assistance Branch Chief, FEMA Region VI

FEMA

September 4, 2020

MEMORANDUM FOR: Tony Robinson
Regional Administrator
Region VI

FROM: Christopher B. Smith
Director
Individual Assistance Division

CHRISTOPHER B SMITH
Digitally signed by CHRISTOPHER B SMITH
Date: 2020.09.04 20:31:24 -04'00'

SUBJECT: Individual Assistance Policy and Program Guide Wavier for Generator Reimbursement for DR-4559-LA

This memorandum is in response to the State of Louisiana's request to waive the requirement that a generator must be purchased or rented to power a medically required piece of equipment as required by FEMA Policy 104-1009-03, Individual Assistance Program and Policy Guide (IAPPG), Chapter 3, VI. B.4. The request was submitted by the Federal Coordinating Officer for DR-4459-LA and concurred on by Region VI on September 3, 2020.

In its request, the State of Louisiana indicated that 113,424 applicants in declared parishes remain without power and the power company responsible for restoring electricity expects it will take two-to-three weeks to complete power restoration in the Lake Charles area and longer in areas that remain inaccessible. In addition, it will take weeks to rebuild the transmission lines in Calcasieu and Cameron Parishes, which may delay resumption of normal electrical service.

To address the expected long-term power outages affecting a large number of disaster survivors, I am waiving the following requirements for reimbursement of generator expenses:

- The generator must be purchased or rented to power a medically required piece of equipment, including medically required refrigerators.
- The applicant must submit a statement from a medical services provider, indicating the equipment is medically necessary.

Louisiana's request also recommends that the end of the eligibility reimbursement period for this assistance be extended from the end of the incident period, August 27, 2020, to the date that commercial power is restored to the applicant's primary residence. The IAPPG allows me to approve this type of extension when warranted due to extraordinary circumstances. However, there are challenges with verifying resumption of normal electrical service on an individual basis and an additional burden would be placed on the applicant to verify the date of power restoration. To address this concern and implement a survivor-centric solution, I am waiving the eligible reimbursement end date for generators in the IAPPG and authorizing the standard eligible reimbursement period for Assistance for Miscellaneous Items to apply to generators. For this

disaster, the generator must have been purchased within 30 days after the incident start date of August 22, 2020.

All other criteria remain unchanged. If you have any questions or need any additional information, please contact Monty LeMaire, Individuals and Households Program Service Delivery Branch Chief.

cc: Keith Turi, Assistant Administrator, Recovery Directorate
Melissa Forbes, Deputy Assistant Administrator, Recovery Directorate
John Long, Federal Coordinating Officer, DR-4559-LA
Lorie Lafon, Individual Assistance Branch Chief, Region VI
Jamie Dake, Individual Assistance Branch Director, DR-4559-LA
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division

FEMA

September 14, 2020

MEMORANDUM FOR: Tony Robinson
Regional Administrator
Region VI

FROM: Christopher B. Smith
Director
Individual Assistance Division

CHRISTOPHER B SMITH
Digitally signed by CHRISTOPHER B SMITH
Date: 2020.09.14 08:18:26 -04'00'

SUBJECT: DR-4559-LA Remote Inspections Policy Waiver for Direct Temporary Housing Eligibility

This memorandum amends the Direct Temporary Housing Assistance referral threshold for pre-disaster homeowners in DR-4559-LA due to the implementation of Remote Inspections in this disaster. Section 408(c) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act and 44 C.F.R. §206.117(b) authorize FEMA to provide assistance for the disaster-related housing needs of individuals and households who are displaced from their pre-disaster primary residences or whose pre-disaster primary residences are rendered uninhabitable, or with respect to individuals with disabilities, rendered inaccessible or uninhabitable, as a result of damage caused by a major disaster.

FEMA utilizes inspections to assess disaster-caused damage and determine an applicant's real property verified loss. Remote Inspections are currently in effect for all disasters per FEMA Policy 104-009-17, *Pandemic Remote Inspection Process Individuals and Households Program (Interim Policy)* as a result of the COVID-19 Public Health Emergency declared by the Secretary of Health and Human Services.

On September 8, 2020, the State of Louisiana requested Direct Temporary Housing Assistance for all declared parishes. FEMA Policy 104-009-03, *Individual Assistance Program and Policy Guide* [1] establishes that prior to considering an applicant for Direct Temporary Housing Assistance, they must be determined, via an inspection, to be:

- An owner of a disaster-damaged primary residence with a real property verified loss amount of $17,000 or
- A renter with a disaster-damaged primary residence that was destroyed or received major damage as a result of the disaster.

FEMA then contacts these applicants to obtain information about their household's unmet temporary housing needs via a Pre-Placement Interview (PPI).

[1] FP 104-009-03, March 2019, Chapter 3: Individuals and Households Program, V. Housing Assistance (Direct), B. Direct Temporary Housing Assistance Conditions of Eligibility, 1. Conditions of Eligibility.

I am authorizing an exception to the real property verified loss threshold of $17,000 for Direct Temporary Housing Assistance authorized for DR-4559-LA, to include applicants who fall under the category "Wind Damage Level 4" under the Remote Inspection process. Homeowners of disaster-damaged homes and mobile homes that meet all other eligibility criteria and were determined Wind Damage Level 4 via Remote Inspection will be included in the PPI callout population. PPI callouts will include additional questions to determine if the severity of the wind and rain damage received indicates a need for Direct Temporary Housing Assistance. The eligibility threshold for renters will remain the same.

All other Individuals and Households Program implementation criteria and conditions remain in effect.

If you have any questions or need any additional information, please contact Monty LeMaire, Individuals and Households Program Service Delivery Branch Chief.

cc: Keith Turi, Assistant Administrator, Recovery Directorate
Melissa Forbes, Deputy Assistant Administrator, Recovery Directorate
John Long, Federal Coordinating Officer, DR-4559-LA
Lorie LaFon, Individual Assistance Branch Chief, Region VI
Jamie Dake, Individual Assistance Branch Director, DR-4559-LA
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division

U.S. Department of Homeland Security
Federal Emergency Management Agency
FEMA-State Joint Field Office
FEMA-4559-DR-LA
1500 Main Street
Baton Rouge, LA 70802

FEMA

October 27, 2020

MEMORANDUM FOR: Christopher B. Smith
Director
Individual Assistance Division
DHS/FEMA Headquarters

THROUGH: George A. Robinson
Regional Administrator
FEMA Region 6

FROM: John Long
Federal Coordinating Officer
Disaster Recovery Manager
FEMA-4559-DR-LA

JOHN E LONG Digitally signed by JOHN E LONG Date: 2020.10.27 18:58:43 -05'00'

SUBJECT: Notification of 30-day Extension to the Initial Registration Period for FEMA-4559-DR-LA

The Regional Administrator has approved a 30-day extension of the Individual Assistance (IA) registration period for Hurricane Laura, as the State of Louisiana requested in the attached email. The original registration period ends on October 27, 2020.

Pursuant to 44 CFR 206.112 (b) the State of Louisiana requested on Friday, October 23, 2020 that the IA registration period for DR-4559-LA be extended 30 days to ensure all survivors have received the proper outreach and are registered, particularly those in Non-Congregate Shelters (NCS). There is also concern for Housing and Urban Development/Public Housing Assistance (HUD/PHA) families who are being evicted from their housing to allow for repair of damages caused by the hurricane. Some of these families are unregistered, and many may go to NCS; however, we do not have sufficient data from HUD to quantify the scope.

The information submitted by the state satisfies the requirements for extending the registration period. Based on the justification provided by the state, the Regional Administrator authorized a 30-day extension to the registration period, which now ends on November 27, 2020 in all areas designated for IA.

Please direct any questions to Jamie Dake, IA Branch Director at 940-255-9652 or jamie.dake@fema.dhs.gov.

CONCUR

GEORGE A ROBINSON Digitally signed by GEORGE A ROBINSON Date: 2020.10.27 21:27:21 -05'00'

George A. Robinson
Regional Administrator
FEMA Region 6

NON-CONCUR

George A. Robinson
Regional Administrator
FEMA Region 6

Attachments: State of Louisiana's initial Request

cc: Sandra Eslinger, Deputy Federal Coordinating Officer, DR-4559-LA
Christopher B. Smith, Individual Assistance Division Director
Traci Brasher, Recovery Division Director, Region 6
Lorie LaFon, Individual Assistance Branch Chief, Region 6
Jamie Dake, Individual Assistance Branch Director, DR-4559-LA
Lisa Carlson, Deputy Individual Assistance Branch Director, DR- 4559-LA

U.S. Department of Homeland Security
Washington, DC 20472

FEMA

September 18, 2020

MEMORANDUM FOR: Dolph A. Diemont
Federal Coordinating Officer
DR-4562-OR

THROUGH: Mike O'Hare
Regional Administrator
Region X

FROM: Christopher B. Smith
Director
Individual Assistance Division

CHRISTOPHER B SMITH
Digitally signed by CHRISTOPHER B SMITH
Date: 2020.09.18 19:11:37 -04'00'

SUBJECT: Critical Needs Assistance Authorization for FEMA-DR-4562-OR

This memorandum is in response to the State of Oregon's request, dated September 16, 2020, for FEMA to provide Critical Needs Assistance (CNA) under the Individuals and Households Program (IHP) for DR-4562-OR. Section 408(e)(2) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (Stafford Act) and 44 C.F.R. 206.119(c)(6)(ii) authorize FEMA to provide assistance for necessary expenses or serious needs resulting from a major disaster.

Per FEMA Policy 104-009-03, *Individual Assistance Program and Policy Guide*, FEMA may provide financial assistance under the Other Needs Assistance (ONA) provision of IHP to applicants who have immediate or critical needs because they are displaced from their primary residence. Immediate or critical needs are life-saving and life-sustaining items including, but not limited to: water, food, first aid, prescriptions, infant formula, diapers, consumable medical supplies, durable medical equipment, personal hygiene items, and fuel for transportation. As CNA is implemented under the ONA provision of the IHP, any assistance awarded for CNA is subject to a 25 percent cost share with the State of Oregon.[1] CNA is limited to a one-time award of $500 per household.

Information provided by the State of Oregon and the Joint Field Office indicates mandatory evacuation orders remain in place in many counties and more than 80,000 individuals have evacuated from their homes. Based on the justification provided and the recommendation provided by the Federal Coordinating Officer, as delegated by the Regional Administrator, on September 16, 2020, I am authorizing a $500 CNA award, per eligible household, for an initial 14-day period from the date of the Presidential declaration for eligible applicants in the following counties: Clackamas, Douglas, Jackson, Klamath, Lane, Lincoln, Linn, and Marion.

[1] Section 408(g)(2) of the Stafford Act and 44 C.F.R. 206.110(i)(2)).

Applicants in DR-4562-OR who register for assistance by September 29, 2020, will be considered for CNA if all the following apply:

- They pass FEMA's identity verification process;
- Any associated high-risk fraud flags[2] are resolved;
- At registration, they indicate critical needs and request financial assistance for those expenses;
- Their pre-disaster primary residence is located in a declared county;
- They are displaced from their pre-disaster primary residence as a result of the disaster; and
- They report damage that may impact the habitability of their home.

If you have any questions or need any additional information, please contact Monty LeMaire, Individuals and Households Program Service Delivery Branch Chief.

cc: Keith Turi, Assistant Administrator, Recovery Directorate
Melissa Forbes, Deputy Assistant Administrator, Recovery Directorate
Lisa Davidson, Recovery Division Director, Region X
Daniel Lofaro, Individual Assistance Branch Chief, Region X
Sarah Mahlik, Individual Assistance Branch Director, DR-4562-OR
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division

[2]The criteria for assigning fraud flags are identified in the memorandum titled Processing Potentially Fraudulent "Hold Cases" and subsequent amendments to the memo noting additional fraud flags.

FEMA

October 1, 2020

MEMORANDUM FOR: Dolph A. Diemont
Federal Coordinating Officer
DR-4562-OR

FROM: Christopher B. Smith CHRISTOPHER B SMITH
Director
Individual Assistance Division

Digitally signed by CHRISTOPHER B SMITH
Date: 2020.10.01 18:16:03 -04'00'

SUBJECT: Critical Needs Assistance Extension Request for DR-4562-OR

This memorandum is in response to the State of Oregon's request to extend the eligibility period for Critical Needs Assistance (CNA) to October 13, 2020.

On September 18, 2020, I approved CNA for an initial 14-day period from the date of the Presidential declaration for eligible applicants in all declared counties authorized for Individual Assistance. The initial authorization stipulated applicants must meet all eligibility criteria specified in the approval memorandum and register for FEMA assistance by September 29, 2020.

On September 27, 2020, the State of Oregon submitted a request to extend the eligibility period to October 13, 2020, to continue to allow survivors the opportunity to receive funds for lifesaving and life sustaining items. On September 29, 2020, the Federal Coordinating Officer (FCO) submitted a recommendation to approve the state's request. The recommendation notes that, despite extensive messaging efforts at the local, state, and federal levels, conflicting information and rumors regarding the need to register as soon as possible for insured or inaccessible homes have created confusion among survivors. A coordinated effort to communicate updated information has been initiated and is expected to boost registration numbers in the days to come. The recommendation confirms there are nearly 7,500 households located in the burn area. As of the end of the initial CNA eligibility period, FEMA had received more than 6,000 valid registrations for this disaster.

An analysis of FEMA registration data indicates several counties had around a 30% rate of CNA eligibility, much higher than the average across all declared counties. Additionally, registrations have generally been trending downward since the declaration date, but a few counties demonstrated some recent increases. Based on this data, I am approving an extension of the CNA eligibility period through October 13, 2020, for Jackson, Lincoln, and Lane counties only. All other eligibility criteria identified in the original CNA approval memorandum remain unchanged.

If you have any questions or need any additional information, please contact Monty LeMaire, Individuals and Households Program Service Delivery Branch Chief.

cc: Mike O'Hare, Regional Administrator, Region X
Keith Turi, Assistant Administrator, Recovery Directorate
Melissa Forbes, Deputy Assistant Administrator, Recovery Directorate
Daniel Lofaro, Individual Assistance Branch Chief, Region X
Sarah Mahlik, Individual Assistance Branch Director, DR-4562-OR
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division

U.S. Department of Homeland Security
Washington, DC 20472

FEMA

January 25, 2020

MEMORANDUM FOR: Vincent Maykovich
Acting Regional Administrator
FEMA Region X

FROM: Keith Turi
Assistant Administrator
Recovery Directorate

SUBJECT: Direct Temporary Housing Assistance Approval for the Addition of Lane County for FEMA-DR-4562-OR

This memorandum is in response to the State of Oregon's request, dated December 22, 2020, for Direct Housing Assistance or "Direct Assistance" through the Individuals and Households Program (IHP) for FEMA-4562-DR-OR. The Housing Assistance provision of the IHP, authorized by Section 408(c) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, or "Stafford Act," as implemented in 44 C.F.R. Part 206, authorizes FEMA to provide Direct Assistance for temporary housing when eligible applicants are unable to obtain temporary housing with financial assistance due to a lack of available housing resources. FEMA determines the appropriate types of assistance based on considerations of cost-effectiveness, convenience to disaster survivors, and the suitability and availability of the types of assistance to meet the post-disaster housing needs caused by the wildfires. Direct Assistance may be provided for a period of up to 18 months from September 15, 2020, the date of the President's declaration of a Major Disaster, to March 15, 2022, for FEMA-DR-4562-OR.

The State of Oregon requested direct housing assistance in six of eight Individual Assistance designated counties (Douglas, Jackson, Lane, Lincoln, Linn, and Marion). FEMA approved Jackson, Linn and Marion counties on October 7, 2020, and Lincoln County on November 10, 2020. On January 20, 2021, Region X recommended approving Lane County for direct temporary housing assistance upon reviewing information gathered from ongoing evaluation of registration data and feasible resources, indicating the number of eligible applicants projected to have a temporary housing need exceeds the number of available housing resources in Lane County.

On October 22, 2020, a Rental Assistance Rate Increase to 125% of the Fair Market Rent (FMR) rate established by the U.S. Department of Housing and Urban Development was approved for Douglas, Jackson, Klamath, Lane, Lincoln, Linn, and Marion counties. Based on the data provided from the Joint Field Office, even with that increase rental resources are insufficient to meet the housing need in Lane County.

County	Rental Resources at FMR	Rental Resources at 125% FMR	Current Applicants Eligible for DH	Projected Applicants Approved for DH
Lane	4	20	67	15

**Rental Resource Data from Multifamily NW, state-wide housing association, weekly report as of 1/5/21*

Region X also identified that many of the projected eligible applicants for direct temporary housing assistance have pre-disaster residences outside of the identified reasonable commute time from the identified rental resources. An increase of the FMR to 200% is unlikely to add any additional viable resources to the McKenzie Valley (Lane County) area. Any rental resources that may be added would be well outside a reasonable commuting distance.

The severity and scope of destruction of the wildfires and straight-line winds has severely diminished the ability of the state to adequately respond to the housing needs of the residents of Lane County. Additionally, the lack of available rental resources, the unique commuting challenges in the McKenzie Valley, and the need to ensure that residents, front line workers, and first responders remain in the affected area is of major concern for state and local officials.
Based upon the State's request and supporting analysis, **I am authorizing direct temporary housing assistance in the form of Direct Lease, Multi-Family Lease and Repair, and Transportable Temporary Housing Units for Lane County within FEMA-4562-DR-OR**.
Conditions specified in the attached October 7, 2020, Direct Housing Assistance Approval for FEMA-4562-DR-OR memorandum will remain in effect. FEMA will continue to evaluate whether there is a need to approve additional counties based on the state's request.

Should you have any questions or need additional information, please contact Christopher B. Smith, Individual Assistance Division Director, at Christopher.B.Smith@fema.dhs.gov.

Attachment: Direct Temporary Housing Approval for FEMA-4562-DR-OR, October 7, 2020

cc: Dolph A. Diemont, Federal Coordinating Officer, FEMA-4562-DR-OR
David Bibo, Acting Associate Administrator, Office of Response and Recovery
Carla Gammon, Acting Assistant Administrator, Logistics Management Directorate
Christopher B. Smith, Director, Individual Assistance Division
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division

FEMA

October 7, 2020

MEMORANDUM FOR: Mike O'Hare
Regional Administrator
FEMA Region X

FROM: Keith Turi
Assistant Administrator
Recovery Directorate

SUBJECT: Direct Temporary Housing Approval for FEMA-DR-4562-OR

This memorandum is in response to the State of Oregon's request, dated October 2, 2020, for Direct Housing Assistance or "Direct Assistance" through the Individuals and Households Program (IHP) for FEMA-DR-4562-OR. The Housing Assistance provision of the IHP, authorized by Section 408(c) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, or "Stafford Act," as implemented in 44 C.F.R. Part 206, authorizes FEMA to provide Direct Assistance for temporary housing when eligible applicants are unable to obtain temporary housing with financial assistance due to a lack of available housing resources. FEMA determines the appropriate types of assistance based on considerations of cost-effectiveness, convenience to disaster survivors, and the suitability and availability of the types of assistance to meet the post-disaster housing needs caused by the wildfires. Direct Assistance may be provided for a period of up to 18 months from September 15, 2020, the date of the President's declaration of a Major Disaster, to March 15, 2022 for FEMA-DR-4562-OR.

On September 7, 2020, a strong and dry cold front moved rapidly southward across Oregon as an unseasonably strong area of high pressure pushed into the Columbia Basin of eastern Washington and north-central Oregon. The resulting strong winds persisted for 12 to 18 hours and dry air moved into the State for a period of 48 to 72 hours. In the 60-day period leading into the high wind and wildfire event at the beginning of September 2020, most areas of central and western Oregon received less than 10 percent of normal precipitation resulting in severe-to-extreme short and long-term drought conditions. These conditions led to record dry fuels and high Energy Release Component, which resulted in conditions that were primed for explosive growth of wildfires that either already existed or started during that time period.

The State of Oregon requested direct housing assistance in six (6) of eight (8) Individual Assistance (IA) designated counties (Douglas, Jackson, Lane, Lincoln, Linn, and Marion). Region X partially supported the state's request to approve direct temporary housing assistance and provided a

recommendation on October 3, 2020 to approve three (3) of the counties requested: Jackson, Linn and Marion based on an analysis of need and available data.

Based on the information provided, many of the areas affected by the wildfires are rural, where rental resources are scarce. Furthermore, over half of the housing stock lost or damaged in the fires were mobile homes, comprising a significant portion of the affordable housing options in these communities. Based on current registration data, in Jackson County alone, 552 mobile homes have been lost or damaged. Reports from the state and county indicate 15-20 mobile home parks were lost to the fires. Per the regional analysis, a conservative estimate of 1,500 total units lost equates to an increase of 1,000 potentially eligible applicants.

As of October 6, 2020, there are 576 applicants that are eligible for a pre-placement interview (PPI) with Jackson, Linn and Marion counties. A significant number of the PPI-eligible population (510) is in Jackson County, which accounts for 71% of the population. The following chart displays the PPI-eligible population of both owners and renters in Jackson, Linn and Marion counties.

County	Owners	Renters	Total	Total Rental Resources at 100% - 200% FMR
Jackson	213	297	**510**	**4**
Linn	8	6	**14**	**7**
Marion	14	38	**52**	**48**
Totals	**235**	**341**	**576**	**59**

**PPI-Eligible Data as of October 6, 2020. Rental Resource Availability Data as of September 27,2020*

Upon review of the data and information from the Joint Field Office (JFO), Region X, Recovery Reporting and Analytics Division (RRAD), and the Logistics Management Directorate (LMD), financial rental assistance is insufficient to meet the temporary housing need due to a lack of available housing resources. Increasing the rental assistance rate up to 125% and through 200% of the Fair Market Rent (FMR) rate established by the U.S. Department of Housing and Urban Development is also inadequate to fulfill the need for temporary housing.

Based upon the State's request and the supporting analysis, **I am authorizing direct temporary housing assistance in the form of Direct Lease, Multi-Family Lease and Repair and Transportable Temporary Housing Units through a FEMA implemented direct housing mission** for the following IA designated counties: Jackson, Linn and Marion. FEMA will continue to evaluate whether there is a need to approve any additional counties.

The Regional Administrator (RA) must provide prior notification of the types of direct temporary housing assistance that will be implemented based on the recommendations outlined in the Sheltering and Housing Assessment. In addition, the RA may approve the implementation of Group Site(s) if the other forms of direct temporary housing assistance authorized are not sufficient to meet eligible applicants' disaster-caused temporary housing needs. The RA must notify me in writing prior to approving any TTHU Group Site(s).

Direct temporary housing assistance is subject to the conditions set forth in the attached "Direct Temporary Housing Conditions" in addition to FP-104-009-03, Individual Assistance Program and Policy Guide (IAPPG), Chapter 3, Section V.

Should you have any questions or need additional information, please contact Christopher B. Smith, Individual Assistance Division Director, at Christopher.B.Smith@fema.dhs.gov.

Attachments: Direct Temporary Housing Conditions
FSA Amendment Template

cc: Dolph A. Diemont, Federal Coordinating Officer, FEMA-4562-DR-OR
David Bibo, Acting Associate Administrator, Office of Response and Recovery
Carla Gammon, Acting Assistant Administrator, Logistics Management Directorate
Christopher B. Smith, Director, Individual Assistance Division
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division

CONDITIONS FOR IMPLEMENTATION OF DIRECT TEMPORARY HOUSING FOR FEMA-DR-4562-OR

The following conditions apply in addition to FP-104-009-03, Individual Assistance Program and Policy Guide (IAPPG), Chapter 3:

1. An amendment to the FEMA-State Agreement (FSA) is required prior to the implementation of direct assistance.

2. Unless specifically superseded by these conditions, direct assistance will be implemented in accordance with FP-104-009-03, Individual Assistance Program and Policy Guide (IAPPG), Chapter 3.

3. The Joint Field Office (JFO) shall prioritize accessible housing units for applicants whose household includes one or more persons with a disability or other access and functional needs. FEMA may only consider placing other eligible applicants in accessible housing units when all households with accessibility needs have been housed.

4. The JFO shall determine the cost-effectiveness of a potential MLR property by conducting an analysis of repairing the property compared to other forms of temporary housing. The analysis shall include the following factors:
   a. Determine if the potential MLR property is within the approved Rental Assistance Rate for the location where the property is located.
   b. The FCO must submit a written request with justification, including a cost benefit analysis, to the Assistant Administrator (AA) for Recovery when the estimated cost of the repairs or improvements, including accessibility-related costs, exceeds the approved Rental Assistance Rate for the county where the property is located.

5. The JFO shall prioritize the use of MHUs from FEMA's inventory. In coordination with the Logistics Management Directorate, the JFO may also use MHUs from off-the-lot purchases.
   a. The number of bedrooms in the MHU must accommodate the applicant's household composition, as recorded during the FEMA inspection.
   b. Units must be constructed in accordance with 24 C.F.R. Part 3280 Manufactured Home Construction and Safety Standards ("HUD code").
   c. Dealer inventory units are not built to UFAS requirements, therefore, only FEMA contract inventory will be provided to households who require an accessible MHU.
   d. Any MHU provided for an eligible applicant with a disability or other access and functional needs, including any MHU that meets the UFAS, must be installed with a functioning TPS prior to occupancy.
   e. Any FEMA-sourced MHU provided for an eligible applicant must be installed with a functioning TPS prior to occupancy, except when doing so would cause a delay in allowing the applicant to take occupancy, in which case the TPS shall be installed at the earliest possible date after occupancy.

6. The JFO shall coordinate with the State and local officials regarding the use and placement of RVs.

7. FEMA will determine whether an RV, MHU or other form of direct temporary housing will be provided based on the household's needs.

8. Placement of RVs and MHUs as temporary housing in SFHA/1-percent annual chance year floodplain must comply with 44 C.F.R. Part 9, FEMA Directive/Instruction 108-1, Environmental Planning and Historic Preservation Responsibilities and Program Requirements, and the abbreviated decision-making process under 44 C.F.R. Part.13 when considering placement of RVs and MHUs on private and commercial sites in a floodplain.

9. FEMA will only place RVs and MHUs in SFHAs where no other housing options are available.
    a. RVs and MHUs may only be placed in an SHFA only where base flood depths are sufficiently shallow to allow for timely and safe evacuation from the RV on foot. RVs and MHUs placed in the SFHA will be adequately anchored.
    b. RV are more vulnerable to flood risk than MHUs as when utilized as temporary housing units because, unlike MHUs, the RV industry has not developed standards for their installation, including elevation and anchoring, to address varying flood zones and wind loads.
    c. Because of the potential significant risk to the safety and welfare of occupants and potential damage to property when RVs are placed in the floodplain, when practicable FEMA should prioritize the placement of MHUs over RVs on any site within the floodplain.
    d. RVs may be placed in the SFHA only where base flood depths are sufficiently shallow to allow for timely and safe evacuation from the RV on foot.

**AMENDMENT NUMBER [INSERT NUMBER] TO THE
FEMA-STATE AGREEMENT
FEMA-DR-4562-OR**

INDIVIDUAL ASSISTANCE PROGRAMMATIC ADDENDUM

This is Amendment Number [INSERT NUMBER] to the FEMA-State Agreement for FEMA-DR-4562-OR**,** declared on [INSERT DATE]**.** This Amendment serves to add the Individual Assistance (IA) Programmatic Addendum, including Subpart A: Temporary Direct Housing Assistance, which has been approved for this major disaster on [INSERT DATE].

Paragraph III. B. of the FEMA-State Agreement is hereby amended to read as follows:

B. PROGRAMMATIC ADDENDUMS. Attached and also made part of this Agreement are the following Programmatic Addendums, which unless indicated otherwise in Attachment 1 may be signed or agreed to on behalf of the State by the Governor's Authorized Representative (GAR) listed in Attachment 1, and are included on a case by case basis depending on the assistance designated and whether additional terms and conditions for implementation of specific assistance programs are needed:

[INSERT ADDENDUM TITLE]

The terms and conditions of the [INSERT AMENDMENT/ADDENDUM TITLE] are hereby incorporated by reference into this [INSERT AMENDMENT NUMBER] to the FEMA-State Agreement for major disaster FEMA-DR-4562-OR.

All other paragraphs of this Agreement remain unchanged, unless previously amended.

AGREED:

Governor's Authorized Representative	Federal Coordinating Officer Disaster Recovery Manager
Date	Date

FEMA-STATE AGREEMENT
FEMA-DR-4562-OR

INDIVIDUAL ASSISTANCE PROGRAMMATIC ADDENDUM

SUBPART [INSERT SUBPART]: TEMPORARY DIRECT HOUSING ASSISTANCE

PREVAILING TERMS AND CONDITIONS

Individual Assistance, including the Individuals and Households Program (IHP) under Stafford Act Section 408 (42 U.S.C. §5174), has been designated for this major disaster declaration, FEMA-DR-4562-OR, which was declared on [INSERT DATE]. Based on the damage resulting from the incident, FEMA determined that a lack of available housing resources existed in and around the declared area, and authorized temporary direct housing assistance under IHP for eligible applicants. Stafford Act Section 408(c)(1)(B)(i), 42 U.S.C. § 5174(c)(1)(B)(i). FEMA and the State agree to the following terms and conditions regarding the implementation of Temporary Direct Housing Assistance under this declaration:

1. In accordance with the Stafford Act, temporary direct housing assistance shall be provided for a period of up to 18 months from the date of the Presidential declaration. Stafford Act Section 408(c)(1)(B)(iii), 42 U.S.C. § 5174(c)(1)(B)(iii).

2. FEMA, in coordination with the State, will work with applicants to identify and achieve a permanent housing plan.

3. For this declaration, the 18-month period of assistance ends on [INSERT DATE].

4. If necessary, because of extraordinary circumstances, at the written request of the State, FEMA may extend the 18-month period of assistance for temporary direct housing assistance if an extension is in the public's interest. The State will request an extension, if needed, between 90 and 120 days before the end of the period of assistance. Stafford Act Section 408(c)(1)(B)(iii), 42 U.S.C § 5174(c)(1)(B)(iii). FEMA will charge fair market rent for occupants who remain in or maintain possession of the Manufactured Housing Unit (MHU) or Recreational Vehicle (RV) during the extended period of assistance. Stafford Act Section 408(c)(1)(B)(iv), 42 U.S.C. § 5174(c)(1)(B)(iv), 44 C.F.R. § 206.117(b)(1)(ii)(F).

5. FEMA will assess a monthly penalty fee for occupants who remain in or maintain possession of the MHU or RV after the end of the approved period of assistance 44 C.F.R. § 206.117(b)(1)(ii)(H).

6. Pursuant to Section 408(c)(1)(B)(ii) of the Stafford Act (42 U.S.C. § 5174(c)(1)(B)(ii)), FEMA has authority, to the extent it would be a cost-effective alternative to other temporary housing options, to enter into lease agreements with owners of multifamily rental property located in areas covered by a major disaster declaration to provide temporary housing to eligible individuals and households. FEMA may make repairs or improvements to properties under such lease agreements, to the extent necessary, to serve as safe and adequate temporary housing. Under the terms of any lease agreement, the value of the improvements or repairs

shall be deducted from the value of the lease agreement and may not exceed the value of the lease agreement.

7. Pursuant to Section 408(c)(1)(B)(i), FEMA may lease temporary housing units, including MHUs, RVs, and existing residential property, to provide directly to individuals and households who are unable to use financial rental assistance due to a lack of available housing resources.

8. Pursuant to Section 408(d)(2)(A) of the Stafford Act (42 U.S.C. § 5174(d)(2)(A)), FEMA has the authority and discretion to dispose of MHUs and RVs that were purchased for the purpose of housing eligible applicants, by selling them at a price that is "fair and equitable" directly to the individual or household occupying the unit, if those individuals or households continue to lack permanent housing. The sale of the MHU and RV is a method of disposing of a MHU or RV and is not a form of continued housing assistance.

9. Pursuant to Section 408(d)(2)(B) of the Stafford Act, if not disposed of through sales to occupants, FEMA has the authority and discretion to dispose of MHUs and RVs that were purchased for the purpose of housing eligible applicants, by donating or otherwise making them available to a State, tribe, or other government entity or to a voluntary organization for the sole purpose of providing temporary housing to disaster survivors (sometimes referred to as "victims" in the regulations) in major disasters and emergencies upon the receiving entity or organization agreeing to certain conditions:

    a. The donation of the MHU and RV is a method of disposing of an occupied MHU and is not a form of continued housing assistance.

    b. The Sales to Occupants Program must be implemented prior to initiating the occupied Donations Program and occupants who choose not to participate in the MHU or RV Sales to Occupants Program will not be considered for the MHU or RV Donations Program. Only applicants who can prove that they are unable to purchase the MHU due to their financial ability will be eligible for a potential donation.

10. Any Sales or Donation program will be carried out in accordance with all applicable FEMA regulations, policies, management directives, and standard operating procedures in effect as of the date that Amendment [INSERT AMENDMENT #] to the FEMA-State Agreement signed by both parties. If approved, Sales and Donations apply to MHUs and RVs that are occupied by eligible disaster assistance applicants. MHUs and RVs that are unoccupied will be disposed of in accordance with FEMA's excess personal property management procedures utilized by the FEMA Logistics Management Directorate. FEMA may implement a Sales or Donations program for this declaration after a written request for the Sales Program, or both, the Sales and Donations Programs, is submitted by the State. The State will only submit its request once the State has evaluated the criteria below, as appropriate, in the request.

11. In the State's request for a Sales Program, the State must evaluate the following elements:

a. Whether the availability of rental resources is not expected to return to a level to support those currently residing in a FEMA-provided MHU or RV within the period of assistance for the declared event;

b. Whether completing repair or replacement of disaster-damaged residences will not be possible within the period of assistance;

c. Whether sales of MHUs or RVs will not cause an adverse impact on the economy of the community or local MHU dealers; and

d. Whether local codes, ordinances, and the requirement of 44 C.F.R. Part 9 will support the continued or permanent placement of MHUs or RVs processed under a Sales Program.

12. In the State's request for a Donations Program, the State must evaluate the following elements:

    a. Whether a Sales Program has also been requested, or approved;

    b. Whether the declared area lacks available permanent housing resources sufficient to house disaster survivors;

    c. Whether donation of occupied MHUs or RVs will not cause a significant adverse impact on the economy of the community or local MHU or RV dealers;

    d. Whether the State is able to identify qualifying governmental entities and/or voluntary organization(s) willing to accept ownership of the occupied MHUs or RVs under FEMA's terms and conditions for the donation; and

    e. Whether local codes, ordinances, and the requirement of 44 C.F.R. Part 9 will support the continued or permanent placement of MHUs or RVs processed under a Donations Program.

13. Upon the request of the State as described in Paragraphs 10 through 12 of this Addendum, FEMA will review and may authorize the Sales and Donations Programs based on FEMA's determination that it is in the best interest of the community, using the factors discussed in the State's request, as well as FEMA's determination that:

    a. Disposing of MHUs or RVs by sale or donation is expected to be in the best interest of the Federal Government; and

    b. Sales or donations of MHUs and RVs will not cause a significant impact on FEMA's ability to maintain necessary MHU or RV inventory.

14. Upon rendering its decision on the State's written request, FEMA shall notify the State in writing of its decision to approve or deny the program.

REFERENCES:

Stafford Act Section 408, 42 U.S.C. § 5174
44 C.F.R. §§ 206.110 – 206.118
Individual Assistance Program and Policy Guide (IAPPG), FP 104-009-3, March 2019

U.S. Department of Homeland Security
FEMA Region 10
130 228th Street, SW
Bothell, WA 98021-8627

FEMA

April 28, 2021

MEMORANDUM FOR: Christopher B. Smith
Director
Individual Assistance Division

THROUGH: Vincent Maykovich
Acting Regional Administrator

FROM: Toney Raines
Federal Coordinating Officer
FEMA 4562DR-OR

TONEY L RAINES
Digitally signed by TONEY L RAINES
Date: 2021.04.28 18:36:17 -07'00'

SUBJECT: Direct Housing Commercial Park Restoration Policy Waiver Request for FEMA 4562DR-OR

The purpose of this memo is to request a policy waiver to provide reduced pad rent to property owners where FEMA is restoring commercial parks damaged or destroyed by the wildfires in Jackson County, Oregon (State). The initial expansion or improvement of existing commercial sites, as part of Direct Temporary Housing Assistance, is in accordance with the Stafford Act Section 408 and 44 C.F.R §206.117(b)(1)(ii)(E)(1). The Individual Assistance Program and Policy Guide (IAPPG) Chapter 3(V)(E)(2) establishes that FEMA will only consider expanding or improving existing commercial sites as a cost-effective alternative to building a group site; and that FEMA will deduct the total cost of expansion or improvements to commercial sites from the value of the lease agreement between FEMA and the park owner.

Direct Temporary Housing Assistance was approved on October 7, 2020, in the form of Direct Lease, Multi-family Lease and Repair (MLR) and Transportable Temporary Housing Units (TTHU) for FEMA 4562DR-OR. On November 13, 2020, the Regional Administrator approved two group sites for Jackson County (County).

The Joint Field Office (JFO), supported by Dewberry, Logistics Task Monitors and the United States Army Corps of Engineers (USACE), identified commercial parks (including those requiring expansion or restoration), group site locations and potential Direct Lease and MLR properties. To date, 305 commercial parks sites were assessed for TTHU placement. Of the 305 commercial parks, 50 are in the floodplain and 174 are occupied or have no utilities, making 224 parks infeasible for FEMA's use. The JFO has not identified any potential Direct Lease or MLR properties.

While the JFO continues to work with Jackson County officials to identify other possible sites, there are limited options for commercial parks and group sites. Based on the analysis in the table below, the available resources are insufficient to meet the housing need in Jackson County.

Jackson County – Direct Housing Snapshot as of 4/26/2021				
Currently Licensed In Applicants (# Pads)	Applicants Pending THU (# Pads)	Total Pads Required	Total Pads Occupied and Anticipated Available	Additional Pads Needed
90 (98)	94 (103)	201*	145	56
Occupied Commercial Site RV Pads	Anticipated Additional Commercial Site MHU Pads	Anticipated Commercial Pads to be made available via existing Pad Restoration efforts	Occupied Group Site MHU Pads	Feasible Private Sites/ MLR Units/ Direct Lease Properties
75	0**	47***	23	0

*Some families require more than one pad.
**There are nine available RV pads at Valley of the Rogue State Park, but the remaining need is for MHUs, so these are not included in the totals.
***Ongoing pad restoration work at two commercial parks, Rogue Valley Mobile Village and Totem Pole Mobile Park, are anticipated to provide 47 additional pads.

Cost Analysis

The IAPPG Chapter 3(V)(E)(2) requires that FEMA use the most cost-effective method to meet housing needs and that the expansion or improvement of an existing commercial site can only be considered if it is a cost-effective alternative to building a group site. Additionally, the IAPPG states FEMA will deduct the total cost of the expansion or improvements to a commercial site from the value of the lease agreement.

On February 26, 2021, the JFO received a policy waiver to allow payment of reduced pad rent on the restoration of two commercial parks, Talent Mobile Estates and Rogue Valley Mobile Village. Subsequently, Talent Mobile Estates became infeasible due to fiscal challenges the owner could not resolve.

Coleman Creek Estates, a commercial park with a similar cost profile for pad restoration, has been identified as a feasible alternative to Talent Mobile Estates and would fulfill the entire remaining direct housing need. Another possible option is a potential group site, Colver Road. Considering all costs, including site remediation, the proposed restoration of Coleman Creek Estates is more cost-effective than the potential Colver Road group site. It should also be noted that the approved capacity of the Colver Road group site is limited to 50 pads and will not meet the current remaining need of 56 pads. If the Coleman Creek Estates site is not approved and the Colver Road site was used, a secondary site would still be necessary to provide the six additional required pads.

However, even though the Coleman Creek Estates site is more cost-effective overall, a policy waiver is required because FEMA is unable to deduct the total cost of expansion or improvements to the commercial site from the value of the lease agreement. Payment of a reduced rent (in this case, a land lease) is necessary to provide the commercial park owner income to maintain operations for the commercial park while FEMA direct temporary housing applicants occupy the park.

Cost Comparison 12-month Timeline		
	Colver Road Group Site (50 MHU pads)	**Coleman Creek Estates Pad Restoration (up to 65 MHU pads)**
Land Lease	$61,000	$60,000
Pad Lease	--	--
Lease Total	**$61,000**	**$60,000**
Construction	$3,100,000	$3,546,000
Unit Installation ($16,000/pad)	$800,000	$1,040,000
Site Preparation	--	$850,000
Retrograde	$1,500,000	--
Totals Estimated Cost	**$5,461,000**	**$5,496,000**
Estimated Cost per Pad	**$109,220**	**$84,554**

Feasibility Assessment

All commercial parks being considered for restoration are located in the hardest hit communities of Medford and Talent within Jackson County. Individuals in these communities comprise 85 percent of the valid Individual Assistance (IA) registrations for the County, and the commercial parks being considered would help ensure survivors remain close to their work, schools, medical practitioners and community resources. The State of Oregon requested funding under Public Assistance to conduct commercial private property debris removal in Jackson County and FEMA approved the request. Debris removal in commercial parks has already begun in Jackson County and will include the parks identified by FEMA for park restoration as priority. Once debris removal is complete, FEMA will mission assign USACE to conduct soil testing and backfill operations to ensure there is no potential for toxic exposures or threats to public health as a result of the fire related debris. Once the area is cleared by USACE, a favorable Record of Environmental Considerations from the

Regional Environmental Officer will be required. Coleman Creek Estates has been reviewed by the Environmental and Historic Preservation Advisor and mapped by floodplain specialists; both have approved its use.

As previously mentioned, the current focus of private property debris removal is on commercial parks, not individual private properties. In mid-March, the JFO attempted to contact the eligible IA applicants in Jackson County who were still awaiting temporary housing units. Ninety-two (92) successful calls were made; five lived on private property pre-disaster and 87 lived in commercial RV/Mobile Home parks. None of the five private properties met the requirements for private site placement (debris removal, electric/gas, water, sewer, etc.). Of note, 18 of these households previously lived in Coleman Creek Estates, so the restoration of this site may be mutually beneficial to FEMA and to the survivors.

Based on the information and cost analysis provided in this memorandum, restoration of fire-damaged commercial parks is the most cost-effective way to meet the remaining need in Jackson County. As such, we are requesting the approval of this waiver of the policy language stating that FEMA will deduct the total cost of expansion or improvements to commercial sites from the value of the lease agreement between FEMA and the park owner. Instead FEMA will pay the park owner a reduced amount based on lease negotiations. This waiver will allow FEMA to restore Coleman Creek Estates, Medford, Oregon for FEMA 4562DR-OR, rather than pursuing the more expensive option of building a group site.

All other requirements in the IAPPG will continue to be followed in implementation of Direct Temporary Housing Assistance. If you have any questions, please contact Anita Jeanette, Individual Assistance Branch Director, at anita.jeanette@fema.dhs.gov.

CHRISTOPHER B SMITH Digitally signed by CHRISTOPHER B SMITH Date: 2021.05.06 14:51:53 -04'00'

Concur _____ Non-Concur _____

Christopher B. Smith
Division Director
Individual Assistance

Christopher B. Smith
Division Director
Individual Assistance

cc: Keith Turi, Assistant Administrator, Recovery Directorate
Monty LeMaire, Branch Chief, Individuals and Households Program Delivery Branch, Individual Assistance Division
Daniel LoFaro, Individual Assistance Branch Chief, FEMA Region 10
Anita Jeanette, Individual Assistance Branch Director, FEMA 4562DR-OR

US Department of Homeland Security
Federal Emergency Management Agency
FEMA-4562-DR-OR
Salem Joint Field Office (JFO)
550 Hawthorne Ave SE
Salem, OR 97301

FEMA

December 16, 2021

MEMORANDUM FOR: Keith Turi
Assistant Administrator
Recovery Directorate

THROUGH: Vincent Maykovich
Regional Administrator (Acting)
FEMA Region 10

VINCENT J MAYKOVICH Digitally signed by VINCENT J MAYKOVICH Date: 2021.12.17 09:00:04 -08'00'

FROM: Timothy B. Manner
Federal Coordinating Officer
Disaster Recovery Manager
FEMA 4562DR-OR

TIMOTHY B MANNER Digitally signed by TIMOTHY B MANNER Date: 2021.12.16 08:40:34 -08'00'

SUBJECT: Direct Temporary Housing Period of Assistance Extension Request for FEMA 4562DR-OR

This memorandum is in response to the State of Oregon's request, dated November 30, 2021, for an extension to the Direct Temporary Housing program's period of assistance under the Individuals and Households Program (IHP) for FEMA-4562-DR-OR. The declaration date for this disaster was September 15, 2020. The State is requesting an extension until September 15, 2022.

Section 408 (c)(1)(B)(iii) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, (Stafford Act) as implemented in 44 C.F.R. Part 206.117, authorizes FEMA to provide Direct Temporary Housing Assistance for a period of up to 18 months from the date of a Presidentially declared major disaster. The 18-month period of assistance may be extended if FEMA determines that due to extraordinary circumstances an extension would be in the public interest.

The current period of Direct Temporary Housing Assistance will end on March 15, 2022. As of December 6, 2021, 79 pre-disaster owners and 126 pre-disaster renters, for a total of 205 households, are still occupying Temporary Housing Units (THUs). Based on the analysis of the recertification data, approximately 87% of these households are not estimated to complete their permanent housing plan (PHP) at the end of the 18-month program in March of 2022. The State of Oregon has expressed that there are continuing challenges that are preventing direct housing occupants from achieving their PHP by the end of the current program period.

Prior to the 2020 Wildfires, the State of Oregon was experiencing a significant affordable housing crisis. The global pandemic and devastating wildfires exacerbated the existing crisis and barriers continue to prevent survivors from moving ahead with their recovery. In addition, the remaining 205 direct housing recipients are barred from returning to their previous residential sites because the site no longer exists. They also have difficulty moving to a new location as the availability rate for affordable housing is less than 1% in the State of Oregon at this time. Some of the greatest impacts have been:

- The cost of building materials has quadrupled in the last 18 months;
- National supply chain disruptions creating shortages of building materials;
- Owners of mobile home parks unable to financially recover and rebuild the infrastructure necessary to re-open sites;
- Damaged water and septic systems are still in need of replacement and repair funding is scarce, and the supply chain is backed up.

While there are many barriers to overcome in vulnerable communities across the State of Oregon, the opportunity to develop long-term housing options for wildfire survivors is possible with an extension of the direct housing program.

The Sales and Donations program was approved on August 10, 2021. Of the 205 households in direct housing, 181 were initially eligible. Of those 181 households, 127 households are currently deemed eligible and 54 households are not eligible. FEMA sent 160 total Notice of Interest letters, 144 of which were returned, resulting in 113 signed first offers including 19 households that are currently petitioning for a lower price. One (1) sale is in its final disposition and should be complete before December 14, 2021. Thus, of the 205 households in Direct Housing, an extension appears necessary for at least half of the households, if not more, to purchase the units. In addition, households who do not qualify for purchase require additional time to complete their PHPs.

The State of Oregon has received Community Development Block Grant funding (CDBG-DR) for $422 million which will positively impact communities and aid in the recovery process. The Disaster Case Management Program (DCM) was approved for $6.6 million, which aid survivors in achieving permanent housing plans. Long Term Recovery Groups (LTRGs) who are working hand in hand with the DCMs are active in supporting cases to assist with permanent housing plans for survivors. Additionally, funding will be available that will directly impact the intermediate housing supply to support work with land developers and contractors to acquire RV, Modular, and Tiny Home purchases. Land acquisition, as well as financial resources for survivors, are another line of effort that will remove barriers for manufactured home replacement and development.

Based on the information provided by the State of Oregon, and through the authority delegated to me by the Regional Administrator, I recommend an extension of Direct Temporary Housing Assistance through September 15, 2022.

All eligible occupants who remain in FEMA THUs beyond March 15, 2022, will be charged rent during the extension period in accordance with 44 C.F.R. § 206.117 and FP-104-009-03,

Individual Assistance Program and Policy Guide (IAPPG), Chapter 3, Section V.D. Prior to the end of the period of the initial 18-month period of assistance, FEMA will provide a 30-day written notice of the requirement to pay monthly rent to occupants remaining in a FEMA THU during this extension. FEMA staff will actively work with occupants to determine the amount of rent within their financial ability, up to the HUD Fair Market Rent (FMR), for THU occupants who remain in or maintain possession of a THU beyond March 15, 2022. This amount will be based on each household's income and current housing expenses.

The following conditions will apply to this extension:

- All Direct Housing occupants must have a viable PHP with a scheduled move out date. The move out date must be stated in their PHP prior to March 15, 2022, and the move out date no later than September 15, 2022.
- By May 15, 2022, the State of Oregon must submit a comprehensive 90-, 60-, and 30-day milestone timeline detailing the housing mission closeout.
- The State of Oregon must continue to identify non-responsive and ineligible occupants and refer them to FEMA for revocation, as appropriate.
- The State of Oregon must participate in bi-weekly roundtable meetings with FEMA, to include all state stakeholder agencies, Disaster Case Management personnel, and Voluntary Agency Liaisons to provide updates on the progress of assisting individual families identify and move to viable housing solutions.
- All conditions from the original approval of this program, dated October 7, 2020, remain in effect.

All occupants must return the THU to FEMA by September 15, 2022. Failure to return possession of the unit to FEMA by this deadline will result in FEMA assessing the occupant a monthly penalty fee in accordance with *Chapter 3, Section V.D. of the IAPPG*. FEMA Staff will continue to work with each occupant to help them identify options and overcome obstacles to obtaining permanent housing.

If you have any questions or need any additional information, please contact Timothy Manner, Federal Coordinating Officer (FCO) by phone, 202-531-5944 or by email, timothy.manner@fema.dhs.gov.

Based on the information provided by the State of Oregon and the Regional Administrator's recommendation and analysis, I authorize an extension of Direct Temporary Housing Assistance through September 15, 2022, for FEMA 4562DR-OR for commercial parks and group sites.

December 21, 2021

CONCUR [DATE]
Keith Turi
Assistant Administrator
Recovery Directorate

NON-CONCUR [DATE]
Keith Turi
Assistant Administrator
Recovery Directorate

cc: Timothy Manner, Federal Coordinating Officer
Christopher B. Smith, Individual Assistance Division Director
Trevor Jordan, Acting Individual Assistance Branch Chief, Region 10
Anita "AJ" Jeanette, Individual Assistance Branch Director, FEMA 4562DR-OR
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division

Attachment: State of Oregon Request Letter dated November 30, 2021.

U.S. Department of Homeland Security
Joint Field Office
FEMA 4562-DR-OR
2850 Broadway Street N.E.
Salem, OR 97303

FEMA

November 14, 2020

MEMORANDUM FOR: Michael F. O'Hare
Regional Administrator
FEMA Region 10

FROM: Dolph A. Diemont
Federal Coordinating Officer
FEMA-4562-DR-OR

DOLPH A DIEMONT Digitally signed by DOLPH A DIEMONT Date: 2020.11.14 07:22:20 -08'00'

SUBJECT: Individual Assistance Program Application Period Extension
FEMA-4562-DR-OR

Under the provisions of 44 CFR 206.112 (b), the State of Oregon has requested that the registration period for the Individual Assistance Program be extended for eligible survivors in Jackson, Klamath, Lincoln, Linn, Marion, Lane, Douglas, and Clackamas Counties.

In consultation with the State of Oregon, I am approving an extension of the Individual Assistance Program application period for those counties. The application period will be extended from November 16, 2020, to November 30, 2020.

If you have any questions, please have your staff contact Sarah Mahlik, Individual Assistance Branch Director, FEMA-4562-DR-OR at 425-367-2369 or sarah.mahlik@fema.dhs.gov.

Attachment – State Request

cc: Keith Turi, Assistant Administrator, Recovery Directorate
Christopher B. Smith, Individual Assistance Division Director, Recovery Directorate
Lisa Davidson, Recovery Division Director, FEMA Region 10
Monty LeMaire, Branch Chief, IHP Service Delivery Branch
Sarah Mahlik, Individual Assistance Branch Director, FEMA-4562-DR-OR
Daniel LoFaro, Region X, Individual Assistance Branch Chief

U.S. Department of Homeland Security
Interim Operating Facility
FEMA 4562-DR-OR
200 Commercial Street S.E.
Salem, OR 97301-3426

FEMA

October 22, 2020

MEMORANDUM FOR: Keith Turi
Assistant Administrator Recovery
Directorate

FROM: Dolph A. Diemont
Federal Coordinating Officer
DR-4562-DR-OR

DOLPH A DIEMONT Digitally signed by DOLPH A DIEMONT Date: 2020.10.22 15:40:03 -07'00'

SUBJECT: Rental Assistance Rate Increase Notification for FEMA-DR-4562-OR

The purpose of this memorandum is to inform you of our decision to increase the Rental Assistance Rate to 125% of the published U.S. Department of Housing and Urban Development (HUD) Fair Market Rent (FMR) for DR-4562-DR-OR in Douglas, Jackson, Klamath, Lane, Lincoln, Linn and Marion counties.

We have evaluated the need for this Rental Assistance rate increase by comparing U.S. Census Bureau American Community Survey statistics on housing inventory and vacancy rates to the best available data on disaster-caused housing impacts in the declared disaster areas.

As part of the Shelter and Housing Field Team assessment, FEMA utilized the Housing Resources Contract to identify rental resource availability in the requested counties. FEMA's analysis determined that there are insufficient resources available in the affected areas at 100% FMR to meet the potential demand. The final Housing Resources report is attached for review.

Based on the above information and the attached FMR Calculator result, I am approving an increase of the published FMR for temporary housing assistance for Douglas, Jackson, Klamath, Lane, Lincoln, Linn and Marion counties, as shown in the table below.

COUNTY	PERCENT INCREASE
Douglas County	125%
Jackson County	125%
Klamath County	125%
Lane County	125%
Lincoln County	125%
Linn County	125%
Marion County	125%

If you have any questions, please have your staff contact Sarah Mahlik, Individual Assistance Branch Director, DR-4562-DR-OR**,** at (425) 367-2369.

cc:

Michael F. O'Hare, Regional Administrator, Region 10
Daniel LoFaro, Individual Assistance Branch Chief, Region 10
Sarah Mahlik, Individual Assistance Branch Director, DR-4562-DR-OR
Monty LeMaire, Branch Chief, Individuals and Households Program Delivery Branch

U.S. Department of Homeland Security
Region IX
1111 Broadway, Suite 1200
Oakland, CA 94607-4052

FEMA

December 18, 2020

MEMORANDUM FOR: Keith Turi
Assistant Administrator
Recovery Directorate

FROM: Robert J. Fenton
Regional Administrator
FEMA Region IX

SUBJECT: Siskiyou County Direct Temporary Housing for FEMA-4569-DR-CA

The Housing Assistance provision of the Individuals and Households Program, authorized by Section 408(c) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, or "Stafford Act," as implemented in 44 C.F.R. Part 206, authorizes FEMA to provide direct assistance for temporary housing when eligible applicants are unable to obtain temporary housing with financial assistance due to a lack of available housing resources. The State of California requested direct housing for FEMA-4558-DR-CA in Siskiyou county on November 1, 2020.

As of December 16, 2020, there are 73 households in Siskiyou county potentially eligible for direct housing. FEMA uses the Real Property-FEMA Verified Loss of $17,000 or greater for owners and renters whose pre-disaster residence is recorded as "major damage" or "destroyed" during FEMA's inspection.

The Joint Field Office (JFO) found there is an increase in households meeting the Real Property-FEMA Verified Loss thresholds in Siskiyou county, further increasing the need for rental resources and direct temporary housing assistance. In addition, the JFO's assessment of available housing options confirmed that there are no rental resources in Happy Camp, where the majority of homes were destroyed.

Based upon the State's request and the supporting analysis, and in accordance with your memorandum dated November 6, 2020, I am approving direct temporary housing assistance utilizing the most cost-efficient form of direct housing, which would include options for direct lease or commercial/private sites with travel trailers or excess travel trailers for Siskiyou County only. Please note, however, that the JFO confirmed there are no options for Direct Lease in Siskiyou County. Commercial parks are limited as well. The last resort for housing would be the use of manufactured housing units.

Direct Housing may be provided for a period of up to 18 months from October 16, 2020, the date of the President's declaration of a Major Disaster, to April 16, 2022 for FEMA-DR-4569-CA.

cc: Robert M. Pesapane, Regional Recovery Division Director, Region 9
Willie G. Nunn, Federal Coordinating Officer, FEMA-DR-4558-CA
David Bibo, Acting Associate Administrator, Office of Response and Recovery
Carla Gammon, Acting Assistant Administrator, Logistics Management Directorate
Christopher B. Smith, Director, Individual Assistance Division
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division

FEMA

December 23, 2020

MEMORANDUM FOR: George A. Robinson
Regional Administrator
FEMA Region VI

FROM: Keith Turi
Assistant Administrator
Recovery Directorate

SUBJECT: Direct Housing Assistance Approval for FEMA-DR-4570-LA

This memorandum is in response to the State of Louisiana's request, dated December 11, 2020, for direct temporary housing assistance through the Individuals and Households Program (IHP) for FEMA-DR-4570-LA. The Housing Assistance provision of the IHP, authorized by Section 408(c) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, or "Stafford Act," as implemented in 44 C.F.R. Part 206, authorizes FEMA to provide direct assistance for temporary housing when eligible applicants are unable to obtain temporary housing with financial assistance due to a lack of available housing resources. Direct temporary housing assistance may be provided for periods of up to 18 months from October 16, 2020, the date of the President's declaration of a Major Disaster, to April 16, 2022.

The State of Louisiana requested direct temporary housing assistance in all ten Individual Assistance (IA) declared parishes. Region VI partially supports the State's request to approve direct temporary housing assistance and provided a recommendation on December 17, 2020 to approve nine of the declared parishes based on the available data. The Region recommended the approval of direct temporary housing assistance in the form of Direct Lease, Multi-Family Lease and Repair (MLR), and Transportable Temporary Housing Units (TTHUs) [Recreational Vehicles and Manufactured Housing Units]. FEMA worked closely with the State of Louisiana, including the Governor's Office of Homeland Security and Emergency Management to evaluate the disaster related housing needs of each parish affected by Hurricane Delta and to identify housing solutions, challenges, and barriers.

Upon review of the data and information provided by the State and Region VI, the availability of existing rental resources, and inspection data, the IA Disaster Housing Unit determined there are currently 1,288 applicants eligible for pre-placement interviews (PPIs) to determine need for direct temporary housing assistance and 205 available resources in the requested parishes. The availability of housing resources is greatly limited due to many of the requested parishes being previously affected by Hurricane Laura, DR-4559-LA. The number of potentially eligible applicants that may have a temporary housing need exceeds the number of available housing resources within the requested parishes. Therefore, financial rental assistance is insufficient to meet the temporary housing need due to a lack of available housing resources. Increasing the rental assistance rate up to

125% of the Fair Market Rent rate established by the U.S. Department of Housing and Urban Development is also inadequate to fulfill the need for temporary housing.

Parish	Parish Approved for DH in 4559	DR-4570: Meets DH Threshold for PPI			DR-4570/DR-4559 Crossover (DH Referrals in DR-4559)			Available Rental Resources	Unique/New DH Referrals			Projected Number of DH Units Needed		
		Owners	Renters	Total	Owners	Renters	Total		Owners	Renters	Total	Owners	Renters	Total
Acadia	N	63	32	**95**	0	0	**0**	2	63	32	**95**	19	10	**29**
Beauregard	Y	45	10	**55**	8	2	**10**	3	37	8	**45**	11	2	**14**
Calcasieu	Y	521	305	**826**	183	63	**246**	108	338	242	**580**	101	73	**174**
Cameron	Y	93	10	**103**	55	2	**57**	0	38	8	**46**	15	3	**18**
Jefferson Davis	Y	47	19	**66**	9	2	**11**	2	38	17	**55**	11	5	**17**
Rapides	Y	11	11	**22**	2	4	**6**	75	9	7	**16**	3	2	**5**
St. Landry	N	20	15	**35**	0	0	**0**	3	20	15	**35**	6	5	**11**
St. Martin	N	21	13	**34**	0	0	**0**	3	21	13	**34**	6	4	**10**
Vermillion	N	41	11	**52**	0	0	**0**	9	41	11	**52**	12	3	**16**
Totals		**862**	**426**	**1,288**	**257**	**73**	**330**	**205**	**605**	**353**	**958**	**184**	**107**	**294**

Data as of 12/15/20

Additionally, FEMA released the "Direct Housing Implementation During a Pandemic" operational guidance in May 2020, outlining that direct temporary housing assistance approved during a pandemic would initially be limited to TTHUs and Direct Lease. The guidance prioritizes TTHU installation on private sites for owners and Direct Lease for renters. If private sites do not meet the need, TTHU installation in commercial parks is recommended for owners and renters. This guidance recommends prioritizing TTHUs and Direct Lease over other forms of assistance such as MLR; however, you have the authority to decide which forms of assistance to implement based on the needs of survivors.

Based upon the State's request, your recommendation, the "Direct Housing Implementation During a Pandemic" operational guidance, and the supporting analysis, **I am authorizing direct temporary housing assistance in the form of Direct Lease, MLR, and TTHUs through a FEMA implemented direct housing mission** for the following IA designated parishes: Acadia, Beauregard, Calcasieu, Cameron, Jefferson Davis, Rapides, St. Landry, St. Martin, and Vermillion.

Direct temporary housing assistance is subject to the conditions set forth in the attached "Direct Temporary Housing Conditions" in addition to FP-104-009-03, Individual Assistance Program and Policy Guide, Chapter 3, Section V.

FEMA will continue to evaluate whether there is a need to approve any additional parishes based on the state's request.

You must notify me of the types of direct temporary housing assistance that will be implemented. In addition, you may approve the implementation of Group Site(s) if the other forms of direct temporary housing assistance authorized are not sufficient to meet eligible applicants' disaster-caused temporary housing needs. You must notify me in writing prior to approving any TTHU Group Site(s).

State Administration of Direct Housing

The State-Administered Direct Housing Grant pursuant to section 408(f) of the Stafford Act provides funding to States, U.S. territories, and federally recognized tribes after a presidential disaster declaration to directly administer direct housing assistance. FEMA's authority to award the State-Administered Direct Housing Grant under a two-year pilot program expired on October 5, 2020. The President declared a Major Disaster for the State of Louisiana in response to Hurricane Delta on October 16, 2020, which falls outside of the two-year pilot program. As a result, FEMA does not have the authority to approve the State's request for a State-Administered Direct Housing Grant for DR-4570-LA. FEMA will continue to work closely with the State to identify permanent or longer-term housing solutions for eligible individuals and households.

Should you have any questions or need additional information, please contact Christopher B. Smith, Individual Assistance Division Director, at Christopher.B.Smith@fema.dhs.gov.

Attachments: Direct Temporary Housing Conditions
FSA Amendment Template

cc: David Bibo, Acting Associate Administrator, Office of Response and Recovery
Carla Gammon, Acting Assistant Administrator, Logistics Management Directorate
John E. Long, Federal Coordinating Officer, DR-4570-LA
Christopher B. Smith, Director, Individual Assistance Division
Lorie LaFon, Individual Assistance Branch Chief, Region VI
Lisa Carlson, Individual Assistance Branch Director, DR-4570-LA
Monty LeMaire, Branch Chief, Individuals and Households Program Delivery Branch, Individual Assistance Division

CONDITIONS FOR IMPLEMENTATION OF DIRECT TEMPORARY HOUSING FOR FEMA-DR-4570-LA

The following conditions apply in addition to FP-104-009-03, Individual Assistance Program and Policy Guide (IAPPG), Chapter 3:

1. An amendment to the FEMA-State Agreement (FSA) is required prior to the implementation of direct assistance.

2. Unless specifically superseded by these conditions, direct assistance will be implemented in accordance with FP-104-009-03, Individual Assistance Program and Policy Guide (IAPPG), Chapter 3.

3. The Joint Field Office (JFO) shall prioritize accessible housing units for applicants whose household includes one or more persons with a disability or other access and functional needs. FEMA may only consider placing other eligible applicants in accessible housing units when all households with accessibility needs have been housed.

4. The JFO shall determine the cost-effectiveness of a potential MLR property by conducting an analysis of repairing the property compared to other forms of temporary housing. The analysis shall include the following factors:
   a. Determine if the potential MLR property repairs cost up to $40,000 per unit, which may be approved by the RA or FCO if the authority has been delegated to the FCO.
   b. The RA or FCO must submit a written request with justification, including a cost benefit analysis, to the Assistant Administrator (AA) for Recovery for properties that have repair estimates over $40,000 per unit. The RA or FCO request must show:
      i. Why increasing MLR property repair costs above acquisition costs of an RV or MHU, are a more feasible, cost-effective and survivor centric solution;
      ii. Include the requested increased MLR unit cost; and
      iii. Include justification why this increase will be a more cost-effective option than MHUs being utilized in the disaster.

5. The JFO shall prioritize the use of MHUs from FEMA's inventory. In coordination with the Logistics Management Directorate, the JFO may also use MHUs from off-the-lot purchases.
   a. The number of bedrooms in the MHU must accommodate the applicant's household composition, as recorded during the FEMA inspection.
   b. Units must be constructed in accordance with 24 C.F.R. Part 3280 Manufactured Home Construction and Safety Standards ("HUD code").
   c. Dealer inventory units are not built to UFAS requirements, therefore, only FEMA contract inventory will be provided to households who require an accessible MHU.
   d. Any MHU provided for an eligible applicant with a disability or other access and functional needs, including any MHU that meets the UFAS, must be installed with a functioning TPS prior to occupancy.
   e. Any FEMA-sourced MHU provided for an eligible applicant must be installed with a functioning TPS prior to occupancy, except when doing so would cause a delay in allowing the applicant to take occupancy, in which case the TPS shall be installed at the earliest possible date after occupancy.

6. The JFO shall coordinate with the State and local officials regarding the use and placement of RVs.

7. FEMA will determine based on the household's needs whether an RV, MHU or other form of direct temporary housing will be provided.

8. Placement of RVs and MHUs as temporary housing in SFHA/1-percent annual chance year floodplain must comply with 44 C.F.R. Part 9, FEMA Directive/Instruction 108-1, Environmental Planning and Historic Preservation Responsibilities and Program Requirements, and the abbreviated decision-making process under 44 C.F.R. Part.13 when considering placement of RVs and MHUs on private and commercial sites in a floodplain.

9. FEMA will only place RVs and MHUs in SFHAs where no other housing options are available.
    a. RVs and MHUs may only be placed in an SHFA only where base flood depths are sufficiently shallow to allow for timely and safe evacuation from the RV on foot. RVs and MHUs placed in the SFHA will be adequately anchored.
    b. RVs are more vulnerable to flood risk than MHUs as when utilized as temporary housing units because, unlike MHUs, the RV industry has not developed standards for their installation, including elevation and anchoring, to address varying flood zones and wind loads.
    c. Because of the potential significant risk to the safety and welfare of occupants and potential damage to property when RVs are placed in the floodplain, when practicable FEMA should prioritize the placement of MHUs over RVs on any site within the floodplain.
    d. RVs may be placed in the SFHA only where base flood depths are sufficiently shallow to allow for timely and safe evacuation from the RV on foot.

**AMENDMENT NUMBER [INSERT NUMBER] TO THE
FEMA-STATE AGREEMENT
FEMA-DR-4570-LA**

INDIVIDUAL ASSISTANCE PROGRAMMATIC ADDENDUM

This is Amendment Number [INSERT NUMBER] to the FEMA-State Agreement for FEMA-DR-4570-LA**,** declared on [October 16, 2020]**.** This Amendment serves to add the Individual Assistance (IA) Programmatic Addendum, including Subpart A: Temporary Direct Housing Assistance, which has been approved for this major disaster on [INSERT DATE].

Paragraph III. B. of the FEMA-State Agreement is hereby amended to read as follows:

B. PROGRAMMATIC ADDENDUMS. Attached and also made part of this Agreement are the following Programmatic Addendums, which unless indicated otherwise in Attachment 1 may be signed or agreed to on behalf of the State by the Governor's Authorized Representative (GAR) listed in Attachment 1, and are included on a case by case basis depending on the assistance designated and whether additional terms and conditions for implementation of specific assistance programs are needed:

[INSERT ADDENDUM TITLE]

The terms and conditions of the [INSERT AMENDMENT/ADDENDUM TITLE] are hereby incorporated by reference into this [INSERT AMENDMENT NUMBER] to the FEMA-State Agreement for major disaster FEMA-DR-4570-LA.

All other paragraphs of this Agreement remain unchanged, unless previously amended.

AGREED:

Governor's Authorized Representative	Federal Coordinating Officer Disaster Recovery Manager
Date	Date

FEMA-STATE AGREEMENT
FEMA-DR-4570-LA

INDIVIDUAL ASSISTANCE PROGRAMMATIC ADDENDUM

SUBPART [INSERT SUBPART]: TEMPORARY DIRECT HOUSING ASSISTANCE

PREVAILING TERMS AND CONDITIONS

Individual Assistance, including the Individuals and Households Program (IHP) under Stafford Act Section 408 (42 U.S.C. §5174), has been designated for this major disaster declaration, FEMA-DR-4570-LA, which was declared on [INSERT DATE]. Based on the damage resulting from the incident, FEMA determined that a lack of available housing resources existed in and around the declared area, and authorized temporary direct housing assistance under IHP for eligible applicants. Stafford Act Section 408(c)(1)(B)(i), 42 U.S.C. § 5174(c)(1)(B)(i). FEMA and the State agree to the following terms and conditions regarding the implementation of Temporary Direct Housing Assistance under this declaration:

1. In accordance with the Stafford Act, temporary direct housing assistance shall be provided for a period of up to 18 months from the date of the Presidential declaration. Stafford Act Section 408(c)(1)(B)(iii), 42 U.S.C. § 5174(c)(1)(B)(iii).

2. FEMA, in coordination with the State, will work with applicants to identify and achieve a permanent housing plan.

3. For this declaration, the 18-month period of assistance ends on [INSERT DATE].

4. If necessary, because of extraordinary circumstances, at the written request of the State, FEMA may extend the 18-month period of assistance for temporary direct housing assistance if an extension is in the public's interest. The State will request an extension, if needed, between 90 and 120 days before the end of the period of assistance. Stafford Act Section 408(c)(1)(B)(iii), 42 U.S.C § 5174(c)(1)(B)(iii). FEMA will charge fair market rent for occupants who remain in or maintain possession of the Direct Lease unit, MLR unit, Manufactured Housing Unit (MHU) or Recreational Vehicle (RV) during the extended period of assistance. Stafford Act Section 408(c)(1)(B)(iv), 42 U.S.C. § 5174(c)(1)(B)(iv), 44 C.F.R. § 206.117(b)(1)(ii)(F).

5. FEMA will assess a monthly penalty fee for occupants who remain in or maintain possession of the Direct Lease unit, MLR unit, MHU or RV after the end of the approved period of assistance 44 C.F.R. § 206.117(b)(1)(ii)(H).

6. Pursuant to Section 408(c)(1)(B)(ii) of the Stafford Act (42 U.S.C. § 5174(c)(1)(B)(ii)), FEMA has authority, to the extent it would be a cost-effective alternative to other temporary housing options, to enter into lease agreements with owners of multifamily rental property located in areas covered by a major disaster declaration to provide temporary housing to eligible individuals and households. FEMA may make repairs or improvements to properties under such lease agreements, to the extent necessary, to serve as safe and adequate temporary

housing. Under the terms of any lease agreement, the value of the improvements or repairs shall be deducted from the value of the lease agreement.

7. Pursuant to Section 408(c)(1)(B)(i), FEMA may lease temporary housing units, including MHUs, RVs, and existing residential property, to provide directly to individuals and households who are unable to use financial rental assistance due to a lack of available housing resources.

8. Pursuant to Section 408(d)(2)(A) of the Stafford Act (42 U.S.C. § 5174(d)(2)(A)), FEMA has the authority and discretion to dispose of MHUs and RVs that were purchased for the purpose of housing eligible applicants, by selling them at a price that is "fair and equitable" directly to the individual or household occupying the unit, if those individuals or households continue to lack permanent housing. The sale of the MHU and RV is a method of disposing of an MHU or RV and is not a form of continued housing assistance.

9. Pursuant to Section 408(d)(2)(B) of the Stafford Act, if not disposed of through sales to occupants, FEMA has the authority and discretion to dispose of MHUs and RVs that were purchased for the purpose of housing eligible applicants, by donating or otherwise making them available to a State, tribe, or other government entity or to a voluntary organization for the sole purpose of providing temporary housing to disaster survivors (sometimes referred to as "victims" in the regulations) in major disasters and emergencies upon the receiving entity or organization agreeing to certain conditions:

    a. The donation of the MHU and RV is a method of disposing of an occupied MHU and is not a form of continued housing assistance.

    b. The Sales to Occupants Program must be implemented prior to initiating the occupied Donations Program and occupants who choose not to participate in the MHU or RV Sales to Occupants Program will not be considered for the MHU or RV Donations Program. Only applicants who can prove that they are unable to purchase the MHU due to their financial ability will be eligible for a potential donation.

10. Any Sales or Donation program will be carried out in accordance with all applicable FEMA regulations, policies, management directives, and standard operating procedures in effect as of the date that Amendment [INSERT AMENDMENT #] to the FEMA-State Agreement signed by both parties. If approved, Sales and Donations apply to MHUs and RVs that are occupied by eligible disaster assistance applicants. MHUs and RVs that are unoccupied will be disposed of in accordance with FEMA's excess personal property management procedures utilized by the FEMA Logistics Management Directorate. FEMA may implement a Sales or Donations program for this declaration after a written request for the Sales Program, or both, the Sales and Donations Programs, is submitted by the State. The State will only submit its request once the State has evaluated the criteria below, as appropriate, in the request.

11. In the State's request for a Sales Program, the State must evaluate the following elements:

a. Whether the availability of rental resources is not expected to return to a level to support those currently residing in a FEMA-provided MHU or RV within the period of assistance for the declared event;

b. Whether completing repair or replacement of disaster-damaged residences will not be possible within the period of assistance;

c. Whether sales of MHUs or RVs will not cause an adverse impact on the economy of the community or local MHU dealers; and

d. Whether local codes, ordinances, and the requirement of 44 C.F.R. Part 9 will support the continued or permanent placement of MHUs or RVs processed under a Sales Program.

12. In the State's request for a Donations Program, the State must evaluate the following elements:

    a. Whether a Sales Program has also been requested, or approved;

    b. Whether the declared area lacks available permanent housing resources sufficient to house disaster survivors;

    c. Whether donation of occupied MHUs or RVs will not cause a significant adverse impact on the economy of the community or local MHU or RV dealers;

    d. Whether the State is able to identify qualifying governmental entities and/or voluntary organization(s) willing to accept ownership of the occupied MHUs or RVs under FEMA's terms and conditions for the donation; and

    e. Whether local codes, ordinances, and the requirement of 44 C.F.R. Part 9 will support the continued or permanent placement of MHUs or RVs processed under a Donations Program.

13. Upon the request of the State as described in Paragraphs 10 through 12 of this Addendum, FEMA will review and may authorize the Sales and Donations Programs based on FEMA's determination that it is in the best interest of the community, using the factors discussed in the State's request, as well as FEMA's determination that:

    a. Disposing of MHUs or RVs by sale or donation is expected to be in the best interest of the Federal Government; and

    b. Sales or donations of MHUs and RVs will not cause a significant impact on FEMA's ability to maintain necessary MHU or RV inventory.

14. Upon rendering its decision on the State's written request, FEMA shall notify the State in writing of its decision to approve or deny the program.

REFERENCES:

Stafford Act Section 408, 42 U.S.C. § 5174
44 C.F.R. §§ 206.110 – 206.118
Individual Assistance Program and Policy Guide (IAPPG), FP 104-009-3, March 2019

U.S. Department of Homeland Security
Washington, DC 20472

FEMA

MEMORANDUM FOR: Christopher B. Smith
Director
Individual Assistance Division

FROM: Monty LeMaire
Chief
Individuals and Households Program Service Delivery Branch

Monty J LeMaire Digitally signed by Monty J LeMaire
Date: 2021.01.11 09:36:17 -06'00'

SUBJECT: Individuals and Households Program Registration Period for Allen and Iberia Parishes under DR-4570-LA

The purpose of this memorandum is to define the registration period for Allen and Iberia Parishes in the State of Louisiana, which were designated for Individual Assistance under DR-4570-LA on December 23, 2020. The registration period for disaster survivors in eligible parishes previously designated under DR-4570-LA closed on December 16, 2020.

On October 16, 2020, the President declared a major disaster for the State of Louisiana for damage caused by Hurricane Delta. The registration period for DR-4570-LA closed on December 16, 2020 and the additional 60-day late registration period ends on February 16, 2021. With the new designation of Allen and Iberia Parishes for Individual Assistance after the close of the registration period, FEMA must provide survivors in those parishes the opportunity to apply for disaster assistance. However, the National Emergency Management Information System (NEMIS) is not able to reopen the registration period for specific parishes.

In order to accept and process disaster assistance requests from residents of Allen and Iberia Parishes without re-opening NEMIS to registrations throughout the State of Louisiana, FEMA will continue to designate all registrations under DR-4570-LA as occurring in the late registration period. Per 44 CFR § 206.112 (c), applicants submitting late registrations are required to provide suitable documentation justifying their late registration. Disaster survivors from Allen and Iberia Parishes may apply for disaster assistance during the period of December 23, 2020, through February 21, 2021 without being required to provide late application justifications. Although NEMIS will designate these registrations as "late," FEMA will process them normally; late applications for all other parishes in the disaster will require late application appeal justification.

For Allen and Iberia Parishes: December 23, 2020, through February 21, 2021, will serve as the initial registration period. Beginning February 22, 2021 through April 23, 2021, registrations

from residents of Allen and Iberia Parishes will be considered late, and disaster survivors from these parishes will be required to provide suitable documentation justifying their late registration.

For all other declared Parishes: December 17, 2020, through February 16, 2021, remains the late registration period, and disaster survivors from these parishes will be required to provide suitable documentation justifying their late registration. Late registrations received after February 16, 2021 in these parishes will be denied.

If you have any questions or need any additional information, please contact Blair McDonald at Blair.McDonald@fema.dhs.gov.

U.S. Department of Homeland Security
Washington, DC 20472

FEMA

December 21, 2020

MEMORANDUM FOR: George A. Robinson
Regional Administrator
Region VI

FROM: Christopher B. Smith
Director
Individual Assistance Division

CHRISTOPHER B SMITH
Digitally signed by CHRISTOPHER B SMITH
Date: 2020.12.21 11:06:35 -05'00'

SUBJECT: DR-4570-LA Remote Inspections Policy Waiver for Direct Temporary Housing Eligibility

This memorandum amends the Direct Temporary Housing Assistance referral threshold for pre-disaster homeowners in DR-4570-LA due to the implementation of Remote Inspections in this disaster. Section 408(c) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act and 44 C.F.R. §206.117(b) authorize FEMA to provide assistance for the disaster-related housing needs of individuals and households who are displaced from their pre-disaster primary residences or whose pre-disaster primary residences are rendered uninhabitable, or with respect to individuals with disabilities, rendered inaccessible or uninhabitable, as a result of damage caused by a major disaster.

FEMA utilizes inspections to assess disaster-caused damage and determine an applicant's real property verified loss. Remote Inspections are currently in effect for all disasters per FEMA Policy 104-009-17, *Pandemic Remote Inspection Process Individuals and Households Program (Interim Policy)* as a result of the COVID-19 Public Health Emergency declared by the Secretary of Health and Human Services.

On December 11, 2020, the State of Louisiana requested Direct Temporary Housing Assistance for all declared parishes. FEMA Policy 104-009-03, *Individual Assistance Program and Policy Guide* [1] establishes that prior to considering an applicant for Direct Temporary Housing Assistance, the applicant must be determined, via an inspection, to be:

- An owner of a disaster-damaged primary residence with a real property verified loss amount of $17,000 or
- A renter with a disaster-damaged primary residence that was destroyed or received major damage as a result of the disaster.

FEMA then contacts these applicants to obtain information about their household's unmet temporary housing needs via a Pre-Placement Interview (PPI).

[1] FP 104-009-03, March 2019, Chapter 3: Individuals and Households Program, V. Housing Assistance (Direct), B. Direct Temporary Housing Assistance Conditions of Eligibility, 1. Conditions of Eligibility.

I am authorizing an exception to the real property verified loss threshold of $17,000 for Direct Temporary Housing Assistance for DR-4570-LA, to include applicants who fall under the category "Wind Damage Level 4" under the Remote Inspection process. Homeowners of disaster-damaged homes and mobile homes that meet all other eligibility criteria and were determined Wind Damage Level 4 via Remote Inspection will be included in the PPI callout population. PPI callouts will include additional questions to determine if the severity of the wind and rain damage received indicates a need for Direct Temporary Housing Assistance. The eligibility threshold for renters will remain the same.

All other Individuals and Households Program implementation criteria and conditions remain in effect.

If you have any questions or need any additional information, please contact Monty LeMaire, Individuals and Households Program Service Delivery Branch Chief.

cc: Keith Turi, Assistant Administrator, Recovery Directorate
Melissa Forbes, Deputy Assistant Administrator, Recovery Directorate
David Lebsack, Acting Recovery Division Director, Region VI
John E. Long, Federal Coordinating Officer, DR-4570-LA
Lorie LaFon, Individual Assistance Branch Chief, Region VI
Lisa Carlson, Individual Assistance Branch Director, DR-4570-LA
Elizabeth Redfearn, Deputy Individual Assistance Branch Director, Direct Housing, DR-4570-LA
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division

U.S. Department of Homeland Security
Washington, DC 20472

FEMA

June 30, 2021

MEMORANDUM FOR: Monty LeMaire
Chief
Individuals and Households Program Delivery Branch

FROM: Blair McDonald
Chief
Program Management Section

BLAIR B MCDONALD
Digitally signed by BLAIR B MCDONALD
Date: 2021.06.30 13:58:37 -05'00'

SUBJECT: Registration Period for Add-On Counties Kerr, Lamar, and Shackelford (FEMA-DR-4586-TX)

The purpose of this memorandum is to detail the registration period for Kerr, Lamar, and Shackelford Counties, which were designated for Individual Assistance (IA) for FEMA-DR-4586-Texas on June 24, 2021.

Background
On February 19, 2021, the President declared a major disaster for the State of Texas for damage caused by severe winter storms. On June 24, 2021, the major disaster was amended to authorize IA for Kerr, Lamar, and Shackelford counties.

The registration period for FEMA-DR-4586-Texas ended May 20, 2021. The late registration period for DR-4586-Texas began May 21, 2021 and is currently set to close on July 19, 2021. With the designation of Kerr, Lamar, and Shackelford Counties for IA, FEMA must provide survivors in these three counties with the opportunity to apply for assistance. However, FEMA's system of record, the National Emergency Management Information System (NEMIS), does not permit reopening the registration period for specific counties without reopening the period for all declared counties.

In order to accept and process applications from Kerr, Lamar, and Shackelford without re-opening the NEMIS registration period in all declared counties, FEMA will continue to designate all additional applications received under FEMA-DR-4586-TX for Kerr, Lamar, and Shackelford Counties as occurring within the late registration period in NEMIS. Residents of Kerr, Lamar, and Shackelford Counties may apply normally during the period of June 24, 2021 through August 23, 2021. While NEMIS will designate these applications as "late," FEMA will process them normally and will not require justification for the late application. This will be the registration period for Kerr, Lamar, and Shackelford Counties only, for the purpose of all FEMA regulations and policies. Beginning August 24, 2021, applications received from Kerr, Lamar, and Shackelford Counties will be considered late and applicants will be required to provide

documentation justifying their late registration, per 44 C.F.R. §206.112(c). The late registration period for these counties will end on October 23, 2021.
I am also extending the late registration period in NEMIS for FEMA-DR-4586-TX to October 23, 2021. This extension allows residents of Kerr, Lamar, and Shackelford Counties ample opportunity to apply. Applicants from other counties will be denied if they register after July 19, 2021, as it will be after their late registration period. All registrations will be closed as of October 23, 2021, and no applications will be accepted after that date.

Next Steps
In coordination with the Region and the Joint Field Office, the IHP Delivery Branch will allow Kerr, Lamar, and Shackelford applicants to apply from June 24, 2021 through August 23, 2021. Applications received from these counties during that period are not late and therefore will not require justification for the late submission. In order to ensure that residents of these three counties have an opportunity to apply, late applications will continue to be accepted only from Kerr, Lamar, and Shackelford applicants between August 24, 2021 and October 23, 2021. Late applications from areas other than Kerr, Lamar, and Shackelford Counties will be considered through July 19, 2021 with justification and be denied if they are received after July 19, 2021, as this represents the end of the late registration period for all other counties.

cc: Christopher B. Smith, Director, Individual Assistance Division
Matthew Redding, Deputy Director, Individual Assistance Division
Natasha Rountree, Deputy Director, Individual Assistance Division

U.S. Department of Homeland Security
Washington, DC 20472

FEMA

March 16, 2021

MEMORANDUM FOR: Christopher B. Smith
Director
Individual Assistance Division

FROM: Monty LeMaire
Chief
Individuals and Households Program Delivery Branch

Monty J LeMaire
Digitally signed by Monty J LeMaire
Date: 2021.03.16 17:06:42 -05'00'

SUBJECT: Retroactive Incident Period Closure for DR-4586-TX

The purpose of this memorandum is to describe how Individuals and Households Program (IHP) assistance will be processed for DR-4586-TX applicants who initially qualified for assistance but were subsequently impacted by a retroactive incident period date change.

DR-4586-TX was declared on February 19, 2021 in response to ice storms that impacted utilities for millions of households. The incident period began on February 11, 2021 and was continuous and open-ended. On March 4, 2021, the incident period was retroactively shortened to February 21, 2021. This action resulted in 802 applicants becoming ineligible as their originally reported date of loss fell outside the newly established incident period.

Of the 802 applicants affected by the retroactive incident period date change, 92 have already been processed for assistance and 139 have been issued an inspection. Unlike the previous disaster in which this occurred (DR-4563-AL), the Program Management Section does not believe that a NEMIS system change is necessary to resolve this issue. Instead, the Program Management Section will coordinate with the Applicant Services Section (APS) to take the following courses of action:

1. Coordinate with APS to call the 139 applicants issued for inspection as they have already received some indication from FEMA that they are being considered for assistance. Following standard procedures, APS staff can update an applicant's date of loss based on verbal confirmation. Applicants that do not provide an updated date within the incident period will be sent a letter informing them they are not being referred for IHP assistance. If the applicant has already been processed for IHP assistance, they will be sent to pre-recoupment.

2. The remainder of the impacted applicants will receive an auto-dialer requesting that they call FEMA to confirm their date of loss.

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Washington, DC 20472

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i. Applicants that call back and provide an eligible date of loss will be processed for all eligible assistance.
ii. Applicants that call back and provide an ineligible date of loss will be sent a letter informing them that they are not being referred for IHP assistance.
iii. Applicants that do not call back will be identified and sent a letter informing them they are not being referred for IHP assistance.

If you have any questions or need any additional information, please contact Blair McDonald, Program Management Section Chief.

Cc: Lori Lafon, Individual Assistance Branch Chief, Region VI
Susan Tompkins, Individual Assistance Branch Director, DR-4586-TX

FEMA

February 26, 2021

MEMORANDUM FOR: Tony Robinson
Regional Administrator
Region VI

FROM: Christopher B. Smith
Director
Individual Assistance Division

CHRISTOPHER B SMITH Digitally signed by CHRISTOPHER B SMITH
Date: 2021.02.26 18:10:50 -05'00'

SUBJECT: Lodging Expenses Reimbursement Policy Waiver for DR-4586-TX

The purpose of this memorandum is to waive Lodging Expense Reimbursement (LER) eligibility criteria contained in FEMA Policy 104-009-03, Individual Assistance Program and Policy Guide (IAPPG) for applicants who did not receive home damage but were impacted by utility outages and establish a standard time period for eligible expenses for DR-4586-TX applicants impacted by utility outages only.

Chapter 3, Section IV.A.1 of the IAPPG, states that a condition of eligibility for LER is that FEMA verifies the pre-disaster residence is (1) uninhabitable; meaning the dwelling is not safe, sanitary, or fit to occupy, and requires repairs to make the residence habitable; or (2) Inaccessible, meaning the applicant's disaster-damaged primary residence cannot be entered due to access impediments (e.g., fallen trees, downed power lines) or restrictions placed by Federal, STTL government officials. Chapter 3, Section IV.A.3 of the IAPPG further states that for applicants affected by inaccessibility or utility outage, LER is limited to the dates their residence was inaccessible or had an extended utility outage.

Recent ice storms in the State of Texas have disrupted utility services for millions of households in the State. Most households experienced rolling blackouts with limited hours of power and temporary water outages and others experienced a complete loss of power and/or water. In most instances the lack of utilities continued for several days and in some areas may have continued for five days or more. Although many of these households incurred no home damage from the ice storms, their homes were not safe, sanitary, or fit to occupy during the utility outage due to the lack of electricity, heating, and running water as a result of the disaster. Some households incurred lodging expenses seeking more adequate living conditions while the utilities were disrupted. Therefore, I am waiving the condition of eligibility requiring applicants to have received damage from the disaster that requires repairs to make the residence habitable and authorizing LER for applicants that were able to leave their homes and incurred uninsured temporary lodging costs. Providing LER will allow these applicants to be assisted by FEMA based on their actual costs incurred and deters fraud by requiring applicants to submit verifiable receipts demonstrating their disaster caused temporary lodging costs.

For applicants affected by inaccessibility or utility outage, LER is limited to the dates the residence was inaccessible or had an extended utility outage. Due to the widespread nature of the utility service disruptions in the State of Texas, requiring applicants to provide documentation specific to the power and/or water outages for their residence would create an undue burden. This requirement would also likely overwhelm Texas utility providers with documentation requests at a time when they are focused on restoring power and water. FEMA may rely on inspections or documentation to verify that an applicant meets all the eligibility criteria for LER. To best utilize inspection resources for this large scale incident, which includes over 100 counties in the State of Texas, most applicants that may be eligible for LER solely based on utility outages are not considered for inspection, enabling FEMA to utilize inspection resources for applicants with home and personal property damage.

As of February 26, 2021, electrical service has been largely restored over most of the impacted areas. Approximately 350,000 customers in the State of Texas have experienced inoperable or partially operational water systems during this event. Most water systems are expected to be fully operational within two days. Therefore, I am waiving the IAPPG provision limiting eligibility for LER for applicants who had an extended utility outage to the date utility services are restored to their residence. Instead of making individual determinations for when utility services were restored for each applicant's pre-disaster residence, FEMA will establish a standard time period of eligible expenses for LER for applicants impacted by utility outages only, in coordination with the State and DR-4586-TX leadership. The time period for eligible expenses will begin with the incident period on February 11, 2021 and the final day of the time period for eligible expenses will be February 28, 2021.

Establishing this standard time period for eligible expenses will minimize the documentation burden on applicants who experienced a loss or reduction in utility services as they will only need to submit verifiable receipts of their lodging expenses to be considered for LER payment. Applicants who do not pass FEMA's identity or occupancy verification processes must also submit verifying documentation prior to receiving LER.

Implementation of all other policy criteria remains unchanged. If you have any questions or need any additional information, please contact Monty LeMaire, Individuals and Households Program Service Delivery Branch Chief.

cc: Keith Turi, Assistant Administrator, Recovery Directorate
Melissa Forbes, Deputy Assistant Administrator, Recovery Directorate
Jerry S. Thomas, Federal Coordinating Officer, DR-4586-TX
Lorie Lafon, Individual Assistance Branch Chief, Region VI
Susan Tompkins, Individual Assistance Branch Director, DR-4586-TX
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division

U.S. Department of Homeland Security
FEMA Region 6
800 North Loop 288
Denton, TX 76209

FEMA

April 15, 2021

W. Nim Kidd, MPA, CEM
Chief, Texas Division of Emergency Management
Vice Chancellor – The Texas A&M University System
1033 La Posada Drive, Suite 300
Austin, TX 78752

ATTN: Suzannah Jones, CEM, Deputy Chief – Recovery and Mitigation

RE: FEMA-4586-DR-TX
Individual Assistance Registration Extension

Dear Chief Kidd:

We received a letter from your office dated April 14, 2021, requesting a 30-day extension until May 20, 2021, to the Individual Assistance (IA) registration period for all declared IA counties in FEMA-4586-DR-TX. The current registration period date ends on April 20, 2021.

The basis for the State's request is that additional time is required to register for IA assistance due to the many challenges that Texas survivors have faced which has prevented them from being able to register. Many survivors are still realizing the scope of the damage to their homes and working through insurance considerations for their damage. In addition to returning to work and school, this new burden is challenging and there may be citizens that have been unable to register for assistance prior to the current deadline.

Given these on-going issues, I approve a 30-day extension to the IA registration period for FEMA-4586-DR-TX until May 20, 2021.

If you have any questions regarding this matter, please contact Traci Brasher, Recovery Division Director at (202) 702-5183

Sincerely,

George A. Robinson

George A. Robinson
Regional Administrator

FEMA

February 21, 2021

MEMORANDUM FOR: Tony Robinson
Regional Administrator
Region VI

FROM: Christopher B. Smith
Director
Individual Assistance Division

CHRISTOPHER B SMITH Digitally signed by CHRISTOPHER B SMITH
Date: 2021.02.21 12:07:12 -05'00'

SUBJECT: Pandemic Remote Inspection Process Policy Amendment for DR-4586-TX

The purpose of this memorandum is to amend FEMA Policy 104-009-17, Pandemic Remote Inspection Process to remove eligibility for Rental Assistance under paragraph B, "Inspection Elimination for Self-Reported Inaccessibility and Utility Outage." The current policy states that applicants who meet FEMA's standard eligibility criteria to be referred for inspection, but self-report only inaccessibility and/or utility outages as their sole home damage will be issued one month of Rental Assistance in lieu of an inspection. Based on the factual circumstances of DR-4586-TX Rental Assistance is not warranted for applicants who report no home damage but experienced a temporary loss of utilities.

Recent ice storms in Texas have impacted the utilities for millions of households in the state. Most experienced rolling blackouts with limited hours of power and temporary water outages and others experienced a complete loss of power and/or water. In most instances the lack of utilities continued for several days and in some areas may have continued for five days or more. During this time, local authorities directed individuals to remain in their homes where possible as local governments were not able to quickly clear snow from most streets. Electrical service has been largely restored over most of the impacted areas. Approximately 350,000 customers in the State of Texas are currently experiencing inoperable or partially operational water systems, compared to approximately 14.5 million customers subject to boil water advisories. Most water systems are expected to be fully operational within three days, and none within more than seven days.

Due to the unique nature of ice storms, which result in significant but temporary utility service disruption and minimal home damage, the Individual Assistance Division is amending the policy to remove Rental Assistance as an eligible item for applicants who solely experienced utility outages. The majority of individuals and households impacted by the widespread utility outages did not experience displacement from their primary residence and sheltered at home. Therefore, awarding one month of Rental Assistance is not warranted for applicants who report during registration that they were solely impacted by the utility outages and did not receive disaster-caused damages to their primary residence from this disaster. To ensure consistency across all applicants impacted by DR-

4586-TX, applicants who receive an inspection and are determined to have no eligible real property or personal property damages will also not receive Rental Assistance solely due to utility outages.

FEMA will notify these applicants they are not eligible for Rental Assistance because they did not receive damage to their home or personal property. These applicants may still be eligible for Lodging Expense Reimbursement (LER) which provides reimbursement for limited hotel stays when their primary residence is uninhabitable due to a disaster because of damage, lack of utilities, or inaccessibility. LER is more appropriate to address the actual costs applicants incurred if applicants were displaced from their home for a short time due to utility outages. By utilizing LER, FEMA can better identify the applicant's actual costs and deter fraud by requiring applicants to submit verifiable receipts demonstrating their disaster caused temporary lodging costs.

Applicants with homeowners insurance must file a claim for additional living expenses with their insurance provider if they were forced to relocate due to the ice storm and may only be considered for LER if they receive a denial from their insurance company.

This policy change does not impact eligibility for these applicants for any forms of IHP assistance that do not require an inspection, such as Funeral Assistance, Medical and Dental assistance, and Transportation Assistance.

All other policy criteria remain unchanged. Applicants whose homes remain inaccessible or who incurred disaster caused damages or had to shut off their water due to burst pipes or fallen trees will continue to be considered for eligible forms of Housing Assistance based on the results of their inspection.

If you have any questions or need any additional information, please contact Monty LeMaire, Individuals and Households Program Service Delivery Branch Chief.

cc: Keith Turi, Assistant Administrator, Recovery Directorate
Melissa Forbes, Deputy Assistant Administrator, Recovery Directorate
Jerry Thomas, Federal Coordinating Officer, DR-4586-TX
Lorie Lafon, Individual Assistance Branch Chief, Region VI
Susan Thompkins, Individual Assistance Branch Director, DR-4586-TX
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division

U.S. Department of Homeland Security
FEMA Region 6
800 N. Loop 288
Denton, TX 76209

FEMA

April 29, 2021

MEMORANDUM FOR: George A. Robinson
Regional Administrator

FROM: Adam D. Burpee
Federal Coordinating Officer
FEMA-4587-DR-OK

SUBJECT: FEMA-4587-DR-OK
Request for a 30-day Extension
to Individual Assistance Registration Period

Attached is the April 12, 2021, letter from the State of Oklahoma requesting an extension until May 25, 2021, to the Individual Assistance (IA) registration period for the counties of Canadian, Carter, Cherokee, Comanche, Cotton, Hughes, Jefferson, Le Flore, McIntosh, Oklahoma, Okmulgee, Osage, Pittsburg, Stephens, Tulsa, and Wagoner. The current registration period date ended on April 26, 2021.

The basis for the State's request is that additional time is required to register for IA assistance due to the many challenges that Oklahoma survivors have faced preventing them from registering. For example, storm related damage is more significant than survivors had initially anticipated as they have now received power bills from two billing cycles allowing them to discover continuing leaks and service issues.

Due to these on-going issues, I recommend a 30-day extension to the IA registration period for FEMA-4587-DR-OK until May 25, 2021.

George A. Robinson

CONCUR
George A. Robinson
Regional Administrator

NON-CONCUR
George A. Robinson
Regional Administrator

cc: Traci Brasher, Recovery Division Director, FEMA R6
Lorie LaFon, Branch Chief, Individual Assistance, FEMA R6
Chris Smith, IA Division Director, Recovery Directorate
Monty LeMaire, Branch Chief, Individuals and Households Program

FEMA

March 1, 2021

MEMORANDUM FOR: Tony Robinson
Regional Administrator
Region VI

FROM: Christopher B. Smith
Director
Individual Assistance Division

CHRISTOPHER B SMITH Digitally signed by CHRISTOPHER B SMITH
Date: 2021.03.01 18:00:18 -05'00'

SUBJECT: Pandemic Remote Inspection Process Policy Amendment and Lodging Expense Reimbursement Policy Waiver for DR-4587-OK

The purpose of this memorandum is to amend FEMA Policy 104-009-17, Pandemic Remote Inspection Process to remove eligibility for Rental Assistance under paragraph B, "Inspection Elimination for Self-Reported Inaccessibility and Utility Outage." The current policy states that applicants who meet FEMA's standard eligibility criteria to be referred for inspection, but self-report only inaccessibility and/or utility outages as their sole home damage will be issued one month of Rental Assistance in lieu of an inspection. Based on the factual circumstances of DR-4587-OK, Rental Assistance is not warranted for applicants who report no home damage but experienced a temporary loss of utilities.

Recent ice storms in Oklahoma have impacted the utilities for thousands of households in the state. Most experienced rolling blackouts with limited hours of power and temporary water outages. In most instances the lack of utilities continued for several days and in some areas may have continued for five days or more. As of February 25, 2021, electrical service has been largely restored over most of the impacted areas. Many customers in the State of Oklahoma are currently experiencing inoperable or partially operational water systems, and more than 80 communities are subject to boil water advisories. Oklahoma is working to quickly resolve to all water line breaks and outages.

Rental Assistance Policy Amendment

Due to the unique nature of ice storms, which result in significant but temporary utility service disruption and minimal home damage, the Individual Assistance Division is amending the policy to remove Rental Assistance as an eligible item for applicants who solely experienced utility outages. The majority of individuals and households impacted by the widespread utility outages did not experience displacement from their primary residence and sheltered at home. Therefore, awarding one month of Rental Assistance is not warranted for applicants who report during registration that they were solely impacted by the utility outages and did not receive disaster-caused damages to their primary residence from this disaster. To ensure consistency across all applicants impacted by DR-4587-OK, applicants who receive an inspection and are determined to have no eligible real property or personal property damages will also not receive Rental Assistance solely due to utility outages.

FEMA will notify these applicants they are not eligible for Rental Assistance because they did not receive damage to their home or personal property. These applicants may still be eligible for Lodging Expense Reimbursement (LER) which provides reimbursement for limited hotel stays when their primary residence is uninhabitable due to a disaster because of damage, lack of utilities, or inaccessibility. LER is more appropriate to address the actual costs applicants incurred if applicants were displaced from their home for a short time due to utility outages. By utilizing LER, FEMA can better identify the applicant's actual costs and deter fraud by requiring applicants to submit verifiable receipts demonstrating their disaster caused temporary lodging costs.

LER Policy Waiver

To further support applicants that were temporarily displaced from their home, this memorandum also waives certain Lodging Expense Reimbursement (LER) eligibility criteria contained in FEMA Policy 104-009-03, Individual Assistance Program and Policy Guide (IAPPG) for applicants who did not receive home damage but were impacted by utility outages.

Chapter 3, Section IV.A.1 of the IAPPG, states that a condition of eligibility for LER is that FEMA verifies the pre-disaster residence is (1) uninhabitable; meaning the dwelling is not safe, sanitary, or fit to occupy, and requires repairs to make the residence habitable; or (2) Inaccessible, meaning the applicant's disaster-damaged primary residence cannot be entered due to access impediments (e.g., fallen trees, downed power lines) or restrictions placed by Federal, STTL government officials. Chapter 3, Section IV.A.3 of the IAPPG further states that for applicants affected by inaccessibility or utility outage, LER is limited to the dates their residence was inaccessible or had an extended utility outage.

Based on the temporary rolling blackouts and loss of other utilities that occurred in Oklahoma, I am waiving the condition of eligibility requiring applicants to have received damage from the disaster that requires repairs to make the residence habitable and authorizing LER for applicants that were able to leave their homes and incurred uninsured temporary lodging costs.

For applicants affected by inaccessibility or utility outage, LER is limited to the dates the residence was inaccessible or had an extended utility outage. Due to the widespread nature of the utility service disruptions in the State of Oklahoma, requiring applicants to provide documentation specific to the power and/or water outages for their residence would create an undue burden. This requirement would also likely overwhelm Oklahoma utility providers with documentation requests at a time when they are focused on restoring power and water. FEMA may rely on inspections or documentation to verify that an applicant meets all the eligibility criteria for LER. To best utilize inspection resources for this incident, which impacted multiple states, most applicants that may be eligible for LER solely based on utility outages are not considered for inspection, enabling FEMA to utilize inspection resources for applicants with home and personal property damage.

I am waiving the IAPPG provision limiting eligibility for LER for applicants who had an extended utility outage to the date utility services are restored to their residence. Instead of making individual determinations for when utility services were restored for each applicant's pre-disaster residence, FEMA will establish a standard time period of eligible expenses for LER for applicants impacted by utility outages only, in coordination with the State and DR-4587-OK leadership. The time period for eligible expenses will begin with the incident period on February 08, 2021 and the final day of the

time period for eligible expenses will be February 28, 2021. As of February 25, 2021, electrical service and water service has been largely restored over most of the impacted areas.

Establishing this standard time period for eligible expenses will minimize the documentation burden on applicants who experienced a loss or reduction in utility services as they will only need to submit verifiable receipts of their lodging expenses to be considered for LER payment. Applicants who do not pass FEMA's identity or occupancy verification processes must also submit verifying documentation prior to receiving LER.

The policy changes described above do not impact eligibility for these applicants for any forms of IHP assistance that do not require an inspection, such as Funeral Assistance, Medical and Dental assistance, and Transportation Assistance.

All other policy criteria remain unchanged. Applicants whose homes remain inaccessible or who incurred disaster caused damages or had to shut off their water due to burst pipes or fallen trees will continue to be considered for eligible forms of Housing Assistance based on the results of their inspection.

If you have any questions or need any additional information, please contact Monty LeMaire, Individuals and Households Program Service Delivery Branch Chief.

cc: Keith Turi, Assistant Administrator, Recovery Directorate
Melissa Forbes, Deputy Assistant Administrator, Recovery Directorate
Adam Burpee, Federal Coordinating Officer, DR-4587-OK
Lorie Lafon, Individual Assistance Branch Chief, Region VI
Jamie Dake, Individual Assistance Branch Director, DR-4587-OK
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division

FEMA

March 11, 2021

MEMORANDUM FOR: Tony Robinson
Regional Administrator
Region VI

FROM: Christopher B. Smith
Director
Individual Assistance Division

CHRISTOPHER B SMITH Digitally signed by CHRISTOPHER B SMITH
Date: 2021.03.11 08:09:01 -05'00'

SUBJECT: Pandemic Remote Inspection Process Policy Amendment and Lodging Expense Reimbursement Policy Waiver for DR-4590-LA

The purpose of this memorandum is to amend FEMA Policy 104-009-17, Pandemic Remote Inspection Process to remove eligibility for Rental Assistance under paragraph B, "Inspection Elimination for Self-Reported Inaccessibility and Utility Outage." The current policy states that applicants who meet FEMA's standard eligibility criteria to be referred for inspection, but self-report only inaccessibility and/or utility outages as their sole home damage will be issued one month of Rental Assistance in lieu of an inspection. Based on the factual circumstances of DR-4590-LA, Rental Assistance is not warranted for applicants who report no home damage but experienced a temporary loss of utilities.

Recent ice storms and record low temperatures in the State of Louisiana have impacted the utilities for thousands of households in the state. Most experienced rolling blackouts with limited hours of power and temporary water outages. In most instances, the lack of utilities continued for several days and in some areas may have continued for five days or more. On February 16, 2021, the Louisiana Public Service Commission (LPSC) reported more than 200,000 Louisiana residents were without power. In many cases, these homes lacked the ability to provide heat for several days while the temperatures outside remained well below freezing. The Louisiana Department of Health (LDH) reports that by the morning of February 18, 2021, there were 98 weather-related water outages affecting a population of 245,676.

Rental Assistance Policy Amendment

Due to the unique nature of ice storms, which result in significant but temporary utility service disruption and minimal home damage, the Individual Assistance Division is amending the policy to remove Rental Assistance as an eligible item for applicants who solely experienced utility outages. The majority of individuals and households impacted by the widespread utility outages did not experience displacement from their primary residence and sheltered at home. Therefore, awarding one month of Rental Assistance is not warranted for applicants who report during registration that they were solely impacted by the utility outages and did not receive disaster-caused damages to their primary residence from this disaster. To ensure consistency across all applicants impacted by DR-

4590-LA, applicants who receive an inspection and are determined to have no eligible real property or personal property damages will also not receive Rental Assistance solely due to utility outages.

FEMA will notify these applicants they are not eligible for Rental Assistance because they did not receive damage to their home or personal property. These applicants may still be eligible for Lodging Expense Reimbursement (LER) which provides reimbursement for limited hotel stays when their primary residence is uninhabitable due to a disaster because of damage, lack of utilities, or inaccessibility. LER is more appropriate to address the actual costs applicants incurred if applicants were displaced from their home for a short time due to utility outages. By utilizing LER, FEMA can better identify the applicant's actual costs and deter fraud by requiring applicants to submit verifiable receipts demonstrating their disaster caused temporary lodging costs.

LER Policy Waiver

To further support applicants that were temporarily displaced from their home, this memorandum also waives certain Lodging Expense Reimbursement (LER) eligibility criteria contained in FEMA Policy 104-009-03, Individual Assistance Program and Policy Guide (IAPPG) for applicants who did not receive home damage but were impacted by utility outages.

Chapter 3, Section IV.A.1 of the IAPPG, states that a condition of eligibility for LER is that FEMA verifies the pre-disaster residence is (1) uninhabitable; meaning the dwelling is not safe, sanitary, or fit to occupy, and requires repairs to make the residence habitable; or (2) Inaccessible, meaning the applicant's disaster-damaged primary residence cannot be entered due to access impediments (e.g., fallen trees, downed power lines) or restrictions placed by Federal, STTL government officials. Chapter 3, Section IV.A.3 of the IAPPG further states that for applicants affected by inaccessibility or utility outage, LER is limited to the dates their residence was inaccessible or had an extended utility outage.

Based on the temporary rolling blackouts and loss of other utilities that occurred in Louisiana, I am waiving the condition of eligibility requiring applicants to have received damage from the disaster that requires repairs to make the residence habitable and authorizing LER for applicants that were able to leave their homes and incurred uninsured temporary lodging costs.

For applicants affected by inaccessibility or utility outage, LER is limited to the dates the residence was inaccessible or had an extended utility outage. Due to the widespread nature of the utility service disruptions in the State of Louisiana, requiring applicants to provide documentation specific to the power and/or water outages for their residence would create an undue burden. Given the size of the population affected by the disaster, this requirement would also likely overwhelm Louisiana utility providers with documentation requests. FEMA may rely on inspections or documentation to verify that an applicant meets all the eligibility criteria for LER. To best utilize inspection resources for this incident, which impacted multiple states, most applicants that may be eligible for LER solely based on utility outages are not considered for inspection, enabling FEMA to utilize inspection resources for applicants with home and personal property damage.

I am waiving the IAPPG provision limiting eligibility for LER for applicants who had an extended utility outage to the date utility services are restored to their residence. Instead of making individual determinations for when utility services were restored for each applicant's pre-disaster residence, FEMA will establish a standard time period of eligible expenses for LER for applicants impacted by

utility outages only, in coordination with the State and DR-4590-LA leadership. The time period for eligible expenses will begin with the incident period on February 11, 2021 and the final day of the time period for eligible expenses will be February 28, 2021.

Establishing this standard time period for eligible expenses will minimize the documentation burden on applicants who experienced a loss or reduction in utility services as they will only need to submit verifiable receipts of their lodging expenses to be considered for LER payment. Applicants who do not pass FEMA's identity or occupancy verification processes must also submit verifying documentation prior to receiving LER.

The policy changes described above do not impact eligibility for these applicants for any forms of IHP assistance that do not require an inspection, such as Funeral Assistance, Medical and Dental assistance, and Transportation Assistance.

All other policy criteria remain unchanged. Applicants whose homes remain inaccessible or who incurred disaster caused damages or had to shut off their water due to burst pipes or fallen trees will continue to be considered for eligible forms of Housing Assistance based on the results of their inspection.

If you have any questions or need any additional information, please contact Monty LeMaire, Individuals and Households Program Service Delivery Branch Chief.

cc: Keith Turi, Assistant Administrator, Recovery Directorate
Melissa Forbes, Deputy Assistant Administrator, Recovery Directorate
John E. Long, Federal Coordinating Officer, DR-4590-LA
Lorie Lafon, Individual Assistance Branch Chief, Region VI
Jamie Dake, Individual Assistance Branch Director, DR-4590-LA
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division

U.S. Department of Homeland Security
Federal Emergency Management Agency
FEMA Virtual Joint Field Office
FEMA-4592/4595-DR-KY
FEMA Region IV
3005 Chamblee Tucker Road
Atlanta, GA 30341

FEMA

June 11, 2021

MEMORANDUM FOR: Gracia B. Szczech
Regional Administrator
Region IV

FROM: John Brogan
Federal Coordinating Officer/
Disaster Recovery Manager
FEMA-4595-DR-KY

TERRY L QUARLES

Digitally signed by TERRY L QUARLES
Date: 2021.06.14 15:23:45 -04'00'

SUBJECT: Registration Extension Request for
FEMA-4595-DR-KY

On April 23, 2021 President Biden declared a Major Disaster Declaration for the Commonwealth of Kentucky as a result of severe storms, flooding, landslides, mudslides. The Declaration included access to the Individuals and Households Program for 9 counties. On May 27, 2021 an additional 22 counties became eligible for the Individuals and Households Program.

On June 1, 2021, the Commonwealth of Kentucky requested a 30-day extension to the Individuals and Households Program registration period for FEMA-4595-DR-KY. The current registration period ends on June 23, 2021.

The Commonwealth indicates that it is imperative that all efforts be extended to ensure the impacted citizens are made aware of the eligibility and that resources are effectively extended to assist with the registration process.

Due to the COVID-19 Pandemic, traditional Disaster Recovery Centers were not utilized. The Commonwealth coordinated with their local Emergency Managers and set up resource centers in libraries within the original 9 impacted counties. At the request of the Commonwealth, FEMA is augmenting this support and providing Individual Assistance registration support to further registration.

A review and analysis of the registration data for a period of 10 days indicate a total of 257 registrations were received. The average registration for this timeframe is 26 applications received per day.

Based on the analysis of the registration data, I recommend a 15-day extension of the registration period for FEMA-4595-DR-KY. If approved, the registration period will now end on July 8, 2021. This includes all areas designated for Individual Assistance. On June 23, 2021, another

review and analysis of the registration data will be conducted to decide if an additional 15-day extension is warranted.

If you have any additional questions, please contact Pamela E. Ross at pamela.ross@fema.dhs.gov or at (678) 215-2864.

APPROVED:

_____

Gracia B. Szczech
Regional Administrator
FEMA Region IV

Attachment: Request for Registration Extension for DR-4595 Individuals and Households Program (06-01-2021)

cc: John Brogan, Federal Coordinating Officer, FEMA-4595-DR-KY
Maona Ngwira, Operations Section Chief, FEMA-4595-DR-KY
Pamela E. Ross, Individual Assistance Branch Director, FEMA-4595-DR-KY
Tarsha L. Monk, Individual Assistance Branch Chief, Region IV
Terry Quarles, Recovery Director, FEMA Region IV
Christopher Smith, Individuals Assistance Division Director, FEMA HQ

KENTUCKY EMERGENCY MANAGEMENT

Andy Beshear
Governor

Department of Military Affairs
100 Minuteman Parkway
Frankfort, KY 40601-6168

Michael E. Dossett
Director

June 1, 2021

Gracia B. Szczech
Regional Administrator FEMA Region IV
3005 Chamblee Tucker Road
Atlanta, GA 30341

THROUGH

John Brogan
Federal Coordinating Officer FEMA DR-4595-KY
DELIVERED VIA Email

Re: Request for Registration Extension for DR-4595
Individuals and Households Assistance Program

Dear Ms Szczech:

In reference to Major Presidential Declaration FEMA DR-4595-KY, the Commonwealth of Kentucky requests a 30 day registration deadline extension for the Individuals and Households Assistance Program. As you are aware, on May 27, 2021, an additional 22 counties became eligible for the DR-4595 Individuals and Households Assistance Program.

It is imperative that all efforts be extended to ensure that impacted citizens are made aware of eligibility and that resources are effectively extended to assist with the registration process. Currently the registration deadline is June 23 and we request that the newly designated counties have the same registration period length as the originally designated.

The Commonwealth and FEMA have together worked laboriously to identify the impact of this event. We must now ensure that the process has sufficient time to achieve success.

Thank you to FCO Brogan and staff for their efforts and thank you for consideration of this request.

Sincerely,

Michael E. Dossett
Governor's Authorized Representative

Cc: Jim Woolums

Stephanie Robey

U.S. Department of Homeland Security
Federal Emergency Management Agency
FEMA Virtual Joint Field Office
FEMA-4592/4595-DR-KY
FEMA Region IV
3005 Chamblee Tucker Road
Atlanta, GA 30341

FEMA

June 24, 2021

MEMORANDUM FOR: Gracia B. Szczech
Regional Administrator
FEMA Region IV

FROM: John Brogan
Federal Coordinating Officer/
Disaster Recovery Manager
FEMA-4595-DR-KY

JOHN E BROGAN Digitally signed by JOHN E BROGAN Date: 2021.06.24 12:31:43 -04'00'

SUBJECT: Registration Extension Request
for FEMA-4595-DR-KY

On April 23, 2021, President Biden declared a Major Disaster Declaration for the Commonwealth of Kentucky due to severe storms, flooding, landslides, mudslides. The Declaration included access to the Individuals and Households Program for nine counties. On May 27, 2021, an additional 22 counties became eligible for the Individuals and Households Program.

On June 1, 2021, the Commonwealth of Kentucky requested a 30-day extension to the Individuals and Households Program registration period for FEMA-4595-DR-KY. On June 11, 2021, FEMA approved an extension to the registration period for 15 days to July 8, 2021, for all areas designated for Individual Assistance.

On June 23, 2021, FEMA conducted another review and analysis of the registration data for 13 days. The data reviewed suggest 233 survivors registered for FEMA assistance. The average registration for this timeframe is 18 applications per day.

The Commonwealth requested all efforts be granted to ensure all of the survivors impacted in the designated areas have an opportunity to the resources that are available to assist with the registration process.

Due to the COVID-19 Pandemic, Disaster Recovery Centers were not implemented. However, FEMA augmented support and provided Individual Assistance Registration Support Centers to further registration in the heavily impacted areas.

Based on the analysis of the registration data, I recommend an additional 15-day extension of the registration period for FEMA-4595-DR-KY. If approved, the registration period will now end on July 23, 2021. The approval of the extension will include all areas designated for Individual Assistance.

If you have any additional questions, please contact Pamela E. Ross at pamela.ross@fema.dhs.gov or (678) 215-2864.

APPROVED:

_____

Gracia B. Szczech
For: Regional Administrator
FEMA Region IV

Attachment: Original request from Commonwealth of Kentucky dated June 1, 2021

cc: John Brogan, Federal Coordinating Officer, FEMA-4595-DR-KY
Maona Ngwira, Operations Section Chief, FEMA-4595-DR-KY
Pamela E. Ross, Individual Assistance Branch Director, FEMA-4595-DR-KY
Tarsha L. Monk, Individual Assistance Branch Chief, Region IV
Terry Quarles, Recovery Director, Region IV
Christopher Smith, Individuals Assistance Division Director, FEMA HQ

KENTUCKY EMERGENCY MANAGEMENT

Andy Beshear
Governor

Department of Military Affairs
100 Minuteman Parkway
Frankfort, KY 40601-6168

Michael E. Dossett
Director

June 1, 2021

Gracia B. Szczech
Regional Administrator FEMA Region IV
3005 Chamblee Tucker Road
Atlanta, GA 30341

THROUGH

John Brogan
Federal Coordinating Officer FEMA DR-4595-KY
DELIVERED VIA Email

Re: Request for Registration Extension for DR-4595
Individuals and Households Assistance Program

Dear Ms Szczech:

In reference to Major Presidential Declaration FEMA DR-4595-KY, the Commonwealth of Kentucky requests a 30 day registration deadline extension for the Individuals and Households Assistance Program. As you are aware, on May 27, 2021, an additional 22 counties became eligible for the DR-4595 Individuals and Households Assistance Program.

It is imperative that all efforts be extended to ensure that impacted citizens are made aware of eligibility and that resources are effectively extended to assist with the registration process. Currently the registration deadline is June 23 and we request that the newly designated counties have the same registration period length as the originally designated.

The Commonwealth and FEMA have together worked laboriously to identify the impact of this event. We must now ensure that the process has sufficient time to achieve success.

Thank you to FCO Brogan and staff for their efforts and thank you for consideration of this request.

Sincerely,

Michael E. Dossett
Governor's Authorized Representative

Cc: Jim Woolums

Stephanie Robey

U.S Department of Homeland SecurityRegion V
536 South Clark Street, Floor 6
Chicago, IL 60605

FEMA

September 4, 2021

MEMORANDUM FOR: Chris Smith
Director
Individual Assistance Division

THROUGH: Kevin M. Sligh, Sr.
Regional Administrator, Acting
FEMA Region V

FROM: Scott Burgess
Federal Coordinating Officer
FEMA Region V
DR-4607-MI

KENNETH G CLARK
Digitally signed by KENNETH G CLARK
Date: 2021.09.04 15:21:00 -04'00'
Kenneth G. Clark, DFCO, signing on behalf of FCO

SUBJECT: IA Registration Period 30-Day Extension for DR-4607-DR

The State of Michigan has requested an extension to the registration period for DR-4607-MI. The current registration period ends on September 13, 2021. Please see the attached letter dated September 3, 2021 from the Governor's Authorized Representative, (GAR), Captain Kevin Sweeney, Commander. Emergency Management and Homeland Security Division.

On June 25 and 26, 2021, the state of Michigan was impacted by a severe weather event that resulted in flooding and tornado damage. In response to these conditions, President Joseph R. Biden declared Major Disaster FEMA-4607-DR on July 15, 2021 and activated all Individual Assistance (IA) programs for the counties of Washtenaw and Wayne. The current deadline for residents of the designated counties to register for FEMA assistance under the IA program is Monday, September 13. The state's request offered the following justification for the extension:

- As of August 31, over 77,000 residents from the counties of Washtenaw and Wayne have submitted applications for assistance under the IA program (36,883 of which have been approved). Even in the later stages of the registration period, FEMA is still consistently receiving and processing over 700 applications from disaster survivors per day. The average daily number of new valid registrations between August 24 and August 31 was 759. This indicates that significant recovery needs are still being identified, and that residents would benefit from additional time to seek applicable federal assistance.

- Additionally, FEMA is investigating if processes for home inspection and the provision of Home Repair Assistance for eligible mitigation measures under the Individuals and Households Program (IHP) were fully functional during the initial registration period.

- An extension of the application deadline would provide additional time to examine these processes, remedy issues that may be discovered, and reach out to disaster survivors that may qualify for hazard mitigation assistance under the IHP.

In addition to the state's justification, FEMA continues an active Disaster Survivor Assistance (DSA) mission to notify citizens of the opportunity to register for the IA program. Current plans to reach underserved areas of Southeast Michigan (Wayne County) will extend several weeks past the current deadline.

The current status of IA registrations, DSA mission requirements and information submitted by the State of Michigan satisfies the requirements for extending the registration period to collect registrations from disaster survivors who have not yet been able to register due to the conditions described above.

Based on the justification provided by the State and through the authority delegated to me by the Regional Administrator, I approve this first extension request for a 30-day extension to the registration period for DR-4607-MI. The registration period will now end on October 13, 2021. This includes all areas designated for Individual Assistance.

If you have any additional questions, please contact Federal Coordinating Officer, Scott Burgess, scott.burgess@fema.dhs.gov .

cc: Robert Vehe , Deputy Regional Administrator, (Acting)
Fred Kaehler, Recovery Division Director, Region V
Kenneth G. Clark, Deputy Federal Coordinating Officer, DR-4607-MI
Christie Rachel, Legal Advisor, DR-4607-MI
Susan Jensen, Individual Assistance Branch Chief, Region V
Michelle Gonzalez, Individual Assistance Branch Director, DR4607-MI
Zugei Beltran, Individuals and Households Program Delivery Branch, Group Supervisor, Individual Assistance Division

U.S Department of Homeland SecurityRegion V
536 South Clark Street, Floor 6
Chicago, IL 60605

FEMA

September 29, 2021

MEMORANDUM FOR: Chris Smith
Director
Individual Assistance Division

THROUGH: Moises Dugan
Regional Administrator, Acting
FEMA Region V

MOISES S DUGAN Digitally signed by MOISES S DUGAN Date: 2021.09.29 12:09:51 -05'00'

FROM Scott Burgess
Federal Coordinating Officer
FEMA Region V
DR-4607-MI

SCOTT A BURGESS Digitally signed by SCOTT A BURGESS Date: 2021.09.29 12:21:32 -04'00'

SUBJECT: Macomb and Oakland County Add-On
IA Registration Period 30-Day Extension for DR-4607-MI

The State of Michigan has requested an extension to the registration period for DR-4607-MI. The current registration period ends on October 13, 2021. Please see the attached letter dated September 28, 2021 from Inspector Michele Sosinski, State Coordinating Officer Assistant Division Commander Emergency Management and Homeland Security Division.

On June 25 and 26, 2021, the state of Michigan was impacted by a severe weather event that resulted in flooding and tornado damage. In response to these conditions, President Joseph R. Biden declared Major Disaster FEMA-DR-4607-MI on July 15, 2021 and activated all Individual Assistance (IA) programs for the counties of Washtenaw and Wayne. The current deadline for residents of the designated counties to register for FEMA assistance under the IA program is Monday, October 13, 2021.

- On September 24, 2021 the declaration was amended to activate IA programs for disaster survivors in the counties of Macomb and Oakland. The availability of FEMA assistance for residents in these counties was announced on September 25, 2021. Under the current application deadline, residents in the Macomb and Oakland counties only have 18 days to register for assistance.

- Federal assistance programs are critical to the recovery of impacted residents in the counties of Macomb and Oakland. Based on the affected population and current registration traffic, 18 days is not a sufficient timeframe to allow disaster survivors to register for assistance and does not afford the same access to services as provided to applicants in Wayne and Washtenaw counties.

The information submitted by the State of Michigan satisfies the requirements for extending the registration period to collect registrations from disaster survivors who have not yet been able to register due to the conditions described above.

Based on the justification provided by the State and through the authority delegated to me by the Regional Administrator, I approve this second extension request for a 30-day extension to the registration period for DR-4607-MI. The registration period will now end on November 12, 2021. This includes all areas designated for Individual Assistance.

If you have any additional questions, please contact Federal Coordinating Officer, Scott Burgess, scott.burgess@fema.dhs.gov .

cc: Fred Kaehler, Recovery Division Director, Region V
Kenneth C. Clark, Deputy Federal Coordinating Officer, DR-4607-MI
Maureen Cunningham, Regional Counsel, Region V
Christie Rachal, Legal Advisor, DR-4607-MI
Susan Jensen, Individual Assistance Branch Chief, Region V
Michelle Gonzalez, Individual Assistance Branch Director, DR4607-MI
Zugei Beltran, Individuals and Households Program Delivery Branch, Group Supervisor, Individual Assistance Division

U.S. Department of Homeland Security
Washington, DC 20472

FEMA

September 22, 2021

MEMORANDUM FOR: Andrew F. Grant
Federal Coordinating Officer
FEMA-DR-4610-CA

FROM: Christopher B. Smith
Director
Individual Assistance Division

CHRISTOPHER B SMITH

SUBJECT: Critical Needs Assistance Authorization for FEMA-DR-4610-CA

This memorandum is in response to the State of California's request, received on September 7, 2021, for FEMA to provide Critical Needs Assistance (CNA) under the Individuals and Households Program (IHP) for all declared counties under FEMA-DR-4610-CA. Section 408(e)(2) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (Stafford Act) and 44 C.F.R. 206.119(c)(6)(ii) authorize FEMA to provide assistance for necessary expenses or serious needs resulting from a major disaster.

FEMA may provide financial assistance under the Other Needs Assistance (ONA) provision of IHP to applicants who have immediate or critical needs because they are displaced from their primary residence or who need assistance in order to leave their pre-disaster primary residence to temporarily shelter elsewhere. Immediate or critical needs are life-saving and life-sustaining items including, but not limited to: water, food, first aid, prescriptions, infant formula, diapers, consumable medical supplies, durable medical equipment, personal hygiene items, and fuel for transportation. As CNA is implemented under the ONA provision of the IHP, any assistance awarded for CNA is subject to a 25 percent cost share with the State of California.[1] CNA is limited to a one-time payment of $500 per household.

Pursuant to FP 104-009-03, *Individual Assistance Program and Policy Guide, Version 1.1, Chapter 3, Section VI.B.6,* CNA may be authorized for specific geographic areas (i.e., counties or ZIP codes), identified by the state, territorial, or tribal government when:

1. Applicants are displaced due to restrictions placed by the state, local, territorial, or tribal government officials (e.g., evacuation advisory, inaccessibility);
2. Shelters in the area do not meet the needs of the displaced population; and
3. Community and life-sustaining services within a reasonable distance from the area are limited due to the disaster-caused impact.

As of September 1, 2021, there are over 600 verified destroyed homes and nearly 3,000

[1] Section 408(g)(2) of the Stafford Act and 44 C.F.R. 206.110(i)(2)).

individuals who remain under evacuation orders due to the Dixie Fire. Per information provided by the State of California and included in Region IX's recommendation, the evacuation duration ended in Nevada and Placer Counties on August 9 and August 10, respectively, whereas, the evacuation duration recently ended in Plumas County on September 13 and remains ongoing for Lassen County. Due to the duration of the evacuations, power outages, and road closures, which have led to inaccessibility issues, Region IX recommends approving CNA solely for Lassen and Plumas Counties.

Historically, FEMA has not authorized CNA in disasters when the declaration occurs much later than the beginning of the incident period as it is intended to meet applicants' immediate needs following evacuation. However, the continuing incident period and uncontrolled fires have kept Lassen County and, until recently, Plumas Counties under evacuation orders, leaving applicants with little to no access to life sustaining services and unmet immediate needs. The provided information does not justify the need for assistance to meet immediate needs in Nevada and Placer Counties as the fire was contained more than a month ago in those areas. Additionally, no justification was provided for Tehama and Trinity Counties as they were not authorized for Individual Assistance until September 18, 2021.

Based on the justification provided by the State of California and Region IX, I am authorizing CNA to be provided post-registration for an initial 30-day period from the date of the Presidential declaration for eligible applicants in Lassen and Plumas Counties. When necessary, and based on applicant need, this eligibility period may be extended by the IA Division Director, if requested by the affected State. Additional counties may be added later, if warranted.

Applicants in FEMA-DR-4610-CA who register for assistance by September 23, 2021, will be considered for CNA if all of the following apply:

- They pass FEMA's identity and occupancy verification process;
- They are displaced from their pre-disaster primary residence as a result of the disaster or they are sheltering in their pre-disaster residence and report a need to shelter elsewhere at registration;
- At registration, they assert they have critical needs and request financial assistance for those needs and expenses;
- Their pre-disaster primary residence is located in Lassen or Plumas Counties;
- They report damage that may impact the habitability of their home; and
- All high-risk fraud indicators associated with the registration are resolved.

If you have any questions or need any additional information, please contact Monty LeMaire, Individuals and Households Program Service Delivery Branch Chief.

cc: Robert Fenton, Regional Administrator, Region IX
Jesus Ceja, Individual Assistance Branch Director, FEMA-DR-4610-CA
Magda de la Matta, Individual Assistance Branch Chief, Region IX
Keith Turi, Assistant Administrator, Recovery Directorate
Melissa Forbes, Deputy Assistant Administrator, Recovery Directorate
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division

U.S. Department of Homeland Security
FEMA Region 9
1111 Broadway, Suite 1200
Oakland, CA 94607-4052

FEMA

October 6, 2021

MEMORANDUM FOR: Keith Turi
Assistant Administrator
Recovery Directorate

FROM: Robert J. Fenton
Regional Administrator
FEMA Region 9

SUBJECT: Direct Temporary Housing Assistance Request for Plumas County
FEMA-4610-DR-CA

The State of California has requested Direct Temporary Housing Assistance, under FEMA-4610-DR-CA, for Plumas County.

The Housing Assistance provision of the Individuals and Households Program (IHP), authorized by Section 408(c) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, or "Stafford Act," as implemented in 44 C.F.R. Part 206.117 authorizes FEMA to provide Direct Assistance for temporary housing when eligible applicants are unable to obtain temporary housing with financial assistance due to a lack of available housing resources. FEMA determines the appropriate types of assistance based on considerations of cost-effectiveness, convenience to disaster survivors, and the suitability and availability of the types of assistance to meet the post-disaster housing needs. Direct Temporary Housing Assistance may be provided for a period of up to 18 months from August 24, 2021, the date of the President's declaration of a Major Disaster, to February 24, 2023.

Northern California has had four Presidentially declared major disaster for wildfires in the past three years that have significantly reduced housing stock in many counties. The compounding effects of the fires have reduced the limited available housing stock in counties still recovering from previous events. As a result, the demand for rental resources will likely be high.

As of October 4, 2021, there are 139 households in Plumas County potentially eligible for direct housing. FEMA uses the Real Property-FEMA Verified Loss of $12/sq foot or greater for owners and renters whose pre-disaster residence is recorded as "major damage" or "destroyed" during FEMA's inspection. That number may increase as inspections are completed and survivors continue to register for assistance. The FEMA registration deadline is October 25, 2021.

Upon review of the information gathered from an initial assessment, the number of eligible applicants projected to have a temporary housing need exceeds the number of available housing resources within Plumas County.

County	Rental Resources at FMR	Rental Resources at 125% FMR	Rental Resources at 150% FMR	Current Applicants Eligible for PPI (owners/renters)
Plumas	0	0	0	56 Owners/83 Renters

As a result, Rental Assistance is insufficient to meet the housing need due to a lack of available housing resources, and increasing the Rental Assistance rate up to 150% of the Fair Market Rent (FMR) rate established by the U.S. Department of Housing and Urban Development is also inadequate to fulfill the need for temporary housing.

The Joint Housing Workgroup for DR-4610-CA has been working collaboratively with state and local partners to provide solutions for Plumas County with unmet housing needs. Plumas County reported a lack of affordable housing. County officials confirmed that most residential damage affected low income residents living in rural areas with limited or non-existent housing resources. Additionally, Applicant Services conducted a housing needs assessment and determined that there are 52 households that have confirmed having unmet housing needs, while 16 households have indicated no housing needs; Applicant Services were unable to contact 70 households.

Based on the justification provided by the State and analysis conducted by FEMA, I recommend approving Direct Temporary Housing Assistance in the forms of Multi-Family Lease and Repair (MLR) units, commercial/private sites with Transportable Temporary Housing Units (TTHU), travel trailers and Manufactured Housing Units (MHU) for those with Access and Functional needs that require a modification to the MHU or UFAS unit.

If approved and unless specifically superseded by conditions in this approval, direct temporary housing assistance will be implemented in accordance with the Individual Assistance Program and Policy Guidance (IAPPG). In addition, I will provide prior notification of the types of direct temporary housing assistance that will be implemented.

Should you have any questions or need additional information, please contact Jesus Ceja, Individual Assistance Branch Director, at 202-805-6724 or by email at jesus.ceja@fema.dhs.gov

10/13/21

CONCUR
Keith Turi
Assistant Administrator,
Recovery Directorate

NON-CONCUR
Keith Turi
Assistant Administrator,
Recovery Directorate

Cc: Andrew F. Grant, Federal Coordinating Officer, FEMA-4610-DR-CA
Christopher B. Smith, Individual Assistance Division Director, FEMA HQ
Maggie de la Matta, Individual Assistance Branch Chief, FEMA Region 9
Jesus Ceja, Individual Assistance Branch Director, FEMA-4610-DR-CA
Robert Pesapane, Recovery Division Director, FEMA Region 9
Monty LeMaire, Branch Chief, Individuals and Households Program Delivery Branch, Individual Assistance Division

Attachments: State of California's Direct Housing Request Letter

U.S. Department of Homeland Security
FEMA Region 9
1111 Broadway Suite 1200
Oakland, CA 94607

FEMA

October 24, 2021

MEMORANDUM FOR: Christopher B. Smith
Individual Assistance Division Director
DHS/FEMA Headquarters

FROM: DAVID G PASSEY Digitally signed by DAVID G PASSEY Date: 2021.10.23 10:13:30 -07'00'
Robert J. Fenton
Regional Administrator
FEMA Region 9

SUBJECT: Individual Assistance Registration Deadline Extension,
FEMA-4610-DR-CA

This correspondence is in response to the State of California's (State) September 21, 2021 request for the Federal Emergency Management Agency (FEMA) to extend the Individual Assistance registration deadline for an additional 30-days for all declared counties under FEMA-4610-DR-CA. FEMA is partially approving the State's registration period extension request and allowing for a 14-day extension. The original registration period was set to end on October 25, 2021. However, the registration period will now end on November 8, 2021.

Pursuant to the *Individual Assistance Program and Policy Guide* (IAPPG), FP 104-009-03, in limited circumstances, the Regional Administrator may extend the initial registration period for up to 60 days when needed and justified. FEMA evaluates the need for a registration period extension by considering the below factors:

- When it is necessary to establish the same registration deadline for subsequently designated contiguous areas;
- When there is a continued high volume of registration; and/or
- When there are significant barriers to registration.

The State indicated in their request letter that the Dixie and McFarland Fires in Tehama County and the Monument Fire in Trinity County burned through extremely rural and rugged terrain, where communication is difficult. County officials have expressed concerns that many survivors do not use smartphones or social media and have challenges with literacy, as both counties have a greater elderly population than state and national averages. Damage and destruction of critical communication infrastructure has exacerbated challenges when attempting to reach survivors. Finally, we have received indications passed along by the state that local government officials heard some community members have been living with family or friends away from impacted areas and are now returning to assess damage and take steps toward recovery. Mandatory evacuation orders for Tehama County were in effect for 32 days until September 4, 2021, while mandatory evacuation orders for the Monument Fire in Trinity County are still ongoing, indicating that some survivors have not yet returned to the area to assess the damage. The State concluded their justification by stating that an extension of the current registration deadline for IA will provide all eligible

households with an equitable opportunity to apply for federal assistance and receive IA benefits as they undergo the long-term recovery process from this disaster.

Recommendation

Based on the information provided by the State, I am approving a 14-day extension to the registration period request for FEMA-4610-DR-CA. FEMA-4610-DR-CA was declared on August 24, 2021, with Individual Assistance for Lassen, Nevada, Placer, and Plumas counties. Subsequently, Individual Assistance was added for Tehama and Trinity counties on September 18, 2021. By allowing a 14-day extension, this will afford the survivors of the add-on counties the same opportunity to register for FEMA assistance. The State has demonstrated that there may still be populations that have experienced significant barriers to registration. The Individual Assistance registration period for all impacted counties will end on November 8, 2021.

If you have any additional questions, please contact Andrew Grant, FCO, DR-4610-CA, at 202-257-5303 or Andrew.Grant@fema.dhs.gov.

cc: Magda de la Matta, Individual Assistance Branch Chief, FEMA Region 9
Jesus Ceja, Individual Assistance Branch Director, FEMA-4610-DR-CA
Robert Pesapane, Recovery Division Direct, FEMA Region 9
Monty LeMaire, Branch Chief, Individuals and Households Program Delivery Branch, Individual Assistance Division

Attachment: State of California Registration Deadline Extension Request Letter dated September 01, 2021

U.S. Department of Homeland Security
FEMA Region 9
1111 Broadway, Suite 1200
Oakland, CA 94607

FEMA

October 14, 2021

MEMORANDUM FOR: Christopher B. Smith
Individual Assistance Division Director

FROM: David Passey
Acting Deputy Regional Administrator

SUBJECT: Rental Assistance Rate Increase for FEMA-4610-DR-CA

The purpose of this memorandum is to notify you pursuant to the Individual Assistance Program and Policy Guide (IAPPG), FP 104-009-03, of the Rental Assistance Rate Increase to 125% of the published U.S. Department of Housing and Urban Development (HUD) Fair Market Rent (FMR) for FEMA-4610-DR-CA in Lassen, Nevada, Placer, Plumas, Tehama, and Trinity counties as requested by the State of California on September 1 and September 22, 2021.

In its request letter, the California Governor's Office of Emergency Services (Cal OES) cites the State's continued housing crisis, the effects of multiple successive wildfire disasters, and its finding that the number of available rental units in the declared counties at the current HUD FMR are insufficient to meet the needs of survivors of the recent wildfires. Indeed, in the past three years, Northern California has suffered three successive Presidentially declared disasters that have destroyed or damaged a significant number of its housing stock. Cal OES references the State's 3.6% average rental vacancy, noting that it is markedly lower than the national average of 6.8%. The State emphasizes that the FMR increase "will ensure that survivors in need of temporary rental assistance are not unreasonably displaced from their communities by keeping them close to their places of work, worship, and education."

Additionally, FEMA conducted an analysis of rental resource availability in the affected counties in order to validate the State-provided data. The analysis determined that there are insufficient rental resources available in the affected areas at the 100% FMR to meet the potential demand. In addition, a number of survivors are receiving assistance through their insurance provider, increasing the competition for rentals in the affected areas. Given these variables, the demand for rental resources will likely be high.

Lack of Affordable Housing

The State of California has experienced a historic under-production of homes since 2001. An estimated 180,000 homes are needed per year to keep up with projected household growth from 2015 - 2025. Currently only 80,000 homes are being built per year, resulting in a housing shortfall of 100,000 units per year. As household growth continues to exceed housing development, available

housing is in short supply. The majority of the housing available is priced above the HUD FMR guidelines, making it unaffordable to many. The already limited rental inventory was further reduced by the additional wildfires in recent years, worsening an already concerning situation.

Analysis of Rental Resources

As of October 12, 2021, 210 applicants were approved for initial rental assistance which provides for two months of initial rental assistance. Historically, between 5% and 11% of applicants receiving initial rental assistance have returned to request continued rental assistance and were subsequently approved for Continued Temporary Housing Assistance (CTHA). Historical trends indicate that FEMA will likely approve between 3 and 22 households for CTHA. As the registration period is currently open until October 25, 2021, there may ultimately be more households that are approved for initial rental assistance.

County	Total Registrations	Total IHP Referred	Total HA Referred	Total Initial Rental	Low	Average	High
					Based on Last 5 CA DRS CTHA Rate (4240, 4344, 4407, 4559, 4569)		
Lassen	219	155	143	6	0	0	1
Nevada	283	234	231	11	0	1	1
Placer	392	320	313	11	0	1	1
Plumas	718	540	511	177	3	11	19
Tehama	99	75	70	1	0	0	0
Trinity	69	53	50	4	0	0	0
Total	**1,780**	**1,377**	**1,318**	**210**	**3**	**13**	**22**

Following the August 24, 2021 Presidential declaration, Disaster Housing Unit at FEMA Headquarters contracted with Empire Hospitality (Empire), a third-party vendor, to provide Individual Assistance (IA) with county-specific rental resources data. Empire was tasked with furnishing a report that detailed available rental units, pricing of current rental units at up to 300% of the HUD FMR (by 25% increments), and the source of this information. The task order originally only covered the IA counties that were initially declared; Tehama and Trinity counties were subsequently added under FEMA-4610-DR-CA on September 22, 2021, and were not included in that report. Empire conducted additional research and provided IA with the following rental resources data on September 17, 2021:

County	Total Units Available at 100% FMR	Total Units Available at 125% FMR
Lassen	0	2
Nevada	0	1
Placer	4	46
Plumas	0	0
Total	4	49

IA conducted an analysis of rental resources availability in the affected counties in order to validate the vendor-provided data. The analysis determined that there may be sufficient rental resources available in the affected area at the 125% FMR to meet the potential demand of those who may be eligible for CTHA.

Based on the justification provided by the State and our analysis above, FEMA has authorized an increase in the Rental Assistance rate to 125% of the FMR for the following counties under FEMA-4610-DR-CA: Lassen, Nevada, Placer, Plumas, Tehama, and Trinity.

If you have any questions or need any additional information, please contact Jesus Ceja, Individual Assistance Branch Director for DR-4610-CA, at 202-805-6724 or jesus.ceja@fema.dhs.gov

cc: Robert J. Fenton, Regional Administrator, Region 9
Robert M. Pesapane, Recovery Director, Region 9
Magda de la Matta, Individual Assistance Branch Chief, Region 9
Andrew F. Grant, Federal Coordinating Officer, FEMA-4610-DR-CA
Jesus Ceja, Individual Assistance Branch Director, FEMA-4610-DR-CA
Monty LeMaire, Branch Chief, Individuals and Households Program Delivery Branch, Individual Assistance Division

Attachment: California Request Letter dated September 1, 2021
California Request Letter dated September 22, 2021
FMR Adjustment Calculator

U.S. Department of Homeland Security
Washington, DC 20472

FEMA

September 10, 2021

MEMORANDUM FOR: John Long
Federal Coordinating Officer
FEMA-DR-4611-LA

FROM: Christopher B. Smith
Director
Individual Assistance Division

CHRISTOPHER B SMITH Digitally signed by CHRISTOPHER B SMITH
Date: 2021.09.10 20:12:14 -04'00'

SUBJECT: Critical Needs Assistance Amendment #2 for FEMA-DR-4611-LA

This memorandum is an amendment to the August 30, 2021, *Critical Needs Assistance Authorization for FEMA-DR-4611-LA* memorandum, which approved Critical Needs Assistance (CNA) under the Individuals and Households Program for FEMA-DR-4611-LA. Section 408(e)(2) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act and 44 C.F.R. 206.119(c)(6)(ii) authorize FEMA to provide assistance for necessary expenses or serious needs resulting from a major disaster.

Pursuant to FP 104-009-03, *Individual Assistance Program and Policy Guide, Version 1.1*, Chapter 3, Section VI.B.6, the Individual Assistance Division Director may authorize a CNA eligibility period of up to 30 days from the Presidential disaster declaration. Due to the ongoing inaccessibility issues and road closures faced by applicants in the disaster area, FEMA is extending the initial 14-day eligibility period an additional 10 days. Applicants in FEMA-DR-4611-LA who register for assistance by September 22, 2021, and meet the eligibility criteria outlined in the August 30, 2021, *Critical Needs Assistance Authorization for FEMA-DR-4611-LA* memorandum and the September 2, 2021, *Critical Needs Assistance Amendment for FEMA-DR-4611-LA* memorandum may be considered for CNA.

Additionally, FEMA is amending its previous memorandum so that CNA will be provided post-registration to eligible applicants in all currently declared parishes. Due to the existing processes to identify and resolve fraud indicators, FEMA will provide CNA funds to applicants without unresolved fraud indicators prior to an inspection. Any applicant with unresolved fraud indicators may receive CNA following a completed inspection.

All other eligibility criteria outlined in the August 30, 2021, *Critical Needs Assistance*

Authorization for FEMA-DR-4611-LA memorandum, the September 2, 2021, *Critical Needs Assistance Amendment for FEMA-DR-4611-LA* memorandum, and FP 104-009-03, *Individual Assistance Program and Policy Guide, Version 1.1 (IAPPG), Chapter 3, Section VI.B.6* remain in effect.

If you have any questions or need any additional information, please contact Monty LeMaire, Individuals and Households Program Service Delivery Branch Chief.

cc: Tony Robinson, Regional Administrator, Region VI
Lori LaFon, Individual Assistance Branch Chief, Region VI
Angele Rogers, Individual Assistance Branch Director, FEMA-DR-4611-LA
Keith Turi, Assistant Administrator, Recovery Directorate
Melissa Forbes, Deputy Assistant Administrator, Recovery Directorate
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division

U.S. Department of Homeland Security
Washington, DC 20472

FEMA

September 2, 2021

MEMORANDUM FOR: John Long
Federal Coordinating Officer
FEMA-DR-4611-LA

FROM: Christopher B. Smith
Director
Individual Assistance Division

CHRISTOPHER B SMITH Digitally signed by CHRISTOPHER B SMITH Date: 2021.09.02 18:29:39 -04'00'

SUBJECT: Critical Needs Assistance Amendment for FEMA-DR-4611-LA

This memorandum is an amendment to the August 30, 2021, *Critical Needs Assistance Authorization for FEMA-DR-4611-LA* memorandum, which approved Critical Needs Assistance (CNA) under the Individuals and Households Program (IHP) for DR-4611-LA. Section 408(e)(2) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act and 44 C.F.R. 206.119(c)(6)(ii) authorize FEMA to provide assistance for necessary expenses or serious needs resulting from a major disaster.

Due to the ongoing inaccessibility issues and road closures faced by applicants in the disaster area, FEMA is amending the eligibility criteria established in the previous memorandum. To be considered eligible for CNA, applicants will no longer be required at registration to report that their pre-disaster residence incurred damages that impact the habitability of their home. Instead, applicants may be considered eligible for CNA regardless of whether they report damage or unknown damage to their dwelling.

Additionally, FEMA is amending its previous memorandum so that eligible applicants whose pre-disaster primary residence is located in Livingston, St. Helena, St. James, and St. Tammany parishes may receive CNA post-registration as opposed to post-inspection.

All other eligibility criteria outlined in the August 30, 2021, *Critical Needs Assistance Authorization for FEMA-DR-4611-LA* memorandum and FP 104-009-03, *Individual Assistance Program and Policy Guide, Version 1.1 (IAPPG), Chapter 3, Section VI.B.6* remain in effect.

If you have any questions or need any additional information, please contact Monty LeMaire, Individuals and Households Program Service Delivery Branch Chief.

cc: Tony Robinson, Regional Administrator, Region VI

Lori LaFon, Individual Assistance Branch Chief, Region VI
Angele Rogers, Individual Assistance Branch Director, DR-4611-LA
Keith Turi, Assistant Administrator, Recovery Directorate
Melissa Forbes, Deputy Assistant Administrator, Recovery Directorate
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division

U.S. Department of Homeland Security
Washington, DC 20472

FEMA

September 2, 2021

MEMORANDUM FOR: John Long
Federal Coordinating Officer
FEMA-DR-4611-LA

FROM: Christopher B. Smith
Director
Individual Assistance Division

CHRISTOPHER B SMITH Digitally signed by CHRISTOPHER B SMITH Date: 2021.09.02 18:29:39 -04'00'

SUBJECT: Critical Needs Assistance Amendment for FEMA-DR-4611-LA

This memorandum is an amendment to the August 30, 2021, *Critical Needs Assistance Authorization for FEMA-DR-4611-LA* memorandum, which approved Critical Needs Assistance (CNA) under the Individuals and Households Program (IHP) for DR-4611-LA. Section 408(e)(2) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act and 44 C.F.R. 206.119(c)(6)(ii) authorize FEMA to provide assistance for necessary expenses or serious needs resulting from a major disaster.

Due to the ongoing inaccessibility issues and road closures faced by applicants in the disaster area, FEMA is amending the eligibility criteria established in the previous memorandum. To be considered eligible for CNA, applicants will no longer be required at registration to report that their pre-disaster residence incurred damages that impact the habitability of their home. Instead, applicants may be considered eligible for CNA regardless of whether they report damage or unknown damage to their dwelling.

Additionally, FEMA is amending its previous memorandum so that eligible applicants whose pre-disaster primary residence is located in Livingston, St. Helena, St. James, and St. Tammany parishes may receive CNA post-registration as opposed to post-inspection.

All other eligibility criteria outlined in the August 30, 2021, *Critical Needs Assistance Authorization for FEMA-DR-4611-LA* memorandum and FP 104-009-03, *Individual Assistance Program and Policy Guide, Version 1.1 (IAPPG), Chapter 3, Section VI.B.6* remain in effect.

If you have any questions or need any additional information, please contact Monty LeMaire, Individuals and Households Program Service Delivery Branch Chief.

cc: Tony Robinson, Regional Administrator, Region VI

Lori LaFon, Individual Assistance Branch Chief, Region VI
Angele Rogers, Individual Assistance Branch Director, DR-4611-LA
Keith Turi, Assistant Administrator, Recovery Directorate
Melissa Forbes, Deputy Assistant Administrator, Recovery Directorate
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division

U.S. Department of Homeland Security
Washington, DC 20472

FEMA

September 2, 2021

MEMORANDUM FOR: John Long
Federal Coordinating Officer
FEMA-DR-4611-LA

FROM: Christopher B. Smith
Director
Individual Assistance Division

CHRISTOPHER B SMITH Digitally signed by CHRISTOPHER B SMITH Date: 2021.09.02 18:29:39 -04'00'

SUBJECT: Critical Needs Assistance Amendment for FEMA-DR-4611-LA

This memorandum is an amendment to the August 30, 2021, *Critical Needs Assistance Authorization for FEMA-DR-4611-LA* memorandum, which approved Critical Needs Assistance (CNA) under the Individuals and Households Program (IHP) for DR-4611-LA. Section 408(e)(2) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act and 44 C.F.R. 206.119(c)(6)(ii) authorize FEMA to provide assistance for necessary expenses or serious needs resulting from a major disaster.

Due to the ongoing inaccessibility issues and road closures faced by applicants in the disaster area, FEMA is amending the eligibility criteria established in the previous memorandum. To be considered eligible for CNA, applicants will no longer be required at registration to report that their pre-disaster residence incurred damages that impact the habitability of their home. Instead, applicants may be considered eligible for CNA regardless of whether they report damage or unknown damage to their dwelling.

Additionally, FEMA is amending its previous memorandum so that eligible applicants whose pre-disaster primary residence is located in Livingston, St. Helena, St. James, and St. Tammany parishes may receive CNA post-registration as opposed to post-inspection.

All other eligibility criteria outlined in the August 30, 2021, *Critical Needs Assistance Authorization for FEMA-DR-4611-LA* memorandum and FP 104-009-03, *Individual Assistance Program and Policy Guide, Version 1.1 (IAPPG), Chapter 3, Section VI.B.6* remain in effect.

If you have any questions or need any additional information, please contact Monty LeMaire, Individuals and Households Program Service Delivery Branch Chief.

cc: Tony Robinson, Regional Administrator, Region VI

Lori LaFon, Individual Assistance Branch Chief, Region VI
Angele Rogers, Individual Assistance Branch Director, DR-4611-LA
Keith Turi, Assistant Administrator, Recovery Directorate
Melissa Forbes, Deputy Assistant Administrator, Recovery Directorate
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division

U.S. Department of Homeland Security
Washington, DC 20472

FEMA

August 30, 2021

MEMORANDUM FOR: John Long
Federal Coordinating Officer
FEMA-DR-4611-LA

FROM: Christopher B. Smith
Director
Individual Assistance Division

CHRISTOPHER B SMITH
Digitally signed by CHRISTOPHER B SMITH
Date: 2021.08.30 16:52:40 -04'00'

SUBJECT: Critical Needs Assistance Authorization for FEMA-DR-4611-LA

This memorandum is in response to the State of Louisiana's request, dated August 29, 2021, for FEMA to provide Critical Needs Assistance (CNA) under the Individuals and Households Program (IHP) for DR-4611-LA. Section 408(e)(2) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (Stafford Act) and 44 C.F.R. 206.119(c)(6)(ii) authorize FEMA to provide assistance for necessary expenses or serious needs resulting from a major disaster. The request for CNA was included in the Governor's Request for an Expedited Major Disaster.

FEMA may provide financial assistance under the Other Needs Assistance (ONA) provision of IHP to applicants who have immediate or critical needs because they are displaced from their primary residence or who need assistance in order to leave their pre-disaster primary residence to temporarily shelter elsewhere. Immediate or critical needs are life-saving and life-sustaining items including, but not limited to: water, food, first aid, prescriptions, infant formula, diapers, consumable medical supplies, durable medical equipment, personal hygiene items, and fuel for transportation. As CNA is implemented under the ONA provision of the IHP, any assistance awarded for CNA is subject to a 25 percent cost share with the State of Louisiana.[1] CNA is limited to a one-time payment of $500 per household.

Pursuant to FP 104-009-03, *Individual Assistance Program and Policy Guide, Version 1.1 (IAPPG), Chapter 3, Section VI.B.6.,* CNA may be authorized for specific geographic areas (i.e., parishes or ZIP codes), identified by the state, territorial, or tribal government when:

1. Applicants are displaced due to restrictions placed by the state, local, territorial, or tribal government officials (e.g., evacuation advisory, inaccessibility);
2. Shelters in the area do not meet the needs of the displaced population; and
3. Community and life-sustaining services within a reasonable distance from the area are

[1] Section 408(g)(2) of the Stafford Act and 44 C.F.R. 206.110(i)(2)).

limited due to the disaster-caused impact.

Hurricane Ida struck the State of Louisiana on Sunday, August 29, 2021, as a devastating Category 4 hurricane with maximum sustained winds of 140 miles per hour. The combination of dangerous storm surge and tide caused normally dry areas near the coast to be flooded by rising waters moving inland from the shoreline. Total rainfall accumulations of ten to 18 inches with isolated maximum amounts of 24 inches are projected across southeast Louisiana, which are likely to result in significant flash and riverine flooding impacts. In response, many parishes in the hurricane's trajectory are under a mandatory evacuation order, with some of the surrounding parishes under a voluntary evacuation order. Per Louisiana's request, due to the size and scope of the hurricane, the majority of households in the requested areas are or will be displaced from their primary residence for an extended period of time, creating a financial burden. The displaced population needs assistance obtaining lifesaving and life-sustaining supplies necessary to support long-term displacement.

Based on the justification provided by the State of Louisiana, I am authorizing CNA to be provided post-registration for an initial 14-day period from the date of the Presidential declaration for eligible applicants in the following parishes with mandatory and voluntary evacuations: Assumption, Iberia, Jefferson, Lafourche, Orleans, Plaquemines, St. Bernard, St. Charles, St. John the Baptist, St. Martin, St. Mary, Tangipahoa, and Terrebonne. CNA is also authorized to be provided post-inspection in the following parishes without mandatory or voluntary evacuation orders in place: Ascension, East Baton Rouge, East Feliciana, Iberville, Livingston, Pointe Coupee, St. Helena, St. James, St. Tammany, Washington, West Baton Rouge, and West Feliciana.

When necessary, and based on applicant need, this eligibility period may be extended at the discretion of the Individual Assistance Division Director up to 30 days. Any additional extension beyond 30 days or additional parishes may be added later, if requested by Louisiana and approved.

Applicants in DR-4611-LA who register for assistance by September 12, 2021, will be considered for CNA if all of the following apply:

- They pass FEMA's identity and occupancy verification process;
- They are displaced from their pre-disaster primary residence as a result of the disaster, or they are sheltering in their pre-disaster residence and report a need to shelter elsewhere at registration;
- At registration, they assert that they have critical needs and request financial assistance for those needs and expenses;
- Their pre-disaster primary residence is located in a designated geographic area approved for CNA;
- They report damage that may impact the habitability of their home; and
- All high-risk fraud indicators associated with the registration are resolved.

If you have any questions or need any additional information, please contact Monty LeMaire, Individuals and Households Program Service Delivery Branch Chief.

cc: Tony Robinson, Regional Administrator, Region VI
Lori LaFon, Individual Assistance Branch Chief, Region VI
Angele Rogers, Individual Assistance Branch Director, DR-4611-LA
Keith Turi, Assistant Administrator, Recovery Directorate
Melissa Forbes, Deputy Assistant Administrator, Recovery Directorate
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division

U.S. Department of Homeland Security
Federal Emergency Management Agency
FEMA-State Joint Field Office
FEMA-4611-DR-LA
1500 Main Street
Baton Rouge, LA 70802

FEMA

September 17, 2021

MEMORANDUM FOR: Keith Turi
Assistant Administrator
Recovery Directorate

THROUGH: George A. Robinson
Regional Administrator
FEMA Region 6

GEORGE A ROBINSON Digitally signed by GEORGE A ROBINSON Date: 2021.09.17 12:57:49 -05'00'

FROM: John E. Long
Federal Coordinating Officer
FEMA-DR-4611-LA

SUBJECT: Direct Temporary Housing Request
FEMA-4611-DR-LA

This memorandum is in response to the State of Louisiana's request, dated September 7, 2021, for direct temporary housing assistance through the Individuals and Households Program (IHP) for FEMA-DR-4611-LA. The Housing Assistance provision of the IHP, authorized by Section 408(c) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, or "Stafford Act," as implemented in 44 C.F.R. Part 206, authorizes FEMA to provide direct assistance for temporary housing when eligible applicants are unable to obtain temporary housing with financial assistance due to a lack of available housing resources. Direct temporary housing assistance may be provided for periods of up to 18 months from August 29, 2021, the date of the President's declaration of a Major Disaster, to February 28, 2023.

The State of Louisiana requested direct temporary housing assistance in all twenty-five (25) Individual Assistance (IA) declared parishes. **Based on currently available inspection and rental resource data, I recommend approval of direct temporary housing assistance in the form of Direct Lease, Multi-Family Lease and Repair (MLR), and Transportable Temporary Housing Units (TTHUs) in the following ten (10) parishes: Jefferson, Lafourche, Livingston, Plaquemines, St. Charles, St. Helena, St. James, St. John the Baptist, Tangipahoa and Terrebonne, and holding the remaining fifteen (15) parishes in abeyance until further data becomes available.**

Upon review of the inspection data, the Shelter and Housing Task Force (SHTF) determined there are currently 2,497 applicants eligible for direct housing pre-placement interviews (PPIs) and 123 available resources at 100% FMR in the ten approved parishes. Increasing the rental assistance rate up to 300% of the Fair Market Rent (FMR) rate established by the U.S. Department of Housing and Urban Development results in only 255 additional rental resources. The number of potentially eligible applicants that may have a

temporary housing need exceeds the number of available housing resources within the requested parishes. Therefore, financial rental assistance is insufficient to meet the temporary housing need due to a lack of available housing resources. Although Plaquemines Parish has a low number of PPIs, they do not have any rental resources and received concentrated damage to the southern end of the Parish that has been inaccessible and without power since Hurricane Ida. Therefore, we expect the numbers of PPIs to increase and given that there are no rental resources currently available, this warrants the need for Direct Housing.

Parish	IHP Referred	Inspections	Completed Inspections	Current PPI	Projected PPI	Projected Housed	TSA Checked In	USACE Debris Estimate	Rental Properties at 100% FMR (9/12)	Rental Properties at 300% FMR (9/12)	SVI Score
Jefferson	138,755	58,292	23,170	412	1,856	520	2,206	2,500,000	96	218	0.61
Lafourche	28,110	13,326	4,256	518	2,333	653	709	2,000,000	0	6	0.77
Livingston	21,822	6,431	1,733	80	360	101	86	700,000	2	15	0.43
Plaquemines	6,476	2,791	804	15	68	19	75	315,000	0	0	0.71
St. Charles	17,403	6,642	3,124	194	874	245	798	1,223,092	1	2	0.35
St. Helena	3,113	1,512	498	26	117	33	23	200,000	0	0	0.90
St. James	5,558	2,671	1,057	62	279	78	74	110,000	0	0	0.63
St. John the Baptist	15,952	8,736	4,082	253	1,140	319	922	700,000	0	0	0.75
Tangipahoa	35,477	16,437	5,932	183	824	231	511	1,500,000	3	19	0.89
Terrebonne	33,600	17,834	6,904	754	3,396	951	1,468	2,000,000	21	118	0.90

Data as of 09/17/21

To meet the temporary direct housing need, FEMA will need to implement the following forms of direct housing assistance:

Transportable Temporary Housing Units (TTHU): TTHU's will be utilized for Private, Group, Commercial and Emergency Group Sites (EGS). FEMA will continue to evaluate placement of TTHUs in a SFHA in accordance with 44CFR Part 9.

- **Private Sites:** Private site placement on feasible sites throughout the ten recommended parishes.
- **Commercial Sites:** Placement of TTHU's in commercial sites throughout the ten recommended parishes.
- **EGS:** Construction of EGS in Jefferson, Lafourche, Plaquemines and Terrebonne Parishes due to significant SFHA concerns,
- **Group Sites:** Group site construction and placement throughout the ten recommended parishes where other site types are not practical or feasible.

Multi-Family Lease and Repair (MLR): Identify and repair eligible multi-family housing units, consisting of two (duplexes) or more units within the ten recommended parishes

Direct Lease: Implementation of Direct Lease in the ten recommended parishes

Sales to Occupants and Donations: Immediate implementation to reduce the need for Group/Commercial sites and maintenance lifecycle costs

In addition, FEMA Region 6 will continue to work with the State of Louisiana to evaluate the need for Permanent Housing Construction (PHC) in limited areas of the State approved for direct housing assistance where it can be determined that no alternative housing resources are available; and where types of housing assistance FEMA normally provides, such as Rental Assistance or other forms of direct assistance, are unavailable, infeasible, or not cost-effective. I also recommend leveraging Hazard Mitigation Assistance grants when it can meet survivors needs for temporary and permanent housing in parishes with high social vulnerability index that are located in a special flood hazard area.

I will notify you in writing prior to approving any TTHU Group Site(s).

KEITH A TURI

Digitally signed by KEITH A TURI
Date: 2021.09.17 18:18:50 -04'00'

CONCUR Date
Keith Turi
Assistant Administrator
Recovery Directorate

NON-CONCUR Date
Keith Turi
Assistant Administrator
Recovery Directorate

Attachment: FEMA-4611-DR-LA State Request

cc: David Bibo, Acting Associate Administrator, Office of Response and Recovery
Carla Gammon, Acting Assistant Administrator, Logistics Management Directorate
Christopher B. Smith, Director, Individual Assistance Division
Monty LeMaire, Branch Chief, Individuals and Households Program Delivery Branch, Individual Assistance Division
Traci Brasher, Region 6 Recovery Division Director
Lorie LaFon, Individual Assistance Branch Chief, Region VI
Elizabeth Redfearn, Deputy Individual Assistance Branch Director, DR-4611-LA

U.S. Department of Homeland Security
Washington, DC 20472

FEMA

September 10, 2021

MEMORANDUM FOR: Keith Turi
Assistant Administrator
Recovery Directorate

FROM: Christopher B. Smith
Director
Individual Assistance Division

SUBJECT: Expedited Rental Assistance to Applicants of FEMA-DR-4611-LA Amendment

This memorandum is an amendment to the August 31, 2021, *Expedited Rental Assistance to Applicants of FEMA-DR-4611-LA* memorandum, which approved one month of Rental Assistance to applicants based on utility outage and registration data.

Due to the ongoing, widespread utility outages faced by applicants in the disaster area, FEMA is amending the previous eligibility criteria to extend the eligibility period in which the applicant must register in order to be considered for Expedited Rental Assistance by 10 days in parishes that continue to have 50% or more of customers impacted by power outage. The following parishes continue to meet this criterion based on data available from the Electric Utility Outage Reporter map available from the Louisiana Public Service Commission: Lafourche, St. Charles, St. Helena, St. James, St. John the Baptist, and Terrebonne. In order to be considered eligible for Expedited Rental Assistance, applicants in the above parishes must register for assistance by September 22, 2021.

Applicants in Ascension, Assumption, East Baton Rouge, East Feliciana, Jefferson, Livingston, Orleans, Plaquemines, St. Bernard, St. Tammany, Tangipahoa, and Washington continue to have until September 12, 2021, to register for assistance in order to be considered for Expedited Rental Assistance. This effort will allow FEMA to continue to expedite assistance to meet immediate applicant needs for temporary housing and focus inspection resources on applicants reporting high damage.

All other eligibility criteria outlined in the August 31, 2021, *Expedited Rental Assistance to Applicants of FEMA-DR-4611-LA* memorandum and the FP 104-009-03, *Individual Assistance Program and Policy Guide, Version 1.1* remain in effect.

If you have any questions or need any additional information, please contact Monty LeMaire, Individuals and Households Program Service Delivery Branch Chief.

cc: Tony Robinson, Regional Administrator, Region VI
John Long, Federal Coordinating Officer, DR-4611-LA
Angele Rogers, Individual Assistance Branch Director, DR-4611-LA
Lori LaFon, Individual Assistance Branch Chief, Region VI
Melissa Forbes, Deputy Assistant Administrator, Recovery Directorate
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division
Kenneth Jackson, Chief, Field Services Section, Individual Assistance Division

U.S. Department of Homeland Security
Washington, DC 20472

FEMA

August 31, 2021

MEMORANDUM FOR: Keith Turi
Assistant Administrator
Recovery Directorate

FROM: Christopher B. Smith
Director
Individual Assistance Division

CHRISTOPHER B SMITH Digitally signed by CHRISTOPHER B SMITH Date: 2021.08.31 23:14:40 -04'00'

SUBJECT: Expedited Rental Assistance to Applicants of FEMA-DR-4611-LA

On August 29, 2021, the President declared a major disaster for the State of Louisiana for the damages caused by Hurricane Ida. Given the scope of the disaster, it may take longer than usual to inspect applicants following their registration for assistance due to the initial time allowed in Inspection Services contracts to ramp up to full capacity. Additionally, information released from the Governor of Louisiana on August 31, 20201, stated that the power may remain out in some areas for more than 30 days. Therefore, FEMA intends to expedite one month of Rental Assistance to applicants based on utility outage and registration data. This effort will allow FEMA to expedite assistance to meet immediate applicant needs for temporary housing and focus inspection resources on applicants reporting high damage.

To effectively address the needs of applicants affected by Hurricane Ida, the Individuals and Households Program (IHP) Service Delivery Branch, using utility outage and other available data, will provide one month of Expedited Rental Assistance to applicants who meet the following criteria:

- They meet the general IHP eligibility criteria as established in Chapter 3, II.A. of the *Individual Assistance Program and Policy Guide, Version 1.1*;
- They register for assistance by September 12, 2021;
- At the time of registration, they report that their essential utilities are temporarily unavailable;
- The applicant is not insured or their insurance does not provide coverage to meet temporary housing needs, i.e. flood insurance;
- Their damaged dwelling is located in a parish with 50% or more of customers (based on information from the Louisiana Public Service Commission 8.31.21 1800 HRS Report) impacted by a power outage, which includes Ascension, Assumption, East Baton Rouge, East Feliciana, Jefferson, Lafourche, Livingston, Orleans, Plaquemines, St. Bernard, St. Charles, St. Helena, St. James, St. John the Baptist, St. Tammany, Tangipahoa,

Terrebonne, and Washington Parish; and

- All high-risk fraud indicators associated with the registration are resolved.

Additionally, to better prioritize the use of available inspection resources, FEMA will not issue an inspection to applicants who report at the time of registration intake that they only have damage caused by flood and have flood insurance that will cover their disaster-caused damages. Instead, FEMA will award these applicants two months of initial Rental Assistance, as flood insurance does not provide this type of assistance. Applicants must still meet the general IHP eligibility criteria as established in Chapter 3, II.A. of the *Individual Assistance Program and Policy Guide, Version 1.1*. Applicants who do not believe their flood insurance settlement was sufficient to meet their disaster-caused need may appeal for additional assistance from FEMA.

If you have any questions or need any additional information, please contact Blair McDonald, Program Management Section Chief.

cc: Tony Robinson, Regional Administrator, Region VI
John Long, Federal Coordinating Officer, DR-4611-LA
Angele Rogers, Individual Assistance Branch Director, DR-4611-LA
Lori LaFon, Individual Assistance Branch Chief, Region VI
Melissa Forbes, Deputy Assistant Administrator, Recovery Directorate
Kenneth Jackson, Chief, Field Services Section, Individual Assistance Division

U.S. Department of Homeland Security
FEMA-State Joint Field Office
FEMA-4611-DR-LA
1500 Main Street
Baton Rouge, LA 70802

FEMA

September 4, 2021

MEMORANDUM FOR: Christopher B. Smith
Director, Individual Assistance Division
DHS/FEMA Headquarters

THROUGH: George A. Robinson
Regional Administrator
FEMA Region 6

GEORGE A ROBINSON Digitally signed by GEORGE A ROBINSON Date: 2021.09.04 20:20:19 -05'00'

FROM: John E. Long
Federal Coordinating Officer
FEMA-4611-DR-LA

JOHN E LONG Digitally signed by JOHN E LONG Date: 2021.09.04 19:53:31 -05'00'

SUBJECT: Request for Non-Medical Generator Waiver and Chainsaw Reimbursement
FEMA-4611-DR-LA

On September 3, 2021, the State of Louisiana requested that FEMA waive the medical requirement for generator purposes for DR-4611-LA as required by FEMA Policy 104-009-03, *Individual Assistance Program and Policy Guide, Version 1.1* (IAPPG), Chapter 3, VI. B.4. Under current policy, FEMA may provide reimbursement for generators not owned prior to the disaster only when the generator must be purchased or rented to power a medically-required piece of equipment, including medically-required refrigerators.

Hurricane Ida, a Category 4 storm, severely impacted utilities for almost one million households in the State. It is estimated that utilities will not be restored for approximately three weeks or more, and some areas may have continued outages for more than one month.

To address the expected long-term power outages affecting a large number of disaster survivors, I recommend waiving the following requirements for reimbursement of generator expenses:

- The generator must be purchased or rented to power a medically required piece of equipment, including medically required refrigerators.
- The applicant must submit a statement from a medical services provider, indicating the equipment is medically necessary.

Per policy, the reimbursement period for generators starts the day the Governor declares a State of Emergency and ends at the incident period closure date identified by FEMA in the Federal Register, or if warranted due to extraordinary circumstances, the date commercial power is restored to the applicant's primary residence as verified by the FEMA Joint Field Office or commercial power provider. There are challenges with verifying resumption of normal electrical service on an individual basis and an additional burden would be placed on applicants, staff, and the commercial

power provider to verify the date of power restoration to individual homes. Louisiana has provided data regarding the extent of power outages that will be used to meet this verification rather than requiring applicants to provide utility outage documentation on an individual basis.

To address this concern and implement a survivor-centric solution at the request of Louisiana, I recommend waiving the eligible reimbursement end date for generators in the IAPPG and authorizing the standard eligible reimbursement period for Assistance for Miscellaneous Items to apply to generators in all currently declared parishes. Based on this waiver, the generator must have been purchased by September 25, 2021, which is 30 days after the incident start date of August 26, 2021. All other policy criteria in the IAPPG would remain unchanged.

I also recommend increasing the award amount for generators to $800 based on the RSMEANS catastrophic amount and for chainsaws to $250 for DR-4611-LA. In order to power basic functions in a home, an applicant will need a minimum of 5,000 to 6,000 watts. Generators that support this amount of wattage cost on average $800. Additionally, most homeowners in Louisiana have many downed medium to large trees which have impacted the access to their home and continue to present habitability concerns. Due to the large amount of disaster debris, homeowners will need medium to large gas-powered chainsaws with a minimum of a 16-inch bar to clear it. The average cost for these chainsaws is $250.

If you have any questions or need any additional information, please contact Angele Rogers at 225-910-5251.

Concur:

CHRISTOPHER B SMITH
Digitally signed by CHRISTOPHER B SMITH
Date: 2021.09.05 13:30:04 -04'00'

_____
Christopher B. Smith
Director, Individual Assistance Division

Non-Concur:

_____
Christopher B. Smith
Director, Individual Assistance Division

cc: John Long, Federal Coordinating Officer, FEMA-DR-4611-LA
Angele Rogers, Individual Assistance Branch Director, FEMA-DR-4611-LA
Traci L. Brasher, Recovery Division Director, Region 6
Lorie LaFon, Individual Assistance Branch Chief, Region 6
Keith Turi, Assistant Administrator, Recovery Directorate
Monty LeMaire, Branch Chief, Individuals and Households Program Delivery Branch, Individual Assistance Division

U.S. Department of Homeland Security
Washington, DC 20472

FEMA

September 21, 2021

MEMORANDUM FOR: Christopher B. Smith
Director
Individual Assistance Division

FROM: Monty LeMaire
Chief
Individuals and Households Program Delivery Branch

Monty J LeMaire Digitally signed by Monty J LeMaire Date: 2021.09.21 09:01:40 -05'00'

SUBJECT: Incident Period Survivor Eligibility for DR-4611-LA

The purpose of this memorandum is to detail a path forward for survivors of DR-4611-LA that initially qualified for Individuals and Households Program (IHP) assistance but were impacted by a retroactive incident period change.

Background
DR-4611-LA was declared in response to Hurricane Ida on August 29, 2021 with an open incident period. On September 13, 2021, the incident period was retroactively shortened to August 26 through September 3, 2021 via a Federal Register Notice. The decision was communicated to the Program Management (PM) Section and updated in the National Emergency Management Information System (NEMIS) on September 16, 2021. The retroactive closure of the incident period resulted in applicants reporting a date of loss outside of the incident period, but during the time the incident period technically remained open.

Some of these applicants had already been processed for Critical Needs Assistance, expedited Rental Assistance, or both based on the initial, open-ended incident period that was in effect when FEMA determined they were eligible. The Office of Chief Counsel (OCC) stated that FEMA has flexibility in accepting loss dates outside the incident period as long as the damages are linked to the declared storm. The current inspection process asks applicants if the damage is a result of the disaster and the date the damage occurred, to determine if there is a clear link between the damage and the declared storm.

While OCC has provided flexibility for this issue, FEMA systems will not allow assistance to be processed for applicants with a loss date outside of the incident period. To ensure these are processed for all potentially eligible assistance and are afforded the opportunity to appeal any related eligibility determinations, the date of loss recorded during registration will have to be manually updated. The PM Section will coordinate with the Recovery Reporting and Analytics Division to update the loss date to September 3, 2021 for the identified applicants who reported a loss date between September 4 and September 16, 2021, prior to the update to the incident period in NEMIS.

Resolution
Based on this information, the PM Section will take the following actions:

1. For applicants that applied prior to the incident period change, the PM Section will update the loss date in NEMIS for all applicants with a reported date of loss between September 4 and September 16, 2021 to a loss date of September 3, 2021. This will ensure future processing of these cases occurs appropriately.

2. Implement a callout to applicants who applied after September 16, 2021 and reported a date of loss outside the updated incident period as their damages may be a result of the subsequent storm, Hurricane Nicholas. Currently, there is no major disaster declaration for Hurricane Nicolas. Staff will confirm with the applicant the date of loss and manually update the date of loss in the system to an eligible date, when necessary. Applicants who indicate their damage was not from Hurricane Ida or who confirm a date of loss outside the incident period will not be referred for assistance following standard guidance.

If you have any questions or need any additional information, please contact Blair McDonald, Program Management Section Chief.

cc: Lori Lafon, Individual Assistance Branch Chief, Region VI
Angele Rogers, Individual Assistance Branch Director, DR-4611-LA

FEMA

September 4, 2021

MEMORANDUM FOR: John Long
Federal Coordinating Officer
DR-4611-LA

FROM: Christopher B. Smith
Director
Individual Assistance Division

CHRISTOPHER B SMITH Digitally signed by CHRISTOPHER B SMITH Date: 2021.09.04 14:58:06 -04'00'

SUBJECT: Lodging Expense Reimbursement Waiver for FEMA-DR-4611-LA

This memorandum is in response to the State of Louisiana's request dated September 2, 2021, to extend the Lodging Expense Reimbursement (LER) eligibility period of assistance from seven days to 30 days from the approved date of any initial Rental Assistance award for DR-4611-LA.

Section 408(c)(1)(A) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, 44 CFR § 206.117(b)(1)(i), and 44 CFR § 206.111 authorize FEMA to provide LER for applicants who incur out-of-pocket temporary lodging expenses due to damage that affects the habitability of their primary residence as a result of a Presidentially-declared disaster. Eligible lodging expenses may include the cost of the room and taxes charged by a hotel or other lodging provider. As a type of Temporary Housing Assistance, LER does not count toward the financial Housing Assistance maximum award an applicant may receive.

LER may be awarded from the start date of the incident, up to and not to exceed seven days from the approved date of any initial Rental Assistance award, unless FEMA authorizes an extension. The State of Louisiana requests that this time period be waived so that the LER eligibility period of assistance is extended to 30 days from the approved date of any initial Rental Assistance award. The State of Louisiana also requests that FEMA waive the requirement of proof of utility outage, and for survivors with no home damage and only utilities outages, to waive the condition of eligibility requiring home damage with habitability repairs.

Hurricane Ida severely impacted utilities for almost one million households in the State of Louisiana. It is estimated that utilities will not be restored for approximately three weeks or more, and some areas may have continued outages for more than one month. Due to the widespread nature of the utility service disruptions in the State of Louisiana, requiring applicants to provide documentation specific to the power and/or water outages for their residence would create an undue burden. Given the size of the population affected by the disaster, this requirement would also likely overwhelm Louisiana utility providers with documentation request. Therefore, Louisiana provided data regarding the extent of power outages that FEMA will use to meet this eligibility criteria rather than requiring applicants to provide utility outages documentation on an individual basis.

Additionally, as the housing stock in Louisiana has already been depleted by five preceding storms, applicants may struggle to find available rental resources. Therefore, expanding LER will give applicants the ability to shelter longer in available lodging, such as hotels or motels.

Based on the justification provided by the State of Louisiana, I am authorizing a waiver to FP 104-009-03, *Individual Assistance Program and Policy Guide, Version 1.1* (IAPPG), Chapter 3, IV. A.1. to authorize an extension from seven days to 30 days from the approved date of any initial Rental Assistance award and to waive the documentation requirement to verify the inaccessibility or extended utility outage for each individual applicant. Applicants may be eligible for LER if:

1. The disaster-affected individual registered with FEMA for assistance;
2. The applicant passes identity verification;
3. The applicant's pre-disaster primary residence is located in a parish that is designated for Individual Assistance, and occupancy is verified;
4. The applicant's pre-disaster primary residence is uninhabitable or inaccessible or affected by an extended disaster-caused utility outage;
    a. This criterion has already been met through utility outage and inaccessibility data provided by the State of Louisiana in their September 2, 2021, request for expanded LER.
5. The applicant incurred temporary lodging expenses on or after the incident period start date;
6. The applicant is not covered by insurance (e.g., Additional Living Expenses, Loss of Use coverage) or has insufficient insurance coverage to meet their temporary lodging needs; and
7. The applicant has not received lodging assistance from any other source (e.g., voluntary organizations, etc.).

All other LER policy criteria in the IAPPG remain unchanged. If you have any questions or need any additional information, please contact Monty LeMaire, Individuals and Households Program Delivery Branch Chief.

cc: Tony Robinson, Regional Administrator, Region VI
Lorie Lafon, Individual Assistance Branch Chief, Region VI
Angele Rogers, Individual Assistance Branch Director, DR-4611-LA
Keith Turi, Assistant Administrator, Recovery Directorate
Melissa Forbes, Deputy Assistant Administrator, Recovery Directorate
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division

U.S. Department of Homeland Security
Virtual Joint Field Office
FEMA 4519-DR-OR
3225 State Street
Salem, OR 97301-5063

FEMA

May 14, 2020

MEMORANDUM FOR: Michael F. O'Hare
Regional Administrator
FEMA Region 10

FROM: Dolph A. Diemont
Federal Coordinating Officer
FEMA-4519-DR-OR

DOLPH A DIEMONT Digitally signed by DOLPH A DIEMONT Date: 2020.05.14 15:05:23 -07'00'

COPIES: Keith Turi, Assistant Administrator
Recovery Directorate

Christopher B. Smith, Individual Assistance Division Director
Recovery Directorate

Lisa Davidson, Recovery Division Director
FEMA Region 10

Monty LeMaire, Branch Chief
IHP Service Delivery Branch

SUBJECT: Individual Assistance Program
Application Period Extension
FEMA-4519-DR-OR

Under the provisions of 44 CFR 206.112 (b), the State of Oregon has requested that the registration period for the Individual Assistance Program be extended.

In consultation with the State of Oregon, I am approving an extension of the Individuals and Households Program (IHP) application period, for the above disaster.

The IHP application period will be extended from June 2, 2020, to July 2, 2020.

If you have any questions, please have your staff contact Sarah Mahlik, Individual Assistance Branch Director, FEMA-4519-DR-OR at 425-367-2369 or sarah.mahlik@fema.dhs.gov.

Attachment

Governor's Office of Homeland Security
and Emergency Preparedness
State of Louisiana

JOHN BEL EDWARDS
GOVERNOR

JAMES B. WASKOM
DIRECTOR

DIR-2021-0903-083

September 3, 2021

Mr. John Long
Federal Coordinating Officer
DHS FEMA Region 6
800 N Loop 288
Denton TX 76209

Re: Request for Clean and Sanitize Assistance under DR-4611-LA

Dear Mr. Long:

The State of Louisiana requests that FEMA implement Clean and Sanitize Assistance for DR-4611-LA. We recognize that Clean and Sanitize Assistance is provided under the Other Needs Assistance provision of the Individuals and Households Program and is subject to a 25% State cost share. We understand the eligibility period for Clean and Sanitize Assistance will correspond to the standard FEMA registration period of 60 days, and will not include extension periods, unless specifically authorized by FEMA. We also understand and acknowledge the following:

- Clean and Sanitize Assistance is limited to a fixed amount of $300 per household; and
- Clean and Sanitize Assistance is awarded as a one-time payment.

Thank you for your consideration of this request.

Sincerely,

James B. Waskom
Governor's Authorized Representative (GAR)

U.S. Department of Homeland Security
Federal Emergency Management Agency
FEMA-State Joint Field Office
FEMA-4611-DR-LA
1500 Main Street
Baton Rouge, LA 70802

FEMA

October 26, 2021

MEMORANDUM FOR: George A. Robinson
Regional Administrator
FEMA Region 6

FROM: John Long
Federal Coordinating Officer
Disaster Recovery Manager
FEMA-DR-4559-LA

JOHN E LONG
Digitally signed by JOHN E LONG
Date: 2021.10.26 18:10:46 -05'00'

SUBJECT: Registration Period Initial Extension Notification for FEMA-DR-4611-LA

The State of Louisiana has requested an extension to the registration period for FEMA-DR-4611-LA. The current registration period ends on 10/28/2021.

The state of Louisiana would like to extend the IA registration period to ensure all survivors have received proper outreach and are registered, particularly those in shelters, and those being placed in Non-Congregate Shelter (NCS) options. This extension will also ensure that any Housing and Urban Development (HUD) Public Housing Authority (PHA) and Multifamily property displaced families who may not have been in NCS previously but are now entering NCS can register. Outreach is ongoing to families in the hardest hit parishes, in the interest of equity concerns.

The information submitted by the state satisfies the requirements for extending the registration period to collect registrations from disaster survivors who have not yet been able to register due to the conditions described above. Although registrations have decreased somewhat over the last two weeks, they remain high at 354 as of the date of this writing.

Based on the justification provided by the state, I am authorizing a 31-day extension to the registration period for FEMA-DR-4611-LA. The registration period will now end on 11/28/2021 This includes all parishes designated for Individual Assistance.

If you have questions or need additional information, please contact Angele Rogers, Individual Assistance Branch Director at 225-910-5251 or angele.rogers@fema.dhs.gov.

GEORGE A ROBINSON Digitally signed by GEORGE A ROBINSON Date: 2021.10.27 10:49:43 -05'00'

CONCUR

George A. Robinson
Regional Administrator
FEMA Region 6

NON-CONCUR

George A. Robinson
Regional Administrator
FEMA Region 6

Attachments: State of Louisiana's Initial Request

cc: Christopher B. Smith, Individual Assistance Division Director
Traci Brasher, Recovery Division Director, Region 6
Lorie LaFon, Individual Assistance Branch Chief, Region 6
Angele Rogers, Individual Assistance Branch Director, DR-4611-LA

U.S. Department of Homeland Security
Washington, DC 20472

FEMA

October 5, 2021

MEMORANDUM FOR: John Long
Federal Coordinating Officer
FEMA-DR-4611-LA

FROM: Christopher B. Smith CHRISTOPHER B SMITH Digitally signed by CHRISTOPHER B SMITH Date: 2021.10.05 17:43:25 -04'00'
Director
Individual Assistance Division

SUBJECT: Rental Assistance Rate Increase for FEMA-DR-4611-LA

This memorandum is in response to the Federal Coordinating Officer's (FCO) request, dated September 15, 2021, for an increase to the Rental Assistance Rate to 250% of the published U.S. Department of Housing and Urban Development's (HUD) Fair Market Rent (FMR) for financial temporary housing assistance for all 25 declared parishes under DR-4611-LA.

Direct Temporary Housing was authorized for Jefferson, Lafourche, Livingston, Plaquemines, St. Charles, St. Helena, St. James, St. John the Baptist, Tangipahoa, and Terrebonne Parishes on September 17, 2021 based on a lack of available, affordable temporary housing resources. The remaining 15 parishes are being held in abeyance until further data becomes available.

As of October 5, 2021, FEMA has completed more than 270,330 inspections in all 25 declared parishes with at least fifty-nine percent of applicants reporting a housing need after Hurricane Ida. As there are still a significant number of inspections to be completed, FEMA further estimated the potential housing need based on information reported by applicants at registration. 297,801 households indicated unknown damage or self-assessed their damage at level 2 or higher, meaning, at a minimum, they believed the damage to their home would require a lot of repairs and they may not be able to live in their home. FEMA utilized this data to estimate the housing units lost and the number of displaced applicants among declared parishes.

Per the *Individual Assistance Program and Policy Guide, Version 1.1* (IAPPG), FEMA will only authorize an increased Rental Assistance rate when the FEMA FMR Adjustment Calculator demonstrates that the published HUD FMR for the declared disaster area or host area is significantly less than prevailing market rates.[1] Based on census data and applicant self-assessment data, the FMR Adjustment Calculator supported FMR increases for all 25 declared parishes: Ascension, Assumption, East Baton Rouge, East Feliciana, Iberia, Iberville, Jefferson,

[1] *See* Individual Assistance Program and Policy Guide, Version 1.1, F104-009-03, May 2021, Ch. 3, Individuals and Households Program at 85.

Lafourche, Livingston, Orleans, Plaquemines, Pointe Coupee, St. Bernard, St. Charles, St. Helena, St. James, St. John the Baptist, St. Martin, St. Mary, St. Tammany, Tangipahoa, Terrebonne, Washington, West Baton Rouge, and West Feliciana.

Per the IAPPG, FEMA may authorize Rental Assistance rate increases above 125% of the HUD FMR for individual parishes when the FMR Calculator indicates an increase to 125% of the published FMR and additional justification demonstrates a further increase is needed to meet the disaster-caused housing need. Per data provided with the FCO's request, raising the Rental Assistance rate to 250% of HUD FMR would make an additional 1,140 rental units affordable for displaced applicants. However, 930 of these additional units can be rented for 175% of the HUD FMR or less. The additional increase above 175% only added to the number of affordable rental units in four specific parishes. As FEMA Rental Assistance is intended to assist with temporary housing costs, and not to cover all housing costs, the increase to 250% of the HUD FMR is not warranted.

Based on the justification submitted and the FEMA FMR Adjustment Calculator results, I am authorizing the following increase for eligible applicants who secure temporary housing in all 25 parishes:

- Up to 175% of the HUD FMR in Ascension, Assumption, East Baton Rouge, East Feliciana, Iberia, Iberville, Jefferson, Lafourche, Livingston, Orleans, Plaquemines, Point Coupee, St. Bernard, St. Charles, St. Helena, St. James, St. John the Baptist, St. Martin, St. Mary, St. Tammany, Tangipahoa, Terrebonne, Washington, West Baton Rouge, and West Feliciana Parishes.

All approved increases will only be applied when warranted based on the applicant's actual rent costs. Rental Assistance award amounts are based on actual rent costs plus a utility allowance determined by HUD, not to exceed the approved increase.

The increase to the published FMR will be implemented upon award of Continued Temporary Housing Assistance in accordance with the IAPPG. FEMA does not retroactively award increased initial Rental Assistance. All Rental Assistance eligibility criteria in the IAPPG remain unchanged.

If you have any questions or need any additional information, please contact Monty LeMaire, Individuals and Households Program Service Delivery Branch Chief.

Attachments: Rental Assistance Rate Increase Request for FEMA-DR-4611-LA
FMR Calculator

cc: Tony Robinson, Regional Administrator, Region VI
Lori LaFon, Individual Assistance Branch Chief, Region VI
Angele Rogers, Individual Assistance Branch Director, DR-4611-LA
Keith Turi, Assistant Administrator, Recovery Directorate
Melissa Forbes, Deputy Assistant Administrator, Recovery Directorate
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division

U.S. Department of Homeland Security
Washington, DC 20472

FEMA

September 2, 2021

MEMORANDUM FOR: John Long
Federal Coordinating Officer
FEMA-DR-4611-LA

FROM: Christopher B. Smith
Individual Assistance Division
Director
Recovery Directorate

CHRISTOPHER B SMITH Digitally signed by CHRISTOPHER B SMITH
Date: 2021.09.02 13:38:21 -04'00'

SUBJECT: Transitional Sheltering Assistance Request for
FEMA-DR-4611-LA

This memorandum is in response to the request from the State of Louisiana to activate Transitional Sheltering Assistance (TSA) for FEMA-DR-4611-LA. This assistance is authorized under Section 403, 42 U.S.C. § 5170b, Essential Assistance; Section 408, 42 U.S.C. § 5174, Federal Assistance to Individuals and Households; and Section 502, 42 U.S.C. § 5192, Federal Emergency Assistance of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (Stafford Act), as amended. See FEMA Policy 104-21-0008, Transitional Sheltering Assistance.

The State of Louisiana requests FEMA activate TSA due to the impact of Hurricane Ida beginning August 26, 2021 and continuing for eligible survivors with a damaged dwelling address within the federally designated parishes of Ascension, Assumption, East Baton Rouge, East Feliciana, Iberia, Iberville, Jefferson, Lafourche, Livingston, Orleans, Plaquemines, Pointe Coupee, St. Bernard, St. Charles, St. Helena, St. James, St. John the Baptist, St. Martin, St. Mary, St. Tammany, Tangipahoa, Terrebonne, Washington, West Baton Rouge, and West Feliciana. The extensive infrastructure damage and power outages in the impacted parishes pose significant challenges for disaster survivors in their recovery. The State requests the program be activated for 180 days from the date of declaration, August 26, 2021, and ending February 22, 2022. The State further requests TSA hotels be limited to the states of Louisiana, Alabama, Arkansas, Georgia, Florida, Mississippi, New Mexico, Oklahoma, Tennessee, and Texas. Due to limited availability of hotel rooms, the State requests the per night cost of each room at 150% of the General Services Administration (GSA) lodging rate to include taxes. The State acknowledges FEMA assistance for emergency protective measures, including TSA, will be provided at a cost share of 100 percent Federal funding for a period of 30 days from the start of the incident period (August 26, 2021) and then will change to 25 percent state funding and 75 percent Federal funding, pursuant to the declaration for FEMA-DR-4611-LA.

FEMA approves TSA, as requested by the State, for the requested period of 180 days. Initial assistance is for 30 days beginning September 2 through October 2, 2021. TSA is approved at 150 percent of the General Services Administration (GSA) lodging rate for the localities to include applicable taxes.

Throughout the approved TSA period of assistance, registrants' TSA eligibility will be reviewed by FEMA. Based on their Individuals and Households Program (IHP) eligibility determination, registrants who no longer meet the eligibility criteria will be notified 7 days prior to their TSA end date.

Please contact Paul Parsons at Paul.ParsonsJr@fema.dhs.gov with questions or concerns.

cc: Paul Parsons, Branch Chief (A) Mass Care, Voluntary and Community Services
Keith Turi, Assistant Administrator, FEMA Recovery
George A. Robinson, Regional Administrator, Region VI

U.S. Department of Homeland Security
Washington, DC 20472

FEMA

October 6, 2021

MEMORANDUM FOR: John Long
Federal Coordinating Officer
FEMA-DR-4611-LA

FROM: Christopher B. Smith
Individual Assistance Division Director
Recovery Directorate

SUBJECT: Transitional Sheltering Assistance Extension Request for FEMA-DR-4611-LA

This memorandum is in response to the request from the State of Louisiana on September 29, 2021 to extend Transitional Sheltering Assistance (TSA) for 30 days due to the ongoing impact of Hurricane Ida for the following population:

- Individuals that are pending inspection or have not received an inspection. This includes registrants who may have been designated as voluntarily withdrawn (WVO), no inspection completed.
- Individuals who have received rental assistance (RA).
- Individuals who, at the time of inspection, indicated they are not willing to relocate, and require habitability repairs.

In accordance with FP 104-21-008, *Transitional Shelter Assistance*, FEMA has conducted a review of the Continued Eligibility Criteria (Section E) and relevant data regarding TSA registrants in FEMA-DR-4611-LA.

Under the above-referenced policy, registrants are no longer eligible to remain in TSA if any of the following criteria apply:

a) The registrant has completed a FEMA registration and does not meet the conditions for a referral to FEMA Housing Assistance.
b) The registrant voluntarily withdrew from further consideration from the Individuals and Households Program (IHP).
c) The registrant's pre-disaster primary residence is safe to occupy based on FEMA's Damage Assessment.
d) The registrant will occupy the damaged dwelling while repairs are being made, based on information provided during the FEMA Home Inspection and/or recorded through casework or calls to the FEMA Helpline.
e) The registrant has applicable insurance providing Additional Living Expense or Loss of Use coverage.
f) The registrant does not respond to FEMA outreach efforts after three contact attempts.
g) The registrant is included with another household already receiving FEMA IHP assistance.
h) The registrant chooses not to accept Direct Temporary Housing Assistance when offered.

i) The registrant has been licensed into a FEMA Temporary Housing Unit (THU).
j) The registrant no longer needs TSA because emergency temporary repairs have been completed.
k) The registrant does not comply with the TSA Terms and Conditions.
l) The registrant has received two months of FEMA Rental Assistance and rental resources, as determined by FEMA in coordination with the state, tribe, or territory, are sufficiently available and priced within fair market rent standards to meet the needs of the displaced population within the affected areas.
m) The registrant has obtained alternate temporary or long-term housing, based on information recorded through casework or calls to the FEMA Helpline.

FEMA does not support the State's request and recommends the following:

- **Maintain the 14-Day Eligibility Review Period (Last night of stay is October 30th with checkout on October 31st).** Continuing the 14-day eligibility review period allows for timely communication and support to individuals who are transitioning from short term sheltering in the TSA program, to alternate housing options that support their longer-term housing needs.

- **Extend survivors who received 2 months rental assistance and have no other ineligible criteria**. This includes:
    - Those with Rental Assistance for Utilities Out and who require habitability repairs, or
    - Those who are pending an inspection.

  An analysis of available affordable resources indicates the number of TSA registrants exceeds the available rental resources indicating registrants cannot utilize their rental assistance within a reasonable distance of their impacted communities.

- **Extend only those registrants identified as voluntary withdrawn from IHP because they are unable to meet with the inspector in a timely manner (7 days) or who are arranging for a third party inspection**. This extension will give these registrants the opportunity to complete the inspection process themselves or through a third party on behalf of the registrant.

- **Do not extend survivors who are not willing to relocate** while required habitability repairs are completed. The Federal Coordinating Officer may change an individual's TSA eligibility status on a case by case basis due to extenuating circumstances and with sufficient justification per the above-referenced policy.

If the State has new or additional information that would warrant further review by FEMA HQ, the State can submit that information for consideration.

Please contact Allison Carlock, Allison.Carlock@fema.dhs.gov with questions or concerns.

cc: Keith Turi, Assistant Administrator, Recovery Directorate
Tony Robinson, Regional Administrator FEMA Region VI
Matthew Redding, Deputy Director, Individual Assistance Division, FEMA HQ
Zachary Usher, Acting Deputy Director, Individual Assistance Division, FEMA HQ
Traci Brasher, Director, Recovery Division, FEMA Region VI
Lorie Lafon, IA Branch Chief, Recovery Division, FEMA Region VI
Angele Rogers, IA Branch Director, DR 4611 LA
Allison Carlock, Acting MCVCS Branch Chief, Individual Assistance Division, FEMA HQ

U.S. Department of Homeland Security Washington, DC 20472

FEMA

September 28, 2021

MEMORANDUM FOR: John Long
Federal Coordinating Officer
FEMA-DR-4611-LA

FROM: Christopher B. Smith
Individual Assistance Division Director
Recovery Directorate

SUBJECT: TSA 30-day Initial Period of Assistance Extension

The State of Louisiana requested to activate Transitional Sheltering Assistance (TSA) due to the impact of Hurricane Ida, DR-4611-LA, for eligible survivors with a damaged dwelling address within the federally designated parishes. FEMA approved this request for 180 days beginning September 2, 2021. The initial period of assistance was for 30 days beginning September 2, 2021 with the last night of stay October 2, 2021 and checkout October 3, 2021.

After a review and analysis of the current pre-extension report, FEMA is extending the initial eligibility period of assistance to 45 days for all eligible registrants. The last night of stay is now October 16, 2021 with checkout October 17, 2021.

Registrants TSA eligibility will be reviewed by FEMA on October 7, 2021 and, based on their Individuals and Households Program (IHP) eligibility determination, registrants who no longer meet the eligibility criteria will be notified 7 days prior to their TSA end date.

Please contact Paul Parsons at paul.parsonsjr@fema.dhs.gov with questions.

cc:

Paul Parsons, Mass Care, Voluntary and Community Services Branch Chief (A)
George A. "Tony" Robinson, Regional Administrator, FEMA Region VI
Traci Brasher, Recovery Division Director, FEMA Region VI
Lori Lafon, Individual Assistance Branch Chief, FEMA Region VI
Angelle Rogers, Individual Assistance Branch Director, DR-4611-LA
Sam Harvey, TSA - Mass Care Unit, DR-4611-LA

Jacob K. Javits Federal Office Building
26 Federal Plaza
New York, New York 10278

FEMA

September 16, 2021

To: FEMA-HQ-IA-FrontOffice

From: Chad M. Gorman
Regional Administrator (A)
FEMA Region 2

Subject: Clean and Sanitize Assistance Implementation Notification for DR-4614-NJ

The State of New Jersey has requested that FEMA implement Clean and Sanitize Assistance for eligible applicants who were affected by DR-4614-NJ.

I approve implementation of Clean and Sanitize Assistance based on the request.

Please find attached a signed copy of the state request.

Sincerely,

Digitally signed by CHAD M GORMAN
Date: 2021.09.16 17:23:26 -04'00'

Chad M. Gorman
Regional Administrator (A)
FEMA Region 2

Cc: Patrick Cornbill, Federal Coordinating Office, FEMA-4614-DR-NJ
Leah Lubin, IA Branch Director, FEMA-4614-DR-NJ
Chelsey Cummings, Deputy IA Branch Director, FEMA-4614-DR-NJ
Julie Blanciak, IA Branch Chief, FEMA Region 2
Monty LeMaire, Individuals and Households Program Branch Chief
FEMA-IHP-PMS-Front Office
FEMA-IHPHelpdesk

State of New Jersey
OFFICE OF THE ATTORNEY GENERAL
DEPARTMENT OF LAW AND PUBLIC SAFETY
DIVISION OF STATE POLICE
POST OFFICE BOX 7068
WEST TRENTON, NJ 08628-0068
(609) 882-2000

PHILIP D. MURPHY
Governor

SHEILA Y. OLIVER
Lt. Governor

ANDREW J. BRUCK
Acting Attorney General

PATRICK J. CALLAHAN
Colonel

September 15, 2021

Mr. Patrick Cornbill
Federal Coordinating Officer
50 Millstone Road
East Windsor, NJ 08512
DR-4614-NJ

Re: Clean and Sanitize Assistance

Dear Mr. Cornbill:

The State of New Jersey requests that FEMA implement Clean and Sanitize Assistance for eligible applicants in the eleven declared counties (Bergen, Essex, Gloucester, Hudson, Hunterdon, Mercer, Middlesex, Morris, Passaic, Somerset, and Union) DR-4614-NJ.

New Jersey recognizes that Clean and Sanitize Assistance is provided under the Other Needs Assistance provision of the Individuals and Households Program and is subject to a 25% state cost share. We understand the eligibility period for Clean and Sanitize Assistance will correspond to the standard FEMA registration period of 60 days, and will not include extension periods, unless specifically authorized by FEMA. We also understand and acknowledge the following:

- Clean and Sanitize Assistance is limited to a fixed amount of $300 per household; and
- Clean and Sanitize Assistance will be awarded as a one-time payment.

If you have any questions or need any additional information regarding this request, please contact me at 609-439-3944.

Sincerely,

William Craney
Governor's Authorized Representative
DR-4614-NJ

U.S. Department of Homeland Security
Federal Emergency Management Agency
FEMA Joint Field Office (JFO)
FEMA-4614-DR-NJ
Windsor Corporate Park
50 Millstone Rd; Bldg 100; 3rd Floor
Princeton, NJ 08520-1419

FEMA

October 26, 2021

MEMORANDUM FOR: Christopher B. Smith
Division Director
Recovery Directorate, Individual Assistance Division

THROUGH: Chad Gorman
Acting Regional Administrator
FEMA Region 2

Digitally signed by CHAD M GORMAN
Date: 2021.10.26 14:39:18 -04'00'

FROM: Patrick Cornbill
Federal Coordinating Officer
FEMA-4614-DR-NJ

PATRICK S CORNBILL Digitally signed by PATRICK S CORNBILL
Date: 2021.10.26 10:22:14 -04'00'

SUBJECT Registration Period Initial Extension Notification
FEMA-4614-DR-NJ

The State of New Jersey has requested a 30-day extension to the registration period for FEMA-4614-DR-NJ. The current registration period ends on November 5, 2021.

The State's justification for the request is as follows:

- The high call volume to the FEMA registration intake phone number at the beginning of the registration period due to multiple disasters around the country resulted in delays that may have discouraged people from waiting on the phone and caused them to postpone their registration.
- There remains a steady average of approximately 300-400 registrations per day.
- Several County Offices of Emergency Management and voluntary and community organizations have reported that they and the public were not aware that renters could apply for FEMA assistance. Messaging is being distributed that renters can apply, but those survivors will need additional time to register.

The information submitted by the State satisfies the requirements for extending the registration period in order to collect registrations from disaster survivors who have not yet been able to register due to the conditions described above.

Based on the justification provided by the State, I approve a 30-day extension to the registration period for 4614-DR-NJ. The registration period will now end on December 5, 2021. This includes all areas designated for Individual Assistance.

If you have any questions or need any additional information, please contact Leah Lubin, Individual Assistance Branch Director, at 202-579-2492

cc: FEMA-IHP-PMS-Front-Office@fema.dhs.gov
Monty LeMaire, Branch Chief, IHP Delivery Branch, IA Division, Headquarters
Claude Hyacinthe, Recovery Division Director, Region 2
Julie Blanciak, Individual Assistance Branch Chief, Region 2
Leah Lubin, Individual Assistance Branch Director, 4614-DR-NJ

Attachment: State Request Letter

U.S. Department of Homeland Security
Federal Emergency Management Agency
FEMA Joint Field Office (JFO)
FEMA-4614-DR-NJ
Windsor Corporate Park
50 Millstone Rd; Bldg 100; 3rd Floor
Princeton, NJ 08520-1419

FEMA

December 2, 2021

MEMORANDUM FOR: Christopher B. Smith
Division Director
Recovery Directorate, Individual Assistance Division

THROUGH: Chad Gorman
Acting Regional Administrator
FEMA Region 2

FROM: Patrick Cornbill
Federal Coordinating Officer
FEMA-4614-DR-NJ

PATRICK S CORNBILL Digitally signed by PATRICK S CORNBILL Date: 2021.12.02 12:12:30 -05'00'

SUBJECT Individual Assistance Registration Period Second Extension Notification FEMA-4614-DR-NJ

The State of New Jersey has requested a second 30-day extension for the Individual Assistance (IA) registration period under FEMA-4614-DR-NJ. The current registration period ends on Dec 6, 2021.

The State's justification for the request is as follows:

- There remains an average of over 200 new registrations per day and that volume does not appear to be diminishing.
- Non-congregate sheltering policy under Public Assistance requires that all sheltered households register for FEMA IA. The State needs additional time to ensure that residents in non-congregate sheltering have an opportunity to register for IA.
- Several county offices of emergency management and voluntary and community organizations have reported that they and the public were not aware that renters could apply for FEMA IA. Messaging that renters can apply has been distributed, but some confusion persists. The additional time would allow for continued outreach and enable impacted renters to apply for IA.

The information submitted by the State justifies extending the IA registration period.

As such, I approve a second 30-day extension to the IA registration period for 4614-DR-NJ. The registration period will now end on January 5, 2022. This includes all New Jersey counties designated for IA.

If you have any questions or need any additional information, please contact Leah Lubin, Individual Assistance Branch Director, at 202-579-2492.

cc: FEMA-IHP-PMS-Front-Office@fema.dhs.gov
Monty LeMaire, Branch Chief, IHP Delivery Branch, IA Division, Headquarters
Claude Hyacinthe, Recovery Division Director, Region 2
Julie Blanciak, Individual Assistance Branch Chief, Region 2
Leah Lubin, Individual Assistance Branch Director, 4614-DR-NJ

Attachment: State Request Letter

U.S. Department of Homeland Security
Federal Emergency Management Agency
FEMA Joint Field Office (JFO)
FEMA-4614-DR-NJ
Windsor Corporate Park
50 Millstone Rd; Bldg 100; 3rd Floor
Princeton, NJ 08520-1419

FEMA

October 6, 2021

MEMORANDUM FOR: Christopher B. Smith
Division Director
Recovery Directorate, Individual Assistance Division

THROUGH: Chad M. Gorman
Regional Administrator (A)
FEMA Region 2

Digitally signed by CHAD M GORMAN
Date: 2021.10.07 21:47:12 -04'00'

FROM: Patrick Cornbill
Federal Coordinating Officer
FEMA-4614-DR-NJ

PATRICK S CORNBILL
Digitally signed by PATRICK S CORNBILL
Date: 2021.10.06 16:26:19 -04'00'

SUBJECT: Individual Assistance Program and Policy Guide Waiver Request for Lodging Expense Reimbursement for FEMA-4614-DR-NJ

On September 5, 2021, President Biden declared a major disaster for the State of New Jersey as a result of impacts from the remnants of Hurricane Ida under FEMA-4614-DR-NJ. The Declaration authorizes the Individuals and Households Program (IHP) for Bergen, Essex, Gloucester, Hudson, Hunterdon, Mercer, Middlesex, Morris, Passaic, Somerset, Union and Warren Counties.

I am requesting an extension of the Lodging Expense Reimbursement (LER) eligibility from seven (7) days to thirty (30) days from the approved date of any initial Rental Assistance. Under FEMA IHP, eligible applicants whose primary residences are uninhabitable due to the declared disaster, may receive LER payments for their out-of-pocket temporary lodging expenses. As noted in the Individual Assistance Program and Policy Guide (IAPPG), Version 1.1, LER may be awarded from the start date of the incident up to and not to exceed seven (7) days from the approved date of any initial Rental Assistance award, unless FEMA authorizes an extension.

At the time of registration, 12,342 households indicated a current location in an emergent or short-term location including 2,451 households residing in a hotel.

Historically, it takes survivors time to secure affordable housing. COVID-19 and the prior eviction moratoriums along with the impacts of the remnants of Ida, makes locating housing extremely difficult in an already competitive housing market. An extension of LER will assist those households who have the financial resources to initially obtain and remain in a hotel while options for alternative housing resources are identified and secured.

The New Jersey Department of Community Affairs activated the Disaster Housing Task Force (Task Force) in coordination with the New Jersey Department of Human Services. The Task Force expects long term housing concerns due to the challenges of acquiring temporary affordable housing and continues to seek interim and long-term housing solutions.

Based on the authority delegated to me by the Regional Administrator, I recommend approving a policy waiver to increase the time limit for LER to 30 days from the date an applicant is approved for their initial Rental Assistance award for all IA designated counties for DR-4614-NJ.

If you have any questions or need any additional information, please contact Leah Lubin, Individual Assistance Branch Director, at (202) 579-2492.

CHRISTOPHER B SMITH Digitally signed by CHRISTOPHER B SMITH Date: 2021.10.14 16:06:30 -04'00'

CONCUR
Christopher B. Smith
Director
Individual Assistance Division

NON-CONCUR
Christopher B. Smith
Director
Individual Assistance Division

cc: FEMA-IHP-PMS-Front-Office@fema.dhs.gov
Monty LeMaire, Branch Chief, Individuals and Households Program Delivery Branch, Individual Assistance Division, Headquarters
Claude Hyacinthe, Recovery Division Director, Region 2
Julie Blanciak, Individual Assistance Branch Chief, Region 2
Leah Lubin, Individual Assistance Branch Director, DR-4614-NJ, Region 2

U.S. Department of Homeland Security
Federal Emergency Management Agency
FEMA Joint Field Office (JFO)
FEMA-4614-DR-NJ
Windsor Corporate Park
50 Millstone Rd; Bldg. 100; 3rd Floor
Princeton, NJ 08520-1419

FEMA

October 4, 2021

MEMORANDUM FOR: Christopher B. Smith
Director
Individual Assistance Division

THROUGH: Chad M. Gorman
Regional Administrator (A)
FEMA Region 2

Digitally signed by CHAD M GORMAN
Date: 2021.10.04 21:57:51 -04'00'

FROM: Patrick Cornbill
Federal Coordinating Officer
DR-4614-NJ

PATRICK S CORNBILL
Digitally signed by PATRICK S CORNBILL
Date: 2021.10.04 18:23:00 -04'00'

SUBJECT: Rental Assistance Rate Increase for DR-4614-NJ

I am notifying you of my decision to increase the Rental Assistance rate of the published Fair Market Rent (FMR) for financial temporary housing assistance. Based on the outcome of the FMR calculator and information gathered, and through the authority delegated to me by the Regional Administrator, I approve an increase in the Rental Assistance rate as follows:

FMR Increase	Counties
125% FMR	Hunterdon, Somerset, Union
115% FMR	Bergen, Passaic
110% FMR	Essex, Gloucester, Middlesex, Morris

If you have any additional questions, please contact the Individual Assistance Branch Director, Leah Lubin at 202-579-2492.

cc: FEMA-IHP-PMS-Front-Office@fema.dhs.gov
Julie Blanciak, Individual Assistance Branch Chief, Region 2
Leah Lubin, Individual Assistance Branch Director, DR-4614-NJ
Monty LeMaire, Branch Chief, Individuals and Households Program Delivery Branch, Individual Assistance Division

Attachment: FMR Calculator

Federal Emergency Management Agency
Housing Inspection Services
Virginia Disaster Operations Center
Winchester, VA 22603

DR-4615-NY
Addendum # 2 (Rev. 1)
Date: September 6, 2021

CSA – Minimal Damage

Use the CSA Eligible Purchase selection for owners and renters when the applicant has incurred minimal damage to their dwelling, however habitability is not impacted. The damage does not have to be related to cleaning and should be used for minimal damage of any kind as noted in the example below.

Instruction:

Within Eligible Expenses on the Losses/Expenses tab:

- Select "CSA".
- Select "Yes" to the question "Is Receipt Available?".
- Enter a quantity of one (1).
- Click on the "Receipt Date" button and select the date of the inspection.
- Click on the "Submit" button, to generate an auto-populated comment.
- The inspector will enter a separate comment denoting the minimal damages to the home, i.e., "CSA = one cracked window and slight staining on ceiling drywall.".

Task Monitors: Ricardo Carrasquillo / Martin Burner
DR-4615-NY

August 2021

U.S. Department of Homeland Security
Washington, DC 20472

FEMA

MEMORANDUM FOR: Christopher B. Smith
Individual Assistance Division
DHS/FEMA Headquarters

FROM: Lai Sun Yee
Federal Coordinating Officer
FEMA-DR-4615-NY

LAISUN M YEE
Digitally signed by LAISUN M YEE
Date: 2021.09.15 11:52:15 -04'00'

SUBJECT: Clean and Sanitize Assistance (CSA) Request for FEMA-DR-4615-NY

The State of New York has requested FEMA implement Clean and Sanitize (CSA) for eligible applicants who were affected by DR-4615-NY.

I approve implementation of Clean and Sanitize Assistance based on the request. Please find attached a signed copy of the New York request.

If you have any questions or need any additional information, please contact me.

_____
CONCUR
Christopher B. Smith
Director
Individual Assistance Division
DHS/FEMA Headquarters

_____
NON-CONCUR
Christopher B. Smith
Director
Individual Assistance Division
DHS/FEMA Headquarters

cc: Chad Gorman, Acting Regional Administrator, Region 2
Bryon Boka, Individual Assistance Branch Director, FEMA-DR-4615-NY
Julie Blanciak, Individual Assistance Branch Chief, Region 2
Keith Turi, Assistant Administrator, Recovery Directorate
Monty LeMaire, Branch Chief, Individuals and Households Program Delivery Branch, Individual Assistance Division

Attachment: New York Request Letter dated September 14, 2021

U.S. Department of Homeland Security
FEMA Joint Field Office (JFO)
FEMA-4615-DR-NY
One World Trade Center
DHS/FEMA 52nd Fl Mailroom
285 Fulton St, New York, NY 10007

FEMA

October 26, 2021

MEMORANDUM FOR: Christopher B. Smith
Division Director
Recovery Directorate, Individual Assistance Division

THROUGH: Chad Gorman
Regional Administrator (A)
FEMA Region 2

Digitally signed by CHAD M GORMAN
Date: 2021.10.29 13:56:44 -04'00'

FROM: Thomas J. Dargan
Deputy Federal Coordinating Officer
FEMA-4615-DR-NY

THOMAS J DARGAN
Digitally signed by THOMAS J DARGAN
Date: 2021.10.26 13:45:46 -04'00'

SUBJECT: Individual Assistance Program and Policy Guide Waiver Request for Lodging Expense Reimbursement for FEMA-4615-DR-NY

On September 5, 2021, President Biden declared a major disaster for the State of New York as a result of impacts from the Remnants of Hurricane Ida under FEMA-4615-DR-NY. The Declaration authorizes the Individuals and Households Program (IHP) for Bronx, Kings, Nassau, Queens, Richmond, Rockland, Suffolk, Dutchess and Westchester. I am requesting an extension of the time limit for Lodging Expense Reimbursement (LER) from seven (7) days to thirty (30) days from the approved date of any initial Rental Assistance.

Under the FEMA IHP, eligible applicants whose primary residences are uninhabitable due to the declared disaster, may receive LER payments for their out-of-pocket temporary lodging expenses. As noted in the Individual Assistance Program and Policy Guide (IAPPG), Version 1.1, LER may be awarded from the start date of the incident up to and not to exceed seven (7) days from the approved date of any initial Rental Assistance award, unless FEMA authorizes an extension.

In the first 30 days of registration, 21,950 households indicated a current location in an emergent or short-term location, including 5,463 households residing in a hotel. With a current approval rate of 62%, 3,387 households could benefit from extending the LER from seven (7) to thirty (30) days.

Additionally, 5,298 inspections remain as pending. At a rate of 1,700 inspections returned to FEMA daily, it took until October 21st to complete 90% of inspections leaving some households waiting nearly 45 days before their IA eligibility was determined.

Historically, it takes survivors time to secure affordable housing. The effects of the COVID-19 pandemic and the resulting eviction moratoriums, coupled with the impacts of the Remnants of Hurricane Ida, has made locating housing extremely difficult for disaster survivors in an already

competitive housing market. An extension of LER will assist those households who have the financial resources to initially obtain and remain in a hotel while options for alternative housing resources are identified and secured.

For the reasons identified above, I recommend extending the time limit for LER to 30 days from the date an applicant is approved for their initial Rental Assistance award for all IA designated counties for FEMA-4615-DR-NY.

If you have any questions or need any additional information, please contact Julie Blanciak, Individual Assistance Branch Director, at (202) 731-2923.

Digitally signed by CHRISTOPHER B SMITH
Date: 2021.11.03 10:03:07 -04'00'

CONCUR
Christopher B. Smith
Director
Individual Assistance Division

NON-CONCUR
Christopher B. Smith
Director
Individual Assistance Division

cc: FEMA-IHP-PMS-Front-Office@fema.dhs.gov
Julie Blanciak, Individual Assistance Branch Chief, Region 2
Monty LeMaire, Branch Chief, Individuals and Households Program Delivery Branch, Individual Assistance Division

DHS/FEMA Region 2
FEMA-4615-DR-NY – Joint Field Office
Jacob K. Javits Federal Office Building
26 Federal Plaza
New York, New York 10278

FEMA

December 3, 2021

Jackie Bray
Acting Commissioner
Governor's Authorized Representative
New York Division of Homeland Security and Emergency Services
1220 Washington Avenue
Building 7A, 7th Floor
Albany, New York 12226

RE: Individual Assistance Registration Period 30-Day Extension Request for DR-4615-NY

Dear Acting Commissioner Bray:

This letter is in response to the State of New York's request, dated December 1, 2021, for a 30-day extension of the registration period for Individual Assistance for FEMA-4615-DR-NY.

Based on the justification provided by the state and through the authority delegated to me by the Regional Administrator, I approve a 30-day extension to the registration period for FEMA-4615-DR-NY. The registration period will now end on January 4, 2022. This includes all areas designated for Individual Assistance.

If you have any questions, please contact Julie Blanciak, Individual Assistance Branch Director, at (202) 731-2923 or via email at julie.blanciak@fema.dhs.gov.

Sincerely,

LAISUN M YEE

Digitally signed by LAISUN M YEE
Date: 2021.12.03 11:45:02 -05'00'

Lai Sun Yee
Federal Coordinating Officer
FEMA-4615-DR-NY

cc: Chad Gorman, Regional Administrator (A), FEMA Region 2
Christopher B. Smith, Director, Individual Assistance Division, FEMA HQ
Rayana Gonzales, Alternate Governor's Authorized Representative
Terence O'Leary, Alternate Governor's Authorized Representative
Claude Hyacinthe, Director, Recovery Division, FEMA Region 2
Julie Blanciak, Branch Director, Individual Assistance, DR-4615-NY

U.S. Department of Homeland Security
Washington, DC 20472

FEMA

October 6, 2021

MEMORANDUM FOR: John Long
Federal Coordinating Officer
FEMA-DR-4611-LA

FROM: Christopher B. Smith
Individual Assistance Division Director
Recovery Directorate

SUBJECT: Transitional Sheltering Assistance Extension Request for FEMA-DR-4611-LA

This memorandum is in response to the request from the State of Louisiana on September 29, 2021 to extend Transitional Sheltering Assistance (TSA) for 30 days due to the ongoing impact of Hurricane Ida for the following population:

- Individuals that are pending inspection or have not received an inspection. This includes registrants who may have been designated as voluntarily withdrawn (WVO), no inspection completed.
- Individuals who have received rental assistance (RA).
- Individuals who, at the time of inspection, indicated they are not willing to relocate, and require habitability repairs.

In accordance with FP 104-21-008, *Transitional Shelter Assistance*, FEMA has conducted a review of the Continued Eligibility Criteria (Section E) and relevant data regarding TSA registrants in FEMA-DR-4611-LA.

Under the above-referenced policy, registrants are no longer eligible to remain in TSA if any of the following criteria apply:

a) The registrant has completed a FEMA registration and does not meet the conditions for a referral to FEMA Housing Assistance.
b) The registrant voluntarily withdrew from further consideration from the Individuals and Households Program (IHP).
c) The registrant's pre-disaster primary residence is safe to occupy based on FEMA's Damage Assessment.
d) The registrant will occupy the damaged dwelling while repairs are being made, based on information provided during the FEMA Home Inspection and/or recorded through casework or calls to the FEMA Helpline.
e) The registrant has applicable insurance providing Additional Living Expense or Loss of Use coverage.
f) The registrant does not respond to FEMA outreach efforts after three contact attempts.
g) The registrant is included with another household already receiving FEMA IHP assistance.
h) The registrant chooses not to accept Direct Temporary Housing Assistance when offered.

i) The registrant has been licensed into a FEMA Temporary Housing Unit (THU).
j) The registrant no longer needs TSA because emergency temporary repairs have been completed.
k) The registrant does not comply with the TSA Terms and Conditions.
l) The registrant has received two months of FEMA Rental Assistance and rental resources, as determined by FEMA in coordination with the state, tribe, or territory, are sufficiently available and priced within fair market rent standards to meet the needs of the displaced population within the affected areas.
m) The registrant has obtained alternate temporary or long-term housing, based on information recorded through casework or calls to the FEMA Helpline.

FEMA does not support the State's request and recommends the following:

- **Maintain the 14-Day Eligibility Review Period (Last night of stay is October 30th with checkout on October 31st).** Continuing the 14-day eligibility review period allows for timely communication and support to individuals who are transitioning from short term sheltering in the TSA program, to alternate housing options that support their longer-term housing needs.

- **Extend survivors who received 2 months rental assistance and have no other ineligible criteria**. This includes:
    - Those with Rental Assistance for Utilities Out and who require habitability repairs, or
    - Those who are pending an inspection.

  An analysis of available affordable resources indicates the number of TSA registrants exceeds the available rental resources indicating registrants cannot utilize their rental assistance within a reasonable distance of their impacted communities.

- **Extend only those registrants identified as voluntary withdrawn from IHP because they are unable to meet with the inspector in a timely manner (7 days) or who are arranging for a third party inspection**. This extension will give these registrants the opportunity to complete the inspection process themselves or through a third party on behalf of the registrant.

- **Do not extend survivors who are not willing to relocate** while required habitability repairs are completed. The Federal Coordinating Officer may change an individual's TSA eligibility status on a case by case basis due to extenuating circumstances and with sufficient justification per the above-referenced policy.

If the State has new or additional information that would warrant further review by FEMA HQ, the State can submit that information for consideration.

Please contact Allison Carlock, Allison.Carlock@fema.dhs.gov with questions or concerns.

cc: Keith Turi, Assistant Administrator, Recovery Directorate
Tony Robinson, Regional Administrator FEMA Region VI
Matthew Redding, Deputy Director, Individual Assistance Division, FEMA HQ
Zachary Usher, Acting Deputy Director, Individual Assistance Division, FEMA HQ
Traci Brasher, Director, Recovery Division, FEMA Region VI
Lorie Lafon, IA Branch Chief, Recovery Division, FEMA Region VI
Angele Rogers, IA Branch Director, DR 4611 LA
Allison Carlock, Acting MCVCS Branch Chief, Individual Assistance Division, FEMA HQ

Jacob K. Javits Federal Office Building
26 Federal Plaza
New York, New York 10278

FEMA

November 29, 2021

MEMORANDUM FOR THE RECORD

TO: Christopher B. Smith
Division Director
Individual Assistance Division

FROM: Chad M. Gorman
Regional Administrator (A)
FEMA Region 2

Digitally signed by CHAD M GORMAN
Date: 2021.11.29 13:17:05 -05'00'

SUBJECT: Rental Assistance Rate Increase for DR-4615-NY

This memorandum is to notify you of my decision to approve an increase in the Rental Assistance rate to 110% of the published Fair Market Rent (FMR) for Bronx and Rockland counties, and to 115% for Nassau, Richmond, and Westchester counties. I base my approval on the attached FMR Calculator findings and the following justification.

By using Census and damage self-assessment data, the FMR Calculator demonstrates that the Rental Assistance rate increase is warranted for the aforementioned counties. FEMA utilized the Housing Resources Contract - Disaster Task Order Process (TOPR) analysis to identify available rental resources in the affected counties, and determined that there are insufficient rentals at normal FMR to meet community needs. The shortage of affordable housing is exacerbated for residents with limited income, lack of reliable transportation, and/or those who have access and functional needs.

The increase to the Rental Assistance rate will be assessed at the time of an eligible applicant's recertification under the Continued Temporary Housing Assistance program, in accordance with the *Individual Assistance Program and Policy Guide Version 1.1* (see Chapter 3, Section IV.D.); FEMA will not award increases in initial Rental Assistance, retroactively.

If you have additional questions, please contact Julie Blanciak, Individual Assistance Branch Director, at (202) 731-2923.

cc: Lai Sun Yee, Federal Coordinating Officer, DR-4615-NY
Claude Hyacinthe, Director, Recovery Division
Julie Blanciak, Individual Assistance Branch Director, DR-4615-NY
Idamis De Jesús, Acting Branch Chief, Individual Assistance Division
Monty Lemaire, Individuals and Households Program Delivery Branch Chief, Individual Assistance Division

Attachments: FMR Calculator
TOPR Housing Analysis

U.S. Department of Homeland Security
Federal Emergency Management Agency
Joint Field Office
FEMA-4617-DR-NC
150 Westwood Circle
Waynesville, NC 28786

FEMA

October 7, 2021

MEMORANDUM FOR: Christopher B. Smith
Director
Individual Assistance Division

FROM: John F. Boyle
Federal Coordinating Officer
FEMA-4617-DR-NC

JOHN F BOYLE
Digitally signed by JOHN F BOYLE
Date: 2021.10.07 14:12:10 -04'00'

SUBJECT: Clean and Sanitize Assistance Implementation Notification for FEMA-4617-DR-NC

The State of North Carolina is requesting the Federal Emergency Management Agency (FEMA) to implement Clean and Sanitize Assistance for eligible applicants who were affected by FEMA-4617-DR-NC.

The State of North Carolina understands that the eligibility period for Clean and Sanitize Assistance will correspond to the standard FEMA registration period of 60 days, and all the following will apply:

- Clean and Sanitize Assistance is subject to a 25% State of North Carolina cost share ,
- Clean and Sanitize Assistance is limited to a fixed amount of $300 per household; and
- Clean and Sanitize Assistance will be awarded as a one-time payment.

Please take all actions necessary to implement Clean and Sanitize Assistance for DR-4617-NC as requested by the State of North Carolina.

If you have any questions or need any additional information, please contact Pamela Ross, IABD at pamela.ross@fema.dhs.gov.

cc: John Boyle, Federal Coordinating Officer
Keith Turi, Assistant Administrator, Recovery Directorate
Tarsha Monk, Individual Assistance Branch Chief, Region IV
Pamela E. Ross, Individual Assistance Branch Director, FEMA-4617-DR-NC
Monty LeMaire, Branch Chief, Individuals and Households Program Delivery Branch, Individual Assistance Division

Attachment: State of North Carolina Request letter dated, September 20, 2021.

U.S. Department of Homeland Security
Federal Emergency Management Agency
FEMA Joint Field Office
150 Westwood Circle
Waynesville, NC 28786

FEMA

October 14, 2021

MEMORANDUM FOR: Christopher B. Smith
Director
Individual Assistance Division

THROUGH: Gracia B. Szczech
Regional Administrator
FEMA Region IV

FROM: John F. Boyle
Federal Coordinating Officer
Disaster Recovery Manager
FEMA-4617-DR-NC

John F. Boyle, FCO
Digitally signed by John F. Boyle, FCO
Date: 2021.10.19 16:55:49 -04'00'

SUBJECT: Rental Assistance Rate Increase for DR-4617-NC

The State of North Carolina has requested an increase to the Rental Assistance rate to 150% of the published Fair Market Rent (FMR) for financial temporary housing assistance. The request for an additional 150% of the published FMR for financial temporary housing assistance for the counties identified in the chart below is based on the attached outcome produced by the FMR Calculator (*see attached copy of calculator)* and the below justification provided by the State.

The State has requested the rate increase due to limited to no housing stock as a result of damages the counties sustained. FEMA may authorize Rental Assistance rate increases when the FMR Calculator demonstrates available housing for the area is insufficient to meet the disaster-caused housing need, or when elevated housing market rates adversely impact eligible applicants' ability to obtain rental resources (IAPPG, v. 1.1, at 85). The FMR calculator supports an increase of 125%. However, the IADD may authorize Rental Assistance rate increases above 125% of the HUD FMR for individual counties (or equivalent) when the FMR Calculator indicates an increase to 125% of the published FMR and additional justification demonstrates a further increase is needed to meet the disaster-caused housing need (IAPPG, v. 1.1, at 85). The additional data that supports an FMR increase to 150% is further justified from analysis conducted by the state and FEMA outlined later in the document.

The present HUD Fair Market rates limit the ability of survivors to secure and maintain housing at the current rate. A market analysis conducted in March 2017 determined the demand for rental units in Haywood County. Tenants making 40% of the Average Median Income (AMI) needed 766 rental units, 50% of the AMI 652 units, and 60% of

the AMI 495 units. In Haywood and Transylvania counties, nearly half of all renters (46.3%) have household incomes below $30,000. A solid regional real estate market has driven up local housing prices, especially in Asheville and Buncombe County. The median sales price in Haywood County has risen from $144,450 in April 2015 to $239,000 in August 2020. In August 2020, in Haywood County, there were just 11 houses listed under $200,000. The median sales price of a home in Buncombe County in August 2020 was $327,000. Asheville also faces a shortage of affordable housing. A recent study determined that Asheville needs an additional 3,850 affordable rental units by 2020. As people who work in Asheville and Buncombe County seek more affordable housing in Haywood County and Transylvania, the demand is likely to increase.

FEMA identified 81 households eligible for direct housing. Of the 81, 25 families have already secured housing on their own. Additionally, FEMA analyzed households currently occupying non-congregate sheltering and determined that 18 families received rental assistance, 12 of which are not eligible for direct housing. The rental resource contract provided by the Disaster Housing Unit indicates the direct housing eligible households with a potential need and those in non-congregate sheltering who received rental assistance would be able to secure a rental unit at 150% of the published FMR. While there are fewer available resources than applicants in Haywood County, due to the proximity of Buncombe County, applicants can utilize rental resources in both counties and maintain a reasonable commute.

County/Jurisdiction	Rental Resources at FMR	Rental Resources at 125% FMR	Rental Resources at 150% FMR	Current Applicants Eligible for PPI w/Potential Need (owners/renters)	Current Applicants in NCS with Rental Assistance (owners/renters)
Haywood	0	0	**1**	**46**	**12**
Transylvania	0	0	**0**	**2**	**0**
Buncombe	6	61	**99**	**8**	**0**
Total	6	61	**100**	**56**	**12**

Note: The FMR calculator spreadsheet used to produce this table aggregates housing data for all three counties (Xcel spreadsheet).

Based on FEMA's evaluation and analysis, an increase in the rental assistance rate is needed for Haywood and Transylvania counties. Increasing the fair market rate to 150% will increase the number of affordable housing units for disaster survivors within all three counties. FEMA further determined that within Buncombe County, which is a 25 - 35-minute commute to Haywood and Transylvania counties, an increase in the rental assistance rate would make 39 additional housing units available to survivors.

Through the authority delegated to me by the Regional Administrator, I recommend approving an increase in the Rental Assistance rate to 150% of the FMR for the following counties for DR-4617-NC: Buncombe, Haywood, and Transylvania. This increase provide opportunities to increase the non-congregate depopulation rate and negate the need for Direct Temporary Housing for DR-4617-NC.

Rental Assistance Rate Increase

If you have any additional questions, please do not hesitate to contact John F. Boyle, Federal Coordinating Officer, at (202) 706-0578 or john.boyle@fema.dhs.gov.

CONCUR Date
Christopher B. Smith
Director
Individual Assistance Division

NON-CONCUR Date
Christopher B. Smith
Director
Individual Assistance Division

Digitally signed by CHRISTOPHER B SMITH
Date: 2021.11.10 15:49:12 -05'00'

CONCUR WITH MODIFICATION Date
I am authorizing an increase of ( )%. 125% for Buncombe, Haywood and Transylvania Counties
Christopher B. Smith
Director
Individual Assistance Division

cc: John Boyle, Federal Coordinating Officer
Keith Turi, Assistant Administrator, Recovery Directorate
Tarsha Monk, Individual Assistance Branch Chief, Region IV
Pamela E. Ross, Individual Assistance Branch Director, FEMA-4617-DR-NC
Monty LeMaire, Branch Chief, Individuals and Households Program Delivery Branch, Individual Assistance Division

Attachment: North Carolina Request Letter dated September 30, 2021
Copy of FMR Calculator

U.S. Department of Homeland Security
Federal Emergency Management Agency
FEMA-State Joint Field Office (JFO)
FEMA- 4618-DR-PA
2550 Interstate Dr, Suite # 201
Harrisburg, PA 17110

FEMA

December 7, 2021

MEMORANDUM FOR: Christopher B. Smith
Director
Individual Assistance Division

THROUGH: MaryAnn Tierney
Regional Administrator
FEMA Region III

MARYANN E TIERNEY
Digitally signed by MARYANN E TIERNEY
Date: 2021.12.07 17:05:16 -05'00'

FROM: Kenneth Clark
Deputy Federal Coordinating Office
Disaster Recovery Manager
FEMA-4618-DR-PA

KENNETH G CLARK
Digitally signed by KENNETH G CLARK
Date: 2021.12.07 15:58:26 -05'00'

SUBJECT: Registration Period Second Extension Approval Notification for FEMA-4618-DR-PA

The Commonwealth of Pennsylvania has requested a second extension to the registration period for Individual Assistance (IA) for 4618-DR-PA. The initial registration period ended November 10, 2021, and it was extended for 30 days to December 10, 2021.

FEMA continues to receive a high volume of IA registrations from survivors within the Commonwealth; the number continues to range from 245 to 844 daily. The eligibility rate of the applications taken between day 78 and 84 is 69.78%, which is above the average of 54.88% for the first 84 days. An additional extension to the application period would align Pennsylvania survivors with the application periods in the contiguous bordering states of New Jersey and New York.

Based on the justification provided by the Commonwealth and through the authority delegated to me by the Regional Administrator, I approve a second 30-day extension to the registration period for 4618-DR-PA, for a total of 60 days from the initial registration deadline. The registration period will now end on Monday, January 10, 2022. This includes all areas designated for Individual Assistance.

If you have any questions, please contact Craig Crosby, at 202-706-9047.

cc: Robert Hoban, Individual Assistance Branch Chief, Region III
John Donahue, Individual Assistance Branch Director, 4618-DR-IA
Monty LeMaire, Branch Chief, Individuals and Households Program Delivery Branch, Individual Assistance Division

U.S. Department of Homeland Security
Federal Emergency Management Agency
Pennsylvania Joint Field Office (JFO)
FEMA- 4618-DR-PA
2550 Interstate Dr, Suite # 201
Harrisburg, PA 17110

FEMA

November 5, 2021

MEMORANDUM FOR: Christopher B. Smith
Director
Individual Assistance Division

THROUGH: MaryAnn Tierney
Regional Administrator
FEMA Region III

MARYANN E TIERNEY
Digitally signed by MARYANN E TIERNEY
Date: 2021.11.05 13:16:26 -04'00'

FROM: E. Craig Levy
Federal Coordinating Office
Disaster Recovery Manager
FEMA-4618-DR-PA

EDWARD C LEVY
Digitally signed by EDWARD C LEVY
Date: 2021.11.05 12:01:21 -04'00'

SUBJECT: Registration Period Initial Extension Notification for 4618-DR-PA

The Commonwealth of Pennsylvania has requested an extension to the registration period Individual Assistance (IA) for 4618-DR-PA The current registration period ends on November 10, 2021.

FEMA continues to receive a high volume of IA registrations from survivors within the Commonwealth; the number continues to range from 500 to 750 daily. The eligibility rate of the applications taken between day 39 and 45 is 58%, far above the average of 43% for the first 45 days. Two counties were added 14 days after the initial declaration. Moreover, an extension to the application period further aligns Pennsylvania survivors with the application periods contiguous to its state borders in New Jersey and New York.

Based on the justification provided by the Commonwealth and through the authority delegated to me by the Regional Administrator, I approve a 30-day extension to the registration period for 4618-DR-PA. The registration period will now end on December 10, 2021. This includes all areas designated for Individual Assistance.

If you have any additional questions, please contact John Donahue, Individual Assistance Branch Director, at (202)538-2248.

cc: Robert Hoban, Individual Assistance Branch Chief, Region III
John Donahue, Individual Assistance Branch Director, 4618-DR-IA
Monty LeMaire, Branch Chief, Individuals and Households Program Delivery Branch, Individual Assistance Division

U.S. Department of Homeland Security
Federal Emergency Management Agency
Pennsylvania IOF DTO
FEMA-4618-DR-PA
2570 Interstate Drive
Harrisburg, PA 17110

FEMA

September 22, 2021

MEMORANDUM FOR: Christopher B. Smith, Director
Individual Assistance Division
DHS/FEMA Headquarters

THROUGH: MaryAnn Tierney
Regional Administrator
FEMA Region III

MARYANN E TIERNEY Digitally signed by MARYANN E TIERNEY Date: 2021.09.23 16:52:24 -04'00'

FROM: E. Craig Levy
Federal Coordinating Officer
Disaster Recovery Manager
FEMA-4618-DR-PA

EDWARD C LEVY Digitally signed by EDWARD C LEVY Date: 2021.09.23 11:40:50 -04'00'

SUBJECT: Individual Assistance Program and Policy Guide Waiver Request for Lodging Expense Reimbursement for FEMA-4618-DR-PA

On September 10, 2021 President Biden declared a major disaster for the Commonwealth of Pennsylvania based on remnants of Hurricane Ida that caused flooding. The Declaration authorized Individuals and Households Program (IHP) for Bucks, Chester, Delaware, Montgomery, Philadelphia, and York Counties.

As FCO, I coordinated with the Individual Assistance Branch Director, and based on the information gathered, I am requesting a waiver to Chapter 3, IV. A. of the *Individual Assistance Program and Policy Guide* (IAPPG), Version 1.1 to allow FEMA to provide Lodging Expense Reimbursement (LER) for 30 days from the date an applicant receives initial Rental Assistance. Under current policy, FEMA may provide LER as reimbursement for out-of-pocket temporary lodging expenses, incurred by eligible applicants, due to damage affecting the habitability of their primary residences as a result of a Presidentially declared disaster. LER may be awarded from the start date of the incident, up to and not to exceed seven days from the approved date of any initial Rental Assistance award, unless FEMA authorizes an extension. I seek an extension of LER eligibility from seven (7) days to 30 days from the approved date of any initial Rental Assistance because of the depleted housing inventory created by the flooding.

As a result of flooding from remnants of Hurricane Ida, devastating impacts include depletion of an already diminished housing stock availability within the designated counties of Bucks, Chester, Delaware, Montgomery, Philadelphia, and York Counties.

The extremely dismal currently available housing resource data can be accessed on the PAHousingSearch.com website. Managed by Socialserve.com, this is the resource portal used by Pennsylvania Emergency Management, Community and Economic Development, Housing and Finance Agency and Department of Human Services to identify solutions for households in need of temporary housing. A search of available resources on the site, including those with restrictions, result in the following number of available properties by county:

- Bucks 5
- Chester 11
- Delaware 8
- Montgomery 10
- Philadelphia 71
- York 34

Recovery Dashboards indicate significant numbers of emergent and short-term housing needs for each of the designated counties including nine hundred (978) households currently residing in hotels. Due to disaster activity across the nation combined with challenges due to COVID-19, the rate of inspections is slow to match the increasing number of registrations. Over two hundred families are currently being sheltered in hotels through funding provided by the Department of Economic Development and/or other private non-profit organizations.

The Pennsylvania Department of Emergency Management (PEMA) has activated the Commonwealth's Disaster Housing Task Force Meetings and established a working group to address these issues as recovery evolves. The Department of Housing and Urban Development along with other Federal, State, and Local organizations will continue to work closely to expand available sheltering and temporary housing options. Approval and implementation of this request to waive the timeframe set forth in the IAPPG for LER from seven (7) to thirty (30) days will assist those households who have the financial resources to initially obtain and remain in a hotel while options for alternative housing resources are identified.

Based on the authority delegated to me by the Regional Administrator, I recommend approving a policy waiver to increase the time limit for LER to 30 days from the date an applicant is approved for their initial Rental Assistance award for all IA designated counties for DR-4618-PA.

If you have any questions or need any additional information, please contact John Donahue at (202) 538-2248.

CHRISTOPHER B SMITH
Digitally signed by CHRISTOPHER B SMITH
Date: 2021.10.04 09:02:22 -04'00'

CONCUR
Christopher B. Smith
Director
Individual Assistance Division

NON-CONCUR
Christopher B. Smith
Director
Individual Assistance Division

cc: MaryAnn Tierney, Regional Administrator, Region 3
Robert Hoban, Individual Assistance Branch Chief, Region 3
John Donahue, Individual Assistance Branch Director, DR-4618-PA
Monty LeMaire, Branch Chief, Individuals and Households Program Delivery Branch, Individual Assistance Division

U.S. Department of Homeland Security
Washington, DC 20472

FEMA

September 14, 2021

MEMORANDUM FOR: Christopher Smith
Director
Individual Assistance Division

FROM: MaryAnn Tierney
Regional Administrator
Region 3

MARYANN E TIERNEY
Digitally signed by MARYANN E TIERNEY
Date: 2021.09.14 17:24:55 -04'00'

SUBJECT: Clean and Sanitize Assistance Implementation Notification for DR-4618-PA

The Commonwealth of Pennsylvania has requested that FEMA implement Clean and Sanitize Assistance for eligible applicants who were affected by DR-4618-PA.

The Commonwealth of Pennsylvania understands that the eligibility period for Clean and Sanitize Assistance will correspond to the standard FEMA registration period of 60 days, and all the following will apply:

- Clean and Sanitize Assistance is subject to a 25% state cost share
- Clean and Sanitize Assistance is limited to a fixed amount of $300 per household; and
- Clean and Sanitize Assistance will be awarded as a one-time payment.

Region III will take all actions necessary to implement Clean and Sanitize Assistance for DR-4618-PA as requested by the Commonwealth of Pennsylvania. If you have any questions or need any additional information, please contact Federal Coordinating Officer (FCO) Craig Levy, at (202) 341-3470.

cc: E. Craig Levy, Federal Coordinating Officer
David Bibo, Assistant Administrator, Recovery Directorate
Michael Senycz, Recovery Division Director, Region 3
Robert Hoban, Individual Assistance Branch Chief, Region 3
John Donahue, Individual Assistance Branch Director, DR-4618-PA

Monty LeMaire, Branch Chief, Individuals and Households Program Delivery Branch, Individual Assistance Division

Attachment: Commonwealth of Pennsylvania Request Letter dated September 14, 2021

U.S. Department of Homeland Security
Washington, DC 20472

FEMA

October 26, 2021

MEMORANDUM FOR: E. Craig Levy
Federal Coordinating Officer
Disaster Recovery Manager
FEMA-DR-4618-PA

FROM: Christopher B. Smith
Director
Individual Assistance Division

Digitally signed by CHRISTOPHER B SMITH
Date: 2021.10.26 19:18:11 -04'00'

SUBJECT: Rental Assistance Rate Increase for FEMA-DR-4618-PA

This memorandum is in response to the Federal Coordinating Officer's (FCO) notification, dated October 14, 2021, for an increase to the Rental Assistance Rate to the published U.S. Department of Housing and Urban Development's (HUD) Fair Market Rent (FMR) for financial temporary housing assistance under DR-4618-PA. Specifically, the FCO notified FEMA Headquarters, requesting an 115% increase to the FMR for Delaware and Philadelphia Counties and 125% increase to the FMR for Bucks, Chester, Montgomery, and York Counties.

In their memorandum and the associated FMR Adjustment Calculator, Region III used contract data to populate the total vacant housing units for county field. However, as noted in the FMR Adjustment Calculator and in the *Individual Assistance Program and Policy Guide, Version 1.1* (IAPPG), FEMA evaluates the need for Rental Assistance rate increases by comparing U.S. Census Bureau American Community Survey statistics on housing inventory and vacancy rates to the best available data on disaster-caused housing impacts in declared disaster areas. The FMR Adjustment Calculator evaluates the need for a Rental Assistance rate increase based on pre-disaster housing stock data, the amount of housing impacted by the disaster, and the post-disaster vacancy rate for each impacted county. In order to ensure the FMR Adjustment Calculator appropriately evaluates the pre-disaster housing stock, FEMA uses U.S. Census Bureau data when determining the total vacant housing units for county field. Therefore, the use of contract data is not authorized.

As of October 14, 2021, FEMA has only reached the 50% completion rate of issued inspections. As there are still a significant number of inspections to be completed, FEMA estimated the potential housing need based on information reported by applicants at registration. 15,563 households indicated unknown damage or self-assessed their damage at level 2 or higher, meaning, at a minimum, they believed the damage to their home would require repairs and they may not be able to live in their home. FEMA utilized this data to estimate the housing units lost

and the number of displaced applicants among the aforementioned counties.

Per the IAPPG, FEMA will only authorize an increased Rental Assistance rate when the FEMA FMR Adjustment Calculator demonstrates that the published HUD FMR for the declared disaster area or host area is significantly less than prevailing market rates.[1] Based on census data and applicant self-assessment data, the FMR Adjustment Calculator supported FMR increases for Bucks, Chester, Delaware, Montgomery, and York Counties.

Based on the justification submitted and the FEMA FMR Adjustment Calculator results, I am authorizing the following increase for eligible applicants who secure temporary housing in the following counties:

- Up to 115% of the HUD FMR in Bucks, Chester, and Montgomery Counties.
- Up to 110% of the HUD FMR in Delaware and York Counties.

No increase is authorized in Philadelphia County. All approved increases will only be applied when warranted based on the applicant's actual rent costs. Rental Assistance award amounts are based on actual rent costs plus a utility allowance determined by HUD, not to exceed the approved increase.

The increase to the published FMR will be implemented upon award of Continued Temporary Housing Assistance in accordance with the IAPPG. FEMA does not retroactively award increased initial Rental Assistance. All Rental Assistance eligibility criteria in the IAPPG remain unchanged.

If you have any questions or need any additional information, please contact Monty LeMaire, Individuals and Households Program Service Delivery Branch Chief.

Attachments: Rental Assistance Rate Increase Request for 4618-DR-PA
FMR Adjustment Calculator

cc: MaryAnn Tierney, Regional Administrator, Region III
Robert Hoban, Individual Assistance Branch Chief, Region III
John Donahue, Individual Assistance Branch Director, DR-4618-PA
Keith Turi, Assistant Administrator, Recovery Directorate
Melissa Forbes, Deputy Assistant Administrator, Recovery Directorate
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division

[1] *See* Individual Assistance Program and Policy Guide, Version 1.1, F104-009-03, May 2021, Ch. 3, Individuals and Households Program at 85.

U.S. Department of Homeland Security
FEMA Region I
99 High Street, 6th Floor
Boston, MA 02110

FEMA

November 4, 2021

MEMORANDUM FOR: Christopher B. Smith
Individual Assistance Division Director
Office of Response and Recovery

FROM: Paul Ford PAUL F FORD Digitally signed by PAUL F FORD Date: 2021.11.04 14:09:42 -04'00'
Acting Regional Administrator
FEMA Region I

SUBJECT: Clean and Sanitize Assistance (CSA) Request for FEMA-DR-4629-CT

The State of Connecticut has requested FEMA implement Clean and Sanitize Assistance (CSA) for eligible applicants who were affected by DR-4629-CT.

I approve implementation of Clean and Sanitize Assistance based on the request. Please find attached a signed copy of the Connecticut Request.

CC: Robert Fogel, Federal Coordinating Officer, DR-4629-CT
Becky Szymcik, Individual Assistance Branch Director, DR-4629-CT
Keith Turi, Assistant Administrator, Recovery Directorate
Monty LeMaire, Branch Chief, Individuals and Households Program Delivery Branch, Individual Assistance Division
FEMA IA IHP Action Office

Attachment: IA Clean and Sanitize Assistance Request – November 2, 2021

U.S. Department of Homeland Security
Region I
99 High St., 5th Floor
Boston, MA 02110-2320

FEMA

December 7th, 2021

MEMORANDUM FOR: Christopher B. Smith
Director - Individual Assistance Division

THROUGH: Paul Ford
Regional Administrator
FEMA Region I

PAUL F FORD
Digitally signed by PAUL F FORD
Date: 2021.12.08 09:18:45 -05'00'

FROM: Robert Fogel
Federal Coordinating Office
FEMA-4629-DR-CT

ROBERT V FOGEL
Digitally signed by ROBERT V FOGEL
Date: 2021.12.07 13:56:44 -05'00'

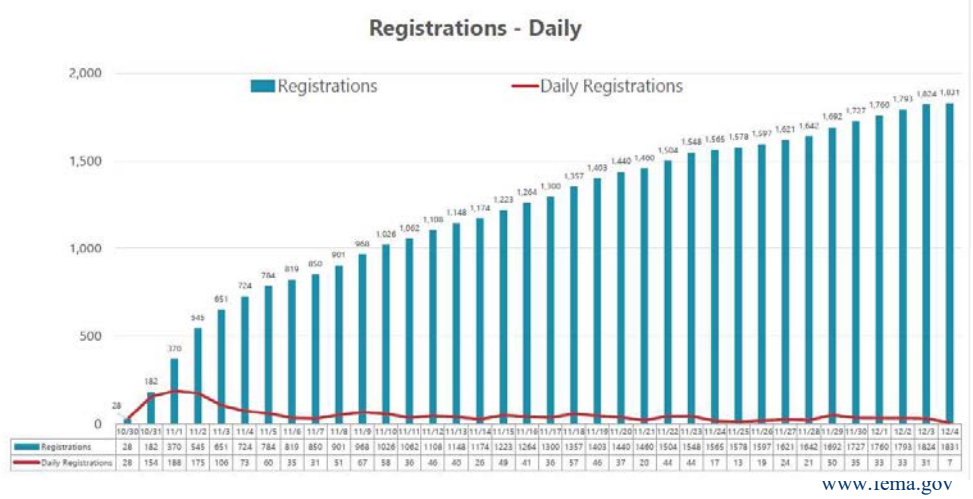
SUBJECT: Registration Period Initial Extension Notification for 4629-DR-CT

The State of Connecticut has requested an extension to the registration period Individual Assistance (IA) for 4629-DR-CT. The current registration period ends on December 29th, 2021.

Connecticut requested a 30-day extension of the registration period so that survivors in New Haven County have 60 days to apply. The additional time will encourage those survivors in Fairfield and New London to continue to apply. Application speed has been a challenge given the delayed timeline for initial declaration and the holiday season. New Haven County is more rural than Fairfield County and the extra time will be required to ensure that New Haven County survivors are aware of available assistance and have every opportunity to apply.

Although the rate of daily registrations is low compared to other Hurricane Ida declarations, the cumulative total of registrations for DR-4629-CT is increasing consistently at an average of 30 registrations a day, with a post-processing eligibility rate of 73%. (see Figure 1below)

Figure 1 - Daily Registration Trend



U.S. Department of Homeland Security
Region I
99 High St., 5th Floor
Boston, MA 02110-2320

FEMA

The high eligibility rate of 73% shows that 1) most of the survivors who apply receive a FEMA award, and 2) the eligibility rate remains constant with new registrations, indicating the need for FEMA assistance still exists in the designated counties.

Based on the information above and the justification provided by the state and through the authority delegated to me by the Regional Administrator, I approve a 30-day extension to the registration period for 4629-DR-CT. The registration period will now end on January 28th, 2022. This includes all areas designated for Individual Assistance.

If you have any additional questions, please contact Becky Szymcik, Individual Assistance Branch Director, at 617-447-0473.

cc: Becky Szymcik, Individual Assistance Branch Director, DR-4629-CT
Chelsey Cummings, Deputy Individual Assistance Branch Director, 4629-DR-CT
Monty LeMaire, Branch Chief, Individuals and Households Program Delivery Branch, Individual Assistance Division

FEMA

U.S. Department of Homeland Security
Federal Emergency Management Agency
FEMA-4630-DR-KY
FEMA/State Joint Field Office
657 Chamberlin Avenue
Frankfort, KY 40601-4220

February 8, 2022

MEMORANDUM FOR: Melissa Forbes
Assistant Administrator, Recovery Directorate
FEMA Headquarters

THROUGH: Gracia B. Szczech
Regional Administrator
Region IV

FROM: John Brogan
Federal Coordinating Officer
FEMA-4630-DR-KY

JOHN E BROGAN
Digitally signed by JOHN E BROGAN
Date: 2022.02.09 08:30:14 -05'00'

SUBJECT: Registration Extension Request for
FEMA-4630-DR-KY

On December 12, 2021, President Biden declared a Major Disaster Declaration for the Commonwealth of Kentucky because of severe storms, straight line winds, flooding and tornadoes. The Declaration included access to the Individuals and Households Program for 8 counties. On December 16, 2021, 6 counties became eligible for the Individuals and Household Program. On December 24, 2021, and January 6, 2022, one county became eligible for the Individuals and Households Program.

On February 7, 2022, the Commonwealth of Kentucky requested a 30-day extension to the Individuals and Households Program registration period for FEMA-4630-DR-KY. The current registration period ends on February 11, 2022.

The Commonwealth indicates that it is imperative that all efforts be extended to ensure the impacted citizens are made aware of the eligibility and that resources are effectively extended to assist with the registration process. With so many displaced citizens located in remote non-congregate shelters or living with family and friends, there is concern that registration information and access could be limited in many cases. Also, Kentucky has experienced bouts of severe weather during the registration period which caused a negative impact on participation.

A review and analysis of the registration data for a period of 15 days indicate a total of 409 registrations were received. The average registration for this timeframe is 27 applications received per day.

Based on the analysis of the registration data, I recommend a 30-day extension of the registration period for FEMA-4630-DR-KY. If approved, the registration period will now end on March 13, 2022. This includes all areas designated for Individual Assistance.

If you have any additional questions, please contact Pamela E. Ross at pamela.ross@fema.dhs.gov or at 678-215-2864.

APPROVED:

_____
Gracia B. Szczech
Regional Administrator
FEMA Region IV

Attachment: Original request from Commonwealth of Kentucky

Cc: John Brogan, Federal Coordinating Officer, FEMA-4630-DR-KY
Pamela E. Ross, Individual Assistance Branch Director, FEMA-4630-DR-KY
Tarsha L. Monk, Individual Assistance Branch Chief, Region IV
Gary Bruey, Acting Recovery Director, Region IV
Kevin Sligh, Individuals Assistance Division Director, FEMA HQ

If you have any questions or require more information, please contact Mr. John Brogan, Federal Coordinating Officer at (816) 809-9979.

APPROVED:

_____
Gracia B. Szczech
Regional Administrator

U.S. Department of Homeland Security
Federal Emergency Management Agency
REGION IV

FEMA

December 26, 2021

MEMORANDUM FOR: Christopher B. Smith
Director
Individual Assistance Division

FROM: John E. Brogan
Federal Coordinating Officer
Disaster Recovery Manager
FEMA-4630-DR-KY

JOHN E BROGAN
Digitally signed by JOHN E BROGAN
Date: 2021.12.26 13:22:08 -05'00'

SUBJECT: Clean and Sanitize Assistance Implementation Notification
FEMA-4630-DR-KY

The Commonwealth of Kentucky is requesting the Federal Emergency Management Agency (FEMA) to implement Clean and Sanitize Assistance for eligible applicants who were affected by DR-4630-KY. The Commonwealth understands that the eligibility period for Clean and Sanitize Assistance will correspond to the standard FEMA registration period of sixty (60) days, and all the following will apply:

- Clean and Sanitize Assistance is subject to a 25% Commonwealth of Kentucky cost share
- Clean and Sanitize Assistance is limited to a fixed amount of $300 per household; and
- Clean and Sanitize Assistance will be awarded as a one-time payment

Please take all actions necessary to implement Clean and Sanitize Assistance for DR-4630-KY as requested by The Commonwealth of Kentucky. If you have any questions or need any additional information, please contact *Pamela Ross, IABD* at pamela.ross@fema.dhs.gov.

Attachment: Commonwealth of Kentucky Request letter dated, December 24, 2021

Cc: Keith Turi, Assistant Administrator, Recovery Directorate
Tarsha Monk, Individual Assistance Branch Chief, Region IV
Pamela E. Ross, Individual Assistance Branch Director, DR-4630-KY
Monty LeMaire, Branch Chief, Individuals and Households Program Delivery Branch, Individual Assistance Division

U.S. Department of Homeland Security
Washington, DC 20472

FEMA

December 26, 2021

MEMORANDUM FOR: Keith Turi
Assistant Administrator
Recovery Directorate

THROUGH: Gracia Szczech
For: Regional Administrator
FEMA Region IV

FROM: John E. Brogan
Federal Coordinating Officer
Disaster Recovery Manager
FEMA-4630-DR-KY

SUBJECT: Direct Temporary Housing Assistance Request for FEMA-4630-DR-KY

The Commonwealth of Kentucky has requested Direct Temporary Housing Assistance for DR-4630-KY

The Housing Assistance provision of the Individuals and Households Program (IHP), authorized by Section 408 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, or "Stafford Act," as implemented in 44 C.F.R. Part 206.117 authorizes FEMA to provide Direct Assistance for temporary housing when eligible applicants are unable to obtain temporary housing with financial assistance due to a lack of available housing resources. FEMA determines the appropriate types of assistance based on considerations of cost-effectiveness, convenience to disaster survivors, and the suitability and availability of the types of assistance to meet the post-disaster housing needs. Direct Temporary Housing Assistance may be provided for periods of up to 18 months from December 12, 2021, the date of the President's Declaration of a Major Disaster, to June 13, 2023.

The Commonwealth of Kentucky requested direct temporary housing assistance in the following Individual Assistance (IA) declared counties: *Caldwell, Graves, Hopkins, Marshall, Muhlenberg, and Warren.*

Based on currently available inspection and rental resource data, I recommend approval of direct temporary housing assistance in the form of Direct Lease, Multi-Family Lease and Repair (MLR), and Transportable Temporary Housing Units (TTHUs) in all six (6) requested counties.

Upon review of the information gathered from an initial assessment, the Regional assessment team determined there are currently 403 applicants eligible for direct housing pre-placement interviews (PPIs) and 24 resources at 100% of the Fair Market Rate (FMR) established by the U.S. Department of Housing and Urban Development in the six (6) requested counties, increasing the rental assistance rate to 150% of the FMR results in only 70 additional rental resources. Although Caldwell, Marshall and Muhlenberg have a low number of PPIs, they do not have any rental resources and we expect the numbers of PPIs to increase. As a result, Rental Assistance is insufficient to meet the housing need due to a lack of available housing resources. Increasing the Rental Assistance rate up to 150% of the FMR is not adequate to fulfill the need for temporary housing.

County/Jurisdiction	Rental Resources at FMR	Rental Resources at 125% FMR	Rental Resources at 150% FMR	Current Applicants Eligible for PPI (owners/renters)	Projected Applicants Eligible for PPI (owners/renters)
Caldwell	0	0	0	18	70
Graves	0	0	0	140	560
Hopkins	2	2	2	117	465
Marshall	1	1	1	20	80
Muhlenberg	0	0	0	10	40
Warren	20	63	90	57	230

Based on the justification provided by the Commonwealth and the results of the housing assessment, I recommend direct temporary housing assistance in the following forms for the IA designated counties of *Caldwell, Graves, Hopkins, Marshall, Muhlenberg, and Warren*.

Transportable Temporary Housing Units (TTHU): TTHU's will be utilized for Private, Commercial, and Group sites. FEMA will continue to evaluate placement of TTHUs in a SFHA in accordance with 44CFR Part 9.

- **Private Sites**: Private site placement on feasible sites throughout the six (6) recommended counties
- **Commercial Sites**: Placement of TTHU's in commercial sites throughout the six (6) recommended counties.
- **Group Sites**: Group site construction and placement throughout the six (6) recommended counties where other site types are not practical or feasible.

Multi-Family Lease and Repair (MLR): Identify and repair eligible multi-family housing units, consisting of three or more units within the five recommended counties

Direct Lease: Implementation of Direct Lease in the five recommended counties

If approved and unless specifically superseded by conditions in this approval, direct temporary housing assistance will be implemented in accordance with the Individual Assistance Program and Policy Guide (IAPPG). In addition, I will notify you in writing prior to approving any TTHU Group Site(s).

If you have any questions or need any additional information, please contact John Brogan at 816-809-9979 or john.brogan@fema.dhs.gov.

Digitally signed by
CHRISTOPHER B SMITH
Date: 2021.12.27 14:01:35
-05'00'

CONCUR Date
For: Keith Turi
Assistant Administrator
Recovery Directorate

NON-CONCUR Date
Keith Turi
Assistant Administrator
Recovery Directorate

cc: Anne Bink, Associate Administrator, Office of Response and Recovery
Jeffrey Dorko, Assistant Administrator, Logistics Management Directorate
Christopher B. Smith, Director, Individual Assistance Division
Monty LeMaire, Branch Chief, Individuals and Households Program Delivery Branch, Individual Assistance Division
Gary Bruey, Region 4 Recovery Division Director
Tarsha Monk, Individual Assistance Branch Chief, Region IV
Brendan McCormick, Deputy Individual Assistance Branch Director, DR-4630-KY

Attachments:

1. DR-4630-KY Request Letter dated December 26, 2021

U. S. Department of Homeland Security
Region IV
3005 Chamblee Tucker Road
Atlanta, GA 30341

FEMA

December 30, 2021

MEMORANDUM FOR: Ann Bink
Associate Administrator
Office of Response and Recovery

THRU: Gracia B. Szczech
Regional Administrator

FROM: John E. Brogan
Federal Coordinating Officer
FEMA-4630-DR-KY

JOHN E BROGAN
Digitally signed by JOHN E BROGAN
Date: 2022.01.01 11:52:18 -05'00'

SUBJECT: FEMA-State Agreement
Request to Close the Incident Period
Severe Storms, Tornadoes, Straight-line Winds, and Flooding
FEMA-4630-DR-KY

The purpose of this memorandum is to request that the Incident Period for FEMA-4630-DR-KY be closed effective the end of *December 11, 2021*.

I have discussed this matter with Michael E. Dossett Governor's Authorized Representative and Gracia Szczech, Regional Administrator, FEMA Region 4. It was agreed by all that we should close the Incident Period on that date.

An Amendment will be made to the FEMA-State Agreement. Please provide me with a copy of the Federal Register Notice.

You may contact me if you have any questions at 816-809-9979.

U.S. Department of Homeland Security
Federal Emergency Management Agency
Region IV
3003 Chamblee-Tucker Road
Atlanta, GA 30341

FEMA

January 21, 2022

MEMORANDUM FOR: Kevin Sligh
Director
Individual Assistance Division

THROUGH: Gracia Szczech
For: Regional Administrator
FEMA Region IV

FROM: John E. Brogan
Federal Coordinating Officer
Disaster Recovery Manager
FEMA-DR-4630-KY

JOHN E BROGAN Digitally signed by JOHN E BROGAN Date: 2022.01.21 14:55:48 -05'00'

SUBJECT: Rental Assistance Rate Increase for DR-4630-KY

The Commonwealth of Kentucky has requested an increase to the rental assistance rate to 125% of the published Fair Market Rent (FMR) for financial temporary housing assistance. This request is for an increase to 125% of the published FMR for financial temporary housing assistance. The FMR is for the counties identified in the chart below and are based on the attached outcome produced by the FMR Calculator (please *see attached copy of calculator)* and the justification provided by the state of Kentucky.

The Commonwealth is requesting the rate increase due to very limited housing stock because of damages the counties sustained. The availability of rental property is limited because of the prior weather-related events on December 10, 2021, in this area. The current available rental options in the six (6) counties are extremely limited because of the tornadoes. Increasing the FMR to 125% will potentially increase available housing stock by 129 units in the affected areas.

County/Jurisdiction	Rental Resources at FMR	Rental Resources at 125% FMR
Caldwell	0	2
Graves	0	2
Hopkins	2	24
Marshall	0	12
Muhlenberg	0	6
Warren	10	95
Total	**12**	**141**

Based on the justification provided by the Commonwealth and through the authority delegated to me by the Regional Administrator, I approve an increase in the Rental Assistance rate to 125% of the FMR for the following counties for DR-4630-KY: Caldwell, Graves, Hopkins, Marshall, Muhlenberg, and Warren.

If you have any additional questions, please contact John Brogan at 816-809-9979 or john.brogan@fema.dhs.gov.

cc: Tarsha Monk, Individual Assistance Branch Chief, Region IV
Pamela Ross, Individual Assistance Branch Director, DR-4630-KY
Brendan McCormick, Deputy Individual Assistance Branch Director, DR-4630-KY
Monty LeMaire, Branch Chief, Individuals and Households Program Delivery Branch, Individual Assistance Division

Attachment: Commonwealth Request Letter dated January 19, 2022.
FMR Calculator for Caldwell County
FMR Calculator for Graves County
FMR Calculator for Hopkins County
FMR Calculator for Marshall County
FMR Calculator for Muhlenberg County
FMR Calculator for Warren County

U.S. Department of Homeland Security
Washington, DC 20472

FEMA

January 28, 2022

MEMORANDUM FOR: Nancy M. Casper
Federal Coordinating Officer
FEMA-DR-4634-CO

FROM: Kevin Sligh
Director
Individual Assistance Division

KEVIN M SLIGH
Digitally signed by KEVIN M SLIGH
Date: 2022.01.28 18:38:41 -05'00'

SUBJECT: Critical Needs Assistance Authorization for FEMA-DR-4634-CO

This memorandum is in response to the State of Colorado's request, dated January 15, 2022, for FEMA to provide Critical Needs Assistance (CNA) under the Individuals and Households Program (IHP) for DR-4634-CO. Section 408(e)(2) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (Stafford Act) and 44 C.F.R. 206.119(c)(6)(ii) authorize FEMA to provide assistance for necessary expenses or serious needs resulting from a major disaster.

FEMA may provide financial assistance under the Other Needs Assistance (ONA) provision of IHP to applicants who have immediate or critical needs because they are displaced from their primary residence or who need assistance in order to leave their pre-disaster primary residence to temporarily shelter elsewhere. Immediate or critical needs are live-saving and life-sustaining items including, but not limited to: water, food, first aid, prescriptions, infant formula, diapers, consumable medical supplies, durable medical equipment, personal hygiene items, and fuel for transportation. As CNA is implemented under the ONA provision of the IHP, any assistance awarded for CNA is subject to a 25 percent cost share with the State of Colorado.[1] CNA is limited to a one-time payment of $500 per household.

Pursuant to FP 104-009-03, *Individual Assistance Program and Policy Guide, Version 1.1 (IAPPG), Chapter 3, Section VI.B.6.*, CNA may be authorized for specific geographic areas (i.e., counties or ZIP codes), identified by the state, territorial, or tribal government when:

1. Applicants are displaced due to restrictions placed by the state, local, territorial, or tribal government officials (e.g., evacuation advisory, inaccessibility);
2. Shelters in the area do not meet the needs of the displaced population; and
3. Community and life-sustaining services within a reasonable distance from the area are limited due to the disaster-caused impact.

[1] Section 408(g)(2) of the Stafford Act and 44 C.F.R. 206.110(i)(2)).

The Marshall Fire and related straight-line winds devastated multiple neighborhoods, with over 1,000 residential structures destroyed. Due to the rapidly spreading nature of the fire, over 35,000 households in two cities and an unincorporated county were placed under emergency, no-notice evacuations, which meant that individuals only had four hours to gather their belongings and evacuate. As the evacuation occurred in the middle of the day when most residents were not home, individuals had little to no time to pack their possessions before leaving their home and are still working to replace critical and life-sustaining items, such as medications, assistive devices, food basics, and other necessities. The evacuation order remained in place for five days. Furthermore, many community and life-sustaining services were impacted by the disaster. The State of Colorado reported that the closest hospital to the disaster area was evacuated, with an additional hospital partially evacuated. Additionally, many commercial businesses, such as large box stores and grocery stores, were rendered inaccessible due to the fire or evacuation orders.

Based on the justification provided by the State of Colorado, I am partially approving the State's request and am authorizing CNA to be provided for an initial 14-day period from the date of the Presidential declaration for eligible applicants in Boulder County. Due to the no-notice nature of the evacuation, a 14-day eligibility period will target assistance to applicants with an immediate need for CNA while limiting the Agency's exposure to fraud.

Applicants in DR-4634-CO who register for assistance by January 15, 2022, will be considered for CNA if all of the following conditions of eligibility are met:

- They pass FEMA's identity and occupancy verification process;
- They are displaced from their pre-disaster primary residence as a result of the disaster, or they are sheltering in their pre-disaster residence and report a need to shelter elsewhere at registration;
- At registration, they assert they have critical needs and request financial assistance for those needs and expenses;
- Their pre-disaster primary residence is located in a designated geographic area approved for CNA;
- They report damage that may impact the habitability of their home; and
- All high-risk fraud indicators associated with the registration are resolved.

If you have any questions or need any additional information, please contact Monty LeMaire, Individuals and Households Program Service Delivery Branch Chief.

cc: Nancy Dragani, Regional Administrator, Region VIII
Elizabeth DiPaolo, Individual Assistance Branch Chief, Region VIII
Patrick Scott Chamberlain, Individual Assistance Branch Director, DR-4634-CO
Melissa Forbes, Assistant Administrator (Acting), Recovery Directorate
Colt Hagmaier, Deputy Assistant Administrator (Acting), Recovery Directorate
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division

Attachment F: Clean and Sanitize Assistance – Example Email Notification of Implementation

From: Nancy Casper NANCY M CASPER Digitally signed by NANCY M CASPER Date: 2022.02.18 16:19:26 -07'00'

To: FEMA-HQ-IA-FrontOffice

Cc: IABD ; FCO ; IABC; FEMA-IHP-PMS-Front Office; FEMA-IHPHelpdesk; IHP Branch Chief

Subject: Clean and Sanitize Assistance Implementation Notification for DR-4634-CO

The State of Colorado has requested that FEMA implement Clean and Sanitize Assistance for eligible applicants who were affected by DR-4634-CO.

I approve implementation of Clean and Sanitize Assistance based on the request.

Please find attached a signed copy of the State's request.

U.S. Department of Homeland Security
Washington, DC 20472

FEMA

January 11, 2022

MEMORANDUM FOR: Nancy Casper
Federal Coordinating Officer
FEMA-DR-4634-CO

FROM: Christopher Smith
Director
Individual Assistance Division

Digitally signed by CHRISTOPHER B SMITH
Date: 2022.01.11 12:47:11 -05'00'

SUBJECT: Individuals and Households Program Lodging Expense Reimbursement Extension Request for FEMA-DR-4634-CO

This memorandum is in response to the State of Colorado's request, dated January 7, 2022, for an extension to the Individuals and Households Program (IHP) Lodging Expense Reimbursement (LER) eligibility period of seven days from the approved date of any initial Rental Assistance to 90 days for FEMA-DR-4634-CO.

Section 408 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, "Stafford Act," as implemented in 44 C.F.R. Part 206, authorizes FEMA to provide reimbursement for reasonable short-term lodging expenses that individuals or households incur in the immediate aftermath of a disaster. (Stafford Act § 408 (b)(1) and 44 C.F.R. § 206.117(b)).

The request for FEMA-DR-4634-CO would extend the LER eligibility period from seven days to 90 days from the approved date of any initial Rental Assistance. The request provided three justifications for an extension indicating that 700 of the 1,344 registrants have indicated they are staying with family and friends; the destruction of homes has compounded the already depleted inventory of available housing and the limited availability of temporary housing resources in the area; and the Region and the State of Colorado both believe that the extension of the LER eligibility timeframe will support the overall housing strategy. However, current registration and inspection data demonstrate only a small population is likely to be eligible for LER due to the high percentage of insured applicants in this disaster.

Based on the information above, I am authorizing an extension to the LER eligibility period for FEMA-DR-4634-CO for 30 days from the approved date of any initial Rental Assistance. The eligibility period for LER could be further extended in the future if additional disaster data supports the need.

If you have any questions or need any additional information, please contact Christopher Smith, Individual Assistance Division Director, at Christopher.B.Smith@fema.dhs.gov.

cc: Scott Chamberlain, Individual Assistance Branch Director, FEMA-DR-4634-CO
Tammy Littrell, Acting Regional Administrator, Region VIII
Ryan Pietramali, Recovery Division Director, Region VIII
Elizabeth DiPaolo, Individual Assistance Branch Chief, Region VIII
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division

U.S. Department of Homeland Security
FEMA Region 10
130 228th Street, SW
Bothell, WA 98021-8627

FEMA

January 24, 2022

MEMORANDUM FOR: Kevin M. Sligh, Sr.
Director
Individual Assistance Division

THROUGH: Vincent J. Maykovich
Regional Administrator, Acting

Digitally signed by VINCENT J MAYKOVICH
Date: 2022.01.25 07:48:56 -08'00'

FROM: Toney L. Raines
Federal Coordinating Officer
FEMA 4635DR-WA

TONEY L RAINES Digitally signed by TONEY L RAINES
Date: 2022.01.24 17:31:40 -08'00'

SUBJECT: Clean and Sanitize Assistance Implementation Notification for FEMA 4635DR-WA

The State of Washington has requested that FEMA implement Clean and Sanitize Assistance for eligible applicants who were affected by FEMA 4635DR-WA.

The State of Washington understands that the eligibility period for Clean and Sanitize Assistance will correspond to the standard FEMA registration period of 60 days, and all the following will apply:

- Clean and Sanitize Assistance is subject to a 25% state cost share;
- Clean and Sanitize Assistance is limited to a fixed amount of $300 per household; and
- Clean and Sanitize Assistance will be awarded as a one-time payment.

Please take all actions necessary to implement Clean and Sanitize Assistance for FEMA 4635DR-WA as requested by the State of Washington. If you have any questions or need any additional information, please contact Emilia Diaz, Individual Assistance Branch Director, at Emilia.Diaz@fema.dhs.gov.

cc: Toney L. Raines, Federal Coordinating Officer
Dr. Melissa Forbes, Assistant Administrator, Acting, Recovery Directorate
Trevor Jordan, Individual Assistance Branch Chief, Acting, Region 10
Emilia Diaz, Individual Assistance Branch Director, FEMA 4635DR-WA
Monty LeMaire, Branch Chief, Individuals and Households Program Delivery Branch, Individual Assistance Division

Attachment: State Request Letter dated January 18, 2022

U.S. Department of Homeland Security
Washington, DC 20472

FEMA

July 15, 2021

MEMORANDUM FOR: Gracia B. Szczech
Regional Administrator
FEMA Region IV

FROM: Christopher B. Smith
Director
Individual Assistance Division

CHRISTOPHER B SMITH
Digitally signed by CHRISTOPHER B SMITH
Date: 2021.07.15 17:53:37 -04'00'

SUBJECT: Rental Assistance Rate Increase for FEMA-EM-3560-FL and Individual Assistance Program and Policy Guide Waiver

This memorandum is in response to the State of Florida's request, dated July 3, 2021, for an increase to the Rental Assistance Rate to 125% of the published U.S. Department of Housing and Urban Development's (HUD) Fair Market Rent (FMR) for financial temporary housing assistance for Miami-Dade County under EM-3560-FL. Although rare, Individuals and Households Program assistance under Section 408(c)(1)(A) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (Stafford Act) may be made available under an emergency declaration. The Stafford Act and 44 C.F.R. 206.117(b)(1)(i) authorize FEMA to provide housing assistance in the form of financial assistance or direct assistance to eligible applicants based on disaster-caused losses.

As of July 3, 2021, 650 households have been displaced from their homes due to the initial building collapse from the affected building and buildings within close proximity and the subsequent demolition of the remaining structure. This rendered all 136 units in Champlain Towers South uninhabitable and causing the surrounding buildings to be under mandatory or voluntary evacuation due to ongoing safety issues and concerns. The building collapse also impacted a significant number of members from the Chabad-Lubavitch sect of Orthodox Judaism, which establishes defined communal living boundaries around their place of worship. Because search and rescue efforts remain ongoing, with approximately 120 individuals still unaccounted for, and in order to keep the community intact, the state would like to ensure survivors remain as close to the incident site as possible. This will not only facilitate communication and updates with local officials and first responders but will also provide survivors access to services currently established in the area.

FEMA's regulations define adequate, alternate housing as housing that accommodates the needs of the occupants, is within the normal commuting patterns of the area or is within reasonable commuting distance of work, school, or agricultural activities that provide over 50% of the

households income, and is within the financial ability of the occupant.[1] The regulations further define reasonable commuting distance as a distance that does not place undue hardship on an applicant. It also takes into consideration the traveling time involved due to road conditions and the normal commuting patterns of the area.[2] Generally, FEMA utilizes a reasonable commuting distance of 50 miles; however, due to the unique religious needs of this community and the concentrated impacts from this emergency declaration, the Region recommends considering a five-mile commuting radius when using the FMR Calculator to allow these applicants to remain in their immediate community.

FEMA's *Individual Assistance Program and Policy Guide*, Version 1.1 (IAPPG) (FEMA Policy 104-009-03), states that FEMA evaluates the need for a Rental Assistance rate increase by comparing U.S. Census Bureau American Community Survey statistics on housing inventory and vacancy rates to the best available data on disaster-caused housing impacts in declared disaster areas. FEMA may authorize Rental Assistance rate increases when the FMR Calculator demonstrates available housing for the area is insufficient to meet the disaster-caused housing need, or when elevated housing market rates adversely impact eligible applicants' ability to obtain rental resources. Therefore, the calculator is not being used as intended if it is constrained to a five-mile area that does not correspond with a census area.

The current rental vacancy rate for Miami-Dade County is approximately 6% with professionally managed units holding a vacancy rate of 2.5%. Using 2019 U.S. Census data, which shows a potential available stock of 1,511 units in Miami-Dade County, the FMR Calculator indicates that an FMR increase is not warranted.

However, the state also conducted a survey of available rental resources and identified only 37 available units within the Town of Surfside. FEMA validated the state's survey on July 8, 2021, and identified 56 available rental properties within five miles of the impacted building. Additionally, the average rent rate in the Town of Surfside is significantly higher than the FMR rates established by HUD. Therefore, up to 136 households are competing for approximately 56 rental units within the Town of Surfside and the immediate surrounding area at rates significantly higher than FMR. The proposed increase of 125% in FMR will expand the available rental units from 56 to 245 within a five-mile radius, as outlined in the following table.

Five-Mile Radius of Surfside					
	1 BR	**2 BR**	**3 BR**	**4BR**	**Total**
100% Rate	$ 1,231	$ 1,551	$ 2,068	$ 2,483	
Units Available at 100%	31	18	6	1	56
125% Rate	$ 1,539	$ 1,939	$ 2,585	$ 3,104	
Units Available at 125%	137	94	13	1	245
Difference between 100% and 125% Rate	**106**	**76**	**7**	**0**	**189**

In addition to the scarcity of rental resources in the area, survivors are also faced with the loss or complete destruction of personal property, to include required documentation for insurance

[1] *See* 44 CFR 206.111.
[2] *Id.*

claims, delaying available financial resources to these survivors for housing assistance. These delays are further exacerbating the immediate need for enhanced rental assistance at rates more comparable in the area.

Based on the unique nature of this emergency declaration, I am authorizing a waiver to Chapter 3, Section IV.D. of the IAPPG, Version 1.1, which states that FEMA will only authorize increased Rental Assistance when the FEMA FMR Calculator demonstrates that the published HUD FMR for the declared disaster area or host area is significantly less than prevailing market rates. [3] As there is a compelling need for former residents of 8777 Collins Avenue in Surfside, Florida to remain in the surrounding area and due to the high rental rate in the area, which has been exacerbated by the low rental vacancy rate in the area, I am authorizing an increase in the Rental Assistance rate to 125% of the FMR for financial Temporary Housing Assistance for Miami-Dade County for FEMA-EM-3560-FL, even though these findings are not supported by the FEMA FMR Calculator.

The increase to the published FMR will be implemented upon Continued Rental Assistance in accordance with the IAPPG. Eligible applicants will receive assistance for actual rental costs up to the FMR increase. FEMA does not retroactively award increased initial Rental Assistance.

If you have any questions or need any additional information, please contact Monty LeMaire, Individuals and Households Program Service Delivery Branch Chief.

Attachments: Request to Increase Rental Assistance Awards Above Fair Market Rent Rate, EM-3560-FL
Rental Assistance Rate Increase Policy Waiver, FEMA-3560-EM-FL
FMR Calculator: Miami-Dade County
FMR Calculator: Five-Mile Radius of Surfside

cc: Thomas J. McCool, Federal Coordinating Office, EM-3560-FL
Daniel Johnson, Individual Assistance Branch Director, EM-3560-FL
Tarsha L. Monk, Individual Assistance Branch Chief, Region IV
Terry Quarles, Recovery Division Director, Region IV
Keith Turi, Assistant Administrator, Recovery Directorate
Melissa Forbes, Deputy Assistant Administrator, Recovery Directorate
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division

[3] *See* IAPPG, Version 1.1, April 2021, Ch. 3, Individuals and Households Program at 85.

U.S. Department of Homeland Security
Washington, DC 20472

FEMA

MEMORANDUM FOR: Gracia B. Szczech
Regional Administrator
FEMA Region IV

FROM: Christopher B. Smith CHRISTOPHER B SMITH Digitally signed by CHRISTOPHER B SMITH Date: 2021.07.06 16:59:03 -04'00'
Director
Individual Assistance Division

SUBJECT: Critical Needs Assistance Policy Waiver for FEMA-EM-3560-FL

This memorandum is an amendment to the July 2, 2021 Critical Needs Assistance Authorization for FEMA-EM-3560-FL memorandum, which approved Critical Needs Assistance (CNA) under the Individuals and Households Program (IHP) for EM-3560-FL. Although rare, IHP assistance under Section 408(e)(2) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (Stafford Act) may be made available under an emergency declaration. The Stafford Act and 44 C.F.R. 206.119(c)(6)(ii) authorize FEMA to provide other needs assistance for necessary expenses or serious needs resulting from a disaster.

Due to the unique nature of this emergency declaration, which has displaced more than 136 households and resulted in numerous fatalities with individuals still unaccounted for, FEMA is approving the following policy waiver to FEMA Policy 104-009-03, *Individual Assistance Program and Policy Guide*, Version 1.1, Chapter 3, Section VI.B.6 (IAPPG). As IHP registrations are limited to residents of 8777 Collins Avenue, any applicant who is registering for assistance is in immediate or critical need of life-saving and life-sustaining items as they have lost their dwelling and the entirety of their possessions in the initial building collapse or in the demolition of the remaining structure. Therefore, FEMA is approving a waiver to the IAPPG requirement, as reiterated in the July 2, 2021 Critical Needs Assistance Authorization for FEMA-EM-3560-FL memorandum, that "At registration, they [applicants] assert that they have critical needs and request financial assistance for those needs and expenses." Applicants registering for assistance under EM-3560-FL will no longer be required to assert they have a critical need at the time of their registration in order to be considered for CNA.

Additionally, due to the limited authorization for CNA to those applicants whose primary residence was at 8777 Collins Avenue in Surfside, Florida, and the close coordination occurring to register individuals and households under this emergency declaration, the risk for fraud in this event is relatively low. Therefore, FEMA is amending the requirement that "an applicant receive an inspection to receive CNA," as noted in the July 2, 2021 Critical Needs Assistance

Authorization for FEMA-EM-3560-FL memorandum. Instead, applicants who resided at 8777 Collins Avenue may be considered for CNA regardless of whether they receive an inspection, to include insured applicants.

All other eligibility criteria outlined in the July 2, 2021 Critical Needs Assistance Authorization for FEMA-EM-3560-FL memorandum remain in effect.

If you have any questions or need any additional information, please contact Monty LeMaire, Individuals and Households Program Service Delivery Branch Chief.

Attachment: Critical Needs Assistance Authorization for FEMA-EM-3560-FL

cc: Thomas J. McCool, Federal Coordinating Officer, EM-3560-FL
Daniel Johnson, Individual Assistance Branch Director, EM-3560-FL
Tarsha L. Monk, Individual Assistance Branch Chief, Region IV
Terry Quarles, Recovery Director, Region IV
Keith Turi, Assistant Administrator, Recovery Directorate
Melissa Forbes, Deputy Assistant Administrator, Recovery Directorate
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division

U.S. Department of Homeland Security
Washington, DC 20472

FEMA

July 2, 2021

MEMORANDUM FOR: Gracia B. Szczech
Regional Administrator
FEMA Region IV

FROM: Christopher B. Smith
Director
Individual Assistance Division

CHRISTOPHER B SMITH
Digitally signed by CHRISTOPHER B SMITH
Date: 2021.07.02 07:16:18 -04'00'

SUBJECT: Critical Needs Assistance Authorization for FEMA-EM-3560-FL

This memorandum is in response to the State of Florida's request, dated June 30, 2021, for FEMA to provide Critical Needs Assistance (CNA) under the Individuals and Households Program (IHP) for EM-3560-FL declared on June 25, 2021. Although rare, IHP assistance under Section 408(e)(2) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (Stafford Act) may be made available under an emergency declaration. The Stafford Act and 44 C.F.R. 206.119(c)(6)(ii) authorize FEMA to provide assistance for necessary expenses or serious needs resulting from a disaster.

Per FEMA Policy 104-009-03, *Individual Assistance Program and Policy Guide, Version 1.1, Chapter 3, Section VI.B.6,* FEMA may provide financial assistance under the Other Needs Assistance (ONA) provision of IHP to applicants who have immediate or critical needs because they are displaced from their primary residence or who need assistance in order to leave their pre-disaster primary residence to temporarily shelter elsewhere. Immediate or critical needs are life-saving and life-sustaining items including, but not limited to: water, food, first aid, prescriptions, infant formula, diapers, consumable medical supplies, durable medical equipment, personal hygiene items, and fuel for transportation. As CNA is implemented under the ONA provision of the IHP, any assistance awarded for CNA is subject to a 25 percent cost share with the State of Florida.[1] CNA is limited to a one-time payment of $500 per household.

The State of Florida requested CNA to assist survivors due to the devastating impacts of the Surfside Building Collapse in Miami-Dade County, which resulted in 18 fatalities, with 147 individuals still unaccounted for. A substantial portion of the building was destroyed and the remainder of the structure rendered uninhabitable, displacing 136 households in the tower and dozens more in surrounding buildings.

While both the State of Florida's request and the recommendation from the Regional

[1] Section 408(g)(2) of the Stafford Act and 44 C.F.R. 206.110(i)(2)).

Administrator generally request CNA for those affected by the disaster, I am authorizing CNA for an initial 30-day period from the date of the emergency declaration for eligible applicants who resided at 8777 Collins Avenue in Surfside, Florida. When necessary, and based on applicant need, this eligibility period may be extended if requested by the State.

I am limiting the authorization for CNA to applicants whose primary residence was 8777 Collins Avenue in Surfside, Florida at the time of the disaster based on the eligibility criteria for CNA. To mitigate fraud, FEMA requires an applicant receive an inspection to receive CNA and only uninsured and underinsured applicants who resided at 8777 Collins Avenue are receiving inspections and being considered for Housing Assistance and Personal Property Assistance. Applicants in surrounding buildings are not eligible for FEMA assistance related to displacement. Applicants in EM-3560-FL who register for assistance by July 25, 2021 will be considered for CNA if all the following apply:

- They register for FEMA assistance within the CNA eligibility period established for the declared disaster;
- They pass FEMA's identity and occupancy verification process;
- Any associated high-risk fraud flags[2] are resolved;
- They are displaced from their pre-disaster primary residence as a result of the disaster;
- At registration, they assert that they have critical needs and request financial assistance for those needs and expenses;
- Their pre-disaster primary residence was located at 8777 Collins Avenue; and
- They report damage that may impact the habitability of their home.

Applicants that register within the initial 30-day period will receive CNA when they meet all the eligibility criteria within the standard FEMA registration period of 60 days, not including extension periods unless specifically authorized. Critical Needs Assistance will be available to eligible applicants until the final date of registration. When the registration period is extended, so will the date through which applicants can continue to be paid, including those initially found ineligible if they resolve any issues making them ineligible. The IA Division Director may, at the request of the state and the Federal Coordinating Officer, consider extending the CNA eligibility period under the following circumstances:

- The State of Florida can demonstrate that a large number of disaster survivors will not be able to register within the initial registration period; or
- Extending the CNA eligibility period will be in the best interest of the public due to extraordinary circumstances.

If you have any questions or need any additional information, please contact Monty LeMaire, Individuals and Households Program Service Delivery Branch Chief.

cc: Thomas J. McCool, Federal Coordinating Officer, EM-3560-FL
Daniel Johnson, Individual Assistance Branch Director, EM-3560-FL
Tarsha L. Monk, Individual Assistance Branch Chief, Region IV

[2] The criteria for assigning fraud flags are identified in the memorandum titled Processing Potentially Fraudulent "Hold Cases" and subsequent amendments to the memo noting additional fraud flags.

Terry Quarles, Recovery Director, Region IV
Keith Turi, Assistant Administrator, Recovery Directorate
Melissa Forbes, Deputy Assistant Administrator, Recovery Directorate
Monty LeMaire, Branch Chief, Individuals and Households Program Service Delivery Branch, Individual Assistance Division

U.S. Department of Homeland Security
FEMA-3560-EM-FL
FEMA IOF
4333 Collins Avenue
Miami Beach, FL 33140

FEMA

7/7/2021

MEMORANDUM FOR: Christopher B. Smith
Director
Individual Assistance Division

THROUGH: Garcia B. Szczech
For: Regional Administrator
FEMA Region IV

FROM: Thomas J. McCool
Federal Coordinating Officer
Disaster Recovery Manager
FEMA-EM-3560-FL

KEITH B DENNING Digitally signed by KEITH B DENNING Date: 2021.07.07 07:28:55 -04'00'

SUBJECT: Individual Assistance Program and Policy Guide Waiver and Lodging Expense Reimbursement Extension Request for EM-3560-FL

On June 25, 2021 President Biden authorized an Emergency Declaration for the State of Florida in response to the collapse of the Champlain Towers South condominium. The Emergency Declaration included access to the Individuals and Households Program (IHP) for Miami-Dade County. On July 3, 2021, the State of Florida requested a waiver to Chapter 3, IV. A. of the *Individual Assistance Program and Policy Guide* (IAPPG), Version 1.1 to allow FEMA to provide Lodging Expense Reimbursement (LER) for 30 days from the date an applicant receives initial Rental Assistance.

Under current policy, FEMA may provide LER as reimbursement for out-of-pocket temporary lodging expenses, incurred by eligible applicants, due to damage affecting the habitability of their primary residences as a result of a Presidentially declared disaster. LER may be awarded from the start date of the incident, up to and not to exceed seven days from the approved date of any initial Rental Assistance award, unless FEMA authorizes an extension. Florida requests an extension of LER eligibility from seven (7) days to 30 days from the approved date of any initial Rental Assistance because of the depleted housing inventory created by mandatory and voluntary evacuations due to the collapse and demolition of 8777 Collins Ave, Surfside, FL 33154 and the unique nature of this emergency declaration.

Rental resources in the area of this incident are incredibly scarce, with a vacancy rate of 6% county-wide, and average rents in the town of Surfside are significantly higher than the Fair Market Rent rates established by the United States Department of Housing and Urban Development. While the

2019 census showed a potential available stock of 1,511 units, the State conducted a search for available rental resources and identified only 37 rentals.

Based on an analysis of the residential properties located in Surfside, FL, the State has determined the 136 households from Champlain Towers South may have to compete with more than 500 other households looking for residential property in Surfside.

As a result, many applicants have been forced to reside in higher cost accommodations such as hotels and motels. While there may be housing units available within reasonable commuting distance, surviving Surfside families are trying desperately to remain housed within their current community. They want to remain in the area to maintain educational, religious, cultural, and family connections. Furthermore, due to the unique circumstances of this Emergency Declaration, applicants were forced to quickly evacuate Champlain Towers South, in most instances leaving behind their furnishings, cars, and all belongings, which were ultimately demolished. These applicants currently have limited access to transportation and furnishings necessary to easily move into an apartment. An extension of the Lodging Expense Reimbursement would help survivors satisfy their immediate lodging need as they recover from this tragedy and search for long-term housing solutions.

I recommend approving a policy waiver to increase the time limit for LER to 30 days from the date an applicant is approved for their initial Rental Assistance award.

If you have any questions, please contact Daniel Johnson, Individual Assistance Branch Director, EM-3560-FL at 202-709-2279.

CHRISTOPHER B SMITH

Digitally signed by CHRISTOPHER B SMITH
Date: 2021.07.14 13:42:37 -04'00'

CONCUR Date
Christopher B. Smith
Director, Individual Assistance Division

NON-CONCUR Date
Christopher B. Smith
Director, Individual Assistance Division

cc: Gracia B. Szczech, Regional Administrator, Region IV
Tarsha L. Monk, Individual Assistance Branch Chief, Region IV
Daniel Johnson, Individual Assistance Branch Director, EM-3560-FL
Monty LaMaire, Branch Chief, Individuals and Households Program Delivery Branch, Individual Assistance Division

Attachment: Florida Request Letter dated 7-3-2021

FEMA POLICY: COVID-19 Funeral Assistance Individuals and Households Program Policy (Interim) (Version 2)

FEMA Policy # 104-21-0001

POLICY STATEMENT

FEMA provides financial assistance under the Other Needs Assistance (ONA) provision of the Individuals and Households Program (IHP) to individuals and households with disaster-related funeral expenses. Under the Coronavirus Response and Relief Supplemental Appropriations Act, 2021, and the American Rescue Plan Act of 2021, FEMA will provide financial assistance for funeral costs specifically related to Coronavirus Disease (COVID-19) for funeral expenses at 100 percent federal cost share.

Due to the unprecedented number of deaths caused by COVID-19, FEMA will implement this Interim Policy to streamline the delivery of Funeral Assistance. When implemented, this Interim Policy:

- Establishes eligibility criteria for funeral assistance specific to COVID-19 related deaths;
- Minimizes the documentation review typically required to award Funeral Assistance; and
- Establishes a standard Funeral Assistance maximum award amount for COVID-19 related deaths.

PRINCIPLES

A. This Interim Policy may only be implemented in conjunction with major disasters declared due to COVID-19.

B. Due to the magnitude of loss and forecasted number of individuals who may apply for Funeral Assistance, this Interim Policy is intended to reduce processing time required to award Funeral Assistance.

C. All ONA Funeral Assistance provisions established in FEMA Policy 104-009-03, *Individual Assistance Program and Policy Guide*, and not specifically addressed bythis Interim Policy remain unchanged.

REQUIREMENTS

A. FUNERAL ASSISTANCE ADMINISTRATION

Outcome: All Funeral Assistance for COVID-19 related fatalities will be administered under the ONA FEMA Option.

1. To ensure consistent and timely implementation, all Funeral Assistance for COVID-19 related fatalities will be administered under the ONA FEMA Option where FEMA administers the program.
2. Eligible funeral expenses incurred by the applicant will be provided at 100 percent federal funding of costs.
3. Funeral Assistance may be provided when the death is attributed to COVID-19 in the state, territory, or tribe (hereinafter referred to as STT) in which the death occurred, as indicated on the death certificate. The applicant's registration will be associated with the major disaster declaration for the State or Territory in which the death occurred.
    a. Tribal members may apply and receive assistance under the applicable state or territory declaration. No agreement between the tribal government and state or territory is required by FEMA in order for tribal members to receive assistance.
4. All registrations for Funeral Assistance will be completed via FEMA's telephone or teletypewriter call center capabilities. No online registrations will be accepted.

B. FUNERAL ASSISTANCE CONDITIONS OF ELIGIBILITY

Outcome: FEMA will verify applicant eligibility prior to awarding Funeral Assistance. Applicants must meet the following conditions in order to receive Funeral Assistance:

1. The applicant must be a U.S. citizen, non-citizen national, or qualified alien. There is no requirement for the decedent to have been a U.S. citizen, non-citizen national, or qualified alien.
2. FEMA must be able to verify the applicant's identity.
3. Funeral expenses must be incurred by the applicant on or after January 20, 2020.
4. Funeral expenses must not be covered by other sources. Other sources may include funeral or burial insurance or financial assistance from voluntary agencies, applicable government programs/agencies, or other entities.
5. The applicant must present one of the following forms of documentation indicating the death was related to COVID-19:
    a. A death certificate that attributes the death to COVID-19, or
        i. The Centers for Disease Control and Prevention (CDC) (Vital Statistics Reporting Guidance, Rep. No. 3, April 2020)

established guidance regarding death certifications for COVID-19, which states: *If COVID-19 played a role in the death, this condition should be specified on the death certificate. In many cases, it is likely that it will be the underlying cause of death, as it can lead to various life-threatening conditions, such as pneumonia and acute respiratory distress syndrome*.

ii. Death certificates indicating the death "may have been caused by" or "was likely a result of COVID-19" or "COVID-19 like symptoms" and similar phrases that indicate a high likelihood of COVID-19 are considered sufficient attribution.

b. A death certificate that lacks clear attribution to COVID-19 and a statement signed by the certifying official on the death certificate, medical examiner, or coroner listing COVID-19 as a cause or contributing cause of death, for a death occurring between January 20, 2020 and May 16, 2020. The statement must provide an additional explanation, or causal pathway, linking the cause of death on the death certificate with COVID-19.

i. A statement from the certifying official, medical examiner, or coroner will not be accepted for deaths that occurred after May 16, 2020.

6. The death occurred in the United States, including the U.S. territories and the District of Columbia.

7. No other applicant received Funeral Assistance funds for the same decedent.

C. FUNERAL ASSISTANCE DOCUMENTATION

Outcome: Applicants must submit all required documentation before FEMA will award Funeral Assistance.

The following documentation is required to confirm eligibility for Funeral Assistance:

1. Death certificate: Applicants must provide an official death certificate or an official death certificate and statement from the certifying official, medical examiner, or coroner that meets the criteria outlined in B.5.
2. Proof of funeral expenses: Applicants must provide documentation demonstrating they incurred funeral expenses for the decedent. The documentation, such as receipts or funeral home contract, must include all the following information:
    a. The applicant's name indicated as the responsible party for the expenses;
    b. The total amount of the funeral expenses;
    c. The decedent's name; and
    d. The date funeral expenses were incurred.
3. Insurance or other funeral benefits: If the decedent had insurance covering funeral

costs and/or any form of Funeral Assistance was received from voluntary agencies, government agencies (state, tribal or other federal programs that pay for funeral or burial assistance, etc.), or other sources, the applicant must provide this documentation to FEMA.

a. Applicants who indicate the decedent had insurance or received other forms of assistance covering funeral costs must provide documentation of the insurance proceeds, an insurance denial, or documentation of the other forms of assistance before receiving further consideration for Funeral Assistance.

b. FEMA is required to recover funds awarded for Funeral Assistance that duplicate potential insurance proceeds and/or any form of Funeral Assistance receivedfrom other sources.

i. FEMA does not consider life insurance proceeds, death gratuities, or other forms of assistance not specifically intended to defray funeral costs as a duplication of benefit.

D. FUNERAL ASSISTANCE AWARD

Outcome: FEMA will utilize a standard process to determine eligible Funeral Assistance award amounts across all COVID-19 declarations.

1. An applicant may receive Funeral Assistance for actual funeral costs up to $9,000 for each COVID-19 related funeral for which they are responsible and that occurred in an area covered by a COVID-19 disaster declaration including Individual Assistance. This assistance may be provided for multiple decedents under one registration up to the financial ONA maximum award for the fiscal year in which the disaster was declared. For COVID-19 disasters, the financial ONA maximum award is $35,500.

    a. Any funds received by the applicant for Lost Wages Supplemental Payment Assistance (LWA) up to $1,800, authorized by the President to supplement the payment of lost wages as a result of COVID-19, also count toward an applicant’s financial ONA maximum award.

    b. When an applicant is eligible for more than $33,700 (the maximum amount of ONA less the maximum amount of LWA) in Funeral Assistance, FEMA will coordinate with the state to determine the amount of LWA funds the applicant received and utilize that information in calculating the total eligible Funeral Assistance available to the applicant.

2. Funeral Assistance is intended to assist with expenses for funeral services and interment or cremation.

    a. Eligible funeral service expenses include, but are not limited to: transportation for up to two individuals to identify the decedent; transfer of remains; casket or urn; burial plot or cremation niche; marker or headstone; clergy or officiant services; arrangement of the funeral ceremony; use of funeral home equipment or staff; interment; costs associated with producing and certifying multiple death certificates; and additional expenses mandated

by any applicable state or local government laws or ordinances.

3. The total amount of burial or funeral insurance proceeds and/or any other forms of Funeral Assistance received from other sources will be deducted from the total actual cost of the funeral expenses incurred prior to FEMA awarding Funeral Assistance for any remaining unmet needs up to the Funeral Assistance award amount maximum.
4. FEMA will only award Funeral Assistance for a decedent on a single registration.
    a. If multiple individuals contribute toward funeral expenses, they must register with FEMA under the same registration as the applicant and co-applicant, or the first applicant that submits all required documentation will be awarded the Funeral Assistance for the decedent.
5. Applicants responsible for funeral expenses for multiple decedents may receive assistance under multiple registrations if the deaths occurred in different States and Territories. Each registration will be associated with the major disaster declaration for the State or Territory in which the death occurred.



Keith Turi

Assistant Administrator, Recovery Directorate

June 29, 2021
Date

ADDITIONAL INFORMATION

REVIEW CYCLE

FEMA will review, reissue, revise, or rescind this Interim Policy within two years of the signature date.

AUTHORITIES

A. *American Rescue Plan Act of 2021, P.L. 117-2,* § 4006.

B. *Coronavirus Response and Relief Supplemental Appropriations Act, 2021, P.L. 116-260,* D*iv. M, § 201*.

C. *Robert T. Stafford Disaster Relief and Emergency Assistance Act, P.L. 93-288,* § 408, as amended.

D. *Federal Disaster Assistance*, 44 C.F.R. Part 206.

E. *Individual Assistance Program and Policy Guide*, FP 104-009-03, March 2019.

MONITORING AND EVALUATION

FEMA will monitor Funeral Assistance awards as required by law and recover funds awarded in error in accordance with FEMA policies.

QUESTIONS

For general questions about COVID-19 Funeral Assistance, go to COVID-19 Funeral Assistance | FEMA.gov.

Civil Action No. 5:21-cv-00071
9th Interim Response
000933



Individual Assistance Program and Policy Guide (IAPPG)

Version 1.1

FP 104-009-03| May 2021

FEMA

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Foreword

On behalf of the Federal Emergency Management Agency (FEMA), I am pleased to present Version 1.1 of the *Individual Assistance Program and Policy Guide* (IAPPG). The IAPPG consolidates information on Individual Assistance (IA) programs offered by FEMA to a state, local, territorial, or tribal government jurisdiction affected by a disaster.

The IAPPG will:

- Supersede IAPPG Version 1.0 and the policy changes to the Individuals and Households Program resulting from the Disaster Recovery Reform Act of 2018, Section 1212 Memorandum, dated March 25, 2019;
- Provide an updated guide to programs and activities available to an affected state, local, territorial, or tribal government following a disaster; and
- Increase consistency in implementation, collaboration in planning, and the sharing of knowledge between state, local, territorial, or tribal governments, FEMA, and other Federal and non-Federal entities who assist disaster survivors.

The IAPPG will not replace the existing National Emergency Management Information System (NEMIS) business rules or internal technical manuals, as these describe FEMA's internal processes and business rules for FEMA staff.

FEMA has archived the policy, program guide, and activity documents listed in Appendix C. These policy and guidance documents remain in effect for incidents declared prior to May 26, 2021. The policies reflected in this guide are effective for incidents declared on or after May 26, 2021. Any waivers to policy contained in this document must be submitted, with justification, to the IA Division Director for consideration and approval.

IAPPG Version 1.1 incorporates the following substantive updates:

List of Major Changes and Clarifications Grouped by General Topic

Administrative
Added Stafford Act requirement regarding **distribution of supplies**
Removed the **National Mass Evacuation Tracking System** (NMETS) section since it was decommissioned in September 2020
Updated **Request for Federal Assistance** (SF-424) definition to include the required **signatories** (Figure 42: *RSP Application Required Information and Documents*)
Added **Assurances** for **Non-Construction Programs** (SF-424B) (Figure 42: *RSP Application Required Information and Documents*)
Updated Delegation of IA Authorities to include the **Crisis Counseling Program** (CCP) **Immediate Services Program** (ISP) (Figure 44: *Delegation of IA Authorities*)
Clarified language to specify that FEMA Regional Administrators (RAs) may **further delegate** some of their **approval authority** to Federal Coordinating Officers (FCOs), with the exception of Transitional Sheltering Assistance, Crisis Counseling Program, Disaster Case Management, and Disaster Unemployment Assistance (Appendix B: *Individual Assistance Program and Assistance Approvals*)
Added Appendix G: *Environmental Planning and Historic Preservation Compliance*

Incorporation of Disaster Recovery Reform Act of 2018
Added reference to the **separate financial assistance maximum** award amounts for Housing Assistance and Other Needs Assistance (Chapter 3: I.B. *Amount of IHP Assistance*)
Added reference to specific **disaster-damaged accessibility real property** items that can be paid in addition to the financial assistance maximum award amount for Housing Assistance (Chapter 3: IV.E. *Home Repair Assistance*)
Updated **Multi-Family Lease and Repair** property **eligibility requirements** to include those located in an undeclared county/jurisdiction in the event MLR properties are not available within areas designated for IA (Chapter 3: V.E.1. *Multi-Family Lease and Repair*)
Added reference to updated **two-tier approval** process for determining cost-effectiveness of **Multi-Family Lease and Repair** properties (Chapter 3: V.E.2. *Multi-Family Lease and Repair*)
Added reference to specific **disaster-damaged accessibility personal property** items that can be paid in addition to the financial assistance maximum award amount for Other Needs Assistance (Chapter 3: VI.A.1. *Amount of Assistance*)
Added reference to the **increase** of the **Group Flood Insurance Policy premium** to $2,400 for all policies issues for an emergency or major disaster on or after August 1, 2019 (Chapter 3: VI.C.3. *Group Flood Insurance Policy*)
Incorporated language on FEMA's **waiver authority for debts** that meet specific criteria (Chapter 3: VII. *Recovery of Funds*)

Mass Care/Emergency Assistance
Added **service animal guidance** for shelter operators (Chapter 2: II.D. *Delivery of Assistance*)
Adjusted **declaration language** under **Sheltering** section to specify that local governments cannot request declarations. Declarations may only be requested by states, tribes, and territories. (Chapter 2: II.D. *Delivery of Assistance*)

Individuals and Households Program
Clarified that owners living in **Limited Liability Company** owned properties may be eligible for Home Repair Assistance or Home Replacement Assistance if they provide appropriate documentation (Chapter 3: II.B.2. *Limited Liability Company*)
Updated definition for **non-traditional housing** (Chapter 3: II.B.10. *Non-Traditional Housing*)
Delegated approval authority for **Rental Assistance rate increases** above 125% to the Individual Assistance Division Director (Chapter 3: IV.D. *Rental Assistance Rate Increase*)
Updated the **real property verified loss threshold** to $12 per square foot (Chapter 3: V.C.1. *Direct Temporary Housing Assistance Conditions of Eligibility*)
Expanded alternative **documentation required** for a **direct housing major violation** to allow other documented health and safety concerns (Chapter 3: V.D.6. *Direct Temporary Housing Assistance Terms and Conditions*)
Established the **requirement** that the applicant is responsible for any **existing pad rental costs** when FEMA provides a **Temporary Housing Unit** (TTHU) on the same site as an applicant's pre-disaster mobile home (Chapter 3: V.F.2. *Transportable Temporary Housing Units and Site Types*)

Individuals and Households Program
Established the **requirement** that FEMA will factor reasonable **eviction costs** into the **Direct Lease** contract (Chapter 3: V.H.2. *Direct Lease*)
Expanded **eligibility for chainsaw** reimbursement under Assistance for Miscellaneous Items (Chapter 3: VI.B.4. *Assistance for Miscellaneous Items*)
Updated **Moving and Storage Assistance** to a **non-Small Business Administration-dependent** category of Other Needs Assistance (Chapter 3: VI.B.5. *Moving and Storage Assistance*)
Expanded **Critical Needs Assistance eligibility** to include applicants who indicate at registration they need assistance to temporarily shelter elsewhere but have not yet left their home and other minor changes (Chapter 3: VI.B.6. *Critical Needs Assistance*)
Established a standard award of **$550** for **Clean and Removal Assistance** (Chapter 3: VI.B.7. *Clean and Removal Assistance*)
Updated **documentation required** to receive **Transportation Assistance** for a destroyed vehicle (Chapter 3: VI.C.2. *Transportation Assistance*)

Disaster Case Management
Included a timeframe of "within **60 days of receipt** of packet" for FEMA to respond to a non-federal entity's **Disaster Case Management** (DCM) application determination or request for clarifying information (Chapter 4: III.D.4. *Federal and Congressional Review Process*)

Crisis Counseling Assistance and Training Program
Updated **CCP eligibility** language to reflect that the state, tribal, or territorial government and FEMA must execute a FEMA-state/territorial/tribal agreement (Chapter 5: I.D. *General Conditions of Eligibility for a Federal CCP Award*)
Clarified **CCP ISP denial specifications** by indicating an application must be received within 14 days from the date IA was authorized in the major disaster declaration (Chapter 5: I.D. *General Conditions of Eligibility for a Federal CCP Award*)
Rephrased **CCP ISP** language to reflect **continuation** instead of extension (Chapter 5: II.E.3. *ISP Extension of the Period of Performance*)
Added and clarified language within **CCP ISP** and **Regular Service Program** (RSP) regarding **records retention and reporting** (Chapter 5: II.F.2. *Closeout and Records Retention*)

Voluntary Agency Coordination, and Community Services Changes
Clarified language describing the **Voluntary Agency Liaison's** (VAL's) **role** in supporting Long-Term Recovery Groups (LTRGs) through technical assistance (Chapter 1: II.G.2. *Voluntary Agency Coordination*)

The preceding list is not inclusive of all changes to the document.

Additionally, on August 13, 2020, the Office of Management and Budget issued revisions to 2 C.F.R., including to the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards found at Part 200. Most of the updates were effective November 12, 2020, and are applicable to Stafford Act declarations made on or after November 12, 2020. The following updated regulations were effective August 13, 2020, and are applicable to Stafford Act declarations as follows:

- System for Award Management (SAM) registration requirements at 2 C.F.R. § 25.200 (applicable to declarations made on or after August 13, 2020);
- Covered telecommunications equipment and services at 2 C.F.R. § 200.216 (applicable to all open awards; declaration date not relevant);
- Termination at 2 C.F.R. § 200.340 (applicable to declarations made on or after August 13, 2020);
- Indirect cost rates at 2 C.F.R. § 200.110(b) (allows current rates to stay in effect until they expire; declaration date not relevant); and
- New regulations on indirect costs in 2 C.F.R. Part 200, Subpart E and applicable appendices (applies to new proposals or requests made on or after August 13, 2020; declaration date not applicable).

FEMA is undertaking an extensive review of FEMA-issued grant policies and guidance documents requiring updates due to the 2 C.F.R. revisions. However, it was not possible to incorporate relevant provisions into IAPPG Version 1.1, some guidance in this document will not reflect the most recent 2 C.F.R. revisions. Where policy guidance provided in this document contradicts 2 C.F.R., 2 C.F.R. must be followed (see Electronic Code of Federal Regulations).

FEMA will conduct a comprehensive review no less than every three years. If FEMA determines it necessary to publish new or updated policy language before the next scheduled update, FEMA will update the electronic version of this document, issue a memorandum describing the additions or updates, and post both documents at www.fema.gov.

Keith Turi

Assistant Administrator, Recovery

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Chapter 1: Introduction

The *Individual Assistance Program and Policy Guide* (IAPPG) consolidates information on all of FEMA's Individual Assistance (IA) programs and activities and provides a comprehensive policy resource for state,[1] local,[2] tribal,[3] and territorial (SLTT) governments, non-governmental organization partners, and entities that participate in or support the recovery of disaster survivors.

The Robert T. Stafford Disaster Relief and Emergency Assistance Act, as amended, (Stafford Act), Public Law 93-288, 42 U.S.C. § 5121 et seq.,[4] authorizes the President to provide Federal assistance when the magnitude of an incident or threat of an incident exceeds the affected SLTT government's capability to respond or recover.

I. Presidential Declarations

When an STT government determines an incident has exceeded their capability to respond, the Governor or Tribal Chief Executive[5] may request a declaration from the President through FEMA. They must request the declaration within 30 days of the end of the incident. FEMA may extend the deadline if the Governor or Tribal Chief Executive submits a written time extension request within 30 days of the incident stipulating the reason for the delay.[6]

For FEMA to provide assistance, the President must declare that an emergency or major disaster exists. A declaration establishes the following:

- Types of assistance
- Federal cost share
- Type of incident
- Incident period
- Designated areas



Images of flood-damaged homes.

A. Types of Assistance

The declaration designates the types of Federal programs and assistance available. The types of assistance approved vary based on disaster needs. Assistance may be made available to SLTT governments, certain types of Private Nonprofit (PNP) organizations and faith-based organizations, or to individuals and households. The types of programs and assistance made available for emergency and major disaster declarations include those of FEMA and other Federal agencies.

FEMA provides recovery assistance through IA, Public Assistance (PA), and the Hazard Mitigation Grant Program (HMGP). Through IA programs, FEMA provides assistance to individuals and households, as well as SLTT governments to support individual survivors.



Above: A disaster-destroyed home.
Below: Volunteers repair a home.

Through PA programs, FEMA provides grants to SLTT governments and certain PNP organizations to assist them with disaster response and recovery, including debris removal, emergency protective measures, and permanent restoration of facilities. FEMA provides HMGP to SLTT governments after a disaster declaration to protect eligible public or private property through various mitigation measures. For more information on PA programs and HMGP, see the Public Assistance Program and Policy Guide (PAPPG), FP 104-009-2, Version 4, effective June 2020, and the Hazard Mitigation Assistance Grants Guidance.

Programs are authorized based on the need of the disaster. IA programs made available under emergency declarations are limited to supplemental emergency assistance to the affected state, territory, or tribal (STT) government to provide immediate and short-term assistance essential to save lives, protect public property, health, and safety, or to lessen or avert the threat of a catastrophe. IA's Mass Care and Emergency Assistance program is always made available under an emergency declaration. Although rare, Individuals and Households Program (IHP) assistance under Section 408 of the Stafford Act may be made available under an emergency declaration.

All IA programs may be authorized once a major disaster has been declared by the President.[7] The approval of IA under a major disaster declaration may also activate assistance programs provided by other Federal agencies based on specific disaster needs. *Figure 1* displays the IA programs that may be provided under emergency declarations and major disaster declarations.

Figure 1: Available IA Programs for Emergency and Major Disaster Declarations

Individual Assistance Program	Emergency Declaration	Major Disaster Declaration
Mass Care/Emergency Services	✓	✓
Individuals and Households Program	✓	✓
Disaster Case Management		✓
Crisis Counseling Assistance and Training Program		✓
Disaster Legal Services		✓
Disaster Unemployment Assistance		✓
Voluntary Agency Coordination[8]	✓	✓

B. Federal Cost Share

The assistance FEMA provides through its PA program and some types of IA are subject to a cost share. For IA, FEMA provides 100% of IHP Housing Assistance, Disaster Case Management (DCM), Disaster Unemployment Assistance (DUA), Disaster Legal Services (DLS), and Crisis Counseling and Training Program (CCP) costs.[9] However, IHP Other Needs Assistance (ONA) and Transitional Sheltering Assistance (TSA)[10] are subject to a cost share between FEMA and the STT government. FEMA covers 75% of eligible ONA and TSA costs, and the STT government is responsible for the remaining 25%. ONA cost share is fixed by statute. The TSA cost share adjustment may be approved by the President[11] on an individual disaster basis.

C. Type of Incident

The declaration designates the type of incident (e.g., hurricane, tsunami, earthquake, etc.). An emergency declaration[12] is any instance the President determines warrants supplemental emergency assistance to the STT government to save lives and protect property, public health, and safety, or to lessen or avert the threat of a catastrophe.

A major disaster declaration is any natural catastrophe (e.g., earthquake, hurricane, flood, tornado, volcanic eruption, severe winter weather, storm surge, tsunami, wildfires, landslide, mudslide, extreme winter weather, snowstorm, high winds, ice storm, straight line winds, drought) or man-made hazards (e.g., fire, explosion, technological failure), regardless of cause, that produces damage of sufficient severity and magnitude in the President's determination to warrant supplemental assistance to an STT government.[13] Major disaster declarations may include a combination of incident types, including man-made and natural.

D. Incident Period

The incident period[14] is established by FEMA in the FEMA-State/Territory/Tribe Agreement[15] and published in the Federal Register.[16] The incident period is the time interval during which the Presidentially-declared incident occurs, causing losses and/or damage. This period varies in length, depending on the incident.

E. Designated Areas

The declaration designates which counties, parishes, tribes or tribal lands, municipalities, villages, or districts[17] are eligible to receive Federal assistance. The Governor, Governor's Authorized Representative (GAR), Tribal Chief Executive, or Tribal Chief Executive's Authorized Representative (TAR) may request the designation of additional areas within 30 days of the declaration or the end of the incident period, whichever is later.

F. FEMA-State/Territory/Tribe Agreement

After every declaration, FEMA and the STT government enter into an agreement documenting the understanding, commitments, and conditions under which FEMA will provide assistance (FEMA-State/Territory/Tribe Agreement).[18] FEMA and the Governor or Tribal Chief Executive must sign this agreement before FEMA provides Other Needs Assistance.

The FEMA-State/Territory/Tribe Agreement incorporates the DHS Standard Terms and Conditions in effect on the date the event was declared. Among the DHS Standard Terms and Conditions are federal civil rights obligations applicable to recipients of federal financial assistance.[19] See Appendix D: Federal Civil Rights Authorities Applicable to Recipients of Federal Financial Assistance.

II. Individual Assistance Programs Overview

A. Chapter 2: Mass Care/Emergency Assistance

1. Overview

Mass Care and Emergency Assistance (MC/EA) is the provision of life-sustaining services to disaster survivors as defined in the National Response Framework. MC/EA services are provided immediately before a potential incident, during the immediate response to an incident, and during the beginning of the post-disaster recovery effort. To provide more effective coordination, FEMA may deploy MC/EA staff and resources to the National Response Coordination Center (NRCC), Regional Response Coordination Center (RRCC), FEMA Joint Field Office (JFO), and/or SLTT Emergency Operations Centers (EOC).

In the event of a Presidentially-declared disaster, all impacted survivors are eligible to receive MC/EA services.

2. Types of Services and Delivery

MC/EA comprises seven services known as activities. Activities include: sheltering; feeding; distribution of emergency supplies; support for individuals with disabilities and others with access and functional needs; reunification services for adults and children; support for household pets, service animals, and assistance animals (HPSA); and mass evacuee support. In addition to these seven activities, MC/EA also supports the National Mass Care Exercise (NMCE) training program and offers partnerships through the Blue Roof Program and Transitional Sheltering Assistance (TSA).

MC/EA supports the whole community approach to emergency management. By engaging state, territorial, and tribal governments, National Voluntary Organizations Active in Disaster (National VOAD), and other various entities involved in delivering post-disaster aid, MC/EA increases capacity to meet the life-sustaining needs of survivors in catastrophic disasters. MC/EA coordinates the delivery of assistance for both pre-disaster preparedness and post-disaster emergency support to individuals. Pre-disaster technical assistance is provided to organizations critical in supporting mass care, including: FEMA's Response, Recovery, and Logistic Management Directorates; the National Preparedness Directorate; SLTT governments; FEMA Regions; and other Federal agencies.

B. Chapter 3: Individuals and Households Program Assistance

1. Overview

IHP assistance provides financial assistance and direct services to eligible individuals and households who have uninsured or underinsured necessary expenses and serious needs as a result of a Presidentially-declared disaster. IHP assistance is not a substitute for insurance and cannot compensate for all losses caused by a disaster; it is intended to meet basic needs and supplement disaster recovery efforts. IHP assistance is not considered income[20] or a resource when determining eligibility for welfare, income assistance, or income-tested benefit programs that the Federal Government funds, such as Social Security benefits or disability income. IHP assistance is also exempt from garnishment or seizure[21], but this exception does not apply to assistance recovered by FEMA after being received in error or fraud.

2. Types of Services and Delivery

IHP assistance comprises two provisions, Housing Assistance and Other Needs Assistance (ONA)[22]. Housing Assistance may be provided in the form of financial assistance (funds provided to an applicant) or direct assistance (housing provided to the applicant by FEMA). Examples of financial assistance include Lodging Expense Reimbursement (LER), Rental Assistance, Home Repair Assistance, and Home Replacement Assistance. Examples of direct assistance[23] include Multi-Family Lease and Repair (MLR); Transportable Temporary Housing Units (TTHUs), such as Recreational Vehicles (RVs) or Manufactured Housing Units (MHUs); Direct Lease; and Permanent Housing Construction (PHC).

Some types of ONA may only be provided if an applicant does not qualify for a disaster loan from the U.S. Small Business Administration (SBA)[24]; these are known as SBA-dependent types of ONA and include Personal Property Assistance, Transportation Assistance, and Group Flood Insurance Policy (GFIP). Non-SBA-dependent ONA includes Funeral Assistance, Medical and Dental Assistance, Child Care Assistance, Assistance for Miscellaneous Items, Moving and Storage Assistance, Critical Needs Assistance, and Clean and Removal Assistance.



FEMA disaster assistance staff provide applicants information on FEMA programs.

IHP assistance is limited to 18 months following the date of the Presidential disaster declaration;[25] however, FEMA may extend the period of assistance due to extraordinary circumstances.

C. Chapter 4: Disaster Case Management (DCM)

1. Overview

DCM is a time-limited process that promotes partnership between a case manager and a disaster survivor in order to assess and address a survivor's verified disaster-caused unmet needs through a disaster recovery plan[26]. This disaster recovery plan includes resources, decision-making priorities, guidance, and tools to assist disaster survivors.

2. Types of Services and Delivery

FEMA is authorized to provide case management services, to include financial assistance, to SLTT government agencies or qualified private organizations (non-Federal entities), so that they may provide case management services to survivors in order to identify and address disaster-caused unmet needs.

D. Chapter 5: Crisis Counseling Assistance and Training Program (CCP)

1. Overview

CCP[27] provides supplemental funding to eligible SLTT governments and non-governmental organizations to assist disaster-impacted individuals and communities in recovering from the major disasters through the provision of community-based outreach and psycho-educational services. The goal is to aid survivors in recovering from the adverse reactions to disasters and to begin to rebuild their lives.

2. Types of Service and Delivery

CCP services include funding for supportive crisis counseling, psycho-education, development of coping skills, and linkages to appropriate resources. CCP services are separated into two categories: primary and secondary. Primary services are high-intensity and include crisis counseling, public education, and community support. Secondary services are those that have a broader scope. These include the development and distribution of educational material as well as media and public service announcements.

CCP services are available through the Immediate Services Program (ISP) and the Regular Services Program (RSP). ISP provides funding for up to 60 days following a major disaster declaration with IA. RSP provides longer-term funding for up to nine months from the notice of award.

E. Chapter 6: Disaster Legal Services (DLS)

1. Overview

DLS[28] provides legal aid to low income survivors affected by a Presidentially-declared major disaster through an agreement with the Young Lawyers Division (YLD) of the American Bar Association.

2. Types of Services and Delivery

DLS is limited to cases that would not normally incur legal fees. Typically, the types of legal assistance offered include help with insurance claims (e.g., health, property, or life), recovery or reproduction of legal documents lost in the disaster, help with home repairs and disputes with contractors and/or landlords, the preparation of powers of attorney and guardianship materials, and FEMA appeals.

F. Chapter 7: Disaster Unemployment Assistance (DUA)

1. Overview

DUA[29] provides unemployment benefits and re-employment assistance services to survivors affected by a Presidentially-declared major disaster. These services are under the responsibility of the U.S. Department of Labor and administered by the SLTT government emergency management officials of the affected area(s). DUA is only available to those survivors who are not eligible for regular state unemployment insurance (UI).

2. Types of Services and Delivery

State law determines the amount of disaster unemployment assistance a survivor may receive, so the amount of assistance varies state-to-state and disaster-to-disaster. DUA is a finite assistance tool: benefits are usually paid for up to 26 weeks after the disaster declaration. The continuation of assistance is dependent upon the survivor's period of unemployment as a direct result of the disaster.

G. Chapter 8: Voluntary Agency Coordination

1. Overview

Voluntary agencies are among the first to provide survivor support services post-disaster and continue throughout the recovery period; they complement Federally-provided assistance and may support gaps in coverage. FEMA's Voluntary Agency Coordination Section is staffed by personnel known as Voluntary Agency Liaisons (VALs). VALs establish and maintain relationships among Federal and SLTT governments, as well as voluntary, faith-based and community organizations active in preparedness, response, and recovery; coordinate with Voluntary Organizations Active in Disaster (VOAD) at the national, state, territorial, tribal, and local levels; assist with translating and navigating Federal programs for their stakeholders; provide technical guidance and support with donations, unaffiliated and spontaneous volunteer management; and collaborate with and support non-government organizations (NGOs) that deliver an array of disaster relief services to affected jurisdictions.

VALs are not a program-based function, thereby affording the flexibility to respond to Stafford Act declarations for Individual Assistance and Public Assistance, as well as non-Stafford Act events whereby technical expertise in convening social service organizations is needed to support other Federal agencies in times of crisis.

2. Types of Services and Delivery

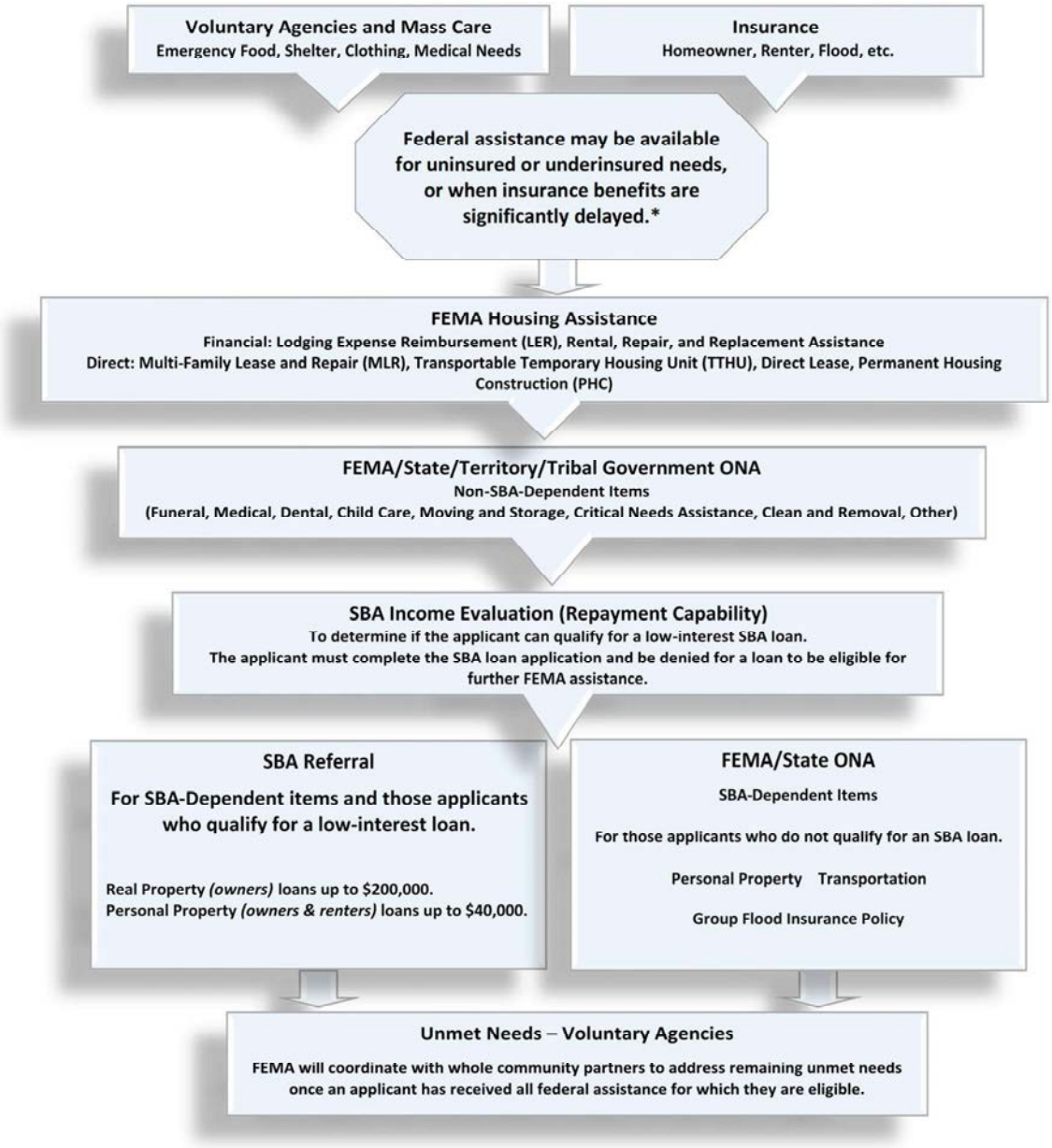
FEMA VALs play a variety of roles in all types of disasters—IA disaster declarations, PA disaster declarations, and non-Presidentially-declared disasters—since these events are local and voluntary agencies are so often both the first and the last to provide assistance to survivors with immediate and unmet needs, FEMA VALs may support differently throughout the disaster lifecycle. They work to support this process by providing advice, perspective, and other forms of technical assistance to form and re-form Long-Term Recovery Groups (LTRGs) and helping to identify community-based, faith-based, voluntary, and private sector organizations to help fill gaps. They also gather, evaluate, and distribute information including damage assessments and other situational awareness that helps identify and predict needs, resources, stakeholders, community leaders, and organizations to support the long-term recovery process.

III. Sequence of Delivery

The sequence of delivery establishes the order in which disaster relief agencies and organizations provide assistance to disaster survivors.[30] A clear sequence of delivery prevents duplication of benefits, maximizes available resources, and organizes efforts to help disaster survivors navigate the recovery process.

Figure 2 shows the sequence of delivery after a disaster.

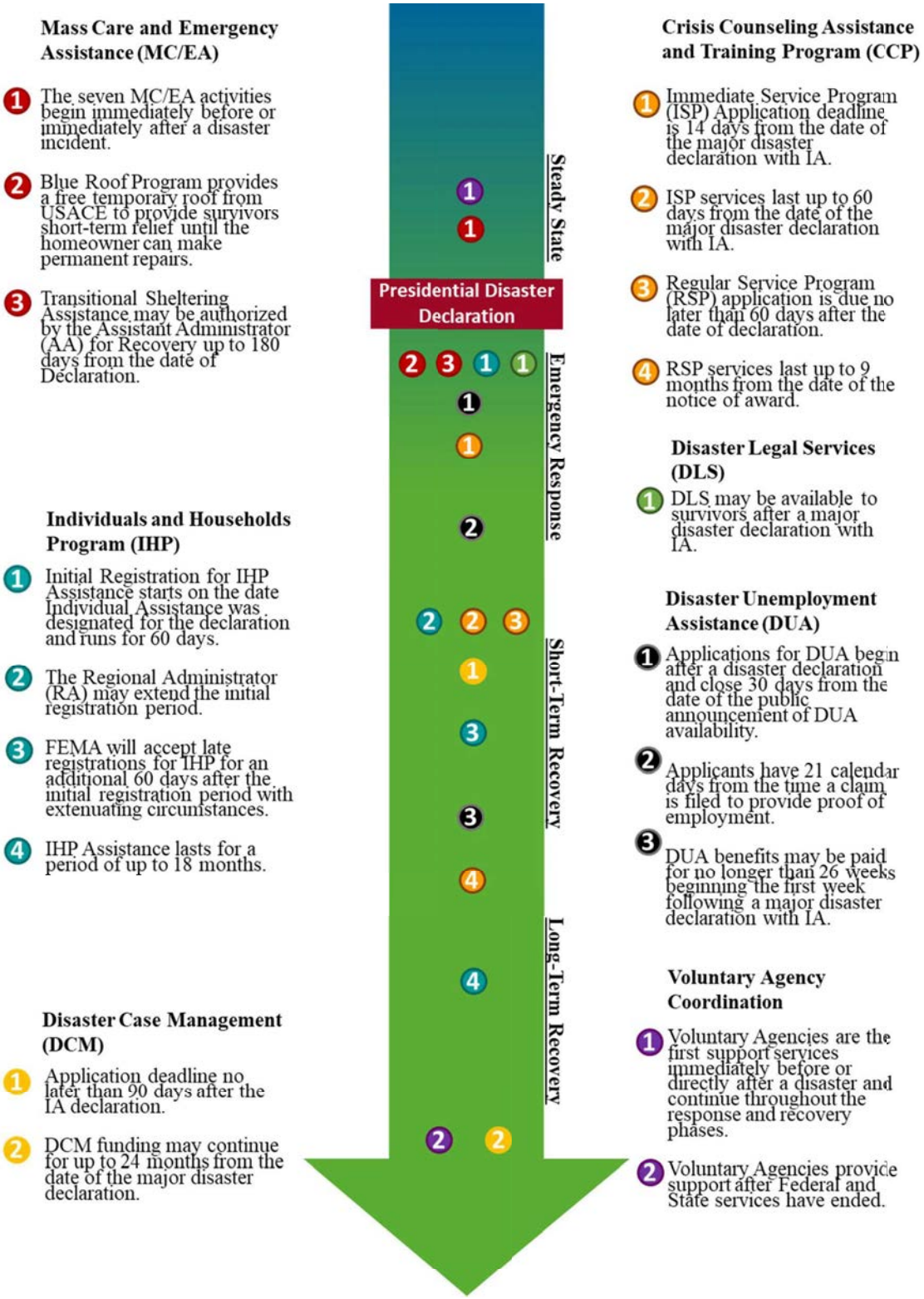
Figure 2: Disaster Assistance Sequence of Delivery



**Eligibility for Federal assistance is based on each applicant's individual circumstances as they relate to each program's conditions of eligibility. Not all applicants will be eligible for all forms of Federal assistance.*

Figure 3 shows a timeline of IA programs.

Figure 3: Timeline of Individual Assistance Programs



IV. Federal Requirements for IA Programs

A. Reasonable Accommodations

FEMA makes reasonable accommodations to policies, practices, and procedures to ensure physical, programmatic, and effective communication access to FEMA disaster assistance. For example, FEMA may provide technologies and services to ensure effective communication with applicants with limited English proficiency (LEP), qualified applicants with disabilities, and others with access and functional needs.
For additional information, see Chapter 1, IV. E.

Reasonable Accommodations

Applicants may request reasonable accommodations to access FEMA programs and services at any time, including during registration, inspection, community meetings, briefings, or any other event when FEMA is present.

B. Privacy Act

Determining eligibility for FEMA assistance requires applicants to provide personal information, such as a Social Security Number (SSN), home address, and household income.

The Privacy Act of 1974 regulates how FEMA collects, uses, and discloses an applicant's personal information in order to protect the privacy of the applicant. For example, FEMA employees and contractors will always verify an applicant's identity before discussing eligibility or potential assistance.

All FEMA staff and contractors will present an identification badge to ensure applicants know they are providing private information to a trusted FEMA representative.

Privacy Act

The Privacy Act of 1974 protects the applicant's rights as to how FEMA uses and shares personal information. The Stafford Act and other authorities allow FEMA to collect personal information to determine eligibility and administer FEMA disaster assistance as a result of an Emergency or a Presidentially-declared disaster. Information shared with other Federal agencies will not be used for any purpose other than assisting the applicant's recovery, preventing duplication of benefits, or informing other disaster recovery programs.

FEMA may share the applicant's information outside of FEMA with entities such as state, territorial, tribal, and local governments, voluntary organizations, and other organizations in accordance with published routine uses identified in *DHS/FEMA-008 Disaster Recovery Assistance Files System of Records Notice*. FEMA shares this information to enable the applicant to receive additional disaster assistance, prevent a duplication of benefits, and prevent future disaster losses.

C. Nondiscrimination Requirements under the Stafford Act and Federal Civil Rights Authorities

Sections 308 and 309 of the Stafford Act, and Section 504 of the Rehabilitation Act of 1973[31] have nondiscrimination provisions applicable to FEMA programs and prohibit discrimination on the grounds of race, color, religion, [national origin], sex, age, disability, English proficiency, or economic status. FEMA also has civil rights obligations under Executive Order 13166, "Improving Access to Services for Persons with Limited English Proficiency," and Executive Order 12898, "Environmental Justice in Minority and Low-Income Populations." Additionally, Title VI of the Civil Rights Act, Section 504 of the Rehabilitation Act, Title IX of the Education Amendments Act, and the Age Discrimination Act have similar nondiscrimination provisions applicable to FEMA recipients.

Section 504 of the Rehabilitation Act requires that FEMA and FEMA recipients, including SLTT partners, ensure nondiscrimination for individuals with disabilities by providing equal access to programs, physical accessibility of facilities, effective communications, and reasonable accommodations. Non-federal recipients of financial assistance from FEMA have an obligation to take reasonable steps to provide meaningful access to persons with limited English proficiency, as required by Title VI of the Civil Rights Act of 1964 and FEMA's implementing regulations. This requirement is similar to FEMA's obligation under Executive Order 13166 to individuals with limited English proficiency.

D. Environmental Planning and Historic Preservation

Federal Environmental Planning and Historic Preservation (EHP) laws, regulations, and executive orders (EOs) establish requirements to protect the environment and preserve historical and cultural resources. Federally-funded IA grants and programs are subject to compliance with EHP compliance requirements, including but not limited to, the National Environmental Policy Act; EO 11988 Floodplain Management; EO 11990, Protection of Wetlands; Endangered Species Act; and the National Historic Preservation Act.

FEMA addresses EHP compliance requirements early in the project scoping and development stages to minimize delays and additional costs. In addition, FEMA works with other Federal agencies and SLTT governments to identify opportunities for implementing measures for the conservation of threatened and endangered species, as well as their designated critical habitat, when appropriate and feasible. EHP compliance may require modification to a project's scope of work, consideration of alternate site locations, and obtainment of applicable permits. See Appendix G: Environmental Planning and Historic Preservation for a description of common EHP laws, regulations, and EOs.

E. Program Delivery Considerations

FEMA is responsible for addressing and fulfilling requests for disability access and language access from applicants, including during housing inspections, in an effective and timely manner.

Definitions

The terms "Individual with a Disability" and "Access and Functional Needs" are not synonymous and should not be used interchangeably. The terms have the following meaning:

Individual with a Disability: Individual who has a physical or mental impairment that substantially limits one or more major life activities (an "actual disability"), or a record of a physical or mental impairment that substantially limits a major life activity ("record of"), or an actual or perceived impairment, whether or not the impairment limits or is perceived to limit a major life activity, that is not both transitory and minor ("regarded as"). Individuals with disabilities have civil rights protections that may not be waived under any circumstances, including throughout emergencies and disasters.

Access and Functional Needs: Individuals having access and functional needs may include, but are not limited to, people with disabilities, older adults, and individuals with limited English proficiency, limited access to transportation, and/or limited access to financial resources to prepare for, respond to, and recover from the emergency. Federal civil rights law and policy require nondiscrimination, including on the basis of race, color, national origin, religion, sex, age, disability, English proficiency, and economic status. Many individuals with access and functional needs are protected by these provisions.

1. Applicants with Disabilities

Under Section 504 of the Rehabilitation Act of 1973 and Section 308 of the Stafford Act[32], FEMA is required to provide reasonable accommodations or modifications, to policies, practices, and procedures to ensure equal access to qualified applicants with disabilities. Reasonable accommodations may include the provision of technologies and services to ensure equal access to disaster assistance.

Disaster survivors with disabilities have a right to equal access to FEMA programs and activities, including FEMA's registration process and housing inspections, physical access to facilities, and effective communication. FEMA provides reasonable accommodations or program modifications to provide access to qualified individuals with disabilities under Section 504 of the Rehabilitation Act and under Section 308 of the Stafford Act. When providing a modification to ensure effective communication with and between qualified individuals with disabilities, FEMA will give primary consideration to the auxiliary aid requested by each individual with the disability.

FEMA may also provide effective communication access to applicants with disabilities. Effective communication access and program access may include, but is not limited to:

- American Sign Language (ASL) interpreter
- Video Relay Interpreting (VRI)
- Assistive listening devices
- Assistive reading devices
- Braille/large print documents
- Reading assistance services
- Accessible DRCs that comply with the Architectural Barriers Act and Section 504 of the Rehabilitation Act, to include: wheelchair accessibility into the facility, accessible restrooms, and accessible paths of travel from the parking lot and throughout the facility, as well as technology to provide effective communication access to individuals with disabilities
- ASL interpreters and/or Communication Access Real-time Translation (CART) at DRCs and public/community outreach events, upon request, and field staff equipped with tablet computers that can access VRI for applicants who use ASL Assistance and program modification for applicants having difficulty understanding the registration process, denial letters, or the appeal process

2. Applicants with Limited English Proficiency

FEMA ensures all applicants receive critical, accessible, and understandable disaster assistance communications, regardless of language proficiency. Under Sections 308 and 616 of the Stafford Act and Executive Order 13166, "Improving Access to Services for Persons with Limited English Proficiency," FEMA must provide applicants with limited English proficiency (LEP) meaningful access to its programs and services.[33]

Applicants with LEP are entitled to communicate with FEMA and receive information from FEMA in a language other than English.

To best assist applicants with LEP, FEMA provides all the following:

- Disaster information and multi-lingual signage in languages identified through demographic analysis of the impacted area;
- Translation and interpretation services (provided by qualified translators and interpreters) available in 250 languages to assist non-English-speaking disaster survivors;
- Staff to identify language needs and connect disaster survivors to applicable translation services by qualified translators;
- Appropriate referrals for applicants with disabilities and others with access and functional needs who also have LEP; and
- Spanish language instructions through https://www.disasterassistance.gov/es where individuals can register for assistance.

Chapter 2: Mass Care/Emergency Assistance

I. Overview

FEMA Mass Care/Emergency Assistance (MC/EA) provides coordination and support to state, local, tribal, and territorial (SLTT) governments and/or jurisdictions for the provision and/or direct delivery of life-sustaining services to disaster survivors as defined in the National Response Framework, Emergency Support Function #6 (ESF #6).[34] The National Mass Care Strategy—the strategy which underlies capacity development for and deployment of MC/EA services—was developed in partnership with the American Red Cross and other mass care practitioners and serves as a Federal guide for the provision of national mass care capability. FEMA is committed to supporting the further development and implementation of the strategy and its goals by:

- Providing technical assistance such as planning support, subject matter expertise, etc.;
- Financing invitational travel and supporting National Mass Care Exercises;
- Hosting webinars;
- Co-branding guidance documents and tools; and
- Serving as the convener and facilitating the engagement of the public and private sector in the creation of multi-agency guidance and templates.

In support of its mission, MC/EA may deploy staff and resources to the National Response Coordination Center (NRCC), Regional Response Coordination Center (RRCC), FEMA Interim Operation Facility (IOF), FEMA Joint Field Office (JFO), FEMA Area Field Office (AFO), SLTT government Emergency Operations Center (EOC), and/or other field assignment settings.

During Presidentially-declared disasters, most MC/EA services are funded under the Stafford Act Section 403[35] subject to the applicable cost share. Section 403 assistance for MC/EA services may be provided via Direct Federal Assistance (DFA).

A. Period of Assistance

MC/EA services may be provided before a potential incident, during the immediate response to an incident, and during the recovery phase after a disaster or incident.



FEMA Mass Care Specialist and Southern Baptist Disaster Relief nurse place donated respirators in a storage unit in Moore, Oklahoma following tornados.

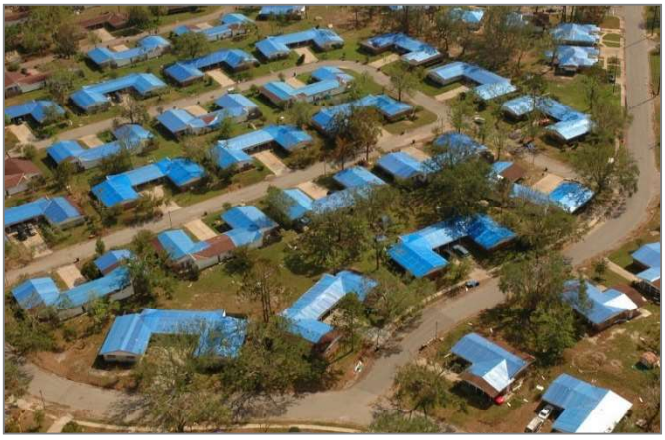
B. Types of Assistance

FEMA MC/EA is responsible for the coordination of seven activities or services detailed under the MC/EA functions of ESF #6 and has a unique partnership with the American Red Cross as the co-lead agency for the Mass Care component of ESF #6. The seven activities included are:

- Sheltering (congregate and non-congregate);
- Feeding;
- Distribution of emergency supplies (including but not limited to, medications, baby diapers and formula, clothing from donation management, Commonly Used Shelter Items (CUSI), mobile feeding, meal preparation kits, and other commodities);
- Support to individuals with disabilities and others with access and functional needs;
- Reunification services for adults and children;
- Support to HPSA; and
- Mass evacuee support.

In addition to these seven activities, FEMA MC/EA supports training and exercises, like the National Mass Care Exercise (NMCE) and also provides partnered assistance through:

- Transitional Sheltering Assistance (TSA)
- Blue Roof program (United States Army Corps of Engineers [USACE])



US Army Corps of Engineers' Blue Roof Program helps to prevent further damage to structures as a result of Hurricane Katrina in Biloxi, Mississippi.

C. General Eligibility Requirements

All survivors impacted by a disaster are eligible to receive MC/EA services. There is no application process for MC/EA services as these are most often life sustaining. These programs will be delivered directly to disaster survivors and impacted communities typically through SLTT partners and nonprofits with technical assistance and resource support from FEMA. Two notable exceptions to this general rule are the TSA (See Chapter 2, IX) and the Blue Roof Program (See Chapter 2, X), which do have specific eligibility criteria.

D. Delivering Mass Care and Emergency Assistance MC/EA

FEMA MC/EA coordinates and supports assistance for both pre-disaster preparedness and post-disaster emergency support to individuals through other FEMA divisions, Federal agencies, partner SLTT governments, and non-governmental organizations (NGOs).

Pre-disaster technical assistance is provided to:

- FEMA's Response, Recovery, and Logistics Management Directorates in the development of national and regional response and recovery operational plans, catastrophic plans, and other critical operational planning documents and activities;
- The National Preparedness Directorate in the development and implementation of FEMA doctrine, Planning Guidance, the Resource Typing initiative, the National Exercise Program, and the National Preparedness Report as well as fulfillment of Presidential Policy Directive 8 (PPD-8) requirements; and
- SLTT governments, FEMA Regions, and other Federal agencies including, but not limited to USACE, Corporation for National and Community Service (CNCS), General Services Administration (GSA), the Department of Health and Human Services (HHS), the United States Department of Agriculture (USDA), the United States Department of Veterans Affairs (VA), and the Department of Defense (DOD).

FEMA is committed to the whole community approach to emergency management and works through the FEMA Regions to engage SLTT community experts to identify capabilities, capacities, anticipated shortfalls, and gaps. FEMA MC/EA works with government agencies, voluntary, community, faith-based organizations, and social services providers that advocate on behalf of –or support– individuals with disabilities, individuals with limited English proficiency, low-income populations, underserved communities, and diverse faith communities to secure the development of multi-agency guidance, planning templates, training, and other resources through pre-scripted mission assignments (PSMAs), interagency agreements (IAAs), and memoranda of agreement (MOAs) to expedite the ability to meet anticipated needs. Resources and services provided via PSMA, IAA, and MOA include but are not limited to, staffing for NRCC/RRCC/State EOC, Blue Roof program mission for USACE, shelter support, AmeriCorps assistance from CNCS, Retired and Senior Volunteer Program (RSVP) under CNCS, activation of National Disaster Medical System (NDMS), etc.



Tools and Resources

CUSI: Includes durable medical equipment (DME) items, consumable medical supplies (CMS) items, hygiene kits, and other relevant items. Information resources available at:

- National Mass Care Strategy Website
- Federal, SLTT government software systems (e.g., WebEOC)
- Multi-Agency Distribution of Emergency Supplies Plan Template

II. Sheltering Support

A. Description of Assistance

FEMA MC/EA deploys equipment, materials, supplies, and personnel to support disaster- affected jurisdictions in providing life-sustaining services in congregate and non-congregate facilities that provide a secure and sanitary environment for displaced survivors. This support includes providing a safe, sanitary, and secure place for evacuees and disaster survivors to stay while displaced from their homes and requires the cooperation and coordination of multiple agencies and organizations. MC/EA also coordinates support to survivors sheltering in place, people with disabilities and others with access and functional needs, dietary restrictions, HPSA, and survivors in ESF #8 medical shelters.

People with disabilities and others with access and functional needs are to be accommodated in general population shelters. If requested, MC/EA can assist with durable medical equipment (DME), consumable medical supplies (CMS), personal assistance services (PAS), etc. to provide a basic level of care in congregate shelters and thus ensure that only survivors with acute medical needs are referred to ESF #8 medical shelters. FEMA can provide needed resources through in-house capabilities, mission assignments, and/or contract capabilities.

During Presidentially-declared disasters, most MC/EA services are direct Federal assistance (DFA), which are funded under the Stafford Act Section 403 (a)(3)(B) (commonly referred to as Category B) of a major disaster or emergency declaration, and the Federal share of assistance shall be not less than 75% of eligible cost. Some examples of DFA include non-congregate sheltering through TSA, feeding through Individual Assistance-Support Contracts (IASC), and reunification services under the National Center for Missing and Exploited Children.[36]

B. Partner Organizations

MC/EA works with multiple partners in sheltering support efforts including: the American Red Cross, the CNCS, Adventist Community Services (ACS), the Salvation Army, Send Relief, National Animal Rescue and Sheltering Coalition (NARSC), National Coalition for the Homeless, Convoy of Hope, Feeding America, other National Voluntary Organizations Active in Disaster (National VOAD), and many others.

C. Triggers for Implementation

In the event of a natural or manmade disaster, sheltering support services are triggered by an emergency declaration or a major disaster declaration authorizing Public Assistance (Category B) when requested by STT governments. There is no individual application process required for survivors.

D. Delivery of Assistance

Pre-disaster responsibilities include preparedness activities and other forms of technical assistance that do not require Category B funding such as:



FEMA coordinates with partners, like the American Red Cross, to provide temporary sheltering support for disaster evacuees.

- Providing technical assistance for the development of multi-agency sheltering templates; Federal, STT government plans; training materials; exercises; and other tools to strengthen and enhance the nation's capacity to support sheltering activities;
- Analyzing and incorporating best practices and lessons learned into preparedness activities, including reminding shelter operators that service animals are permitted in general population shelters and should not be separated from their handlers;
- Developing contracts, PSMAs, agreements, and other mechanisms to provide resources, programs, and services for sheltering during disaster response activities;
- Expanding national capabilities beyond the traditional MC/EA shelter providers to meet Federal Interagency Operational Planning (FIOP) - Response metrics;
- Providing technical assistance for the establishment of STT sheltering task forces;
- Providing training to whole community shelter providers;
- Providing technical assistance for implementation of FEMA data systems, including the National Shelter System, which supports STT governments with MC/EA planning, data analysis, and mapping and reporting; and
- Providing subject matter expertise to internal partners, including, Response, Recovery, Logistics and National Preparedness Directorates, PA, Office of Disability Integration and Coordination, and the National Processing Service Center.

Once a disaster has been declared, FEMA MC/EA provides post-disaster support, such as:

- Coordinating with other Federal agencies; STT governments; NGOs; and other partners to analyze and validate the need for human and material resources, programs, and services for sheltering;
- Providing subject matter expertise/technical assistance in the NRCC, RRCC, FEMA JFO, Initial Operating Facility (IOF), State EOC, and/or other field settings;
- Assisting the state in the implementation of a coordinated and integrated sheltering mission that meets the disaster-caused needs of evacuees and survivors;
- Providing resource support through FEMA Logistics, including equipment, material, supplies, facilities, shelf-stable meals, water, cots, blankets, and personnel, to support STT sheltering operations through mission assignments, contracts, and other mechanisms;
- Monitoring activity, analyzing data, validating information, and reporting on sheltering activities;

- Identifying resource requirements, shortfalls, and limiting factors;
- Providing tools and resources to assist the SLTT government in the implementation of integrated strategies and processes for coordinated sheltering operations;
- Monitoring, analyzing, validating, and supporting state requirements, as requested, in order to provide a safe, sanitary, and secure environment for shelter residents;
- Facilitating the fulfillment of requests by ensuring that the sequence for obtaining resources is followed;
- Providing evacuee and survivor support to SLTT governments through the activation of pre-negotiated blanket purchase agreements (BPAs) and indefinite delivery indefinite quantity (IDIQ) contracts for food and food supplies, DME, CMS, and other needed commodities;
- Supporting SLTT governments during a disaster when sheltering operations needs exceed SLTT government capabilities as outlined in the National Response Framework ESF #6 Annex;
- Providing the necessary tools and resources to develop an integrated strategy and process for implementing coordinated sheltering operations by the FEMA JFO and the STT government coordinator for carrying out mass care activities, limiting duplication of efforts, and maximizing resources within any STT government that has requested Federal assistance;
- Facilitating FEMA staff's access to congregate care facilities to assist in the registration of disaster survivors for Federal disaster assistance;
- Providing staff support to state for Sheltering Task Forces, Multi-agency Sheltering Transition Teams, or other teams that advise and assist shelter managers with shortfalls and other concerns that may fall outside their area of expertise (e.g., functional needs support and Americans with Disabilities Act [ADA] compliance). See http://www.nationalmasscarestrategy.org for more information;
- Providing technical assistance for the ESF #6 Support System, which can support the state with congregate care planning, data analysis, mapping, and reporting;
- Providing support for the collection of shelter information, including data entry (upon request);
- Issuing mission assignments to other Federal agencies, including but not limited to: CNCS to provide AmeriCorps, Senior Corps, and/or Volunteers in Service to America (VISTA) members to augment congregate care points of distribution and other operational staffing requirements; HHS for shelter assessment team staff support; USDA for subject matter expertise and technical assistance on HPSA support activities; and USACE for human and material resources, such as facility inspection teams;
- Providing NGOs and other partners with travel funds to support the ESF #6 functions with essential technical assistance through invitational travel; Requesting other federal agencies and individuals to support the mission of MC/EA and augment the leadership team with agency expertise; and
- Activating IASC, which can provide a full range of congregate care management and support resources. As IASC services are subject to STT government cost-share, activation of the IASC is generally considered the last option.

III. Feeding Support

A. Description of Assistance

FEMA MC/EA Section deploys equipment, materials, supplies, and personnel to support SLTT governmental feeding services to evacuees, disaster survivors and their HPSA, and, in some cases, emergency workers. FEMA and Red Cross (ESF #6 Mass Care Federal Co-Leads) coordinate feeding activities with other Federal agencies, NGOs, and private sector agencies and organizations.[37]

MC/EA provides resources and technical assistance to SLTT governments before, during, and after a disaster to meet the feeding needs of evacuees. A component of feeding also includes meeting the basic needs of infants, toddlers, children, and people with dietary restrictions and/or culturally appropriate dietary needs.

B. Partner Organizations

MC/EA works with multiple partners in feeding support efforts, including but not limited to: CNCS, USDA, DOD, USACE, American Red Cross, the National VOAD and its member organizations (e.g., Feeding America, the Salvation Army, Southern Baptist Disaster Relief (SBDR), and Greater Good / Rescue Bank).

Tools and Resources

- National Mass Care Strategy Multi-Agency Feeding Plan Template
- Feeding Support Task Force and Feeding Assessment Team
- FEMA Emergency Support Function (ESF) #6 Support Systems (ESF6-SS), which tracks and supports Geographic Information System (GIS) mapping of shelter locations, and other Federal systems that support feeding activities.

C. Triggers for Implementation

In the event of a natural or manmade disaster, feeding support services are triggered by an emergency declaration or a major disaster declaration for PA (Category B) with the request of SLTT governments. There is no individual application process required for survivors. However, a major disaster declaration for Individual Assistance is required for the Disaster Supplemental Nutrition Assistance Program (D-SNAP), a program which can be authorized by the USDA upon request.

D. Delivery of Assistance

FEMA MC/EA provides support to both pre-disaster and post-disaster feeding activities. Pre-disaster responsibilities include:

- Providing technical assistance for the development of multi-agency feeding templates, Federal, SLTT government plans, training materials, exercises, and other tools to strengthen and enhance the nation's capacity to support feeding activities;
- Analyzing and incorporating best practices and lessons learned into preparedness activities;
- Developing contracts, PSMAs, agreements, and other mechanisms to provide resources, programs, and services for feeding during disaster response activities;

- Expanding national capabilities beyond the traditional MC/EA service providers to meet Federal Interagency Operational Planning-Response metrics;
- Providing technical assistance for the establishment of SLTT government feeding task forces;
- Providing subject matter expertise to internal partners, including Response, Recovery, Logistics and National Preparedness Directorates, PA, Office of Disability Integration and Coordination, and the National Processing Service Center; and
- Providing technical assistance and subject matter expertise to government agencies, NGOs, and the private sector to expand national feeding capability.

Once a disaster is declared, FEMA MC/EA provides post-disaster support, such as:

- Coordinating with other Federal agencies, the affected STT governments, NGOs, and other partners to analyze and validate the need for human and material resources, programs, and services for feeding;
- Providing subject matter expertise/technical assistance in the NRCC, RRCC, FEMA JFO, IOF, STT government EOC, and/or other field settings;
- Assisting the SLTT government in the implementation of a coordinated and integrated feeding mission that meets the disaster-caused needs of evacuees and survivors;
- Providing resource support, including equipment, material, supplies, facilities, and personnel, to support SLTT government jurisdictions and their partners with feeding operations through mission assignments, contracts, and other mechanisms;
- Coordinating with FEMA Logistics to acquire, store, transport, and/or distribute resources to support feeding operations;
- Providing tools and resources to assist the SLTT government jurisdictions and their partners with the implementation of integrated strategies and processes for coordinated feeding operations; and
- Monitoring activity, analyzing data, validating information, and reporting on feeding activities; identifying resource requirements, shortfalls, and limiting factors; providing information to FEMA, other Federal agencies, SLTT governments, NGOs, and private sector partners.

Whole Community

Whole Community is an approach to emergency management that reinforces the fact that FEMA is only one part of our nation's emergency management team; that we must leverage all of the resources of our collective team in preparing for, protecting against, responding to, recovering from, and mitigating all hazards; and that collectively we must meet the needs of the entire community in each of these areas.

Whole community contributors include children; older adults; individuals with disabilities and others with access and functional needs; those from religious, racial, and ethnically diverse backgrounds; people with limited English proficiency; and owners of animals, including household pets and service animals.

This larger collective emergency management team includes not only FEMA and its partners at the Federal level, but also SLTT government partners; non-governmental organizations like faith-based and nonprofit groups and private sector industry; and individuals, families, and communities, who continue to be the nation's most important assets as first responders during a disaster. Both the composition of the community and the individual needs of community members, regardless of age, economics, or accessibility requirements, must be accounted for when planning and implementing disaster strategies.

IV. Distribution of Emergency Supplies Support

A. Description of Assistance

Upon request from an SLTT government, FEMA MC/EA staff works with FEMA and SLTT logistics managers to provide support and ensure emergency supplies needed are identified and coordinated with ESF #6. Such support may include: technical assistance and, if required, resource support through internal logistics and contracted capabilities. FEMA VALs work with state volunteer and donations managers regarding the management and distribution of unsolicited donations. See Chapter 8 for more information.

Under this activity, FEMA MC/EA coordinates with Logistics to organize the targeted acquisition, storage, delivery, and provision of life-sustaining resources, hygiene items, and clean-up items to meet the immediate, basic needs of evacuees and disaster survivors, and their HPSA. Additional support can include transportation, warehousing, equipment, technical assistance, staff, and other mission-critical services.[38]

Organizations engaged in the distribution of supplies and other relief and assistance activities shall accomplish these activities in an equitable and impartial manner, without discrimination on the grounds of race, color, religion, national origin, sex, age, disability, English proficiency, or economic status.[39]

There are four categories of emergency supplies:

- Life-sustaining, such as food, water, non-prescription medicine, cooking kits, and supplies for HPSA.
- Comfort, such as hygiene kits (including supplies like toothpaste, towels, washcloths, soaps, and toothbrushes), sleeping bags, tents, cots, and blankets.
- Clean-up, such as shovels, rakes, bleach, gloves, cleaning detergents, buckets, mops, trash bags, chlorine bleach, garden hose/nozzle, and hand sanitizer.



Evacuee gathers food and necessities at the Chalmette Recovery Center following devastating Hurricane Katrina.

- Other essential supplies, such as first aid kits and other items unique to specific disaster.

B. Partner Organizations

MC/EA works with multiple partners in the distribution of emergency supplies, including: other Federal agencies; American Logistics Aid Network (ALAN); Volunteer and Donations Managers at the state and local level; state VALs; the private sector; the National VOAD and its member organizations, such as the American Red Cross, Adventist Community Services, the Salvation Army, Convoy of Hope, Send Relief; and many others.

C. Triggers for Implementation

In the event of a natural or manmade disaster, the distribution of emergency supplies is triggered by an emergency declaration or a major disaster declaration for PA (Category B) at the request of the STT government. There is no individual application process required for survivors.



Tools and Resources

- CUSI includes DME, CMS, hygiene kits, and other relevant information resources, available on the National Mass Care Strategy website.
- FEMA ESF #6 Support System, which tracks and maps distribution site locations.
- FEMA MC/EA Resource Support tool, which enables users to develop estimates for MC/EA requirements based on multiple variables, quantify available resources that meet requirements, and identify shortfalls.
- National Voluntary Organizations Active in Disaster, which provides facilities, equipment, supplies, and personnel. See the Multi-Agency Distribution of Emergency Supplies Plan Template for a list of National VOAD organizations involved in the distribution of emergency supplies.
- ESF #6 Support Contract, which provides for facilities, equipment, supplies, and personnel.
- Corporation for National and Community Service, which provides personnel.

D. Delivery of Assistance

FEMA MC/EA provides support to both pre-disaster and post-disaster emergency supplies distribution efforts. Pre-disaster responsibilities include:

- Providing technical assistance in the development and maintenance of: a multi-agency distribution of emergency supplies planning template; Federal and SLTT government plans; training materials; and other tools that strengthen and enhance the nation's capacity to coordinate and distribute emergency supplies;
- Incorporating best practices and lessons learned for the distribution of emergency supplies;
- Developing contracts, PSMAs, MOAs, and Memoranda of Understanding (MOUs), and other mechanisms to acquire, store, and distribute emergency supplies during a disaster response;
- Coordinating with outside partners, including other Federal agencies; SLTT government entities; NGOs; and public and private sectors to develop and maintain integrated processes and mechanisms to increase SLTT government distribution of emergency supplies resource capabilities; and
- Providing subject matter expertise to internal FEMA partners, including the Response, Recovery, Logistics and National Preparedness Directorates, PA, the Office of Disability Integration and Coordination, and the National Processing Service Centers.

Once a disaster has been declared, MC/EA coordinates with state government and NGO partners to analyze anticipated resource shortfalls to provide commodities to impacted areas. As a last resort, MC/EA has the capability to utilize contracts to support resource shortfalls. NGOs provide support, which includes:

- Coordinating with internal and external partners, monitoring activity, analyzing data and validating information to provide support to the states for services and human and material resources;
- Providing subject matter expertise/technical assistance in the NRCC, RRCC, FEMA JFO, IOF, STT EOC, and/or other field settings;
- Assisting jurisdictions in the implementation of a coordinated and integrated whole community distribution system that meets the disaster-caused needs of evacuees and survivors;
- Providing resource support, including equipment, material, supplies, facilities, and personnel, to support the SLTT distribution operation through mission assignments, contracts, and other means;
- Monitoring activity, analyzing data, and validating information on and requests for resource support;
- Identifying resource requirements, shortfalls, and limiting factors; and
- Communicating information to partners.

V. Disability, Access, and Functional Needs Support

A. Description of Assistance

FEMA MC/EA coordinates with and supports SLTT governments in the provision of services and resources to people with disabilities and others with access and functional needs. The MC/EA Section ensures that the needs of evacuees and disaster survivors with access and functional needs are met in congregate and non-congregate facilities, including general population shelters, embarkation and disembarkation sites, reception centers, and other settings, through the coordination, procurement, transportation, and provision of resources. These services should be integrated into all MC/EA activities.[40]

B. Partner Organizations

MC/EA works with multiple partners in efforts supporting individuals with disabilities and others with access and functional needs, including, but not limited to: the HHS Preparedness and Response (Personnel/Disaster Medical Assistance Teams/Emergency Prescription Assistance Program), VA, the American Red Cross, the National Council on Independent Living (NCIL), the National Disability Rights Network (NDRN), Pass It On Center, Friends of Disabled Adults and Children (FODAC), Partnership of Inclusive Disaster Strategies and Portlight Inclusive Disaster Strategies, the Salvation Army, DOD, and others.

C. Triggers for Implementation

In the event of a natural or manmade disaster, disability and access and functional needs support is triggered by an emergency declaration or a Presidential disaster declaration for PA (Category B). There is no individual application process required for survivors.

D. Delivery of Assistance

FEMA MC/EA provides support to individuals with disabilities and others with access and functional needs in both the pre-disaster and post-disaster phases. Pre-disaster responsibilities include:

- Developing plans, templates, guidance, training courses, and tools for MC/EA providers at the SLTT government and NGO levels to ensure access and functional needs support services are integrated into operational plans;
- Developing contracting requirements, pre-scripted mission assignments, interagency agreements, and other mechanisms to provide resources and services to people with disabilities and others with access and functional needs during disaster response activities; and
- Coordinating with FEMA's Office of Disability Integration and Coordination and Office of Equal Rights, the American Red Cross, HHS, and other agencies and organizations to identify and develop strategies and processes to expand support to people with disabilities and others with access and functional needs.

Once a disaster has been declared, FEMA MC/EA provides post-disaster support, such as:

- Coordinating with Federal agencies, NGOs, and other partners to support the state in monitoring, analyzing, and validating the need for human and material resources, programs, and services;
- Acquiring and deploying DME, CMS, assistive technology, accessible hygienic stations, portable ramps, enhanced cots, and bariatric equipment and provide accessible communication support (i.e., American Sign Language [ASL] interpreters, Text Telephone [TTY], and Section 508 compliant communication);
- Activating contracts under IASC for Personal Assistance Services (PAS);
- Retrofit facilities to meet ADA and other accessibility requirements;
- Coordinating with other Federal agencies and non-governmental veterinary and animal welfare organizations to support life-sustaining resources for service and assistance animals, including food, hydration, and veterinary medical care and treatment;
- Providing subject matter expertise/technical assistance in the NRCC, RRCC, FEMA JFO, IOF, STT EOC, and/or other field settings; and
- Providing subject matter expertise to the Multi-agency Sheltering Transition Team, which is responsible for identifying resources for survivors to maintain independence in congregate and non-congregate settings.

E. Personal Assistance Services

FEMA MC/EA, upon request from the STT government, contracts with PAS providers to augment the ability of SLTT governments to support individuals with disabilities in maintaining their health, safety, and independence in congregate and non-congregate shelter facilities, in the most integrated setting, to meet their needs during a Presidentially-declared disaster event, as required under the Americans with Disabilities Act or other applicable federal civil rights laws.



Personal Assistance Services (PAS)

PAS augments disaster-affected jurisdictions in their ability to support disaster survivors with disabilities to maintain their health, safety, and independence.

PAS may be required to support people whose usual means of assistance are not available due to circumstances associated with a disaster. PAS workers are sometimes referred to as home health aides, Certified Nursing Assistants, or caregivers. The services are defined as formal and informal services provided by paid personal attendants, friends, family members, and/or volunteers that enable individuals with disabilities to maintain their routine level of independence while in an emergency shelter. FEMA may provide PAS support to meet assistance with the activities of daily living and other identified care requirements upon request of the impacted SLTT government jurisdiction.

FEMA MC/EA provides subject matter expertise to internal and external partners, including the Office of Response and Recovery, Office of Disability Integration and Coordination, American Red Cross, FEMA Regions, and other agencies. They coordinate with FEMA acquisitions, and Contract Management Section to develop, maintain, manage, and implement contracts. They also maintain a list of Frequently Asked Questions (FAQ) to ensure the SLTT government and NGOs have access to current information regarding PAS program requirements.

Tools and Resources

- FEMA: *Guidance on Planning for the Integration of Functional Needs Support Services in General Population Shelters*

Once a disaster has been declared, FEMA MC/EA coordinates with other Federal agencies, the Office of Disability Integration and Coordination, FEMA Logistics, NGOs, and other partners to monitor activity, analyze data, validate information and provide support to the states on the need for PAS. This includes potentially deploying subject matter expertise and technical assistance in the NRCC, RRCC, FEMA JFO, SLTT government EOCs, and other field offices in order to acquire, deploy, and provide PAS as requested by the SLTT government.

In order to provide monitoring, analysis, and validation support to an STT government on functional needs support activities, FEMA MC/EA identifies resource requirements, shortfalls, and limiting factors and exchange information with FEMA, other Federal agencies, SLTT governments, and NGO and Private Sector agencies and organizations. FEMA MC/EA also participates in the task forces and work groups staffed by individuals from multiple agencies and organizations and created to identify shortfalls and provide the support needed to maintain independence during congregate care.

VI. Reunification Services

A. Description of Assistance

Reunification Services is one of the MC/EA activities where FEMA has a statutorily mandated role in providing services directly to disaster survivors to facilitate the reunification of unaccompanied minors with their custodial parents/legal guardians, as well as the voluntary reunification of adults with their families, during declared emergencies or major disasters.[41]

FEMA works to coordinate this statutory requirement directly with the impacted SLTT jurisdiction(s), and collaborates with whole community partners to develop procedures, identify best practices, and provide resources to strengthen reunification services. MC/EA facilitates partner agreements and identifies and develops new resources and tools, including training and exercises, in support of SLTT governmental reunification planning and operations. FEMA also coordinates deployment of national reunification resources—both human and material—to support state-, territory-, or tribe-led reunification task forces as well as field operations.[42]

B. Partner Organizations

MC/EA works with multiple partners in reunification efforts, including: the National Center for Missing and Exploited Children, the CNCS, the Department of Justice (DOJ), HHS, and the American Red Cross.

C. Triggers for Implementation

In the event of a natural or manmade disaster, reunification services are triggered by an emergency declaration or a major disaster declaration for PA (Category B). Once an event is declared as an emergency or major disaster, and emergency protective measures (such as Reunification) are being performed, an individual only has to be affected by the declared incident to qualify for Reunification Assistance; there is no additional application process required for the individual or household.

D. Delivery of Assistance

FEMA MC/EA provides support to both pre-disaster and post-disaster reunification activities.
Pre-disaster responsibilities include:

- Providing technical assistance for the development of Federal and STT government reunification plans, training materials, exercises, and other tools to strengthen and enhance the nation's capacity to support reunification activities;
- Analyzing and incorporating best practices and lessons learned into preparedness activities, including anticipating and establishing practical approaches to address the communication access needs of people who are deaf or hard of hearing, people without speech, people with cognitive or intellectual disabilities, and people who speak languages other than English;
- Developing contracts, pre-scripted mission assignments, agreements, and other mechanisms to provide resources, programs, and services for reunification during disaster response activities for FEMA to meet our statutory obligations;
- Providing technical assistance for the establishment of STT government reunification task forces;

- Providing subject matter expertise to internal partners, including Response, Recovery, Logistics and National Preparedness Directorates; PA; Office of Disability Integration and Coordination; External Affairs; Office of the Senior Law Enforcement Advisor; and the National Processing Service Centers;
- Providing technical assistance and subject matter expertise to and coordinating planning efforts with government agencies, NGOs, and the private sector to expand national reunification capability;
- Identifying communication mechanisms, which include but are not limited to, new reunification systems, current and emerging social media tools and communication technologies, and private/public communications equipment;
- Developing agreements and procedures to utilize or coordinate the use of these communication mechanisms; and
- Incorporating these resources into plans and procedures in order to provide access for disaster survivors to a wide range of communications methods in a timely manner.

Once a disaster has been declared, FEMA MC/EA provides post-disaster support such as:

- Coordinating with other Federal agencies; STT governments; NGOs; and other partners to monitor, analyze, validate, and provide human and material resource support, programs, and services for reunification;
- Providing subject matter expertise/technical assistance in the NRCC, RRCC, FEMA JFO, IOF, STT government EOC, and/or other field settings;
- Providing staff and resources to support field operations, STT-led reunification task forces, and related task forces, such as a Children and Youth Task Force;
- Assisting the STT government in the implementation of coordinated and integrated reunification services system for evacuees and survivors, including evacuees and survivors who are deaf or hard of hearing, evacuees and survivor without speech, evacuees and survivor with cognitive or intellectual disabilities, and evacuees and survivors who speak languages other than English;
- Providing resources, including equipment, material, supplies, facilities, and personnel, to support STT government operations through mission assignments, contracts, and other mechanisms;
- Providing tools and resources to assist the STT government in the implementation of integrated strategies and processes for coordinated reunification operations;
- Monitoring activity, analyzing data, and validating information on reunification activities;
- Identifying resource requirements, shortfalls, and limiting factors;
- Coordinating public messaging with reunification partners and FEMA External Affairs;
- Activating resources of the National Center for Missing and Exploited Children, via the current procurement process, to stand up the National Emergency Child Locator Center (NECLC) and deploy Team Adam (a staff resource to assist with identification and reunification of children) upon request from the declared STT government; and
- Providing support to law enforcement missing persons operations and agencies tasked with child reunification during response and transition to recovery as needed and requested.

E. National Emergency Child Locator Center

FEMA also utilizes NECLC, which is operated out of the National Center for Missing and Exploited Children. In collaboration with National Center for Missing and Exploited Children, FEMA supports SLTT governments and law enforcement agencies in the tracking, locating, and reunification of children who have become separated from their parents or guardians as a result of a Presidentially-declared disaster.



National Emergency Child Locator Center (NECLC)

Phone: 800-843-5678

Website: http://www.missingkids.com/home

VII. Household Pets, Service Animals, and Assistance Animals

A. Description of Assistance

FEMA MC/EA, in consultation with FEMA Regions and SLTT government jurisdictions provides coordination and support for the rescue, transportation, shelter, reunification, essential needs, and care of HPSA during preparedness and response and recovery operations to ensure their safety and well-being of owners and their HPSA. Upon request from the SLTT government jurisdictions, FEMA MC/EA supports HPSA activities with the provision of technical assistance and resource support through Federal, SLTT government, NGO, and private sector partners.[43] FEMA's engagement on HPSA issues falls under the relevant statutory requirements of the Robert T. Stafford Disaster Relief and Recovery Act and under other statutory and/or regulatory requirements related to service and assistance animals (e.g., the Americans with Disabilities Act, Fair Housing Act).

B. Partner Organizations

MC/EA works with multiple partners in HPSA support efforts, including: DOD, USDA, and HHS Assistant Secretary for Preparedness and Response (ASPR) National Veterinary Response Teams; the American Red Cross, the National Alliance of State Animal and Agricultural Emergency Programs (NASAAEP), the NARSC, GreaterGood.org, and National VOAD.



Flood survivor holds his cat inside of his gutted residence in Denham Springs; the home was damaged by severe flooding in Louisiana during 2016.

C. Triggers for Implementation

In the event of a natural or manmade disaster, HPSA support services are triggered by an emergency declaration or a major disaster declaration for PA (Category B) with a request from STT governments. There is no individual application process required for survivors.

D. Delivery of Assistance

FEMA MC/EA provides support for HPSA in both the pre-disaster and response phases. Pre-disaster responsibilities include:

- Providing technical assistance for the development of multi-agency HPSA templates, Federal response and recovery), and SLTT government emergency operations plans (EOPs), training materials, and other tools to strengthen and enhance the nation's capacity to support HPSA disaster activities;
- Analyzing and incorporating best practices and lessons learned into preparedness activities;
- Developing methods of procurement, pre-scripted mission assignments, other agreements and mechanisms to provide resources, coordinating, and supporting programs and services for owners and their HPSA during disaster response activities;
- Coordinating with government agencies, NGOs, and the private sector to develop integrated processes and mechanisms to augment national, regional, state, and local HPSA capabilities; and
- Providing subject matter expertise to internal FEMA partners, including Response, Recovery, Logistics and National Preparedness Directorates, PA, Office of Disability Integration and Coordination, and Office of External Affairs.

Once a disaster has been declared, FEMA MC/EA provides post-disaster support, such as:

- Coordinating with other Federal agencies, STT governments (related to Stafford Act authorities), FEMA Logistics, NGOs, and other partners to analyze and validate the need for support with human and material resources, programs, and services;
- Providing subject matter expertise/technical assistance in the NRCC, RRCC, FEMA JFO, IOF, STT government EOCs, and/or other field settings;
- Assisting the STT government in the implementation of coordinated and integrated HPSA response and recovery activities that meet the disaster-caused needs of evacuees, survivors, and their HPSA;
- Providing resource support, including equipment, materials, supplies, facilities, veterinary services, specialized transportation, and personnel to support the rescue, transportation, sheltering, and care of HPSA through mission assignments, contracts, and other mechanisms;
- Collecting, analyzing, validating, and reporting information on HPSA activities; identifying resource requirements, shortfalls, and limiting factors; and providing information to FEMA, other Federal agencies, SLTT governments, NGOs, and private sector partners; and
- Coordinating with other Federal agencies and NGOs at the NRCC/RRCC/EOC/IOF on transportation coordination issues, logistics, response, and evacuation issues to:
  - Encourage the inclusion of HPSA food and supplies, mobile feeding, and distribution operations;
  - Encourage the inclusion of HPSA included in the reunification plans and activities; and
  - Monitor information on the status of HPSA sheltering activities to update situational awareness.



Tools and Resources

- Public Assistance Program and Policy Guide (PAPPG)
- Multi-agency coordination support and/or multi-agency support task force, and Federal and state software systems that support HPSA activities
- FEMA ESF #6 Support System, which manages data and supports GIS products related to emergency household pet shelter locations
- FEMA MC/EA Resource Management Tool

VIII. Mass Evacuee Support

A. Description of Assistance

FEMA MC/EA may support disaster-affected and host-state governments by providing life-sustaining services and resources to disaster survivors/evacuees and their HPSA during mass evacuation incidents. MC/EA provides pre-incident support in the form of technical assistance, coordination and communication, and identified resources. At the time of an incident response, FEMA MC/EA staff will work in collaboration with the STT government to determine if Mass Evacuee Support is required or if it will conduct such activities at the direction of the President.[44]

B. Partner Organizations

MC/EA works with multiple partners in mass evacuee support efforts, including but not limited to: the American Red Cross, National VOAD, USDA, and DOD.

C. Triggers for Implementation

In the event of a natural or manmade disaster, mass evacuee support services are triggered by an emergency declaration or major disaster declaration for PA (Category B), and a request from the SLTT government. There is no individual application process required for survivors.

D. Delivery of Assistance

FEMA MC/EA provides support to both pre-disaster and post-disaster mass evacuee efforts. Pre-disaster responsibilities include:

- Providing technical assistance for the development of multi-agency mass evacuee support templates; Federal and SLTT government plans; training materials; and other tools to strengthen and enhance the nation's capacity to support transportation-assisted evacuees;
- Analyzing and incorporating best practices and lessons learned into preparedness activities for evacuee support, including accessible and multilingual messaging in plain language or using pictograms and identifying accessible transportation assets for older adults and people with mobility disabilities;
- Developing contracts, pre-scripted mission assignments, agreements, and other mechanisms to provide resources, programs, and services to support mass evacuees;
- Coordinating with government agencies, NGOs, and the private sector to develop integrated processes and mechanisms to augment national, regional, SLTT government capabilities for mass evacuee support;
- Supporting implementation of Host State Agreements (a state agrees to provide evacuation and/or sheltering support to individuals from the Presidentially-declared impact state);
- Providing training and facilitating exercises with other Federal, SLTT governments and NGO partners; and
- Providing subject matter expertise to internal partners, including, Response, Recovery, Logistics and National Preparedness Directorates, PA, Office of Disability Integration and Coordination, and the National Processing Service Center.

Once a disaster has been declared, FEMA MC/EA provides post-disaster support, such as:

- Coordinating with other Federal agencies, declared and host SLTT governments, FEMA Logistics, NGOs, and other partners to monitor, analyze, validate the need for, and provide support to SLTT governments for human and material resources, programs, and services;
- Providing subject matter expertise/technical assistance in the NRCC, RRCC, FEMA JFO, IOF, STT government EOC, and/or other field settings;
- Providing resources, including equipment, material, supplies, facilities, and personnel to support mass evacuees through mission assignments, contracts, and other mechanisms;
- Identifying resource requirements, shortfalls, and limiting factors, and providing information to FEMA, other Federal agencies, SLTT governments, NGOs, and private sector partners.

IX. Transitional Sheltering Assistance

A. Description of Assistance

The guidelines for TSA are currently under review in order to incorporate changes resulting from the 2017 Hurricane Season. Due to the publication date of the IAPPG, the updated guidelines could not be included. The new guidelines will be published as soon as they are available. At this time, the operation of TSA will be determined on a disaster-specific basis.

TSA is a short-term non-congregate sheltering form of assistance for displaced disaster survivors taking refuge in emergency shelter locations other than their pre-disaster primary residence. The intent of TSA is to provide temporary sheltering for survivors as they transition from emergency shelters to temporary or permanent housing solutions.

FEMA may provide TSA at the request of a declared STT government. When authorized, TSA provides assistance under Sections 403 or 502 of the Stafford Act, as amended, and is implemented under Section 408.[45] TSA does not count toward the applicants' financial Housing Assistance or Other Needs Assistance maximum award. TSA is funded under Section 403 of the Stafford Act and is subject to PA regulations on cost-share. For more information on PA, see *Public Assistance Program and Guide, FP 104-009-2*.

Additional program guidance and implementation information and tools will be made available to non-Federal entities by FEMA MC/EA through interim and final policy guidance.

X. Rapid Temporary Repair (Operation Blue Roof) Program

A. Description of Assistance

The Operation Blue Roof Program provides a free temporary roof for residential structures, schools, daycares, and some publicly-owned facilities. These temporary roofs provide short-term relief until the owner can make permanent repairs. They also prevent additional damage from occurring to the building and its contents. Plastic covering and tarps are temporary fixes designed to provide protection from the elements until the homeowner can make permanent repairs with a qualified professional. The authority governing the Rapid Temporary Repair program is the Stafford Act Section 403.

B. Partner Organizations

USACE staffs and operates Right of Entry (ROE) centers for disaster-affected areas. ROE centers are used to collect the ROE form from homeowners

C. Eligibility Considerations

In order for a structure to qualify for Operation Blue Roof, damage to the roof must be less than 50%, and the area to be covered must be structurally sound for a crew to work on. Additionally, homeowners must complete a ROE form to allow government and contractor employees on their property.



Tools and Resources

ROE centers for affected areas are staffed by USACE employees.

To contact the nearest center, call 888-766-3258.

D. Delivery of Assistance

Once eligibility is determined, homeowners must complete a ROE form before government and contractor employees will begin installing a blue roof. Homeowners may also cover their roofs with free tarps provided by FEMA and issued through their local governments. Survivors should contact their local officials and/or monitor social media for updates on where to pick up these tarps.

XI. National Mass Care Exercise

A. Description of Exercise

The goal of the NMCE is to support the development of an STT government's mass care capacity and capabilities through partnership, coordination, and collaboration. The NMCE can serve to validate an STT government's mass care services delivery or support plan. The NMCE should be adapted to suit the unique needs of the STT government hosting the exercise. This may include incorporating mass care as a main focus of a larger, existing state exercise or by developing a standalone mass care exercise. The exercises are valuable for enhancing the nation's Mass Care Services core capability by utilizing a Whole Community Emergency Management approach to ensure that the needs of disaster survivors are met and contributing lessons learned and best practices.

B. Application Process

States, territories, and tribes interested in applying to host the National Mass Care Exercise are encouraged to contact their FEMA Regional MC/EA Point of Contact for detailed information. Host entities are selected in the last quarter of the calendar year and typically conduct the exercise two to three years after selection.

C. Implementation of Exercise

FEMA Headquarters MC/EA will be designated as the lead to coordinate or directly provide additional support to the FEMA Region, as requested. The MC/EA Headquarters Lead is responsible for not only supporting the Region/Host State but also in ensuring that national MC/EA concepts and capabilities are continuously being tested and that national best practices are captured and updated. The Headquarters MC/EA lead can also provide access to past exercise expertise and tools. The FEMA MC/EA Section may also provide additional support through the sponsorship of invitational travel for a limited number of additional state Mass Care Coordinators (or their equivalent) from across the country, generally including the state Mass Care Coordinator for the following year's NMCE.



Tools and Resources

- National Mass Care Strategy
- Mass Care Multi-Agency Planning Templates
- Applicant/Host Toolkit
- After Action Reports of Past Exercises
- FEMA Regional Mass Care/Emergency Assistance Points of Contact (POCs)
- FEMA Regional Exercise Officers
- National Preparedness Goal (NPG)

Chapter 3: Individuals and Households Program

I. Individuals and Households Program Overview

Individuals and Households Program (IHP) assistance provides financial assistance and direct services to eligible individuals and households who have uninsured or underinsured necessary expenses and serious needs. IHP assistance is not a substitute for insurance and cannot compensate for all losses caused by a disaster; it is intended to meet basic needs and supplement disaster recovery efforts.

IHP assistance is not considered income[46] or a resource when determining eligibility for welfare, income assistance, or income-tested benefit programs that the Federal government funds, such as Social Security benefits or disability income.[47] IHP assistance is also exempt from garnishment or seizure, but this exception does not apply to FEMA recovering assistance received in error or fraud.[48]

A. Period of IHP Assistance

IHP assistance is limited to 18 months following the date of the disaster declaration.[49] The period of assistance begins at the date of the Presidential disaster declaration and not the date on which the disaster is designated for Individual Assistance (IA).[50] The President may extend the period of assistance due to extraordinary circumstances if an extension would be in the public interest.

Through the delegation of authority, the Assistant Administrator (AA) for Recovery may, at the written request of an STT government, extend the period of assistance.[51] Should extraordinary circumstances exist, the AA for Recovery may extend the period of assistance for Direct Temporary Housing Assistance (See Chapter 3, V.C.4) or financial assistance. The affected STT government should request an extension in writing at least 90 days before the end of the current period of assistance.

B. Amount of IHP Assistance

The amount of financial assistance an individual or household may receive under IHP is limited. Financial Housing Assistance and Other Needs Assistance (ONA) have independent and equal financial maximums. FEMA adjusts these maximum awards each fiscal year based on the Department of Labor Consumer Price Index.[52] FEMA informs the public of changes to the financial Housing Assistance and ONA maximums each year by publishing a notice in the Federal Register.

As shown in *Figure 4*, the financial Housing Assistance[53] maximum applies to Home Repair Assistance and Home Replacement Assistance. Temporary Housing Assistance, including Lodging Expense Reimbursement, Rental Assistance, and Continued Temporary Housing Assistance are not counted toward the financial Housing Assistance maximum award.

Disaster-caused losses to accessibility-related real and personal property for qualified applicants with a disability or other access and functional need are not subject to a financial assistance maximum.

- The U.S. Small Business Administration disaster loan referral limitations still apply.
- This applies to accessibility items currently awarded under Home Repair Assistance and Personal Property Assistance.

Eligible individuals or households receiving IHP assistance may not necessarily be awarded the maximum amount of financial assistance for their disaster-caused losses.

Figure 4: Types of Financial Assistance and Financial Maximum Awards		
IHP Assistance Maximum	Type of Assistance	Maximum
Financial Housing Assistance Maximum	Home Repair Assistance	Adjusted annually by Consumer Price Index
	Home Replacement Assistance	
Financial Other Needs Assistance Maximum	Personal Property Assistance	Adjusted annually by Consumer Price Index
	Transportation Assistance	
	Moving and Storage Assistance	
	Medical and Dental Assistance	
	Funeral Assistance	
	Child Care Assistance	
	Assistance for Miscellaneous Items	
	Critical Needs Assistance	
	Clean and Removal Assistance	
	Group Flood Insurance Policy	
No Maximum Applicable	Lodging Expense Reimbursement	Award amount based on receipts, Fair Market Rent rates, or line item amounts established by FEMA
	Rental Assistance	
	Continued Temporary Housing Assistance	
	Home Repair Assistance accessibility items	
	Personal Property accessibility items	

Although minimal damage may cause some inconvenience, it is expected that individuals or households will address those losses without Federal assistance. Therefore, FEMA will only provide assistance when the total initial IHP award amount is a minimum of $50.[54] There is no minimum amount for subsequent awards.

Other Federal programs and voluntary organizations may provide additional financial assistance for unmet needs.

C. Types of IHP Assistance

There are two categories of IHP assistance: Housing Assistance and Other Needs Assistance (ONA).

1. Housing Assistance

Individuals and households may receive more than one type of Housing Assistance, including a combination of financial assistance and direct services (see *Figure 5*).[55]

FEMA determines the appropriate types of Housing Assistance for which an individual or household may be eligible based on disaster-caused losses, access to life-sustaining services, cost-effectiveness, and other factors.[56]

FEMA provides financial Housing Assistance through funds paid directly to eligible individuals and households. Financial Housing Assistance may include the following types of assistance:

- **Lodging Expense Reimbursement (LER):** Financial assistance to reimburse for hotels, motels, or other short-term lodging while an applicant is displaced from their primary residence.
- **Rental Assistance:** Financial assistance to rent alternate housing[57] accommodations while an applicant is displaced from their primary residence.[58]
- **Home Repair Assistance:** Financial assistance to repair an owner-occupied primary residence, utilities, and residential infrastructure, including privately-owned access routes (i.e., driveways, roads, or bridges), to a safe and sanitary living or functioning condition.[59]
- **Home Replacement Assistance:** Financial assistance to help replace an owner-occupied primary residence when the residence is destroyed.[60]

IHP Assistance Scenario

An applicant's FEMA inspection recorded $900 in real property damage and $25 in personal property damage. The applicant's insurance settlement totaled $875 for real property, which left an unmet need of $25 in real property and $25 in personal property. In this scenario, the applicant is eligible for the total IHP award of $50, which is a combined total of Home Repair Assistance and Personal Property Assistance.

Primary Residence

Primary residence refers to:

- The home where the applicant normally lives during the major portion of the calendar year, **or**
- The home that is required because of proximity to employment, including agricultural activities that provide 50% of the household's income.

FEMA may provide Direct Housing Assistance[61] when applicants are unable to use Rental Assistance due to a lack of available housing resources. Direct Housing Assistance does not count toward the financial Housing Assistance maximum award and may include:

- **Multi-Family Lease and Repair (MLR):** Allows FEMA to enter into lease agreements with owners of multi-family rental property located within or near declared areas to make repairs or improvements that provide temporary housing to applicants.[62]
- **Transportable Temporary Housing Units (TTHUs):** A readily fabricated dwelling (i.e., a Recreational Vehicle [RV] or a Manufactured Housing Unit [MHU]), purchased or leased by FEMA and provided to eligible applicants for use as temporary housing for a limited period of time.
- **Direct Lease:** Existing ready-for-occupancy residential property leased for eligible applicants and, if necessary, modified or improved to provide a reasonable accommodation for an eligible applicant with a disability, for use as temporary housing.
- **Permanent Housing Construction (PHC):** Home repair and/or construction services provided in insular areas outside the continental U.S. and in other locations where no alternative housing resources are available; and where types of housing assistance FEMA normally provides, such as Rental Assistance or other forms of direct assistance, are unavailable, infeasible, or not cost-effective.[63]

Figure 5: Housing Assistance	
Financial Assistance	• Lodging Expense Reimbursement • Rental Assistance • Repair Assistance • Replacement Assistance
Direct Assistance	• Multi-Family Lease and Repair • Transportable Temporary Housing Units • Direct Lease • Permanent Housing Construction

2. Other Needs Assistance

Applicants may receive financial assistance for other disaster-caused expenses and serious needs.[64] The types of ONA are divided into two categories that are either dependent or non-dependent on the applicant's ability to secure a U.S. Small Business Administration (SBA) disaster loan. The SBA may provide low-interest, long-term loans to help applicants with transportation losses, as well as repair/replacement funds for real and personal property damage caused by the disaster.

Only applicants who do not qualify for a loan from the SBA may be eligible for assistance for the SBA-dependent category. SBA-dependent ONA includes Personal Property Assistance, Transportation Assistance, and Group Flood Insurance Policy (GFIP). Non-SBA-dependent types of ONA may be awarded regardless of the applicant's SBA status and may include Funeral Assistance, Medical and Dental Assistance, Child Care Assistance, Assistance for Miscellaneous Items, Moving and Storage Assistance, Critical Needs Assistance, and Clean and Removal Assistance.



FEMA and SBA conduct inspections along the Yukon River for applicants residing in fishing camps who were affected by severe flooding in Fort Yukon, Alaska.

II. Individuals and Households Program Eligibility

While each type of IHP assistance has specific conditions of eligibility and documentation requirements, this chapter describes the basic conditions of eligibility that apply to all FEMA IHP assistance. Specific situations and living arrangements that require clarification are also addressed. This chapter also provides information on the appeal process for applicants who disagree with FEMA's eligibility determination.

A. General IHP Eligibility

These general conditions must be met for an applicant to be eligible to receive IHP assistance:

- The applicant must be a U.S. citizen, non-citizen national, or qualified alien.
- FEMA must be able to verify the applicant's identity.[65]
- The applicant's insurance, or other forms of disaster assistance received, cannot meet their disaster-caused needs.[66]
- The applicant's necessary expenses and serious needs are directly caused by a declared disaster.[67]

The process FEMA uses to verify that necessary expenses and serious needs are directly caused by a declared disaster is described in Chapter 3, III.

In order to receive some forms of Housing Assistance and ONA, applicants must also satisfy occupancy and ownership requirements.

The estate of a deceased applicant is not eligible for IHP assistance. However, a surviving pre-disaster resident of the household may receive assistance if they meet all IHP eligibility criteria.

1. U.S. Citizenship

Only U.S. citizens, non-citizen nationals, or qualified aliens may be eligible for IHP assistance.

During the disaster assistance registration process or on a *Declaration and Release form (FEMA Form 009-0-3),* applicants self-certify their citizenship status and declare, under penalty of perjury, they fit one of the statuses indicated in *Figure 6*.



Welfare Reform Act

Title IV of the Personal Responsibility and Work Opportunity Reconciliation Act of 1996, commonly known as the Welfare Reform Act, provides that aliens who are not qualified aliens are not eligible for Federal public benefits.

Federal public benefits include any retirement, welfare, health disability, public or assisted housing, post-secondary education, food assistance, unemployment benefits, or any similar benefits for which payments or assistance are provided to an individual, household, or family eligibility unit by an agency of the U.S. or by appropriated funds of the U.S.

Figure 6: U.S. Citizenship and Resident Aliens	
Status	Definitions
U.S. Citizen	A person born in one of the 50 United States, the District of Columbia, Puerto Rico, Guam, the U.S. Virgin Islands, or the Northern Mariana Islands; a person born outside of the U.S. to at least one U.S. parent; or naturalized citizen.
Non-Citizen National	A person born in an outlying possession of the U.S. (e.g., American Samoa or Swain's Island) on or after the date the U.S. acquired the possession, or a person whose parents are U.S. non-citizen nationals. All U.S. citizens are U.S. nationals; however, not every U.S. national is a U.S. citizen.
Qualified Alien	• Legal permanent resident ("green card" holder) • An asylee, refugee, or an alien whose deportation is being withheld • Alien paroled into the U.S. for at least one year • Alien granted conditional entry (per law in effect prior to April 1, 1980) • Cuban/Haitian entrant • Aliens in the U.S. who have been abused, subject to battery or extreme cruelty by a spouse or other family/ household member, or have been a victim of a severe form of human trafficking • Aliens whose children have been abused and alien children whose parent has been abused who fit certain criteria

If an applicant does not meet the criteria identified in *Figure 6*, the household may still apply for and be considered for IHP assistance if:

- Another adult household member meets the eligibility criteria and certifies their citizenship status during the registration process or signs the *Declaration and Release* form; or
- The parent or guardian of a minor child who is a U.S. citizen, non-citizen national, or a qualified alien applies for assistance on behalf of the child, as long as they live in the same household. The parent or legal guardian must register as the co-applicant, and the minor child must be under age 18 at the time the disaster occurred.

There are several categories of aliens lawfully present in the U.S. who are not eligible for IHP assistance. These include, but are not limited to:

- Temporary tourist visa holders
- Foreign students
- Temporary work visa holders
- Habitual residents such as citizens of the Federated States of Micronesia and the Republic of the Marshall Islands

Regardless of citizenship status, disaster survivors may be eligible for the following programs:

- Chapter 2: Mass Care/Emergency Assistance (search and rescue, medical care, shelter, food, and water, and reducing threats to life, property, and public health or safety)
- Chapter 5: Crisis Counseling Assistance and Training Program (CCP)
- Chapter 4: Disaster Case Management (DCM)
- Chapter 6: Disaster Legal Services (DLS)
- Disaster Food Stamps (*Disaster Supplemental Nutrition Assistance Program, or D-SNAP*), which is administered by the U.S. Department of Agriculture; the STT government can request the Federal government to initiate D-SNAP only after a Presidential disaster declaration approving Individual Assistance.

2. Identity Verification

FEMA must be able to verify an applicant's identity with a valid Social Security Number (SSN) before considering their eligibility for disaster assistance. By verifying identity, FEMA prevents fraud and ensures applicants receive the disaster assistance intended for them.[68]

FEMA typically verifies an applicant's identity at the time of registration:

- Through an automated public records search; and
- Through a series of questions associated with the applicant's credit file or public records.

Applicants who do not successfully respond to the series of questions will not be eligible for expedited forms of assistance prior to receiving an inspection or submitting identity verification documents.

When FEMA is unable to verify an applicant's identity through the automated public records search or the applicant answers identity-verifying questions incorrectly, the applicant may be asked to submit a copy of one of the documents described in *Figure 7*.

Figure 7: Acceptable Documentation to Verify Identity	

Documentation to verify name/SSN:	• Documentation from the Social Security Administration (SSA), or other Federal entity, containing full or last four digits of SSN
	• Social Security card if accompanied by Federal or state-issued identification
	• Employer's payroll document containing full or last four digits of the SSN
	• Military identification
	• Proof of name change:
	  ○ Name change court order
	  ○ Marriage, civil union, or domestic partnership certificate
	  ○ Divorce or annulment decree
	  ○ Certificate of citizenship or naturalization
	  ○ U.S. Tribal government document
	  ○ U.S. amended/corrected birth certificate
	  ○ If the applicant still fails identity verification using their previous name, the applicant will be required to submit one of the other forms of acceptable identity verification
	• U.S. passport
	• On a case-by-case basis, FEMA may allow applicants residing in U.S. territories to submit specific identity verification documents, such as voter registration cards, etc.

Documentation - applying for assistance on behalf of a minor child:	• Any of the documents listed above, if in the child's name • Child's birth certificate and a copy of the child's Social Security card or documentation from the SSA, or other Federal entity, containing the full or last four digits of the child's SSN

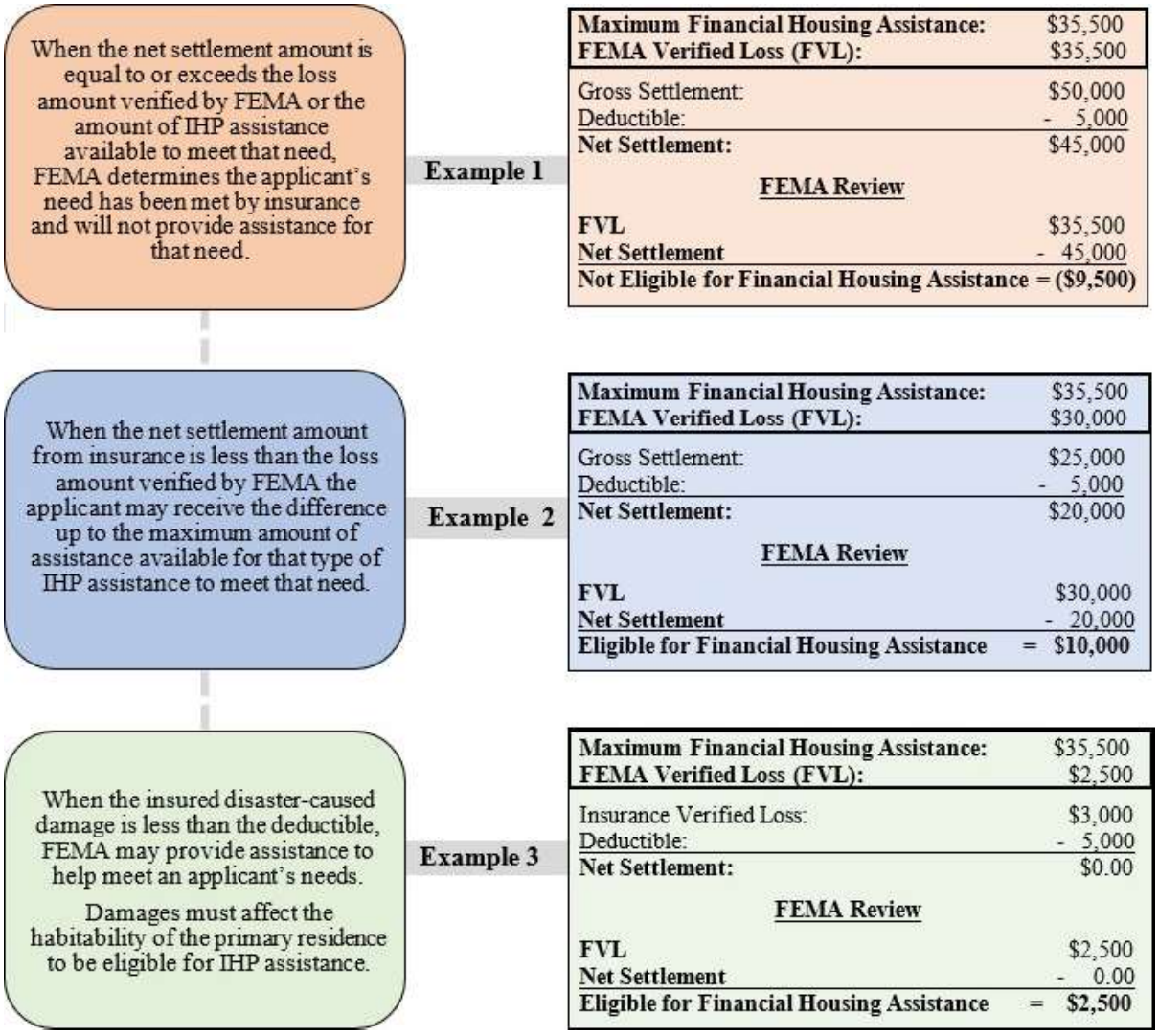
FEMA does not accept the following documents as proof of an applicant's identity:

- Internal Revenue Service forms (IRS)
- Notarized statements or affidavits from applicants or any third parties
- Applications for a marriage license or assistance from a Federal entity

3. Insurance

FEMA provides IHP assistance to applicants for their uninsured or underinsured disaster-caused expenses and serious needs (see *Figure 8* for eligibility examples). Applicants are required to inform FEMA of all insurance (flood, homeowners, vehicle, mobile home, medical, burial, etc.) coverage that may be available to them to meet their disaster- caused needs. Insured applicants must provide documentation that identifies their insurance settlements or benefits before FEMA will consider their eligibility for categories of assistance that may be covered by private insurance.

Figure 8. Insurance Eligibility Examples



Insurance Deductible

In an insurance policy, the deductible is the amount that the policyholder agreed to pay out of pocket before an insurance company pays any benefits. This amount is subtracted from the total amount paid by the insurance company. Insurance premiums are typically more affordable when they involve higher deductibles.

FEMA-Verified Loss (FVL)

The total dollar amount of IHP eligible disaster-caused damage to real and personal property as verified by FEMA.

The FVL represents the total potentially eligible damage, but due to insurance coverage, the financial Housing Assistance maximum, and other eligibility factors an applicant may not ultimately receive assistance for their full FVL.

After an applicant submits their insurance settlement information, FEMA compares the net settlement amount received for each loss to the maximum amount of assistance available for that type of IHP assistance.

FEMA only considers insurance coverage which includes the peril(s) (e.g., flood, wind, wind-driven rain, tornado, fire, etc.) listed as a cause of damage identified for the disaster when determining eligibility for assistance. For example, an applicant is not required to submit flood insurance documents for a wind-only disaster. When applicants are impacted by multiple perils, FEMA compares insurance benefits and verified loss amount separately for each peril.

Exceptions:

- **Uninsurable Items:** Insured applicants may receive assistance for items not typically covered by homeowners or flood insurance, such as wells, septic systems, access roads, etc., regardless of the type of disaster-caused damage or the applicant's insurance coverage.
- **Delayed Settlement:** While FEMA cannot provide assistance for disaster-caused needs covered by insurance benefits, FEMA may provide assistance to help meet an applicant's immediate needs when their insurance benefits are delayed.[69] Two important conditions for receiving this form of FEMA assistance are:
    - Applicants who demonstrate their insurance settlement has been significantly delayed (30 days or more from the date a claim was filed) through no fault of their own, may be considered for initial Rental Assistance.[70]
    - Applicants accepting assistance in advance of receiving their insurance settlement must agree to repay FEMA upon receiving their insurance settlement.

4. Occupancy

Applicants (both owners and renters) must be able to prove they occupied the disaster-damaged primary residence before receiving Housing Assistance and some types of ONA (i.e., Personal Property Assistance and Moving and Storage Assistance). Certain types of ONA do not require verification of occupancy of a primary residence; these include Transportation Assistance, Funeral Assistance, Medical and Dental Assistance, and Child Care Assistance.

All household members at the time of the disaster are considered occupants. Occupant means a resident of the housing unit.[71] FEMA verifies occupancy through an automated public records search or submitted documents. In locations where automated verification of public records is limited, FEMA may partner with applicable authorities from the SLTT government to verify occupancy.

Occupancy Documentation:

When FEMA is unable to verify an applicant's occupancy of their disaster-damaged primary residence, the applicant may provide FEMA with any of the documents listed below for verification. FEMA will request additional documents from the list in *Figure 9* to prove occupancy in cases where the name reflected on utility bills and the name of the primary occupant conflict or when additional documentation is needed to clarify other conflicting information.

Figure 9 : Documentation to Verify Occupancy	
Document and Description	Acceptable Document Dates
Utility Bills: Electric, gas, oil, trash, water/sewer bills that reflect the name of the applicant or co-applicant and the disaster-damaged residence address.	Utility bills must be dated within 3 months prior to the incident period. Utility bills showing no usage, or only service charges, are not sufficient occupancy verification documents.
Merchant's Statement: Bank or credit card statement, phone bill, cable/satellite bill, etc. that reflect the name of the applicant or co-applicant and the disaster-damaged residence address.	Merchant statements must be dated within 3 months prior to the incident period.
Employer's Statement: Pay stubs and similar documents that reflect the name of the applicant or co-applicant and the disaster-damaged residence address.	Employer's statements must be dated within 3 months prior to the incident period.
Lease/Housing Agreement: Copy of a written lease, housing agreement, or landlord's written statement that includes the name of the applicant or co-applicant, the landlord contact information, the basic terms of tenancy including the location of the pre-disaster unit, amount of rent, and duration of the lease confirming that the applicant lived there at the time of the disaster; and signatures from both the landlord and applicant/co-applicant.	These documents must be issued and dated prior to the incident start date and current (not expired) at the time of the disaster.
Rent Receipts: Copy of a rent receipt or bank statement (with image of the cancelled check) that reflects the name of the applicant or co-applicant, the landlord's contact information, the location of the pre-disaster unit, the amount of rent, and the landlord's signature.	Rent receipts must be dated within 3 months prior to the incident period.
Public Official's Statement: Public official's (e.g., Police Chief, Mayor, Postmaster) written statement that includes the name of the applicant or co-applicant, the disaster-damaged residence address, the period of occupation, and the name and telephone number of the individual providing the verification.	Public official's statements must be dated within the 18-month period of assistance or extended period of assistance.
Driver's license, state-issued ID card, voter registration card that reflect the name of the applicant or co-applicant and the disaster-damaged residence address.	Identification documents must be issued and dated prior to the incident start date and current (not expired) at the time of the disaster.

Document Exceptions:

- Proof of Occupancy: If the listed documentation is unavailable, FEMA may accept a written self-declarative statement as a last resort, only from the applicants living in insular areas, islands, and tribal lands. The statement must also include how long the applicant lived in the disaster-damaged residence prior to the Presidential disaster declaration, an explanation of the circumstances that prevent standard occupancy verification, and the applicant's signature. The self-declarative statement may be written post-disaster.
- Intent to Occupy Statement: Applicants not occupying the residence at the time of the disaster may still receive consideration for IHP assistance if they submit a written statement along with supporting verifiable documentation, such as a utility deposit or a pre-dated lease that contains the applicant/co-applicant's name and the residence address. Supporting documentation must be dated on or before the first day of the incident period.
    - Example 1: An applicant who recently purchased a home that was destroyed while the applicant was in the process of moving in may be eligible based on intent to occupy. Such applicants not occupying the residence at the time of the disaster may still be eligible if they submit documentation showing their intent to occupy the home as their primary residence.
    - Example 2: In addition to meeting general eligibility criteria (see Chapter 3, II.), incarcerated applicants must submit documentation verifying they will be released prior to the end of the assistance period, such as official documentation from the correctional facility or detention center, or information (e.g., name of incarcerated individual, city and state of the facility, etc.) necessary to complete an online search of the facility's online database to determine the release date, if available.
- If an incarcerated applicant is not released prior to the inspection, and cannot be present for an inspection, they must designate a third party 18-years or older to meet with the inspector on their behalf.

Citizenship and Occupancy: To meet the occupancy requirement, the applicant (or co-applicant) must prove occupancy and meet FEMA's citizenship requirement. If the applicant is a minor child, the co-applicant must be the child's parent or legal guardian, and prove they occupied the disaster-damaged residence at the time of the disaster.

Student Occupancy: Applicants who lived in housing provided by an educational facility (e.g., college dormitory) may be eligible for IHP assistance if the student housing was their primary residence. For additional information on student eligibility for disaster assistance, see Chapter 3, II.B.

5. Ownership

FEMA verifies ownership through an automated public records search or submitted documents. In locations where automated verification of public records is limited, FEMA may partner with applicable authorities from the SLTT government to verify ownership.

When an applicant's ownership of their pre-disaster primary residence has been verified, they may be considered for Home Repair Assistance, Home Replacement Assistance, or PHC Assistance.

FEMA defines an owner-occupied residence[72] as one where the applicant:

- Is the legal owner who permanently resides at the disaster-damaged residence; or
- Does not hold a formal title to the residence and pays no rent, but is responsible for the payment of taxes or maintenance of the residence; or
- Has lifetime occupancy rights with formal title vested in another (see *Figure 10* for required documentation).

Ownership Documentation: When FEMA is unable to verify an applicant's ownership of their primary residence, the applicant may provide FEMA with documentation to prove ownership. Applicants may provide additional documents from the list in *Figure 10*.

Figure 10: Documentation to Verify Ownership

Document and Description	Acceptable Document Date
Deed or Official Record: Original deed or deed of trust to the property.	Deed must be current/effective during the disaster incident period.
Mortgage Documentation: Mortgage statement or escrow analysis.	The most recent mortgage statements available should be submitted (within three months of the disaster incident period) and escrow documents should be from the last quarter.
Real property* insurance document, bill, payment record, or structural insurance documentation. **Buildings or other structures permanently attached to land, and the land itself. Includes items that are structural components of the buildings or structures.*	Within three months of the disaster incident period
Property tax receipt or property tax bill	Current and/or effective during the disaster incident period.
Manufactured home certificate of title	
Real Estate Provision	
Contract for Deed	
Land Installment Contract	
Quitclaim Deed	
Bill of Sale or Bond for Title	
Will or Affidavit of Heirship naming the applicant heir to the property and a death certificate	

The document provided must reflect:

- The name of the applicant or co-applicant registering for assistance;
  - If the applicant is a minor child, documentation must be in the parent/guardian co-applicant's name
  - If ownership is proven by an Affidavit of Heirship or a will, documentation must reflect the deceased owner's name, date of death, and evidence of the applicant's heirship. The will or Affidavit of Heirship documentation must comply with state law.
- The address of the disaster-damaged primary residence; and
- A date prior to the disaster incident period.

Document Exceptions: If the listed documentation is unavailable, FEMA may accept:

- A written self-declarative statement as a last resort, only from applicants living in insular areas, islands, and tribal lands. The statement must include the address of the disaster-damaged primary residence, how long the applicant lived in the disaster-damaged primary residence prior to the Presidential disaster declaration, an explanation of the circumstances that prevent standard ownership verification, and the applicant's signature. The self-declarative statement may be written post-disaster; however, FEMA will not accept a declarative statement of ownership for pre-disaster squatters.
- Evidence the applicant is financially responsible for major repairs to the primary residence. Evidence may include receipts that show the applicant was responsible for major repairs or maintenance (e.g., roof, furnace, plumbing, structural component repair or replacement).

Citizenship and Ownership: To meet the ownership requirement, the applicant or co-applicant must prove ownership and meet FEMA's citizenship requirements. If applying on behalf of a minor child, the applicant must be the owner of the home and the child's parent or legal guardian.

B. Additional Eligibility Considerations

Although general eligibility considerations apply to all IHP applicants, certain situations and living arrangements require additional clarification.

1. Condominiums and Cooperatives

FEMA may provide Housing Assistance and ONA to condominium (condo) owner-occupants and cooperative (co-op) owner-occupants for eligible disaster-caused damage they are responsible for within their unit (see *Figure 11*).

The owner of a condo or co-op unit is generally responsible for the fixtures, installations, and additions within the interior surfaces of the unit's perimeter walls, floors, and ceilings. This includes interior partitions, plumbing, appliances, and the exterior heating and cooling units from the point of supply into the unit. FEMA generally does not provide assistance for disaster-caused damage to structural elements (e.g., roof, exterior walls, chimneys, and shared foundation) and common areas shared by all residents such as recreational facilities, outdoor space, parking, landscaping, fences, laundry rooms, and all other jointly-used space.

The condo or co-op association's master insurance policy generally covers damage to common areas and structural elements of the building that are shared by all residents. If the condo or co-op association does not hold an insurance policy for a particular peril and the association is responsible for the item, FEMA will not assist with the expenses related to any damage or the assessment fees resulting from the disaster due to the item being a shared common area expense.

Condominium vs. Cooperative

A **condominium residence** generally is a type of housing where each resident owns their individual unit in a multi-unit building and shares the costs of maintaining the structural elements and common areas (i.e., roof, hallway, HVAC, walkways).

A **cooperative residence** is generally a type of housing wherein residents are shareholders of a corporation that owns the building and/or property where they reside. The share entitles each resident exclusive use of the unit they live in.

Figure 11: Condo and Co-op Assistance

Building Portion	Responsible Party	Insurance Policy Type	Eligible for IHP
Condo or co-op unit, walls in	Unit owner	Unit owner's policy	Yes, if uninsured or underinsured losses
Damaged structural elements and common areas shared by all residents	Condo or co-op association	Condo or co-op association's master policy	No, unless applicant submits documentation indicating individual responsibility

Individual condo or co-op owners who claim responsibility for damaged structural elements (e.g., roof, exterior walls, chimneys, and shared foundation) or damaged items in common areas need to submit verifiable documentation to FEMA indicating individual responsibility, including the master policy or bylaws, in order to be considered for assistance.

2. Limited Liability Company

Individuals or households living in properties owned by a Limited Liability Company (LLC) or time-share properties are considered renters and generally are not eligible for Home Repair Assistance or Home Replacement Assistance. However, an applicant occupying a dwelling owned by an LLC or other similar legal entity may be eligible for Home Repair Assistance or Home Replacement Assistance if they provide documentation that proves:

- The applicant occupies the dwelling as their primary residence;
- The legal entity does not have commercial purposes, such as ownership of more than one dwelling;
- The applicant or member of the household is the sole member of the legal entity;
- The dwelling is uninsured or underinsured; and
- All other Conditions of Eligibility are satisfied.

Applicants who own a dwelling on LLC-owned land may also be eligible for Home Repair Assistance or Home Replacement Assistance if they prove ownership of the home. FEMA may request additional documents to prove ownership when additional information is needed or to clarify conflicting information.

Applicants living in dwellings owned by an LLC or other similar legal entity will remain eligible for all categories of assistance that do not require ownership verification.

3. Separated Households

FEMA may provide Rental Assistance and/or LER to members of a family or household who were separated during a disaster through no fault of their own, such as those who were evacuated to different locations. Once the separated household members reunite, any eligible Continued Temporary Housing Assistance (see Chapter 3, IV.C.) will continue under the head of household's application to ensure no duplication of benefits (DOB). Rental Assistance provided to the previously separated household members will cease to ensure no DOB. Each application's total number of months and total amount of Rental Assistance and LER awarded is compared to the 18-month assistance period separately. Generally, FEMA provides all eligible IHP awards under only the head of household's application to assist all members of the pre-disaster household. Only the head of household will be eligible for additional categories of IHP assistance.

4. Roommates and Boarders

FEMA defines roommates as household members with an independent financial responsibility for the housing unit that are not dependents of each other and are not married, such as renters whose names are on a lease.

FEMA considers boarders as individuals or families in a private commercial relationship with the landlord. Boarders may reside in a housing unit with the landlord/head of household or in a separate housing unit within the structure.

FEMA defines a commercial relationship as a formal agreement to rent a portion of a residence from the owner.



Housing Unit

Housing unit is defined as a house, apartment, manufactured home, recreational vehicle, readily-fabricated dwelling, houseboat, or any other distinctly-separated living space. A living space may qualify as a housing unit if it includes facilities for cooking, eating, and sanitation. It must be directly accessible from an outer door or through an interior door in a shared hallway rather than by walking through another household's living space.

Figure 12: Assistance for Roommates and Boarders		
Type of Assistance	Eligible Party	Limitations
Personal Property Assistance	Each roommate and boarder with individually-owned items	Combined applications for same housing unit cannot exceed a specific line item maximum quantity limit
Medical and Dental Assistance Funeral Assistance Child Care Assistance Moving and Storage Assistance Miscellaneous Items Transportation Assistance Group Flood Insurance Policy	Each roommate and boarder	Standard criteria
Rental Assistance	First roommate who applies, unless roommates are unable to relocate together due to extenuating circumstances Boarders residing in the same housing unit as the landlord/head of household, if unable to relocate together due to extenuating circumstances Boarders residing in a separate housing unit within the structure may be eligible for Rental Assistance separate from the landlord	FEMA expects all household members residing in the same housing unit to relocate together



A FEMA inspector verifies wildfire damage at a severely impacted home site in Texas.

Roommates and boarders must be able to demonstrate a formal agreement or financial responsibility to the household. A pre-disaster financial responsibility or formal agreement can be supported by one of the following:

- Pre-disaster rent receipts, cancelled checks, or money orders for the disaster-damaged primary residence;
- Pre-disaster lease, landlord's written or verbal statement, or rental agreement for the disaster-damaged primary residence; or
- Pre-disaster major utility bills (water, electricity, or gas) in the roommate's or boarder's name for service at the disaster-damaged primary residence.

Roommates and boarders may be eligible for individually-owned ONA personal property items and identified miscellaneous line items on the *ONA Administrative Option Selection Form*. They will be considered under separate applications, but all the applications combined cannot exceed what FEMA identifies as the maximum quantity limit for a specific line item for a housing unit. FEMA awards assistance to the landlord/head of household or the first roommate that applies. Subsequent applicants designated as roommates or boarders will only receive assistance for non-duplicative line items; however, this limitation excludes boarders residing in a separate housing unit. Designation as a roommate or boarder does not impact an applicant's eligibility for Medical and Dental Assistance, Funeral Assistance, Child Care Assistance, Moving and Storage Assistance, Transportation Assistance, or GFIP under ONA.

Generally, FEMA awards Rental Assistance under one household member application for the housing unit, with the expectation that the household will relocate together. In some instances, Rental Assistance may be provided to individual roommates or boarders when they are unable to relocate with the household due to extenuating circumstances. In order for FEMA to process Rental Assistance, the individual roommate or boarder must submit a written statement explaining the extenuating circumstances that prevented the household from relocating together along with supporting documentation, if applicable.

5. Military Personnel

FEMA may provide assistance to eligible active duty military and civilian military employees if the assistance is not duplicated from available assistance from the military such as the Military Personnel and Civilian Employees' Claim Act.

FEMA does not automatically provide Rental Assistance for applicants who live in military-provided housing that received disaster-caused damage. However, applicants living in military housing may receive FEMA Rental Assistance if they provide documentation showing they are not receiving housing assistance from another source.



Military Personnel

The *Military Personnel and Civilian Employees' Claim Act* provides assistance to active duty military personnel and military civilian employees in military housing for personal property (including vehicles) as a result of disaster-caused damage or loss.

The *Safe Haven Allowance* from the Department of Defense (DOD), assists military personnel and their families with disaster-caused housing costs.

Active duty military members who can satisfy occupancy and ownership conditions of eligibility for their privately-owned pre-disaster primary residence may be eligible for all categories of assistance. Active duty military members are ineligible for assistance if they are not occupying their privately-owned residence at the time of the disaster or cannot prove their intent to occupy the residence within the period of assistance. Active duty military personnel stationed outside of the country can authorize a third party to be present for inspection of their primary residence within the declared area. All military personnel, regardless of residence type or military status, may be eligible for ONA if the items are not covered by the Military Personnel and Civil Employees' Claim Act.

6. Students

FEMA may provide assistance to students who have disaster-caused damage or losses. Students living in housing provided by an educational facility may be eligible for Housing Assistance. FEMA determines eligibility for Rental Assistance based on whether the student applicant is "dependent" or "independent" and whether the housing is their primary residence. Independent students living in college dormitories may be eligible for Rental Assistance; dependent students are not eligible for Rental Assistance.

Students

Eligible student applicants may receive Medical and Dental Assistance, Funeral Assistance, Moving and Storage Assistance, Transportation Assistance, Assistance for Miscellaneous Items, and Child Care Assistance under ONA regardless of their residence type or dependent status.

Personal Property Assistance is available for uninsured damaged items regardless of the student's residence type or dependent status. Personal Property Assistance is limited to student-owned items recorded during FEMA inspection. This excludes items provided by the institution or covered by an insurance policy.

Independent

The term "Independent" refers to students who are financially independent from parent(s) or guardian(s) and:

- Do not have a primary residence elsewhere and are responsible for their own living expenses;
- Are at least age 24 by December 31 of the award year;
- Were married prior to the disaster;
- Are in a masters or doctorate program;
- Have legal dependents;
- Are an orphan or ward of the court;
- Are on active military duty or are a military veteran; or
- Have documented determination of independent status by a financial aid administrator.

7. Pre-Disaster U.S. Department of Housing and Urban Development (HUD) Applicants

FEMA works closely with HUD to ensure applicants who were pre-disaster recipients of HUD assistance receive the appropriate form of FEMA Temporary Housing Assistance after a disaster.

Because FEMA may not duplicate assistance with any other Federal program, insurance, voluntary organization, or other entity, the applicant, FEMA, and HUD must work closely to determine the appropriate responsibility for the applicant's disaster housing needs. FEMA's Joint Field Office (JFO) will work with HUD counterparts at the SLTT government levels to determine which applicants were assisted by HUD prior to the disaster. Applicants' eligibility for FEMA Temporary Housing Assistance will be based on the availability of HUD assistance for the applicants after the disaster.

Once HUD assistance is available and offered to the applicant by HUD, the applicant will no longer be eligible for FEMA Temporary Housing Assistance. At this time, if they refuse to receive HUD assistance, they will no longer be eligible for continued disaster-related HUD assistance. For limitations and exclusions, see Chapter 3, IV.A.3.

8. Residents of Assisted Living Facilities

Applicants whose primary residence is an assisted living facility may receive assistance for housing, personal property, and other disaster-caused needs not covered by the assisted living facility. Assisted living facilities are usually responsible for relocating their residents to temporary housing, if necessary. In some instances, certain furnishings in the residence may belong to the assisted living facility.

FEMA provides assistance to applicants living temporarily in a hospice, hospital, nursing home facility, or similar facility at the time of the disaster, and who have a primary residence in the declared area. The applicant must demonstrate their current living situation is temporary, they intend to relocate back to their damaged primary residence, and their housing needs are not being met by the temporary living facility.

Applicants living in assisted living facilities may still be eligible for certain ONA types, such as Personal Property Assistance and Moving and Storage Assistance.

9. Homeless

FEMA does not provide Housing Assistance (Rental Assistance, direct assistance, Home Repair Assistance, or Home Replacement Assistance) to applicants experiencing homelessness because the need for housing was not caused by the disaster.

Applicants experiencing homelessness pre-disaster may be eligible for certain types of ONA (Transportation Assistance, Medical and Dental Assistance, Funeral Assistance, and Child Care Assistance).



Definition of Homeless

An applicant experiencing homelessness is an individual whose pre-disaster living arrangements were transient in nature and void of any form of structural ownership. Examples of homeless living situations may include rent-free shelters, bridges, underpasses, or streets.

10. Residents of Non-Traditional Housing

FEMA may provide initial Rental Assistance and ONA to applicants who resided in non-traditional forms of housing (e.g., tents and certain types of huts and lean-to structures) pre-disaster.

Definition of Non-Traditional Housing

Non-traditional housing is a form of dwelling void of structural floor, structural walls, and structural roof.

Non-traditional housing may be more prevalent in certain areas, such as tribal communities, territories, and insular areas. Occupancy must be verified by a credible or official source (e.g., tribal council, public official, homeless outreach advocate) at the time of inspection. Applicants may also verify occupancy by submitting any document listed in Chapter 3, II.A.4, or a signed, written statement from a credible or official source, which includes the applicant's name, location of residence, dates of occupancy pre-disaster, and the source's name, title, and contact information.

If pre-disaster occupancy cannot be verified, applicants may only be eligible for types of ONA that do not require occupancy verification (Transportation Assistance, Medical and Dental Assistance, Funeral Assistance, and Child Care Assistance). FEMA does not provide direct assistance, Home Repair Assistance, Home Replacement Assistance, or Continued Temporary Housing Assistance to residents of non-traditional housing.

Figure 13: Assistance for Residents of Non-Traditional Housing

Eligible Party	Type of Assistance	Limitations
Applicants who resided in non-traditional housing who are unable to verify occupancy	• Transportation • Medical and Dental • Funeral • Child Care	• Ineligible for all forms of Housing Assistance • Ineligible for forms of ONA that require successful verification of occupancy (Personal Property, CNA, and Moving and Storage)
Applicants who resided in non-traditional housing (i.e., tents, etc.) who are able to verify occupancy	• Initial Rental Assistance • LER • All types of ONA	• Ineligible for Continued Temporary Housing Assistance or direct assistance • Ineligible for Home Repair or Replacement Assistance

11. Flood Zones and Protected Areas

Restrictions and conditions apply to the IHP assistance FEMA provides in Special Flood Hazard Areas (SFHAs), sanctioned communities, Coastal Barrier Resources System (CBRS) units, and otherwise protected areas (OPAs).

National Flood Insurance Reform Act (NFIRA)

NFIRA and FEMA regulations require applicants who receive Federal financial assistance to purchase flood insurance for future flood damage to any insurable property for acquisition or construction purposes. This requirement applies only to real and personal property that is, or will be, in a designated SFHA and can be insured under the National Flood Insurance Program (NFIP). For purposes of IHP, Federal financial assistance means assistance in the form of Home Repair Assistance, Home Replacement Assistance, PHC, or Personal Property Assistance. Therefore, applicants who live in a designated SFHA and receive IHP assistance for Home Repair Assistance, Home Replacement Assistance, PHC, or Personal Property Assistance must obtain and maintain flood insurance coverage for at least the amount of disaster assistance they receive from FEMA for NFIP-insurable items real or personal property. Applicants may satisfy the insurance requirement by purchasing private insurance or a policy through the NFIP.

Applicants who do not obtain and maintain flood insurance will be ineligible for IHP assistance for flood-damaged real or personal property in future disasters with flood-related damage.

A dwelling may be insurable by the NFIP if it meets the NFIP definition of a building, which is defined as "a structure with two or more outside rigid walls and a fully secured roof, that is affixed to a permanent site."[73] If an applicant is provided IHP assistance for flood-damaged real property and the dwelling is uninsurable through NFIP, the applicant will not be eligible for flood-damaged real property losses in future disasters with flood-related damage.

The NFIP was created to reduce the impact of flooding on private and public structures by providing affordable insurance to property owners and by encouraging communities to adopt and enforce floodplain management regulations. If a property is later remapped and no longer determined to be in a designated SFHA, the flood insurance requirement will no longer apply.

Coastal Barrier Resources Act (CBRA)

CBRA protects coastal areas from development by limiting Federal financial assistance for development-related activities in designated CBRS areas. CBRS areas are coastal areas that protect valuable habitat for fish and wildlife and are subject to wave, wind, and tidal forces; these areas are mapped by the U.S. Fish and Wildlife Service. The CBRS contains two types of coastal barrier areas: CBRS Units and OPAs.

An eligible applicant whose pre-disaster primary residence is located within a CBRS Unit may not be considered for Home Repair Assistance, Home Replacement Assistance, PHC, or certain types of ONA.

An eligible applicant may be considered for Rental Assistance and the following types of ONA:

- Funeral Assistance
- Medical and Dental Assistance
- Child Care Assistance
- Critical Needs Assistance

- Clean and Removal Assistance
- Assistance for Miscellaneous Items
    - Financial assistance may not be considered for items obtained after the start of the incident period that are typically used to rebuild the pre-disaster residence.[74] However, assistance may be awarded for expenses to purchase or rent items required to power life-sustaining medical equipment (e.g., generators; see Chapter 3, VI.B.4).

FEMA may not provide Direct Temporary Housing Assistance in the forms of MLR or TTHUs within a CBRS Unit. However, an applicant may be eligible to receive these forms of assistance provided in locations outside of the CBRS Unit.

An eligible applicant whose pre-disaster primary residence is located within an OPA may be considered for all forms of IHP assistance; however, the residence is also subject to NFIRA requirements for sanctioned communities and SFHAs, if applicable (see Chapter 3, II.B.10). Federal flood insurance through the NFIP is not available in CBRS Units or OPAs.

Sanctioned Communities

Sanctioned community means a community in which FEMA has identified SFHAs on a Flood Insurance Rate Map (FIRM) and the community has failed to join the NFIP within one year of the FIRM being published. Although a community's participation in the NFIP is voluntary, participation is required for purchase of Federal flood insurance within the community and requires the community to adopt and enforce a flood damage prevention ordinance. A suspended community is a community in which FEMA has identified SFHAs on a FIRM, but FEMA has suspended the community from the NFIP for failure to enforce a flood damage prevention ordinance in compliance with the NFIP.

Applicants who have disaster-caused flood damage to their primary residence in a sanctioned community are not eligible to receive assistance for NFIP-insurable real and personal property items damaged by flooding.[75] However, the individual or household may be eligible,[76] if the community in which the damaged property is located qualifies for and enters the NFIP during the 6-month period following the declaration.

Applicants who fail to obtain and maintain flood insurance, or live in sanctioned communities may be eligible for all the following, if general IHP conditions of eligibility are met:

- Medical and Dental Assistance, Funeral Assistance, Assistance for Miscellaneous Items, Child Care Assistance, Moving and Storage Assistance costs over $1,000 (NFIP covers the first $1,000 of moving expenses), Transportation Assistance, and CNA;
- Rental Assistance or Direct Temporary Housing Assistance in the form of Multi-Family Lease and Repair, TTHUs, or Direct Lease;
- Real and Personal Property items not damaged by flooding; and
- Uninsurable damaged items, such as wells and septic systems.

Figure 14: Flood Insurance Requirements for Homeowners and Renters	
Owners	Flood insurance coverage must be maintained at the address for as long as the address exists and for at least the assistance amount awarded for flood-damaged, NFIP-insurable losses. If the home is sold or otherwise becomes owned by someone else, the requirement to purchase and maintain flood insurance carries over to any subsequent owner.
Renters	Flood insurance coverage must be maintained on the contents for at least the IHP assistance amount awarded for flood-damaged, NFIP-insurable personal property, as long as the applicant lives at the flood-damaged rental property address. The requirement is lifted once the applicant permanently moves from the rental unit. Subsequent renters will not be required to maintain flood insurance for their personal property unless they previously received IHP assistance for flood-damaged, NFIP-insurable personal property while residing at the same address. Note: If a pre-disaster renter becomes an owner by purchasing the rental property at which they received IHP assistance for their flood-damaged, NFIP-insurable personal property losses, but fails to maintain the flood insurance on their personal property, they may receive IHP assistance for flood-damaged real property losses; however, they will not be eligible for Personal Property Assistance.
**44 C.F.R. § 206.110(k)(3)(i)(A)*	

Applicants may also receive Mass Care and Emergency Assistance (MC/EA), including congregate sheltering (Chapter 2, II.) and Transitional Sheltering Assistance (TSA) (Chapter 2, IX.), Crisis Counseling (Chapter 5), Disaster Unemployment Assistance (DUA) (Chapter 7), Disaster Legal Services (Chapter 6), and Disaster Case Management (Chapter 4).

Flood Insurance Requirement for Recreational Vehicles

Recreational Vehicles (RVs) may include motorized vehicles (i.e., Class A, B, or C vehicles, or motorhomes) and travel trailers (e.g., fifth wheel, pop-up camper, etc.).

Generally, motorized vehicles are not insurable as property by the NFIP. Applicants whose primary residence is a motorized vehicle are exempt from the requirement to obtain and maintain flood insurance and may be eligible for IHP assistance, even if they received IHP assistance for flood-damaged personal property in a previous disaster.

RVs without wheels, built on a chassis, and affixed to a permanent foundation (referred to as "travel trailers")[77] are insurable under the NFIP. Applicants located in an SFHA, who previously accepted IHP assistance for a flooding incident and whose primary residence is a travel trailer,[78] are required to obtain and maintain flood insurance for as long as the address exists for at least the amount of flood-damaged IHP assistance received for real and/or personal property.

Applicants with a NFIRA requirement, who fail to affix a travel trailer to a permanent foundation and/or to properly elevate the travel trailer in compliance with the community's floodplain management requirements, rendering the unit uninsurable under NFIP, will be ineligible to receive any Federal assistance for flood-damaged real or personal property[79] in a future disaster.[80]

30-Day Period for Applicants to Decline Financial Assistance

An applicant has 30 days to decline financial assistance that would trigger the flood insurance obtain and maintain requirement. Applicants who do not wish to obtain and maintain flood insurance must return all disaster assistance they receive for NFIP-insurable real and personal property to FEMA no later than 30 days from the date of the award determination letter from FEMA.

C. Appeal Process

1. Timeline for Appeal

Applicants must appeal initial eligibility determinations[81] within 60 days of the date on their eligibility notification letter.[82]

2. Determinations that May be Appealed

Applicants may submit a written appeal if they disagree with any FEMA determination. An applicant may appeal:

- Initial eligibility determinations for Housing Assistance and ONA, including:
  - The amount or type of Housing Assistance and ONA an applicant received;
  - The decision to withdraw an application for FEMA disaster assistance;
  - The recovery of funds improperly awarded to an applicant (see Chapter 3, VII); or
  - The denial of a late application request for assistance.
- A denial for Continued Temporary Housing Assistance.
- Direct Housing Assistance determinations, including:
  - The termination of eligibility to remain in a temporary housing unit;
  - FEMA's intent to collect rent or the amount of rent collected from occupants of a FEMA-provided temporary housing unit;
  - A denial of a request to purchase a FEMA-provided MHU;
  - The sales price of a FEMA-provided MHU the applicant may want to purchase; or
  - Any Individual Assistance eligibility or participation-related determination, action or inaction.

When ONA is administered under the Joint or State Option described in ONA Cost Share and Administration (see Chapter 3, VI.A.2), the applicant must submit their appeal to the STT government. FEMA does not accept multiple appeals for the same reason, but may request additional information and conduct additional reviews as new information is received.

3. Requests for Copies of IHP Assistance File

Applicants may submit a written, signed request for a copy of FEMA's records related to their application for IHP assistance. The request must be in writing and specifically state what information the applicant would like to receive (e.g., entire file copy, copy of all correspondence from FEMA, etc.) and who is to receive the requested information (e.g., self, attorney, friend, etc.). If the file copy is to be provided to a third party, the full name and address of the third party must be included in the request.

The request must include the following for identity verification purposes:

- Applicant's full name
- Applicant's FEMA Application Number and Disaster Number
- Damaged property address and current mailing address, if different
- Applicant's date and place of birth
- Applicant's signature with one of the following:
    - Notary stamp or seal; or
    - The statement "I hereby declare under penalty of perjury that the foregoing is true and correct."

Written requests can be mailed or faxed using the information below.

- **Mailing Address:** Individuals and Households Program, National Processing Service Center; P.O. Box 10055; Hyattsville, MD 20782-8055
- **Fax Number:** 800-827-8112

If a Disaster Assistance Center account has been created, applicants can also upload documents through the Upload Center in their online account.

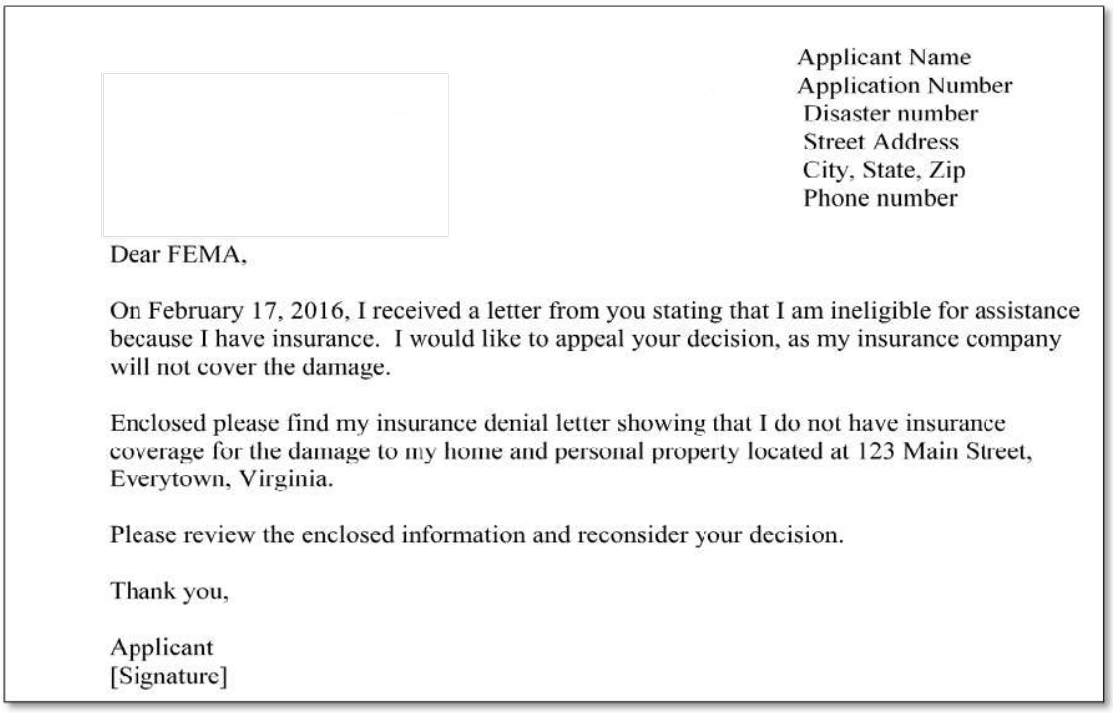
4. Appeal Submission

The applicant's appeal letter must explain the reason(s) for appeal and must be signed by the applicant or person who the applicant authorizes to act on their behalf.

The appeal submission should also include the following information:

- Applicant's full name
- Applicant's FEMA Application Number and Disaster Number
- Address of the applicant's pre-disaster primary residence
- Applicant's current phone number and address

Figure 15: Sample Appeal Letter



Applicant Name
Application Number
Disaster number
Street Address
City, State, Zip
Phone number

Dear FEMA,

On February 17, 2016, I received a letter from you stating that I am ineligible for assistance because I have insurance. I would like to appeal your decision, as my insurance company will not cover the damage.

Enclosed please find my insurance denial letter showing that I do not have insurance coverage for the damage to my home and personal property located at 123 Main Street, Everytown, Virginia.

Please review the enclosed information and reconsider your decision.

Thank you,

Applicant
[Signature]

If someone other than the applicant files the appeal, the applicant must also submit a signed statement giving that person authority to represent the applicant.[83] See Written Consent (Chapter 3, II.C.1) for more information. The appeal letter should be accompanied by documentation to support the appeal request, such as repair estimates, contractor estimates, or other supporting documentation.

5. Appeal Determination

After FEMA receives the appeal, a caseworker with no prior involvement in the case reviews the appeal and file to determine if there is sufficient documentation to change FEMA's determination. If more information is needed in order to make a determination, FEMA takes one or more of the following actions:

- Calls the applicant and sends a letter requesting additional information with a deadline of 30 days to submit the additional information
- Contacts a third party, such as a contractor, insurance company, or mechanic in order to verify submitted information
- Schedules an appeal inspection

FEMA notifies applicants in writing about the appeal decision within 90 days of the receipt of the appeal letter.[84] FEMA's appeal decision represents the final agency determination and cannot be appealed again.[85]

III. Delivering Individuals and Households Program Assistance

This section discusses the disaster assistance registration process, FEMA's process for verifying disaster-caused losses, and communication with applicants, as well as considerations for successfully providing equal access and delivering IHP assistance to all disaster survivors without discrimination. An applicant for IHP assistance will not be denied benefits or Federal financial assistance on the grounds of race, color, religion, nationality, sex, age, disability, English proficiency, or economic status, pursuant to Section 308 of the Stafford Act[86] and 44 C.F.R. Part 7.

A. Applying for FEMA IHP Assistance

After the President declares a major disaster or emergency, FEMA coordinates with the respective STT government to determine the area designated for IA, establish a registration period, and provide multiple channels for disaster survivors to apply for IHP assistance.

1. Application Methods

FEMA offers disaster survivors multiple options to apply for IHP assistance and to receive updates on their application. Information on resources for non-English speakers can be found in Chapter 1.



Access FEMA via smartphone through the application at www.fema.gov.

- **Internet or Smartphone Application:** Disaster survivors may apply for IHP assistance or check their status at www.disasterassistance.gov. Disaster survivors may access FEMA via smartphone by visiting www.disasterassistance.gov or by downloading the FEMA application from www.fema.gov or through their mobile provider's application store.
- **Toll-free Helpline:** Disaster survivors may call FEMA toll-free at 800-621-3362 to register for assistance or check their application status. Disaster survivors who are deaf, hard of hearing, or have a speech disability and use a Text Telephone (TTY) may call 800-462-7585. Disaster survivors who use 711 or VRS (Video Relay Service) may call 800-621-3362.
- **Disaster Recovery Centers (DRCs):** Disaster survivors may apply for assistance in person at a DRC in or near their communities. FEMA and applicable STT governments and communities work together to quickly establish DRCs in convenient locations within an area impacted by a disaster. The variety of services available at DRCs give survivors the ability to "one-stop shop" for disaster related programs and receive help in navigating the path toward recovery. DRCs

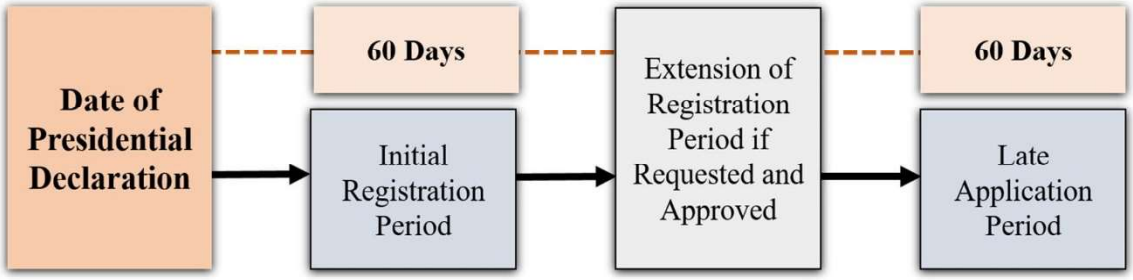
are usually opened quickly after a disaster for a limited period of time. They are accessible and equipped to accommodate disaster survivors who need disability-related communication aids. FEMA staff can assist with completing registrations or checking their application status.

- **Disaster Survivor Assistance (DSA) Teams:** FEMA may send staff into the affected communities to help disaster survivors apply for IHP assistance. FEMA may also coordinate with the SLTT government to send staff into emergency shelters to assist survivors. FEMA staff are equipped with computers or similar devices to assist survivors with registering for IHP assistance or providing them referrals to other resources.

2. Registration Period

Disaster survivors may apply for assistance after the start of the registration period. The application process begins with disaster survivors completing a registration with FEMA through any of the channels described above.

Figure 16: Registration Period



- **Initial Period:** The standard FEMA registration period is 60 days following the date the President declares a disaster for the designated area. The 60-day registration period starts on the date IA was designated for the declaration.[87]

- **Extensions of the Registration Period:** FEMA may extend the registration period when the STT government requests more time to collect registrations from the affected population. Examples of situations when an extension may be warranted include, but are not limited to when:
  - Necessary to establish the same registration deadline for subsequently designated contiguous areas;
  - There is a continued high volume of registrations; and/or
  - There are significant barriers to registration (i.e., extended loss of electricity).

Subsequently Designated Areas

For individuals in areas subsequently designated for IA after the date of declaration, the registration deadline is still 60 days after the date of declaration, not 60 days from the day the county or parish was designated for IA, unless extended.

Extensions may be approved as follows:

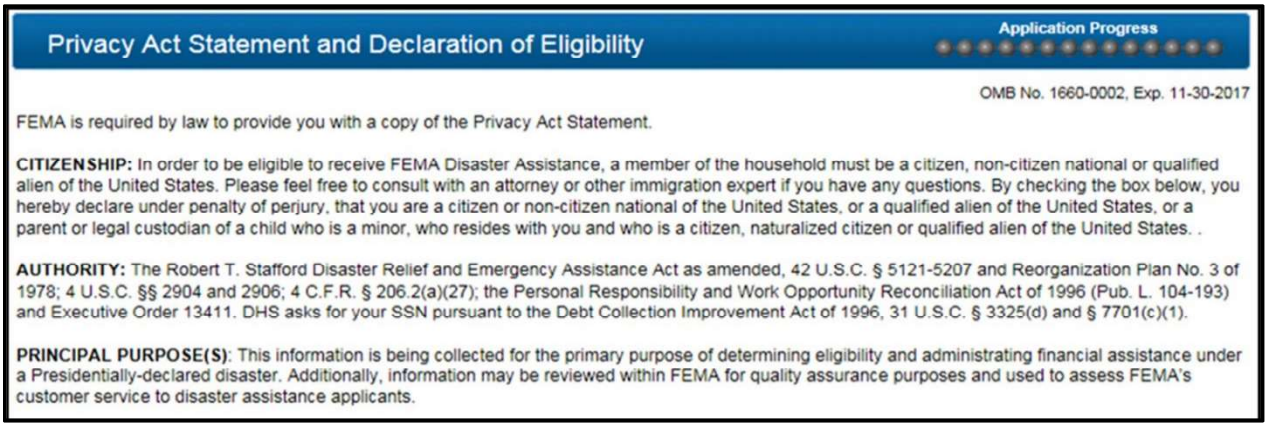
- The Regional Administrator, or their designee, may extend the initial registration period up to 60 days.
- Any subsequent extensions must be approved by the IA Division Director (IADD).

- **Late Application:** After the end of the registration period, FEMA will accept late applications for an additional 60 days. FEMA will not allow applications after the 60-day grace period, however, in order for FEMA to process late applications, the applicant must submit a letter to FEMA that explains the extenuating circumstances that prevented them from applying for assistance in a timely manner and signed by the applicant or person who the applicant authorizes to act on their behalf.[88] The letter should also include documentation justifying the extenuating circumstances. The documentation provided must be dated immediately prior to or within the FEMA registration period. Acceptable documentation may include:
  - Record of hospitalization, illness, or disability of the applicant or an immediate family member;
  - Record of death for an immediate family member; or
  - Proof of personal or business travel that kept the applicant out of the area for the full application period.

3. Information Needed to Complete Application

FEMA requires all individuals registering for disaster assistance to self-certify and declare, under penalty of perjury, that they are a U.S. citizen, non-citizen national, a qualified alien, or the parent or guardian of a minor who is a U.S. citizen, non-citizen national, or qualified alien, and acknowledge the terms of the Privacy Act and Declaration of Eligibility Statement (*see Figure 17* for an excerpt of the statement).

Figure 17: Privacy Act Statement and Declaration of Eligibility



Privacy Act Statement and Declaration of Eligibility

Application Progress

OMB No. 1660-0002, Exp. 11-30-2017

FEMA is required by law to provide you with a copy of the Privacy Act Statement.

CITIZENSHIP: In order to be eligible to receive FEMA Disaster Assistance, a member of the household must be a citizen, non-citizen national or qualified alien of the United States. Please feel free to consult with an attorney or other immigration expert if you have any questions. By checking the box below, you hereby declare under penalty of perjury, that you are a citizen or non-citizen national of the United States, or a qualified alien of the United States, or a parent or legal custodian of a child who is a minor, who resides with you and who is a citizen, naturalized citizen or qualified alien of the United States. .

AUTHORITY: The Robert T. Stafford Disaster Relief and Emergency Assistance Act as amended, 42 U.S.C. § 5121-5207 and Reorganization Plan No. 3 of 1978; 4 U.S.C. §§ 2904 and 2906; 4 C.F.R. § 206.2(a)(27); the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Pub. L. 104-193) and Executive Order 13411. DHS asks for your SSN pursuant to the Debt Collection Improvement Act of 1996, 31 U.S.C. § 3325(d) and § 7701(c)(1).

PRINCIPAL PURPOSE(S): This information is being collected for the primary purpose of determining eligibility and administrating financial assistance under a Presidentially-declared disaster. Additionally, information may be reviewed within FEMA for quality assurance purposes and used to assess FEMA's customer service to disaster assistance applicants.

In addition, applicants must also provide all the following information to complete their application:

- Name and SSN of the primary applicant
- Name and SSN of secondary/co-applicant (encouraged but not required)
- Current and pre-disaster address
- Names of all occupants of the pre-disaster household
- Current contact information
- Types of insurance held by the household
- Household pre-disaster annual gross income
- Losses caused by the disaster
- Banking information for direct deposit of financial assistance, if requested

B. Verifying Losses

Once disaster survivors register for assistance, FEMA is required to verify losses to determine their eligibility for IHP assistance. FEMA uses multiple loss verification methods, including:

- Onsite inspection
- Geospatial inspection
- Documentation



A FEMA inspector speaks with a resident of a home damaged by Hurricane Sandy during an on-site inspection in New Jersey.

FEMA's standard loss verification method for initial eligibility determination is an on-site inspection by a FEMA inspector.

FEMA may, at its discretion, determine other methods of verification (i.e., geospatial inspection) that will be used to help verify loss and deliver assistance. FEMA may also review and verify documentation such as medical bills or auto repair receipts for disaster-caused losses that cannot be verified through on-site or geospatial inspections.

1. Onsite Inspection



A FEMA inspector arrives for an on-site inspection and shakes hands with a tornado survivor in Oklahoma.

FEMA inspectors typically schedule an on-site inspection with the applicant within two weeks after the applicant has registered for disaster assistance. FEMA inspectors are typically hired contractors, must pass a background check prior to working with FEMA, and are issued a badge identifying them as a FEMA contractor.

The FEMA inspector does not determine the applicant's eligibility for disaster assistance. During the inspection, the FEMA inspector assesses damage to the disaster-damaged primary residence and personal property such as furniture, appliances, vehicles, and essential equipment for daily household needs. IHP assistance may be awarded if, during inspection, the inspector determines the damage was caused by the disaster and affects the functionality of the home. FEMA inspectors will not physically inspect areas it is unsafe for them to access. Specifically, the FEMA inspector will:

- Verify the applicant's name, address, contact information, and insurance;
- If required for a specific disaster, collect the applicant's signature on the *Declaration and Release form (FEMA Form 009-0-3)* certifying the applicant's citizenship or eligible immigration status;
- Confirm individuals living in the disaster-damaged residence; bedrooms occupied; clothing, medical, dental, transportation, or miscellaneous losses; and items purchased as a result of the disaster (e.g., chainsaw, dehumidifier);
- Assess the pre-disaster residence's structure, furniture, and appliances for damage caused by the disaster, as well as undamaged items;
- Document the pre-disaster residence's square footage, foundation, and structural type (e.g., one or multiple stories). Record the cause of damage, applicable water levels, impacted utilities, and accessibility features; and
- Confirm with the applicant all damage has been viewed, describe next steps in the FEMA process, and advise the applicant to contact FEMA's Helpline (800-621-3362) to request information such as status updates, types and amount of assistance awarded, required documentation, and/or general questions about IHP assistance.

Applicants who cannot meet the inspector on-site may write to FEMA authorizing a third party over the age of 18 (e.g., neighbor, landlord) to attend the inspection on their behalf. Applicants whose pre-disaster residence is inaccessible may meet the FEMA inspector at an alternate location.

Applicants with limited English proficiency, or those who are deaf or hard of hearing, can request translation services, a qualified sign language interpreter, or other accommodations for inspections.

Applicants may request accommodations by contacting FEMA's Helpline, visiting a DRC, or speaking with a DSA Team. Once the inspection is complete, the inspector will submit the inspection record to FEMA, which will consider the information when determining eligibility for IHP assistance.

In some cases, FEMA may conduct another inspection to validate losses if, for example, areas of the residence are initially inaccessible, or in cases where the applicant appeals FEMA eligibility determinations. Inspectors do not perform inspections on residences they have previously evaluated; appeal inspections are conducted by a different inspector to ensure a fair and impartial assessment.

2. Geospatial Inspection

FEMA may use geospatial inspections to verify losses. Geospatial inspections can be instrumental in FEMA's ability to quickly determine eligibility for a large number of communities and expedite delivery of initial assistance to eligible applicants.

FEMA uses a variety of techniques and technologies to conduct geospatial inspections. This includes using aerial and satellite photography and remote sensing technologies. FEMA's evaluation of pre-disaster data includes information on the area's demographics and population density, property parcels, building and infrastructure types, and pre-disaster images.



FEMA inspectors use an airplane to inspect homes damaged by flooding in remote Alaskan villages.

3. Documentation of Losses

In some cases, FEMA needs documentation from applicants such as receipts, bills, or estimates to verify losses. Examples of documentation include, but are not limited to:

- Receipts or estimates for medical or dental services, prescriptions, durable medical equipment (DME), assistive technology devices
- Receipts or contracts for child care services
- Receipts for moving and storage services
- Mechanic's statement, estimate, or receipt for vehicle repair
- Contractor's statement, estimate, or receipt for damage to wells, septic, or furnace system

For additional information on assistance for these losses and documentation requirements, see Chapter 3, VI.

C. Applicant Communication

FEMA communicates with each applicant throughout the IHP process to gather information, inform them of their eligibility for assistance, refer them to other sources of assistance, and guide them on the proper use of IHP funds.

1. Written Consent

FEMA generally communicates directly with each applicant to protect their private information. The Privacy Act requires FEMA to obtain written consent from the applicant in order to share their disaster assistance records with a third party.

The written consent must:

- Be in writing (handwritten or typed);
- Include the applicant's identity verification information (full name, current address, date and place of birth);
- Be dated and signed by the applicant;
- Be notarized or include the statement, "I hereby declare under penalty of perjury that the foregoing is true and correct.";
- Include an individual identifier. Examples are: the FEMA Application Number, current mailing address, current phone number;
- Specify what information can be released to the third party (e.g., the entire case file, the current contact information, the amount of disaster assistance received); and
- Include a third-party designation. The individual must designate the individuals, entities, or organizations to which the disclosure is being consented.

FEMA may accept a Power of Attorney, Guardianship, or Conservatorship documentation from an applicant's assigned third party if it meets the state law requirements where the applicant resides. Since these instruments have different restrictions, authorizations, or purposes than the Privacy Act, the submitted information must be coordinated further for program review and FEMA's Office of Chief Counsel review and validation prior to sharing information or access to the applicant's file with the third party.

2. Letters

FEMA also communicates with applicants through electronic notification via email, online through www.disasterassistance.gov, or letters sent through the U.S. Postal Service. If the applicant needs letters in an alternative format or needs assistance understanding the letters, they may contact FEMA's Helpline or visit a DRC. All FEMA letters sent to applicants are written in plain language. Letters may include:

- **Eligibility Notifications:** This letter informs the applicant of the types of assistance FEMA has determined they are eligible or ineligible to receive, the amounts of assistance FEMA is providing for each eligible need, the reasons an applicant is ineligible for the applicable types of assistance, an explanation of the appeals process, and other key information regarding disaster assistance, including proper use of disaster assistance funds.

- **Use of Funds:** Applicants are advised on appropriate use of disaster assistance funds in their notification letter from FEMA. Applicants should document how they used disaster funds and retain these records (e.g., receipts, invoices) for at least three years to ensure they are prepared if FEMA identifies their case for an audit.
- **Appeals Process:** Applicants who disagree with a FEMA eligibility decision may appeal the decision. FEMA reviews the applicant's written appeal and documentation received from the applicant supporting the appeal. Upon review, FEMA either provides a written decision to the applicant or requests more information from the applicant. If FEMA upholds a decision on an appeal, FEMA's decision is considered final and will generally not be reconsidered.

- **Request for Information:** If FEMA requires more information to process an applicant's request, an applicant may receive a letter requesting additional information.

3. Insular and Remote Areas

FEMA has unique considerations for delivering Federal assistance in insular areas (i.e., Guam, the Commonwealth of the Northern Mariana Islands, American Samoa, and the U.S. Virgin Islands) or otherwise remote areas such as the interior of Alaska. In some cases, the lack of building materials and skilled local labor, high transportation costs, and/or subsistence lifestyles require tailoring FEMA program delivery. FEMA works to immediately identify any potential obstacles to effectively deliver IHP assistance and determine what guidelines or procedures may need to be modified based on the needs of the impacted area.



A FEMA inspector with a disaster survivor surveying damage from severe flooding and ice jams in the remote areas of the Koyukuk River, Alaska.

Depending on the situation, FEMA may:

- Develop alternate means of identifying properties (e.g., using Global Positioning System coordinates if the area does not have or use a street naming or numbering system).
- Deploy registration and inspection teams to enable FEMA to gather information and verify losses in areas with unique logistical requirements.
- Consider additional personal property items necessary for climate-appropriate survival in insular areas, in coordination with the STT government. These items may include detached communal cooking facilities, food caches, smoke houses, or steam bath houses.
- Manually review applicant cases to determine eligibility instead of using an automated process. A manual determination process can better accommodate unique situations falling outside of standard IHP guidelines (e.g., verifying property ownership based on local official statements in areas where properties are handed down to families and few written records exist).
- Provide FEMA disaster assistance for increased shipping costs of materials to insular areas in order to make repair or replacement feasible.

4. Tribal Governments

FEMA recognizes the sovereign rights, authority, and unique status of tribal governments and is committed to working in partnership with tribal governments on a nation-to-nation basis. Tribal government refers to any Native American tribe, band, nation, pueblo, village, or community in the continental U.S. and Alaska that is listed as a tribe under the Federally Recognized Native American Tribe List Act of 1994.



Tribal village members gather at a local school to register with FEMA.

Federally-recognized tribal governments may choose to request a disaster declaration from the President directly or be considered as part of their respective state's declaration request. Additionally, tribal governments may choose to receive one form of assistance (i.e., IA, PA, and HMGP) under a state declaration and another form of assistance through a direct disaster declaration as long as the assistance programs are different and there is no duplication of benefits. IA cost share[89] and other regulations apply for tribal governments as they do for state governments.

FEMA works closely with the tribal government's assigned representatives to immediately identify issues and potential obstacles and determine what standard guidelines or procedures may need to be modified based on the needs of the impacted area.

Depending on the needs of the tribal government, FEMA may use alternative processes, services, and tools–such as those described above for insular and remote areas–to better serve and ensure expedited access to FEMA programs and assistance.



Members of the Shingle Springs Band of Miwok Indians hosted the California Tribal Historic Preservation Officers State Historic Preservation Summit.

Overall, FEMA assists and coordinates with the tribal governments in accordance with the following principles:

- Consult with the tribal governments before taking a proposed FEMA action that would have a substantial direct effect on one or more tribes, the relationship between the Federal government and tribes, or the distribution of power and responsibilities between the Federal government and tribes.
- Evaluate the impact of policies, programs, and activities on tribal trust resources and consider the rights and concerns of tribal governments in its decision-making, including impacts on individuals with disabilities and others with access and functional needs.
- Assist tribal governments, should they seek assistance, in setting priorities for the interests of their community members as related to FEMA programs.

IV. Housing Assistance (Financial)

The Housing Assistance provision of the IHP, authorized by Section 408(c) of the Stafford Act, provides financial and direct assistance for disaster-caused housing needs not covered by insurance or provided by any other source. Financial Housing Assistance refers to funds provided to eligible applicants for temporary lodging expenses, rental of temporary housing, or repair or replacement of a damaged primary residence.



A road is severely damaged by flooding in Manitou Spring, Colorado, 2013.

FEMA assistance may be provided when the disaster has caused damage that affects the habitability of the home. FEMA defines "uninhabitable" as a dwelling that is not safe, sanitary, or fit to occupy. "Safe" refers to being secure from disaster-caused hazards or threats to occupants and "sanitary" refers to being free of disaster- caused health hazards. FEMA also requires that disaster-damaged components were functional prior to the disaster. "Functional" refers to an item or home capable of being used for its intended purpose.[90] Applicants may provide FEMA with documentation about their disabilities that could potentially impact the habitability determination.

In addition, the applicant must agree to return funds to FEMA when the assistance provided by FEMA duplicates assistance from another source, was provided in error, was spent on expenses inappropriately, or was obtained through fraudulent means.

A. Lodging Expense Reimbursement

FEMA may provide LER for applicants who incur out-of-pocket temporary lodging expenses[91] due to damage that affects the habitability of their primary residence as a result of a Presidentially-declared disaster.[92] Eligible lodging expenses may include the cost of the room and taxes charged by a hotel or other lodging provider. As a type of Temporary Housing Assistance, LER does not count toward the financial Housing Assistance maximum award an applicant may receive (see Chapter 3, I).

Lodging Expenses

Lodging expense means expenses for reasonable short-term accommodations that individuals or households incur in the immediate aftermath of a disaster.

1. Conditions of Eligibility

LER may be awarded from the start date of the incident, up to and not to exceed seven days from the approved date of any initial Rental Assistance (Chapter 3, IV.B.) award, unless FEMA authorizes an extension. In addition to general conditions of eligibility (see Chapter 3, II.), applicants must meet the following conditions in order to receive LER:

- FEMA verifies, as a result of the disaster, the pre-disaster residence is:
  - Uninhabitable, meaning the dwelling is not safe, sanitary, or fit to occupy,[93] or requires repairs to make the residence habitable; or
  - Inaccessible, meaning the applicant's disaster-damaged primary residence cannot be entered due to access impediments (e.g., fallen trees, downed power lines, damaged access ramps) or restrictions placed by Federal, SLTT government officials.

- The applicant incurred temporary lodging expenses on or after the incident period start date.
- The applicant is not covered by insurance (e.g., Additional Living Expense [ALE], Loss of Use [LOU] coverage) or has insufficient insurance coverage to meet their temporary lodging needs.
- The applicant has not received lodging assistance from any other source (e.g., voluntary organization, etc.) for the same dates the applicant is requesting LER.

2. Required Documentation

To be considered for LER, applicants must submit verifiable lodging receipts or itemized statements, including:

- The applicant or co-applicant's name
- The name, address, and phone number of the accommodation
- Dates of occupancy
- The amount of expenses incurred



Acceptable Lodging Receipts

FEMA may accept lodging receipts in the name of an individual not listed as a household member if the applicant submits proof they have reimbursed the third party for the charges.

Appropriate documentation may include a copy of the canceled check or receipt for the reimbursement of the charges made.

3. Limitations and Exclusions

- LER does not include costs associated with:
  - Phone
  - Laundry
  - Internet
  - Movies
  - Food
  - Pet charges
- Lodging expenses incurred while residing at the home of family or friends will not be reimbursed.
- FEMA will not reimburse lodging expenses for dates an applicant was receiving Transitional Sheltering Assistance. (see Chapter 2, IX.).
- Expenses incurred during mandatory evacuation will not be reimbursed unless an inspection reports the applicant's home as uninhabitable, inaccessible, or affected by an extended disaster-caused utility outage.
- For applicants affected by inaccessibility or utility outage, LER is limited to the dates their residence was inaccessible or had an extended utility outage.
- For applicants whose primary residence is determined uninhabitable who do not choose to relocate, LER is limited to the period from the incident date to the inspection date when the applicant states they do not intend to relocate and are ineligible for Rental

Assistance.

B. Rental Assistance

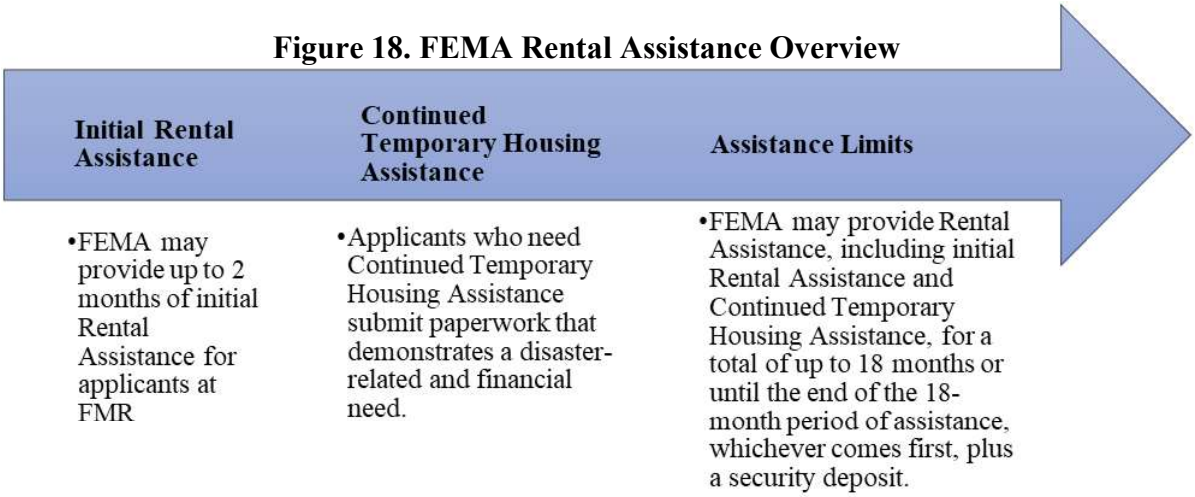
FEMA may provide financial assistance to pre-disaster homeowners or renters to rent alternate temporary housing if they are displaced from their primary residence as a result of a Presidentially-declared disaster.[94]

FEMA awards eligible applicants initial Rental Assistance based on the Fair Market Rent (FMR)[95] established by HUD for the county, parish, tribal land, municipality, village, or district where the pre-disaster residence is located and the number of bedrooms the household requires.[96]

Rental Assistance is intended to cover the monthly rent amount (including lot rent, if applicable) and cost of essential utilities (i.e., gas, electric, water, oil, trash, and sewer), excluding telephone, cable, TV, or internet service for the housing unit.[97]

FEMA may provide Rental Assistance, including initial and continued assistance awards, up to 18 months or the end of the 18-month period of assistance, whichever comes first. FEMA will also award applicants one additional month of rent when utilized for a security deposit. If the 18-month financial period of assistance is extended, the number of eligible months of Rental Assistance will also be extended.

Figure 18. FEMA Rental Assistance Overview



As a type of Temporary Housing Assistance, Rental Assistance does not count toward the financial Housing Assistance maximum award.

1. Conditions of Eligibility

In addition to meeting general conditions of eligibility (see Chapter 3, II), applicants must meet the following conditions in order to receive Rental Assistance:

- FEMA verifies, as a result of the disaster, the pre-disaster residence is:
  - Uninhabitable and requires repairs to make the residence habitable; or
  - Inaccessible and cannot be entered due to access impediments (e.g., fallen trees, downed power lines, damaged access ramps) or restrictions placed by Federal, SLTT government officials; or
  - Affected by utility outages that disrupt functionality of the residence; or
  - Unavailable due to forced relocation, such as the property owner restricting access to the property due to disaster damage or the owner taking possession of the

property for their own disaster housing.

- Applicant is not insured or has insufficient coverage to meet temporary housing needs (e.g., ALE, LOU coverage).
- Applicant is willing to relocate while repairs are being made to their pre-disaster residence. FEMA will not provide Rental Assistance to applicants who choose not to relocate.
- Applicant does not have access to adequate rent-free housing, or own a secondary or vacation home[98] within a reasonable commuting distance[99], or own an available rental property that meets their temporary housing needs.[100]

FEMA generally provides only one Rental Assistance award per household to assist all members of the pre-disaster household. FEMA will provide assistance to rent one housing unit per application, unless the size or nature of the applicant's household requires assistance to rent more than one housing unit (see Chapter 3, II).[101]

Reasonable Commuting Distance

Reasonable commuting distance means a distance that does not place undue hardship on an applicant. It also takes into consideration travel time involved due to road conditions (e.g., mountainous regions or bridges out) and the normal commuting patterns of the area.

C. Continued Temporary Housing Assistance

FEMA may provide Continued Temporary Housing Assistance to eligible applicants based on need and generally only when adequate, alternate housing is not available, or when the applicant's permanent housing plan has not been fulfilled through no fault of the applicant.[102] The continued temporary housing need must be documented and the applicant must continue to work toward obtaining permanent housing to remain eligible for Continued Temporary Housing Assistance.

Permanent Housing Plan

Permanent housing plan means a realistic plan that within a reasonable time frame, puts the disaster survivor back into permanent housing that is similar to the pre-disaster housing situation. A reasonable time frame includes sufficient time for securing funds, locating a permanent dwelling, and moving into the dwelling.

FEMA generally expects that pre-disaster renters will use their initial Rental Assistance to obtain permanent housing[103] and that all recipients of financial assistance will obtain and occupy permanent housing at the earliest possible time.

Continued Temporary Housing Assistance is based on the HUD FMR for the county, parish, tribal land, municipality, village, or district in which the post-disaster housing unit is located. Award amounts are based on actual rent costs plus a utility allowance determined by HUD, not to exceed the HUD FMR unless a Rental Assistance rate increase has been authorized.[104]

As a type of Temporary Housing Assistance, Continued Temporary Housing Assistance does not count toward the financial Housing Assistance maximum award. FEMA may provide Temporary Housing Assistance up to 18 months or the end of the 18-month period of assistance, whichever comes first, plus one additional month of rent when utilized for a security deposit. Initial Rental Assistance and Continued Temporary Housing Assistance count toward the 18-month limit. If the 18-month financial period of assistance is extended, the number of eligible months of Rental Assistance will also be extended.

1. Conditions of Eligibility

Applicants must meet the following conditions to receive Continued Temporary Housing Assistance:

- Applicant was awarded initial Rental Assistance.
- Applicant exhausted previously awarded Rental Assistance for its intended use.
- Applicant is unable to return to their pre-disaster residence because it is uninhabitable, inaccessible, or not available due to the disaster.
- Applicant does not have the financial ability to obtain housing without assistance.[105]
- Applicant is not receiving assistance from any other source for temporary housing.

Maximum Rental Assistance

The maximum amount of Continued Temporary Housing Assistance available under IHP is 18 months of assistance plus the security deposit.
If the 18-month financial period of assistance is extended, the number of eligible months of Rental Assistance will also be extended.

2. Required Documentation

Applicants in need of Continued Temporary Housing Assistance may request additional assistance by completing *FEMA Form FF-104-FY-21-115: Application for Continued Temporary Housing Assistance* (see *Figure 19* for an excerpt of the form), and return it to FEMA along with supporting documentation.[106] The form can also be found online by searching on www.FEMA.gov.

- **Homeowners**: if the applicant's FEMA-verified Real Property Loss exceeds the amount of initial Rental Assistance awarded, the *Application for Continued Temporary Housing Assistance* will automatically be mailed after the initial Rental Assistance award.
    - If the recorded FEMA-verified Real Property Loss does not exceed the amount of initial Rental Assistance awarded, the applicant must call FEMA's Helpline to request an *Application for Continued Temporary Housing Assistance.*
- **Renters**: the applicant must call FEMA's Helpline to request an *Application for Continued Temporary Housing Assistance.*

FEMA uses the information collected on *Application for Continued Temporary Housing Assistance* to verify an applicant continues to have a disaster-caused need for Continued Temporary Housing Assistance and determine how much Rental Assistance the applicant may be eligible to receive.



A FEMA Program Specialist provides service to a flood survivor in Melbourne, FL.

Figure 19: Excerpt of FEMA Form FF-104-FY-21-115: Application for Continued Temporary Housing Assistance

DEPARTMENT OF HOMELAND SECURITY
Federal Emergency Management Agency

OMB No. 1660-0061
Expires January 31, 2024

INDIVIDUALS AND HOUSEHOLDS PROGRAM
APPLICATION FOR CONTINUED TEMPORARY HOUSING ASSISTANCE

Instructions for Completing Your Application for Continued Temporary Housing Assistance

Please read these instructions prior to filling out your "Application for Continued Temporary Housing Assistance".

STEP ONE: Fill out the form. **NOTE:** After your initial "Application for Continued Temporary Housing Assistance" is approved, the "Pre-Disaster or Prior Reported" column on the form will be filled-in for you, using the information provided by you in your previously approved request.

Items 1-6

Items 1 through 6 will be filled-in for you, using the information provided by you at registration. If the information supplied on the form is correct, you may move on to Item 7: "Housing Costs." However, if the information is incorrect, please check the box that is incorrect and provide the updated information.

Current Mailing Address is the address you want FEMA to send you disaster assistance information, such as letters regarding your eligibility for continued temporary housing assistance.

Current Phone is the phone number that FEMA can use to contact you about your application for continued temporary housing assistance and other disaster assistance.

Item 7

You will need to supply the dollar amount of both pre-disaster and current expenses that are applicable to your household.

Next to the appropriate "Expense" enter the dollar amount of your bill or payment.

You must submit a copy of each document to prove the dollar amount included as a "Housing Cost." This would include documents such as your mortgage statement, rent receipts and utility bills. Shade in the circle next to the "Expense" indicating that you have attached the document to your application. You must submit documentation that can be verified; otherwise the amount will not be accepted.

Under "Payment Cycle", shade in the circle indicating how you are billed for the housing expense.

7. Housing Costs (See Instructions for Definitions of Expenses)								
Expense	Pre Disaster or Prior Reported	Current	Shade if Document is Attached	Payment Cycle (How You Are Billed)				
				Monthly (1)	Quarterly (4)	Bi-Annual (6)	Annual (12)	Other
Mortgage	$1495.00	$1495.00	●	●	○	○	○	○

Definitions for certain expenses have been provided below.

Home Insurance means typical homeowners, renters, flood, or earthquake insurance policy or any other type of insurance policy or rider for the dwelling.

Housing Cost means the rent and/or mortgage payments (including principal, interest, and real estate taxes), real property insurance, and utility costs (not to include cable television, internet, and telephone service).

Housing Unit means a house, apartment, a manufactured home, recreational vehicle, or other readily fabricated dwelling. A room or group of rooms in an occupied dwelling may qualify as a housing unit if the room(s) in which the applicant and household live are separate from any other persons in the dwelling/building, and are generally available to be rented by the public.

Item 8

In addition to providing **a copy of your written and signed lease**, you will have to provide the name and phone number of the landlord. The lease must be signed by the applicant or co-applicant and the landlord.

QUESTIONS OR NEED ASSISTANCE?

If you have any questions about completing this document, you should call the FEMA Disaster Helpline at 1-800-621-FEMA (3362) (hearing/speech impaired only: 1-800-462-7585) as soon as possible.

FEMA Form FF-104-FY-21-115 (formerly 010-0-12)
(3/21)

Page 1 of 4

Figure 20 lists supporting documentation that must be submitted to FEMA, as outlined in the *Application for Continued Temporary Housing Assistance*.[107]

Figure 20: Continued Temporary Housing Assistance Documentation	
Type of Documentation	Description of Documentation
Copy of current lease or rental agreement signed by the landlord and tenant	The lease or rental agreement should include location of the unit, amount of rent, duration of lease, and number of occupants.
Proof of prior Rental Assistance provided by FEMA has been used for temporary housing	• Rent receipts showing date, location of rental unit, and time period for which the payment applies. • If separate from the rent, receipts showing payment of essential utilities. Essential utilities are gas, electric, water, oil, trash, and sewer. • If applicable, receipt showing payment of security deposit for up to one month's rent. • In instances where rent receipts are not available, the lease or rental agreement may serve as proof of use of prior Rental Assistance. • Hotel/motel receipts showing date, address of hotel/motel, and time period for which payment applies. Only the cost of the room and taxes charged by the hotel will be considered toward acceptable exhaustion of Rental Assistance.
Proof of pre-disaster housing costs, as identified on the *Application for Continued Temporary Housing Assistance*	• Mortgage statement or lease/rental agreement for the disaster-damaged primary residence. • Real estate tax statement and homeowners or renters' insurance statement, if paid separately from the mortgage. • Essential utility bill(s) for the disaster-damaged primary residence: gas, electric, oil, trash, water, and sewer.
Proof of pre-disaster and current post-disaster income for all members of the household 18 years and older	Examples include, but are not limited to: • Recent pay stubs. • W-2 forms or tax returns from most recent tax year. • Documentation of self-employment, if applicable. • Documentation of government assistance, including Social Security.

Upon subsequent requests for Continued Temporary Housing Assistance, applicants will only be required to submit supporting documentation if the household's income or rent has changed.

3. Limitations and Exclusions

- Applicants must request Continued Temporary Housing Assistance within the period of assistance.
- When an applicant first requests Continued Temporary Housing Assistance and submits documentation that does not demonstrate full exhaustion of the initial Rental Assistance award, the first Continued Temporary Housing Assistance award will be reduced by the remaining amount of initial Rental Assistance.
- Applicants residing in non-traditional housing are not eligible for Continued Temporary Housing Assistance.

D. Rental Assistance Rate Increase

FEMA evaluates the need for a Rental Assistance rate increase by comparing U.S. Census Bureau American Community Survey statistics on housing inventory and vacancy rates to the best available data on disaster-caused housing impacts in declared disaster areas.

The STT government may request a Rental Assistance rate increase by submitting other reliable sources of these data elements for FEMA to use. The FMR Calculator allows FEMA to rapidly evaluate the need for a Rental Assistance rate increase based on pre-disaster housing stock data, the amount of housing impacted by the disaster, and the post-disaster vacancy rate for each impacted county.

FEMA may authorize Rental Assistance rate increases when the FMR Calculator demonstrates available housing for the area is insufficient to meet the disaster-caused housing need, or when elevated housing market rates adversely impact eligible applicants' ability to obtain rental resources.

Pre-Disaster HUD Applications

Prior to reviewing a pre-disaster HUD applicant's file for Continued Temporary Housing Assistance, FEMA will coordinate with HUD to determine if the pre-disaster housing unit is available to the applicant. Once the pre-disaster housing unit is available, or HUD is able to provide housing, FEMA will stop providing Continued Temporary Housing Assistance.

The Regional Administrator (RA) or the Federal Coordinating Officer (FCO), if the RA has delegated authority to the FCO, may approve Rental Assistance rate increases for designated counties (or equivalent) when the FMR Calculator result indicates an increase above 100%. The increase may not exceed the amount indicated by the FMR Calculator, or 125% of the HUD FMR, whichever is lower. The RA or FCO must provide a written notification of the Rental Assistance rate increase and a copy of the FMR Calculator to the IADD.

The IADD may authorize Rental Assistance rate increases above 125% of the HUD FMR for individual counties (or equivalent) when the FMR Calculator indicates an increase to 125% of the published FMR and additional justification demonstrates a further increase is needed to meet the disaster-caused housing need. Only the IADD may authorize statewide Rental Assistance rate increases. The IADD may approve Rental Assistance rate increases for undeclared areas when housing availability is demonstrated to be insufficient to meet the disaster-caused housing need or when elevated housing market rates adversely impact eligible applicants' ability to obtain rental resources.

FEMA only applies Rental Assistance rate increases to Continued Temporary Housing Assistance awards. If an applicant relocates to a temporary housing unit outside of the area approved for a Rental Assistance rate increase, they are no longer eligible for Continued Temporary Housing Assistance awards above the published HUD FMR.

E. Home Repair Assistance

FEMA may provide financial assistance to repair an owner-occupied primary residence, utilities, and residential infrastructure, including private access routes damaged as a result of a Presidentially-declared disaster up to the financial Housing Assistance maximum award.[108] Home Repair Assistance is intended to make the damaged home safe, sanitary, or functional. It is not intended to return the home to its pre-disaster condition. Home Repair Assistance counts towards the financial Housing Assistance maximum award an applicant may receive (see Chapter 3, I).

Home Repair Assistance for specific disaster-damaged accessibility items is not limited by a financial maximum award. The following accessibility items are eligible under Home Repair Assistance:

- Access ramp
- Grab bars

FEMA may provide financial assistance for the repair of real property components, including, but not limited to:

- Structural components of a home (e.g., foundation, exterior walls, roof)
- Windows, doors, floors, walls, ceilings, and cabinetry
- Heating, ventilation, and air conditioning system (HVAC)
- Access and egress, including privately-owned roads, privately-owned bridges, and privately-owned docks
- Blocking, leveling, and anchoring of a mobile home, and reconnecting or resetting its sewer, water, electrical, oil, and fuel lines and tanks
- Line items to restore a houseboat to a habitable state, not necessarily to return the houseboat to seaworthiness
- Utility systems, including electrical, gas, water, oil, and septic/sewage systems

Wells, Furnaces, and Septic Systems

For wells, furnaces, and septic systems, FEMA may provide assistance or reimbursement for the cost of a licensed technician's professional assessment associated with the repair or replacement of those components.

Additionally, when verifiable receipts or estimates are submitted on appeal, FEMA may pay up to the actual cost of the receipt or estimate for wells, furnaces, and septic systems.

Certain items or services determined to be eligible hazard mitigation measures, which reduce the likelihood of future damage to the residence, utilities, or infrastructure, may be eligible for Home Repair Assistance.

Figure 21 shows examples of disaster-caused damage that may be eligible for Home Repair Assistance, and also damage not covered by FEMA's IHP, and therefore, not eligible for Home Repair Assistance.

Figure 21: Types of Potentially Eligible Disaster-Caused Home Damage

May be eligible for Home Repair Assistance:	*Not eligible for Home Repair Assistance:*
Roof	Garage
Windows	Pool
Foundation	Fences
Walls	Landscaping

1. Conditions of Eligibility

In addition to meeting general conditions of eligibility (see Chapter 3, II), the following conditions must be met to receive Home Repair Assistance:

- A FEMA inspection determines the repair of the component is necessary to ensure the safety or health of the occupant or to make the component or residence functional.
- The real property components were functional immediately before the declared disaster.
- The component was damaged, and the damage was caused by the disaster.
- The damage to the component is not covered by insurance.

Components

Components or residences that were fully or partially functional immediately before the declared disaster, despite their need for maintenance, may be eligible for Home Repair Assistance if they ceased to function as a result of the disaster.

2. Limitations and Exclusions

- Hazard mitigation may be awarded as part of Home Repair Assistance for real property components that existed, and were functional, prior to the disaster.
- Home Repair Assistance is not available for non-traditional forms of housing (e.g., tents and certain types of huts and lean-to structures).
- Home Repair Assistance is not available to landlords who do not permanently reside in the damaged dwelling. In addition, any available assistance is limited to the owner-occupied unit and not common areas.
- Applicants whose pre-disaster residence was a houseboat will not be required to obtain and maintain flood insurance for NFIP-insurable items, as these structures are uninsurable under NFIP.
- An applicant with insurance for a covered peril will be ineligible for Home Repair Assistance for insured real property components when the applicant fails to file a claim with their insurance company.
- Home Repair Assistance for flood-damaged real property in basements is limited to damaged items that result in living conditions affecting the safety, sanitation, and functionality of the home.[109]

Basements

FEMA defines a basement as an area of the home with its floor below grade (below ground level) on all sides.

Disaster-caused damage in a basement affecting the safety, sanitation, and functionality of the home may include:

  - Damage to the structural components (e.g., foundation) of the home
  - Damage to critical utilities that support the overall function of the home (e.g., furnace, water heater)
  - Damage to the structure's interior (e.g., doors, floor covering); limited to rooms required for the occupancy of the dwelling (e.g., occupied bedrooms, a bathroom required for the occupied bedroom, a sole kitchen or living room); and no other room in another part of the dwelling meets that need
  - Damage presenting a hazard (e.g., wet or moldy drywall, carpet, or cabinets) in non-essential living areas (for removal only)

- Home Repair Assistance award amounts are based on repair or replacement of components that are of average quality, size, or capacity.
- Home Repair Assistance will not be provided to make improvements to a component's pre-disaster condition unless required by current SLTT government building codes or ordinances, similar products are no longer feasible or available in the marketplace, or for reasonable hazard mitigation measures.

F. Privately-Owned Access Routes

FEMA may provide financial assistance to repair privately-owned access routes (i.e., driveways, roads, or bridges) damaged as a result of a Presidentially-declared disaster.[110] Assistance for privately-owned access routes is intended to restore access to the owner's primary residence. In instances where multiple households share a privately-owned access route, assistance is shared between applicants, requiring additional coordination and documentation between FEMA and the applicants.

1. Conditions of Eligibility

In addition to meeting general conditions of eligibility (see Chapter 3, II.), the following conditions must be met to receive Home Repair Assistance for privately-owned access routes:

- A FEMA inspection determines repairs are necessary to provide drivable access to the primary residence.
- The applicant is responsible (or shares responsibility with other homeowners) for maintaining the privately-owned access route to their primary residence.
- The privately-owned access route is the only access to the applicant's primary residence or repair or replacement of a secondary route is necessary for practical use (e.g., it is impossible to access the residence without a bridge or road) or the safety of the occupants or the residence would be adversely affected because SLTT government emergency equipment could not reach the residence.
- Docks only:
    - A FEMA inspection will be required to determine whether the dock was functional prior to the Presidentially-declared disaster and whether repair is necessary.
    - The dock must be the applicant's sole means to access the primary residence.
    - Dock maintenance and repairs must be the applicant's responsibility.
- If multiple households share the access route, FEMA also requires:
    - Written consent from all applicants as pertains to the shared privately-owned access route.
    - A declarative statement affirming any assistance FEMA provides will be used to make repairs to the access route and the applicant understands they are responsible for securing permits and complying with local codes and ordinances.
    - The applicants do not have an established Homeowner's Association or Covenant responsible for repair of the access route, or the established Homeowner's Association or Covenant is unable to receive assistance from the SBA or private insurance to repair the access route.

Privately-Owned Access Route

If multiple households use a privately-owned access route, FEMA will make several attempts to encourage all households to apply for assistance in order to meet the needs of all affected households. Additionally, access of emergency vehicles should only be considered if access was available prior to the disaster.

2. Limitations and Exclusions

- FEMA will provide other types of IHP assistance before considering providing Home Repair Assistance for an applicant's private access route.
    - For eligible applicants who have sole responsibility to repair the access route to their home, FEMA will provide Rental Assistance, then consider eligibility for Home Repair Assistance for an applicant's private access route.
    - For eligible applicants who share responsibility with other eligible applicants to repair the access route, FEMA will provide Rental Assistance, Home Repair Assistance for items that do not affect the access route, ONA, then consider eligibility for Home Repair Assistance for an applicant's private access route.
- The Home Repair Assistance award amount is based on repair items (e.g., decking, guardrails, and handrails) that are of average quality, size, and capacity.
    - Repair awards will not include improvements to the access route's pre-disaster condition unless improvements are required by current SLTT government building codes or ordinances.
    - An applicant with access and functional needs that requires access for a particular vehicle may be approved for additional funds to improve the access if required for safe passage.
- FEMA will verify whether the SLTT government has taken or plans to take any emergency actions to repair the route to provide access for emergency service providers or to remove immediate threats to public health and safety. Eligible activities for the repair of privately-owned access routes are subject to Federal Environmental Planning and Historic Preservation (EHP) compliance review requirements.
    - Eligible applicants are responsible for complying with any conditions developed as a result of the EHP compliance review process and for obtaining any applicable Federal or SLTT government permits prior to conducting work.



EHP Compliance Review

The EHP compliance review process considers the effects of Federal funding on resources such as endangered species, floodplains and wetlands, and historic properties. FEMA is required to ensure that Federal funding complies with applicable Federal EHP laws, regulations, and executive orders (EOs) prior to providing assistance.

- If multiple families share an access route, individuals who do not apply or do not meet all eligibility requirements will not receive assistance and will not be considered when determining the amount an eligible applicant will receive.

G. Home Replacement Assistance

FEMA may provide financial assistance to owners whose primary residences were destroyed as a result of a Presidentially-declared disaster. Home Replacement Assistance may be applied toward purchasing a new permanent residence even if the new residence's cost is greater than the financial Housing Assistance maximum award.

FEMA calculates the replacement award amount according to the consumer price index data for the types of housing in the area where the damage occurred. FEMA establishes award amounts based on whether the pre-disaster home was a manufactured home, travel trailer, houseboat, or residential construction (e.g., single-family home). However, the award amount cannot exceed the financial Housing Assistance maximum award (see Chapter 3, I.).

1. Conditions of Eligibility

In order to qualify for Home Replacement Assistance, applicants must meet general conditions of eligibility (see Chapter 3, II.) and the following:

Functional

Functional means a "component" or residence capable of being used for its intended purpose, or an item or home capable of being used for its intended purpose.

- The residence was functional immediately before the disaster.
- A FEMA inspection confirms the residence was destroyed, and the damage was caused by the disaster. FEMA considers a residence destroyed when:
    - Disaster-caused damage necessitates the replacement of the majority of two or more major structural components (e.g., basement walls/foundation, load-bearing walls, or roof assembly have collapsed);
    - The disaster has completely removed the above-grade structure and only the foundation remains;
    - Flood waters have reached the roof, inundating the majority of the structure's living area;
    - The dwelling is in imminent threat of collapse because of disaster-caused damages;
    - In the case of mobile homes and or travel trailers, when the frame is visibly bent or twisted and releveling is not possible; or
    - Repair is not feasible, and replacement is necessary to ensure the safety or health of the occupant or make the residence functional.
- The damage to the residence is not covered by insurance.

2. Limitations and Exclusions

- Home Replacement Assistance is not available for non-traditional forms of housing (e.g., tents, certain types of huts, and lean-to structures).
- Home Replacement Assistance is not available for other residence types (e.g., yurts and shipping containers or railroad cars converted into living quarters).
- Home Replacement Assistance is not available to landlords who do not permanently reside in the damaged dwelling. In addition, any available assistance is limited to the owner-occupied unit and not common areas.

3. Appeal Considerations

If FEMA determines the residence was not destroyed by the disaster, the applicant may appeal by submitting supporting documentation from governing authorities giving notice of condemnation, substantial damage, or demolition.

- FEMA will not automatically determine an applicant eligible for Home Replacement Assistance based on submitted documentation, because local authority determinations could be based on non-disaster-caused circumstances.
- After receiving appeal documentation from the applicant, FEMA will review the request to determine if another inspection is necessary in order to verify if the residence was destroyed due to disaster-caused damage.

V. Housing Assistance (Direct)

The Housing Assistance provision of the IHP, authorized by Section 408(c) of the Stafford Act, provides assistance for disaster-caused housing needs not covered by insurance or provided by any other source. FEMA may provide Direct Housing Assistance in two forms: Direct Temporary Housing Assistance and PHC. These types of assistance do not count toward the financial Housing Assistance maximum award.[111]

FEMA may only provide Direct Temporary Housing Assistance when eligible applicants are unable to use Rental Assistance to secure temporary housing. Direct Temporary Housing Assistance includes providing Temporary Housing Units (THUs) through MLR or Direct Lease, or placing TTHUs on private, commercial, or group sites.[112]

FEMA may only provide PHC in insular areas and other locations when other housing options are not available and Temporary Housing Assistance (i.e., Rental Assistance or Direct Temporary Housing Assistance) is not feasible, available, or cost-effective. PHC may be delivered in the form of repairs and new construction.[113]



THU and TTHU

Temporary Housing Unit (THU): A house, apartment, cooperative, condominium, manufactured home, or other dwelling acquired by FEMA and made available to eligible applicants for a limited period of time. The term "THU" includes TTHUs where used in this guidance, except where specifically stated otherwise.

Transportable Temporary Housing Unit (TTHU): A readily-fabricated dwelling (i.e., a Recreational Vehicle [RV] or Manufactured Housing Unit [MHU]) purchased or leased by FEMA and provided to eligible applicants for use as temporary housing for a limited period of time.

A. Direct Housing Assessment

When there appears to be a lack of available housing resources within the HUD FMR or the approved Rental Assistance rate for the disaster to support the potential need for housing due to the disaster, FEMA will partner with the affected STT government to perform a comprehensive analysis of the disaster-caused housing needs and available resources. This assessment is comprised of local housing information, U.S. Census Bureau American Community Survey Statistics on housing inventory and vacancy rates, registration projections, and other data to determine if the disaster-caused housing needs significantly exceed available resources in declared disaster areas.

For National Response Coordination Center (NRCC) Level 1 events with major disaster declarations authorizing IA (and some Level 2s), the FCO or RA may request a Sheltering and Housing Field Team (SHFT) deploy to provide subject matter expert planning support in addition to the assessment described above. This request will be approved or denied by the IA Division Director. Once the SHFTs are established, rostered teams made up of FEMA HQ and Regional staff will support the JFO staff in outlining a streamlined suite of sheltering and housing solutions as well as case management services that promote effective and efficient housing recovery outcomes. In the event that a SHFT is not deployed, a Sheltering and Housing Strategy should be developed for any disaster where multiple sheltering and housing options may be needed to support the needs of disaster survivors.

FEMA expects states to maintain a State-Led Disaster Housing Task Force (SLDHTF), which will examine the scope of disaster-caused housing needs, to explore available rental resources and feasible alternatives, and to develop housing solutions.

Other duties of the SLDHTF include:

- Coordinating with federal and SLTT government, non-governmental organizations, and private sector expertise to identify, evaluate, and deliver available programs, resources, and capabilities to disaster survivors; and
- Providing federal and non-Federal supported assistance through agencies other than FEMA, including case management, administration of the HUD Community Development Block Grant – Disaster Recovery Program and organization of the mission.

FEMA will coordinate with the SLDHTF to identify all applicable compliance requirements for providing Direct Temporary Housing Assistance, if needed, including Federal, SLTT government ordinances, the currently-adopted building code, zoning, permitting process and requirements, and any impediments and requirements that may impact the delivery of Direct Temporary Housing Assistance. Other related requirements may include:

- SFHA and wetland identification;
- EHP compliance requirements, including those related to any Federally-listed threatened or endangered species and designated critical habitat or historic properties; and
- A comprehensive procurement approach, including market research and independent government cost estimates.

B. Direct Temporary Housing Assistance Request and Approval

FEMA will only authorize Direct Temporary Housing Assistance in response to a written request from the State, Territorial, or Tribal Coordinating Officer (SCO/TCO) or Governor's Authorized Representative (GAR) or Tribal Chief Executive's Authorized Representative (TAR).

FEMA's AA for Recovery has the authority to approve Direct Temporary Housing Assistance and to specify relevant conditions for implementation. The AA for Recovery will evaluate the Direct Temporary Housing Assistance request from the STT government using information obtained from the Sheltering and Housing Strategy and the RA or FCO's/TCO's recommendation and provide approval if all the following have been verified:

- Eligible applicants are unable to make use of Rental Assistance due to a lack of available housing resources.[114]
- Increasing the Rental Assistance rate to 125% of the HUD FMR will not be sufficient to meet the disaster-caused housing needs.
- Assistance from other providers, including other Federal agencies, STT government, and voluntary organizations is insufficient to meet the disaster-caused temporary housing needs of eligible applicants.

In the event the preceding criteria is verified by the Region and the Disaster Housing Unit (DHU), the AA for Recovery may authorize the Initial Direct Temporary Housing Assistance Approval in the form of TTHUs on private and commercial sites and MLR.

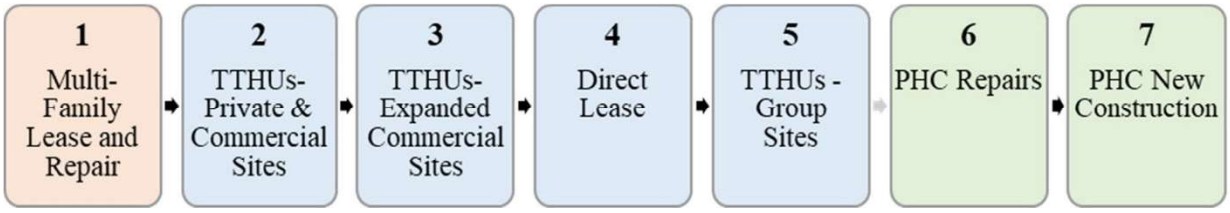
The RA will review the Sheltering and Housing Strategy to determine which forms of Direct Temporary Housing Assistance to implement based on locations and numbers of eligible applicants and the availability, feasibility, and cost-effectiveness of each option. The RA may delegate this authority to the FCO. In addition, the RA may approve the implementation of group site(s) if the approved Direct Temporary Housing Assistance options are not feasible. The RA must notify the AA for Recovery in writing prior to approving and implementing any TTHU Group Site(s).

A separate written request from the FCO to the AA for Recovery is required for the approval of Direct Lease. The request should demonstrate that other forms of housing assistance have been evaluated and delivered in sequential order and are not sufficient to meet the disaster-caused housing need; housing needs exceed the capacity to provide MLR units or TTHUs in a timely manner; and when one or more properties have been identified that meet the criteria identified in the Direct Lease (see Chapter 3, V.G) section of this chapter.

After Direct Temporary Housing Assistance has been approved and the forms of assistance being provided have been determined, the FCO will coordinate with the STT government to execute an addendum to the agreement between FEMA and the STT government that describes the responsibilities of each party. FEMA will use the most cost-effective forms of available Direct Temporary Housing Assistance to meet the disaster-caused housing needs. In general, FEMA, in coordination with the STT government and based on disaster-specific requirements, will prioritize the types of Direct Temporary Housing Assistance as depicted in *Figure 22*.

PHC may be considered when no alternative housing resources are available and all forms of Direct Temporary Housing Assistance are unavailable, infeasible, or not cost-effective.

Figure 22: General Sequence of FEMA Direct Housing Assistance Options



C. Direct Temporary Housing Assistance Conditions of Eligibility

This section describes the additional conditions FEMA uses to determine an applicant's eligibility for Direct Temporary Housing Assistance and to select the appropriate size and type of THU to meet the household's needs.

Direct Temporary Housing Assistance

Applicants who are ineligible for Home Repair Assistance or Home Replacement Assistance because they failed to maintain flood insurance required as a condition of accepting financial assistance in a previous disaster may still be eligible for Direct Temporary Housing Assistance.

1. Conditions of Eligibility

Applicants who may be eligible for Direct Temporary Housing Assistance must:

- Meet all the conditions of eligibility found in IHP Eligibility (see Chapter 3, II.).
- Be verified by FEMA through the on-site inspection conducted following an applicant's registration as:
  - An owner of a disaster-damaged primary residence with real property verified loss amount of at least $12 per square foot (see examples in *Figure 23*);[115] or
  - A renter with a disaster-damaged primary residence that was destroyed or received major damage as a result of the disaster.

Figure 23: Three examples of the $12 per square foot Real Property Verified Loss Amount

Square Footage of Disaster-Damaged Primary Residence	Real Property Verified Loss Amount at $12 per square foot.
Disaster-damaged primary residence sq.ft. X $12 = FVL	
750 square feet	$9,000
2,000 square feet	$24,000
5,000 square feet	$60,000

- Not be receiving Continued Temporary Housing Assistance and Direct Temporary Housing Assistance for the same months.

Limitations and Exclusions

- Applicants who are receiving Continued Temporary Housing Assistance for a rental resource outside of reasonable commuting distance of their disaster-damaged residence may be considered for Direct Temporary Housing Assistance.

FEMA contacts applicants to obtain information about their household's unmet temporary housing needs. During the contact, typically by phone, FEMA refers eligible applicants to other adequate, accessible, alternate housing units, if available, prior to discussing Direct Temporary Housing Assistance. FEMA expects applicants to accept the first offer of available alternate housing that meets their household's needs; applicants who refuse available housing that meets their household's needs may become ineligible for Direct Temporary Housing Assistance. FEMA determines no housing resources are available or available alternate housing resources are not adequate for the applicant's household because of one of the following:

Recording Property Loss

FEMA inspectors do not record real property losses for renters, as renters are not responsible for repairs to their pre-disaster residence. Therefore, the threshold for renters is based on a general level of damage rather than a specific dollar amount of real property damage.

- Housing resources are not within reasonable commuting distance.
- Housing resources exceed the applicant's financial ability, defined as no more than 30% of the household's income.
- The applicant's household includes children attending school (not including post-secondary education) and transportation to school is not available from any of the available alternate housing resources.
- Housing resources are not accessible to one or more members of the applicant's household who have a disability.
- Housing resources are not in reasonable distance to accessible public transportation and the household includes one or more persons with a disability who requires accessible public transportation.
- The applicant's primary residence is a working agricultural interest generating at least 50% of the household's pre-disaster income.
- A member of the applicant's household needs in-home health care services, or provides such services to a friend, neighbor, or relative, and would be unable to receive or provide these services if relocated to an alternate housing resource.
- The applicant is able to provide verifiable documentation that demonstrates:
    - The landlord or leasing agent will not agree to a lease of less than one year; or
    - The applicant is unable to use Rental Assistance due to adverse credit history or criminal background. However, FEMA will coordinate with voluntary agencies and other organizations to assist in housing applicants in this situation prior to considering Direct Temporary Housing Assistance.

2. Temporary Housing Unit (THU) Selection Considerations

FEMA determines the size of the THU to provide an applicant based on the required number of bedrooms recorded during the FEMA inspection. FEMA generally provides one bedroom for every two persons in the applicant's household.[116]

- FEMA may provide an additional bedroom based on the age, sex, and relationship of household members or as a reasonable accommodation for a household member with a disability.
- FEMA may provide an additional unit when the size of the applicant's household exceeds the capacity of a single available unit; however, FEMA will not provide more than one unit when the household has only one member over the age of 18.

D. Direct Temporary Housing Assistance Terms and Conditions

FEMA provides Direct Temporary Housing Assistance for up to 18 months from the date of the declaration when adequate, alternate housing is unavailable, and the occupants cannot fulfill their permanent housing plan (PHP) through no fault of their own.

FEMA re-evaluates the occupant's eligibility on a periodic basis through recertification visits, usually monthly, and the Direct Temporary Housing Assistance eligibility for the entire period of assistance is subject to the occupant continuing to meet recertification requirements. All occupants must agree to comply with FEMA's rules, terms, and conditions for occupying the THUs before they move in by signing a Temporary Housing Agreement or Revocable License. The Revocable License documents the applicant's acceptance of government property for temporary housing based on FEMA's terms and conditions included in the license.

Occupants must demonstrate a continued housing assistance need, actively participate in the FEMA recertification process, and show progress towards achieving their PHP to remain eligible to stay in the THU. When applicants fail to comply with FEMA's rules, terms, and conditions, FEMA may terminate their eligibility to remain in the THU.[117] Applicants who have been deemed ineligible by FEMA and refuse to vacate the THU will be subject to a monthly penalty fee. If an applicant refuses to surrender possession of the THU, legal and/or other appropriate actions may be taken.[118]

1. Occupant Responsibilities

All THU primary occupants must sign and abide by the terms and conditions of the Temporary Housing Agreement or Revocable License, which specifies the household's obligations to:



Primary Occupant

Primary Occupant means the applicant, co-applicant, or other household member over the age of 18 who signs the Temporary Housing Agreement or Revocable License. The primary occupant is responsible for any monthly rent or additional charges related to the Temporary Housing Unit.

- Comply with THU Rules of Use, such as maintaining the unit, its furnishings, and the surrounding area in a clean and orderly condition; paying applicable utility charges; and not damaging or making unauthorized modifications to the THU and its contents;
- For THUs not owned by FEMA (i.e., MLR property) or located on sites not owned by FEMA or the occupant (e.g., commercial parks), the occupant will be required to comply with the terms of the owner's lease or terms of use;
- Act to secure adequate, alternate housing at the earliest possible time within the period of assistance;
- Comply with all rules for a group site or commercial park and comply with all relevant local ordinances;
- Refrain from conduct which adversely affects FEMA's property interest in the THU or the rights of other THU occupants to enjoy safe, secure, and functional temporary housing; and
- Meet the recertification requirements identified in continued Direct Temporary Housing Assistance.

FEMA will notify insured THU occupants that DOB may occur if an insured member of their household receives ALE or LOU benefits to rent alternate housing. When this occurs, the primary occupant must:

- Pay an amount equal to the ALE or LOU benefit to FEMA starting from the date the occupant signed the Temporary Housing Agreement or Revocable License. The amount of the monthly DOB payment to FEMA will not exceed FMR; and
- Continue to make the ALE or LOU payment to FEMA until the total amount of the insurance benefit for ALE or LOU has been exhausted or the occupant vacates the THU, whichever is first.

2. Direct Temporary Housing Assistance Recertification

FEMA requires all THU occupants to actively participate in the recertification process. Occupants are responsible for achieving their PHP at the earliest possible time within the period of assistance and providing verifiable information of their progress towards their PHP to FEMA in order to receive continued Direct Temporary Housing Assistance.

FEMA requires the THU primary occupant to establish a realistic PHP for their household no later than the first recertification. An acceptable PHP may demonstrate one of the following:

- A pre-disaster owner is able to repair or rebuild the pre-disaster residence.
- A pre-disaster owner is able to purchase a new residence.
- A pre-disaster owner or renter is able to find and lease an available rental unit.
    - FEMA expects owners who cannot demonstrate the ability to repair or rebuild their pre-disaster residence or purchase a new residence within the period of assistance to move into an available rental resource.

FEMA will regularly review the primary occupant's PHP to ensure the plan is realistic and achievable within the period of assistance. A PHP is realistic and achievable when:

- The primary occupant demonstrates sufficient financial resources or other viable means for achieving the PHP within the period of assistance. Sufficient resources include funds or a verifiable commitment of non-financial assistance, such as voluntary agency labor; and
- The primary occupant shows documented progress towards the PHP (e.g., acquired permits, contracts for repairs).

Primary occupants who have not made progress toward their PHP must demonstrate it is due to no fault of their own (e.g., a contractor failure to obtain the required building permits, or a delay in the rental unit move-in date) and update their PHP to ensure they are realistic within the period of assistance.



Disaster Case Management Program (DCM)

SLTT governments may request the FEMA DCM Program if the Presidential disaster declaration includes IA. DCM provides disaster survivors with a single point of contact to facilitate access to a broad range of resources.

The case manager verifies the survivor's disaster-caused unmet needs, and works with the survivor to develop a goal-oriented plan that outlines the steps necessary to achieve recovery.

The case manager also organizes and coordinates information on available resources that match the survivor's disaster-caused need, monitors progress toward reaching recovery plan goals, and advocates for the survivor as needed. DCM is a critical resource for THU occupants with disaster-caused needs. More information on DCM is available in Chapter 4.

3. Health and Safety Concerns of THU Occupants

When an occupant reports a health and safety concern about the living environment in the THU, FEMA will investigate to identify or verify the cause and obtain professional, expert recommendations for addressing the concern. If this investigation determines that testing and/or remediation is necessary, FEMA may require the occupant to vacate the unit. FEMA may offer alternate accommodations and moving and storage of personal property for occupants displaced due to any THU testing and/or remediation requirements.

4. Extension of Direct Temporary Housing Assistance

FEMA may extend Direct Temporary Housing Assistance beyond the 18-month period of assistance when the affected STT government requests an extension in writing.[119] Consistent with the terms and conditions of the FEMA-State/Territory/Tribe Agreement , the affected STT government should request an extension at least 90 days before the end of the current period of assistance. The AA for Recovery will consider the request for approval. When the period of assistance is extended, FEMA will begin monthly rent collection from eligible occupants of FEMA TTHUs.[120]

- FEMA may authorize extensions to the initial 18-month period of assistance due to extraordinary circumstances when doing so is in the public interest.
- FEMA will typically not consider extending the period of assistance without a written request from the SCO/TCO or GAR/TAR. However, FEMA may extend the period of assistance at its sole discretion; extensions to the period of assistance will only be considered in situations where the remaining disaster-caused temporary housing need exceeds the capabilities of the STT government, or the affected communities to support and there remains a lack of available resources. The request should include supporting documents demonstrating this housing need.
- The AA for Recovery may consider extending the period of assistance when:
    - Adequate, alternate housing is not available in the affected area; and
    - Accessible housing units for occupants with disabilities are not available or are not within a reasonable commuting distance of essential services (e.g., accessible public transportation, grocery, medical facilities, banking, child care, schools, or place of employment).
- If an extension is approved, the affected STT government must execute an amendment to the FEMA-State/Territory/Tribe Agreement .

5. Rent Collection for FEMA Temporary Housing Unit

After the period of assistance has been extended, FEMA charges the primary occupant a monthly rent for each month any occupant remains in or maintains possession of a THU.[121] The monthly THU rent is based on the locally applicable FMR.

Establishing Rent Amount: FEMA calculates each occupant's monthly rent by applying the FMR based on the number of bedrooms in the THU and the THU location for the fiscal year in which Direct Housing Assistance is extended. Monthly rent begins accruing on the first day of the first full month after the extended assistance period begins.[122] The primary occupant will be required to pay monthly rent due on the first day of each month after the date rent begins to accrue.[123]



Extra Bedroom

If FEMA provided the occupant with an extra bedroom as a reasonable accommodation, the extra bedroom will not be included in the monthly rent calculation. Reasonable accommodations are part of the cost of doing business, and no surcharges will be passed on to the occupants to pay for them. See Title II of the Americans with Disabilities Act for further information.

Prior to the end of the period of assistance, FEMA provides a 30-day written notice of the requirement to pay monthly rent to occupants who remain in a FEMA THU after the initial 18-month period of assistance.

FEMA does not prorate rent or reimburse the primary occupant when any occupant remains in or maintains possession of the THU on or after the first day of the month. If any occupant remains in the THU on or after the first day of the month, the primary occupant is required to pay full rent for that month.

Appeal of Rent: If the primary occupant is unable to pay the amount of rent established by FEMA, they may appeal FEMA's rent determination within 60 days of receiving FEMA's notice of the requirement to pay monthly rent. Appeals must include documentation demonstrating the applicant does not have the financial ability to pay the established rent. Specifically, FEMA requires both of the following information:

- Pre-disaster versus post-disaster monthly gross income of all household occupants age 18 or older; and
- Pre-disaster versus post-disaster monthly housing costs, such as mortgage payments on the pre-disaster residence while it is being repaired.

FEMA may adjust the amount of rent using the following criteria:

- If the total monthly adjusted gross income amount of all occupants 18 years of age and older remained the same subsequent to the disaster, FEMA will consider the primary occupant capable of paying the same monthly amount for housing costs paid before the disaster or 30% of their household income, whichever is greater, but not to exceed the FMR.



Minimum Rent Amount

FEMA uses 30% of the total monthly adjusted gross income of all household members' income based on the formula used by HUD to determine the Total Tenant Payment (TTP). HUD allows a minimum rent amount to be set in the TTP formula of up to $50. FEMA established $50 as the minimum rent amount.

- If the total monthly gross adjusted income amount of all occupants 18 years of age and older increased or decreased as a result of the disaster, FEMA will consider the primary occupant capable of paying a monthly amount for housing costs equal to 30% of their household income or the FMR, whichever is greater, but not to exceed the FMR.
- FEMA will subtract post-disaster monthly housing costs from the amount FEMA considers the primary occupant is capable of paying for housing costs to determine the FEMA adjusted rent amount to be charged, up to the applicable FMR. Housing costs may include rent and/or mortgage payments (including principal, interest, and real estate taxes) on the pre-disaster primary residence, real property insurance, and utility costs (not to include cable television, internet, and telephone service).
- FEMA will not charge any primary occupant more than the FMR for monthly rent.
- FEMA will not charge any primary occupant less than the FEMA minimum monthly rent amount of $50.

Rent for a THU will continue to accrue each month while FEMA is considering the appeal, which may take up to 90 days.

- If the appeal is denied, the primary occupant must pay the originally established rent in total within 30 days of the date of the appeal decision, including any rent not paid while FEMA was considering the appeal; or

- If the appeal is granted, the primary occupant must pay the adjusted rent in total within 30 days of the date of the appeal decision:
    - If the primary occupant paid the originally established rent amount while FEMA considered the appeal, FEMA will reimburse any overpayment based upon the amount of the adjusted rent.

6. Reasons for Terminating Direct Temporary Housing Assistance

The occupant's eligibility to live in a FEMA THU automatically expires at the end of the period of assistance, unless the period of assistance has been extended. FEMA may terminate an occupant's Direct Temporary Housing Assistance prior to the end of the period of assistance for the following reasons:[124]

Program Eligibility Violations

- Occupants are not actively participating in the recertification process (e.g., not being available to meet with FEMA recertification staff on a regular basis).
- Occupants are not taking all actions necessary to achieve their PHP in a reasonable time frame.

Conduct Violations

In some cases, an occupant who committed a violation may be removed from the THU and other occupants may remain in the unit.

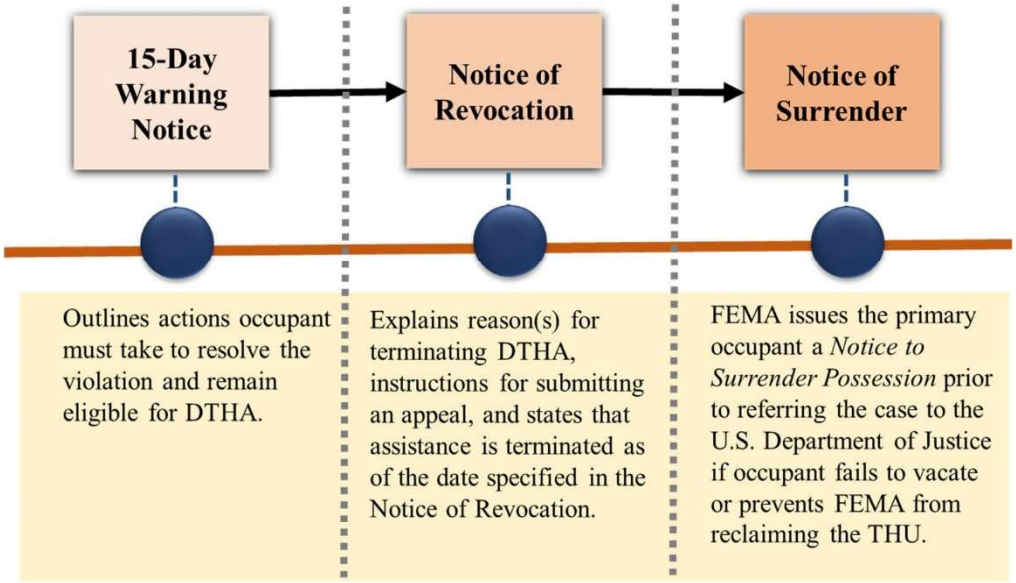
- Major Violations: Occupants engaging in conduct violations involving criminal activity in violation of federal, STT government law, or other actions which present an imminent threat to the health and safety of the occupant, other THU occupants, or persons in the surrounding area. Major violations must be supported by an arrest, police report or other documented health and safety concern and occur in the vicinity of the TTHU or be an immediate threat to one or more occupants of the TTHU or other persons in the surrounding area; however, FEMA does not have to wait for a conviction before terminating assistance. Examples of major violations include, but are not limited to:
    - Assault, battery, rape, domestic violence, child abuse, or sexual assault
    - The use, sale, possession, or manufacture of illegal drugs
    - Destruction or theft of property owned or leased by FEMA (including the THU), other THU occupants (including their guests), and contractors performing work for FEMA.

General Violations

Occupants engaging in conduct violations involving the breaking of rules established by the commercial park or other temporary housing site management rules included within the Temporary Housing Agreement or Revocable License. Examples of general violations include, but are not limited to:

- Excessive noise or disturbance of peace
- Unleashed or unattended pets outside of the THU
- Damage to the THU beyond normal wear and tear
- Failure to maintain reasonably clean and sanitary conditions both inside and outside the THU
- Failure to pay rent, after receiving a warning, and when rent has been assessed following the end of the period of assistance

Figure 24: FEMA Notification of Occupant Violations



7. Process for Terminating Direct Temporary Housing Assistance

FEMA will follow the process outlined below to afford each occupant due process and ensure sufficient justification exists for termination.

- **Warning Notice:** After becoming aware of a program eligibility or general conduct violation, FEMA will notify the primary occupant through a 15-day warning notice, delivered in-person or through certified mail. This notice will outline the actions the occupant must take to resolve the violation and remain eligible for FEMA Direct Temporary Housing Assistance. FEMA will not issue a warning notice for Major Violations or the end of the period of assistance.

- **Notice of Revocation:** If the occupant fails to remedy the violation as outlined in the 15-day warning notice or commits a Major Violation, FEMA will issue the primary occupant a Notice of Revocation (NOR) explaining the reason(s) for terminating Direct Temporary Housing Assistance, instructions for submitting an appeal, and that assistance is terminated as of the date of the letter.
- **Notice to Surrender Possession:** If an occupant fails to vacate or prevents FEMA from reclaiming the THU, FEMA will issue the primary occupant a Notice to Surrender Possession prior to referring the case to U.S. Department of Justice.
- **Appeal Rights:** Occupants can appeal the termination decision within 60 days after receiving the NOR. However, filing an appeal does not relieve the occupant of the responsibility to vacate the THU by the deadline established in the NOR. Also, the occupant cannot appeal a NOR received due to the end of the period of assistance.

8. Penalty Fees

After the period of assistance has ended or an occupant is determined ineligible for continued assistance, primary occupants who fail to surrender the THU by the established deadline are subject to a monthly penalty fee for as long as any occupant remains in or maintains possession of the THU. FEMA may also charge the primary occupant a penalty fee when they fail to pay monthly rent when charged by FEMA or fail to relocate or assume financial responsibility for a TTHU purchased from FEMA as agreed to in the Acknowledgement of Conditions of Sale (see Chapter 3, V.F.).



Example of Penalty

If a homeowner fails to complete repairs or construction on their primary residence within the additional 90 days provided, FEMA will require the homeowner to pay the full, unreduced penalty fee for the length of time the homeowner or any occupant remains in or maintains possession of the THU.

Paying the penalty fee does not entitle an occupant to remain in a THU nor does it prevent FEMA from taking legal action to remove the occupant from the unit. A penalty fee is equivalent to the FMR plus a flat rate fee of $550 or FMR plus FEMA's actual monthly costs for the THU, whichever is higher. The flat rate of $550 is based on the average actual monthly cost per unit for occupied TTHUs from years 2011 to 2015. *Figure 25* offers two examples of the penalty fee.

Figure 25: Example of a Penalty Fee

Examples	FMR	Monthly Cost for THU	Flat Rate Fee	Penalty Fee
Example 1	$1,000*	$475	$550*	$1,550
Example 2	$1,000*	$1,350*	$550	$2,350

Asterisks indicate which columns are used to calculate each example penalty fee.

FEMA may consider reducing the penalty fee to the FMR if occupants meet all the following conditions:

- Primary occupants who are pre-disaster homeowners:
  - Have a PHP to repair or rebuild their primary residence;
  - Have not achieved their PHP through no fault of their own;
  - Need up to an additional 90 days beyond the end of the initial or extended period of assistance to complete the repairs or construction;
  - Have previously declined to purchase their TTHU after the Sales Program was implemented; and
  - Paid monthly rent to FEMA during an extended period of assistance, if applicable (see Chapter 3, V.C.); applicants who were charged rent by FEMA during an extended period of assistance but did not pay will not be considered for a reduced penalty fee.
- Primary occupants who are pre-disaster homeowners and renters:
  - Have a TTHU sale or donation pending beyond the initial or extended period of assistance through no fault of their own; and
  - Pay monthly rent to FEMA during an extended period of assistance, if applicable; applicants who were charged rent by FEMA during an extended period of assistance but did not pay will not be considered for a reduced penalty fee.

Primary occupants may appeal FEMA's decision to terminate assistance; however, the penalty fee may not be appealed. If FEMA accepts the appeal, overturns the termination, and reinstates Direct Temporary Housing Assistance, the occupant will not be required to pay a penalty fee. FEMA will refund the applicant any penalty fees the applicant paid during the appeal period.

Primary occupants who have not paid their penalty fee in full within 120 days of the date of receiving the bill will be referred to the U.S. Department of the Treasury for debt collection.



A FEMA staff member helps a disaster survivor apply for FEMA assistance

E. Multi-Family Lease and Repair

FEMA may provide Direct Temporary Housing Assistance in the form of repairs or improvements to existing, vacant multi-family housing units (e.g., apartments).[125] FEMA may utilize units repaired or improved under MLR as temporary housing for eligible applicants who are unable to use Rental Assistance due to a lack of available resources. MLR is not intended to repair or improve individual units to re-house existing tenants.

1. Property Eligibility Requirements

Properties eligible for MLR must be located in a county/jurisdiction designated for Individual Assistance. In the event no properties are available or properties in the designated area have been exhausted, FEMA may utilize properties located in an undeclared county/jurisdiction in the same state or territory.

Properties located outside of the declared county/jurisdiction must be within reasonable commuting distance of the declared area and must have been impacted by a major disaster. Prior to utilizing a property in an undeclared county/jurisdiction, FEMA must determine that eligible applicants are unable to use financial rental assistance due to a lack of rental resources in the undeclared county/jurisdiction and that MLR properties would be a cost-effective option to other forms of direct temporary housing assistance in the undeclared county/jurisdiction.

Multi-Family Rental Housing

Multi-family rental housing is defined as a rental property that contains three or more dwelling units, each such unit providing complete and independent living facilities for one or more persons, including permanent provisions for living, sleeping, cooking, and sanitation.

In addition to the criteria described above and in Direct Temporary Housing Assistance Request and Approval (see Chapter 3, V.A.), FEMA must verify that one or more properties meet the following conditions of eligibility to authorize MLR:

- The property must have previously been used as multi-family rental housing;
- The property must be located in an area designated for IA under a major disaster declaration; or, if impacted by a major disaster, the property may be located in an undeclared county within reasonable commuting distance of an IA designated area;
- The property must be located within the same state or territory that received a major disaster declaration for the same incident;
- The property must be located within reasonable access to community and wrap-around services such as accessible public transportation, schools, fire and emergency services, grocery stores, etc.;
- The property must be available to be leased to FEMA allowing FEMA's exclusive use as temporary housing for eligible applicants for a term of no less than 18 months from the date of declaration;
- The property owner must agree to allow FEMA to make reasonable accommodation and/or modification repairs or improvements during the term of the lease agreement without requiring FEMA to remove the improvements at the end of the lease agreement; and

- The property owner must provide all property management services, including building maintenance, except where the property is leased or contracted from another government entity, in which case FEMA may directly provide such services.

In the event MLR properties are not available within areas designated for IA, FEMA may utilize properties impacted by the disaster in undeclared areas specifically, for the purpose of implementing MLR, providing:

- There are insufficient properties within already-designated areas to meet the housing need under MLR;
- The undeclared area is within the same state or territory as the area designated for IA;
- The properties in the undeclared areas were also impacted by the same incident;
- FEMA has identified suitable properties within areas proposed to be designated for MLR;
- The properties are necessary to provide temporary housing to eligible applicants within a reasonable commuting distance; and
- The Governor/Tribal Chief Executive or GAR/TAR submits a written request to add the areas to the major disaster declaration and the Associate Administrator for Response and Recovery approves the request.



Rental units in Louisiana being repaired under Multi-Family Lease and Repair to house eligible applicants.

Lease Agreement

Lease Agreement is defined as a lease or contract between FEMA and a property owner for use, and to allow for repairs or improvements to a multi-family rental property. It includes the terms and conditions associated with the use and repairs or improvements being made, and the authorized expenditures to be paid by FEMA to the property owner.

2. Approving Properties

Under the terms of any lease agreement for potential MLR property, the value of the improvements or repairs shall be deducted from the value of the lease agreement. Any repairs or improvements will not take more than four months to complete. To determine the cost-effectiveness of a potential MLR property, compared to other forms of Direct Temporary Housing Assistance, FEMA will take the following actions:

- Determine the estimated cost of repairs or improvements by performing an independent government cost estimate for the necessary repairs and improvements or receive an estimated cost for repairs and improvements from a building contractor.
- Determine the estimated cost of repairs or improvements to make at least one unit per lease agreement, per the Fair Housing Act or other applicable requirements, accessible to applicants with disabilities, including costs to provide marked and signed accessible parking, access to the unit, and accessible common areas.
- Determine the value of the lease agreement by multiplying the approved monthly Rental Assistance rate by the number of units, and then multiplying the number of months remaining between the date the repairs are completed and the end of the 18-month period of assistance.



Fair Market Rent (FMR)

FMR is defined as housing market-wide estimates of rents that provide opportunities to rent standard quality housing throughout the geographic area in which rental housing units are in competition.

The fair market rent rates applied are those identified by HUD as being adequate for existing rental housing in a particular area. FEMA uses the applicable rate based upon the location of the housing unit, the number of bedrooms in the housing unit, and the fiscal year in which the major disaster declaration was issued.

- Deduct the estimated cost of repairs and improvements from the value of the lease agreement by using a two-tier approval process based on RV and MHU acquisition costs.

Tier 1 Approval

- The RA or the FCO, if the RA has delegated the authority to the FCO, may approve MLR property repairs with a unit cost up to $40,000, the average per unit acquisition cost of an Express MHU. An Express MHU is the smallest MHU in FEMA's inventory.

Tier 2 Approval

- MLR property repairs with a unit cost that exceeds the Tier 1 acquisition cost, will require the RA or the FCO, if the RA has delegated the authority to the FCO, to request approval from the IADD. The RA or FCO must provide a written request that includes:
    - Justification for why increasing MLR property costs above the per unit acquisition cost of an Express MHU is a more feasible, cost-effective, and survivor-centric solution; and
    - The requested increase MLR unit cost.

3. Prioritizing Properties

When multiple approved MLR properties exist, FEMA will evaluate properties according to the following factors:

- Cost to the Federal Government;
- Time to complete repairs;
- Landlord's demonstrated ability to manage and/or provide maintenance services;
- Proximity to wrap-around services; and
- Accessibility.

When selecting available properties for use, FEMA will prioritize properties that:

- Make at least one unit accessible.
- Are in proximity to accessible public transportation.

FEMA will consider the specific needs of applicants with disabilities and others with access and functional needs. FEMA will prioritize accessible units for applicants whose household includes one or more persons with a disability. FEMA may only consider placing other eligible applicants in accessible units when all households with accessibility needs have been adequately housed.

Payment Scenarios for an MLR Property Owner

FEMA may, in some scenarios, make payments to an MLR property owner in addition to the costs for the repairs. FEMA may:

- Provide monthly rent to the property owner when the total estimated cost of repairs is less than the FMR value of the lease. The total amount of monthly rent payments may not exceed the difference between the total estimated cost of repairs and the FMR value of the lease. FEMA will not pay monthly rent when total projected cost of all necessary repairs and improvements equals or exceeds the FMR value of the lease.
- Provide a per-unit monthly maintenance fee for servicing repairs while FEMA utilizes the unit for temporary housing.
- Provide a per-unit security deposit payment to the landlord not to exceed one month of FMR based on the location and number of bedrooms.

4. Property Repair or Improvement Service Contracting

Once approved, FEMA has two options for repairing or improving an eligible MLR property. At its discretion, FEMA may either:

- Enter into a contract with the property owner for the property owner to perform necessary repairs and improvements to housing units to be provided for FEMA's exclusive use as temporary housing during the term of the lease agreement; or
- Enter into a contract with a third party to perform the repairs or improvements to the housing units and enter into a lease agreement with the property owner.

FEMA will only authorize repairs and improvements that:

- Make a property safe, habitable, accessible, and functional for temporary housing;
- Use materials of average quality, size, and capacity ("builder grade"), in accordance with Federal EHP laws, regulations, EOs, and local codes and ordinances, or minimum construction industry standards where no codes and ordinances apply;

- Promote living environments that are usable by the broadest spectrum of people, regardless of ability, without the need for adaptation or specialized design; and
- Are performed in compliance with local building codes, standards, permitting, inspection requirements, and all applicable EHP compliance requirements.

When repairs or improvements are made to provide accessibility features, contractors will be guided by the Americans with Disabilities Act Accessibility Guidelines (ADAAG)[126] and HUD's Design Details for Accessible Disaster Relief Housing.[127] FEMA will include the following accessibility features when repairs or improvements are required to these elements:

- Bathrooms:
    - Reinforcements to allow later installation of grab bars around toilet, tub, shower stall and shower seat, where such facilities are provided
    - Americans with Disabilities Act (ADA)-compliant toilets
- Faucets: Single-lever faucet controls
- Door knobs/handles: Lever-type handles
- Door locks: Single-push locks
- Drawers and Cabinets: D-loop or other easy-to-use handle pulls
- Flooring: Low-pile carpet or smooth anti-slip flooring

If FEMA identifies an MLR-eligible applicant with a disability whose housing needs cannot be met by an available unit, FEMA will make the necessary modifications as a reasonable accommodation and/or modification so the applicant receives a habitable, safe, accessible, and functional housing unit. FEMA will prioritize units that can be modified in the most time- and cost-efficient manner and best meet the requirements of the applicant. FEMA will incur all costs related to making the necessary repairs or improvements.

If construction costs begin to exceed the repair estimate at any time during the repairs, the project may need to be re-evaluated to ensure it still complies with the Stafford Act requirements. This will be a case-by-case determination based on the facts specific to the situation, based on the reason for the cost overruns, the extent to which they are directly in FEMA's control, and whether the increased costs cause the project to no longer be cost-effective.

5. Leasing Properties

The property owner must agree to incorporate a lease addendum containing MLR program conditions of eligibility and termination of tenancy between the property owner and the occupant. The property owner acknowledges responsibility for evicting applicants whose assistance is terminated. Property owners may receive reimbursement for reasonable costs associated with the eviction process if included in the contract.

FEMA may provide a per-unit security deposit payment to the landlord not to exceed one month of the FMR, based on location and number of bedrooms. Unused portions of each security deposit must be returned to FEMA upon release of the unit. If the security deposit amount does not cover the amount of damage to the unit, the occupant will be responsible to the property owner for the additional funds. FEMA will not pay for background checks, credit checks, application fees, or pet deposits.[128] FEMA will provide payment for utilities as part of the monthly rent only when utilities are included in the rent as an established practice by the property owner. Occupants are responsible for the payment of utility services when utilities are not included as part of the monthly rent.

The lease agreement between FEMA and the property owner must include the option to extend the lease if an extension beyond the standard 18-month period of assistance is approved. FEMA may release the unit to the owner and cease all monthly payments for the unit if the unit becomes vacant during the term of the contract and FEMA has not identified another eligible applicant to occupy the unit.

6. Limitations and Exclusions

Hotels, hospitals, nursing homes, etc. are not considered residential properties and are not authorized for MLR.

FEMA will not approve additional increases beyond the FMR approved by the AA for Recovery for the disaster.

F. Transportable Temporary Housing Units and Site Types

FEMA may provide Direct Temporary Housing Assistance in the form of TTHUs on sites with utility access that meet the needs of the household and comply with applicable SLTT government ordinances. Approved sites must also meet Federal floodplain management and EHP compliance requirements. FEMA selects locations based on the cost-effectiveness, timeliness, and suitability of each potential site. Sites may include private, commercial, and group sites.

1. Unit Types

TTHUs may be provided in the form of RVs or MHUs. FEMA determines whether an RV, MHU, or other form of temporary housing will be provided based on the applicant's household composition, the amount of time the applicant expects to require temporary housing, as well as the feasibility and cost of the available temporary housing options. FEMA prioritizes RVs for eligible applicants who are projected to have a temporary housing need of six months or less. For those with a projected need of longer than six months, FEMA will generally utilize another form of Direct Temporary Housing Assistance.

Recreational Vehicles
FEMA provides RVs that are certified to comply with the Recreation Vehicle Industry Association standards and meet California Air Resources Board (CARB) standards or are certified compliant with the Toxic Substances Control Act Title VI requirements for formaldehyde emissions from composite wood products found in RVs.

RVs may not be a suitable temporary housing solution for applicants with disabilities and others with access and functional needs. FEMA notifies applicants with a disability and others with access and functional needs who request an RV that a reasonable modification may be available upon request.

If a reasonable accommodation is requested but not available, FEMA works with applicants on a case-by-case basis to see if an acceptable alternative will effectively address the applicant's accessibility-related needs.

For eligible applicants who are unable to occupy an RV, FEMA will provide an MHU that complies with Uniform Federal Accessibility Standards (UFAS) or an available THU.

Manufactured Housing Units (MHUs)

FEMA provides MHUs constructed in accordance with HUD regulations.[129] FEMA MHUs are equipped with residential fire sprinklers, which can help save lives and prevent injuries. Residential fire sprinklers require a tank and pump system (TPS) to operate.

FEMA provides MHUs built to UFAS, including UFAS-compliant platform steps or ramps, and path of travel from the parking lot throughout the facility, to eligible applicants with a disability.



RV and MHU

Recreational Vehicle (RV): An RV is a vehicle designed as temporary living quarters for recreational, camping, travel, or seasonal use purchased or leased by FEMA and provided to eligible applicants for use as temporary housing for a limited period of time.

Manufactured Housing Unit (MHU): A manufactured home purchased or leased by FEMA and provided to eligible applicants for use as temporary housing for a limited period of time.

Utilities

Occupants are responsible for the payment of utility services regardless of the unit or site type when utilities are not included as part of the monthly rent or pad rent for the unit. FEMA may authorize payment for utilities that are included in the monthly rent as an established practice by the property owner prior to the disaster.

2. Site Types

Private Sites: Private sites are sites provided by an applicant at no cost to FEMA, typically on their property near their pre-disaster residence. FEMA inspects each potential private site to determine if the site is feasible for placing the size and type of unit that will meet the household's needs. FEMA may provide TTHUs on private sites under the following conditions:

- The owner of the private site must certify that they are the landowner and that FEMA has permission to access the site to deliver, install, maintain, repair, and remove the TTHU.
- The site has sufficient access to allow FEMA to safely deliver, install, and remove the TTHU and meets local zoning requirements for a TTHU.
- The site is sufficiently clear of debris and other obstacles for placement of a TTHU and accessories (including steps or UFAS-compliant steps or ramps) in accordance with local setback or lot boundary requirements and the household's needs.
- Sanitation, including public sewer or a private septic system, is available and functional at the site. FEMA will not perform any repairs to components of the site eligible for Home Repair Assistance (such as wells and septic tanks) in order to make the site feasible for a TTHU.



MHU sits next to a residence in Zachary, Louisiana after flooding

- Electrical service is available and functional and a temporary power pole and meter panel for providing electrical service to the TTHU can safely be installed by the local power company. FEMA will not connect electrical service for the TTHU to the applicant's pre-disaster residence.
- Potable water service is available and functional at the site.

Commercial Sites: FEMA may provide TTHUs on commercial sites when the rules of the commercial site do not violate the Fair Housing Act.

Commercial sites are existing manufactured home parks with available pads that FEMA may lease for the purpose of providing Direct Temporary Housing Assistance.

- FEMA will prioritize locations within reasonable commuting distance of and access to community and wrap-around services.
- FEMA, to the extent possible, leases commercial sites at a fair market price in the affected area based upon the pre-disaster pad lease rates.
- When FEMA provides a TTHU on the same site as an applicant's pre-disaster mobile home, the applicant is responsible for any existing pad rental costs.
- FEMA only authorizes reasonable and cost-effective repairs or improvements necessary to make the site functional (such as an electrical service upgrade), including those necessary to meet reasonable accommodation requests for qualified individuals with disabilities.
- FEMA will not pay additional costs for utilities, grounds maintenance, trash removal, snow removal, or any other costs unless such costs were typically included in leases and lease amount prior to the disaster and the utilities in question are not metered separately by the utility provider.



Manufactured housing units to be used as temporary housing for eligible applicants in a FEMA Staging Area in South Dakota.

- FEMA will prioritize commercial sites with existing usable pads before considering sites which require improvement or expansion. FEMA will only consider expanding or improving existing commercial sites as a cost-effective alternative to building a group site. FEMA will deduct the total cost of expansion or improvements to commercial sites from the value of the lease agreement between FEMA and the park owner.

Group Sites: Group sites are not automatically included as part of the Direct Temporary Housing Assistance approval. FEMA only considers group sites when the temporary housing need cannot be met by other direct temporary housing options. Group sites provided by the SLTT government may include publicly-owned park land with adequate available utilities. The RA will only approve group sites when the below conditions have been met:



Fair Housing Act

Title VIII of the Civil Rights Act of 1968 (Fair Housing Act) protects people from discrimination when they are renting, buying, or securing financing for any housing. The prohibitions specifically cover discrimination because of race, color, national origin, religion, sex, disability, and the presence of children.

- The FCO must certify the need for each group site in writing and provide a copy of the certification to the RA before initiating the final design. The FCO's certification of need for a group site must include:
    - A request from the SLTT government for the specific group site and an assurance that the SLTT government has exhausted all other housing options in the area;
    - An analysis demonstrating insufficient rental resources exist within a reasonable commuting distance of the proposed group site location to meet the needs of eligible applicants;
    - An assessment demonstrating an increase in the Rental Assistance rate (see Chapter 3, IV.D.) within the county or parish where the group site will be located will not be sufficient to meet the needs of eligible applicants;
    - Identification of any restrictions for placing TTHUs on private sites within the county or parish where the group site will be located, accompanied by:
        - Documentation of the FEMA JFO's efforts to negotiate waivers or otherwise seek relief from these restrictions to provide temporary housing for eligible applicants;
        - An analysis demonstrating that the group site would still be required if applicants whose private sites are infeasible solely because of the local restrictions were removed from consideration;
    - Identification of the efforts taken to identify commercial sites for placement of TTHUs; and
    - Identification of the efforts taken to identify MLR and Direct Lease properties within the county or parish where the group site will be located. This should include data to support the need versus the number of MLR and Direct Lease properties and units available.

The RA will provide justification for the approval of a group site, in writing, to the AA for Recovery.

Group sites will be implemented according to the following considerations:

- FEMA will partner with the affected SLTT government to identify and select group site locations. FEMA will prioritize sites provided by the SLTT government at no cost before leasing a site from a private party.
- FEMA only develops group site locations within reasonable access to community and wrap-around services (e.g., schools, fire and emergency services, grocery stores, etc.). FEMA, as a lead coordinator, engages external stakeholders who may be able to assist in implementing wrap-around services in and around group site areas. FEMA ensures all common-use areas of the group sites, including accessible paths of travel from the parking lot and throughout the site, are designed and built in accordance with UFAS. At least 15% of the pads in a group site and at least 5% of the TTHUs installed will comply with UFAS.
- FEMA will incorporate separate green spaces into group sites to accommodate households with children or pets.
- FEMA will make every attempt to design and build group sites in such a manner that playgrounds or other recreational equipment may be installed within or adjacent to the group site.
- FEMA must re-evaluate the need for group sites as the housing mission progresses (e.g., post-design and during all phases of construction). If at any time during the process applicants are able to be placed into other available direct housing options, the FEMA JFO will prioritize their placement into these other options instead of continuing to develop the group site.

3. Wrap-around Services

FEMA does not provide wrap-around services; however, FEMA will not provide Direct Temporary Housing Assistance in locations where disaster survivors will not have access to wrap-around services.

Wrap-around Services

Wrap-around services address the support eligible applicants need while living in the TTHU. Wrap-around services may include basic social services, access to transportation, police/fire protection, emergency/health care services, communications, utilities, grocery stores, child care, and educational institutions.

4. Floodplain Management and EHP Considerations

FEMA complies with EHP laws, regulations, and EOs when installing TTHUs. FEMA will not install TTHUs within areas which can result in loss of human life or will have adverse impacts on historic properties or endangered or threatened species or designated critical habitat. FEMA reviews each potential TTHU site for floodplain management concerns[130] and will not place TTHUs within a one percent annual chance floodplain unless no practical alternative exists.

When placing TTHUs on private and commercial sites, FEMA shall apply the abbreviated eight-step decision-making process for any proposed action that may occur in or may impact a floodplain or wetland.[131] The decision-making process includes the following considerations:

- FEMA will identify if the potential TTHU site is located in an SFHA as identified on the available flood hazard information or a potential flooding area as identified on the Advisory Flood Hazard Information.
- FEMA will not place TTHUs within a floodway or coastal high hazard area (V zone), even under the eight-step process. FEMA will not place RVs in high flood risk areas with rapid rates of rise or flash flooding.

Historic Property

The term "historic property" is defined in the National Historic Preservation Act as "any prehistoric or historic district, site, building, structure, or object included on, or eligible for inclusion on, the National Register, including artifacts, records, and material remains relating to the district, site, building, structure, or object" (54 U.S.C. § 300.308). An archaeological site may be considered a historic property under the law.

- When deciding whether a potential TTHU site in the floodplain is the only practicable alternative, FEMA considers the following factors: speedy provision of temporary housing; potential flood risk to the temporary housing occupants; cost-effectiveness; social and neighborhood patterns; timely availability of other housing resources; and potential harm to the floodplain or wetland.
- When FEMA determines that placing TTHUs within an SFHA is necessary for providing temporary housing for eligible applicants, FEMA will prioritize MHUs over RVs for sites within the floodplain. FEMA considers the availability of each type of unit, based on the number of MHUs available from FEMA's inventory, off the lot purchases, and additional production from manufacturers to determine when providing RVs within an SFHA may be necessary for providing expedient temporary housing in an SFHA.

- Placement of TTHUs will be made in accordance with NFIP criteria or any more restrictive Federal, SLTT government floodplain management standard. Such standards may require anchoring and elevation to the base flood elevation in absence of a variance. FEMA will take into account seasonal variations in flood risk and flood depth when evaluating potential sites for TTHU placement.
- Units will be elevated to the highest level practicable and will be anchored to prevent movement. Actual elevation levels will be based on the manufacturers' specifications and agency guidance. RVs may be installed on their chassis but must also be adequately anchored. FEMA will coordinate with STT governments and local floodplain administrators to permit the installation of TTHUs.
- FEMA will provide applicants with information and advisories on the flood risk, including information relating to health and safety, evacuation, right of entry, and personal property, and contact information for the local emergency manager. FEMA will obtain acknowledgement from TTHU occupants that they have been provided this information.



Expedited EHP Compliance Review

Understanding the critical need for the placement of TTHUs following a disaster, FEMA has streamlining measures in place to ensure an EHP compliance review is completed in a timely manner. FEMA will complete the appropriate level of analysis depending upon the action. This could involve the simple application of a Categorical Exclusion (an action that has been documented to have little potential impact on the environment).

FEMA conducts appropriate EHP compliance analyses on potential group site locations and when expanding or improving commercial sites. Whenever possible, FEMA will avoid placement in locations where EHP compliance review has identified hazards or concerns related to environmental, historic, or cultural resources. EHP compliance reviews may require consultation with other Federal agencies and SLTT government resource agencies before work can begin.

FEMA may conduct additional EHP compliance review for private sites when they are found to have archeological resources, Federally-listed endangered or threatened species, designated critical habitat, or known hazardous substance contamination.

Consultations undertaken pursuant to the Endangered Species Act (ESA) will typically focus on ensuring ESA compliance by addressing a project's adverse effects to threatened and endangered species, as well as their designated critical habitat. However, consultations may result in the requirement to incorporate conservation measures for affected species and their designated critical habitat. FEMA will address environmental planning early in project scoping and project development stages to minimize delays and additional costs at the EHP compliance stage and to identify opportunities for implementing measures for the conservation of species.

G. Disposing of TTHUs through Sales to Occupants and Donations

FEMA is authorized to dispose[132] of occupied TTHUs through sales to occupants or donations to qualified government agencies or voluntary organizations within the period of assistance.[133] Sales and donations are means to dispose of TTHUs, not forms of housing assistance. An occupant's participation in TTHUs sales or donations is voluntary. An occupant's decision not to participate does not affect their eligibility for Direct Temporary Housing Assistance during the period of assistance.

1. Authorizing Disposal through Sales and Donations

FEMA may dispose of occupied TTHUs by sale or donation in response to a written request from the STT government. The RA or FCO, if the RA has delegated the authority to the FCO, may implement TTHU sales and donations when:

- Rental resources are not expected to support those currently residing in FEMA-provided TTHUs within the period of assistance for the disaster declaration;
- Applicants' completion of repair or replacement of their disaster-damaged residences will not be possible within the period of assistance;
- Disposing of TTHUs is not expected to adversely affect local rental housing and manufactured housing markets;
- Disposing of TTHUs is expected to be in the best interest of the Federal government; and
- Disposing of TTHUs will not cause a significant impact on FEMA's ability to maintain necessary TTHU inventory.

Once approved, FEMA may sell occupied TTHUs to pre-disaster homeowners immediately following the implementation of Direct Temporary Housing Assistance. After determining that adequate, alternate housing has not returned to the housing market, and is not expected to return, the earliest FEMA will implement sales of occupied units to pre-disaster renters is six months following the date of declaration.

FEMA will not initiate or approve TTHU donations independent of TTHU sales to occupants. FEMA will only consider donation after all TTHU sales to occupants have been completed. Each TTHU Donation Agreement must be approved by the RA or FCO. For additional information, see Chapter 3, V.G.

2. TTHU Sales to Occupants

FEMA may dispose of occupied TTHUs by selling them directly to the occupant if that individual or household lacks permanent housing through no fault of their own.[134] FEMA will sell a unit at a price that is fair and equitable. When an applicant purchases a unit from FEMA, they must agree to assume responsibility for it. Upon selling the unit to the occupant, FEMA will notify the residence tax office.

Purchaser's Conditions of Eligibility: Only the primary occupant may request and complete the purchase of the TTHU.

The primary occupant must meet the following criteria to purchase the TTHU:

- Comply with all conditions and rules under Direct Temporary Housing Assistance (see Chapter 3, V.D.) and the Revocable License or Temporary Housing Agreement;
- Lack permanent housing and be unable to fulfill a PHP within the period of assistance through no fault of the occupant;
- Respond to the FEMA Sales Notice expressing interest in purchasing the unit;
- Demonstrate the household's financial ability (e.g., proof of income, insurance payout, personal savings, or external assistance from non-FEMA sources) to complete the purchase within the time frame required, typically 30 days after FEMA tenders the final sales offer; and
- Demonstrate they have obtained any or all permits or inspections required by the SLTT government for the sale and location of a TTHU.



One of several MHUs provided by FEMA in Crestwood, New Jersey following Hurricane Sandy.

A household that qualified for and received a TTHU through the citizenship or qualified alien status of a minor child member of the household may be eligible for a TTHU sale for the benefit of the child. FEMA staff will consult the Office of Chief Counsel to ensure the necessary legal requirements to complete the sale are met.

Determining the Price of the Unit:

MHU: FEMA will offer to sell the MHU to the primary occupant at the Adjusted Fair Market Value (AFMV), which is the Fair Market Value (FMV) minus a standard deduction of FEMA's average deactivation cost.

RV: FEMA will determine the FMV of the RV using the National Automobile Dealers Association (NADA) pricing guide and subtract FEMA's average deactivation cost to determine the AFMV.

FEMA may lower the sales price based upon the occupant's financial ability but will not reduce the sales price to less than 25% of the AFMV.[135] If the occupant feels they cannot afford to purchase the unit at the AFMV, the occupant may appeal FEMA's determination and petition for a reduced sales price.

- At the time FEMA calculates the reduced sales price, FEMA will consider the income and assets for all occupants over the age of 18 listed on the Temporary Housing Agreement.
- In order to purchase the TTHU at the reduced sales price, the primary occupant will be required to:
    - Apply toward the cost of purchasing the unit all Home Repair Assistance or Home Replacement Assistance for which the primary occupant cannot produce a receipt or other documentation showing it was used for its intended purpose;
    - Contribute 30% of the gross monthly income of all occupants 18 years of age or older toward the cost of purchasing the TTHU; and
    - Contribute 40% of the cumulative assets of all occupants 18 years of age or older toward the cost of purchasing the TTHU.
- FEMA's decision on an occupant's reduced sales price based on their appeal is a final Agency determination and not subject to further appeal.
- Individuals and households who are non-compliant with the NFIRA requirement to purchase and maintain flood insurance are not eligible for a reduced sales price.[136]

Conditions of Sale: FEMA does not permit substitutions or exchanges of TTHUs. The primary occupant must agree to purchase the TTHU they currently occupy "as is" and "where is." This includes all modifications and accessories in place at the time of sale, including those provided as accommodations for occupants with disabilities. All of the following exceptions apply to "as is" and "where is:"

- Where necessary, FEMA may perform minimum repairs needed to protect health and safety.
- When the TTHU being sold is located in a FEMA group site or a FEMA-leased commercial site, the primary occupant must:
    - Secure an alternate location that complies with all applicable Federal, SLTT government laws, codes, and ordinances;
    - Be able to move the unit within 30 days of sale; or
    - Assume the commercial site pad lease after the sale, if applicable.



Occupant Option at Commercial Sites

For commercial sites, the primary occupant may choose to assume the pad lease.

- FEMA will not sell units for use in a floodplain or wetland unless the sale fully complies with the 8-step process.[137]

- MHUs in a floodplain must be elevated at least to the level of the 100-year flood prior to sale. FEMA will not pay to elevate an MHU above manufacturer specifications and agency guidance, which reflect the highest level practicable at installation.
- MHUs that are not elevated to the required height cannot be sold.
- FEMA will not sell RVs located within an SFHA.

The primary occupant acknowledges all terms of the sale on the Acknowledgment of Conditions of Sale document, including:

- Agreeing to maintain hazard and flood insurance on the unit, regardless of whether the TTHU is or will be located in an SFHA.
- Responsibility for all maintenance and utilities associated with the TTHU after completing the sale.
- RVs are not designated for use as a permanent dwelling but as temporary living quarters for recreational, camping, travel, or seasonal use.

FEMA will provide the primary occupant with an SF-97 Form, U.S. Government Certificate to Obtain Title to a Vehicle, signed by FEMA as the Transferor, upon collection of full payment and completion of the Acknowledgement of Condition of Sale. Payment must be made using a certified check or money order. After the sale is completed, the primary occupant and members of the occupant's household will no longer be eligible to receive FEMA Direct Temporary Housing Assistance for that disaster declaration.

3. TTHU Donations to Qualified Public Agencies and Private Organizations

FEMA may donate occupied TTHUs "as is" and "where is" to an SLTT government agency or a voluntary organization for the purpose of continuing to provide temporary housing to eligible occupants who cannot afford to purchase the TTHU at the lowest price FEMA will offer.[138] FEMA cannot donate directly to a TTHU occupant.[139]

Eligible Recipient Entities or Organizations: FEMA must identify eligible recipient entities or organizations interested in receiving donated TTHUs. FEMA will give priority to SLTT government agencies for receiving TTHU donations before considering donating TTHUs to voluntary organizations. If government agencies are unavailable, FEMA will give priority to voluntary organizations that have been in existence for at least one year and have a history and demonstrated capability of assisting disaster survivors.

After identifying an eligible recipient entity or organization, FEMA must identify occupants who are eligible to participate.

Conditions of Eligibility for Occupants: Occupants may be considered for inclusion in TTHU donations when the following criteria are all met:

- The primary occupant satisfies all families coping with an emergency or a major conditions of eligibility under TTHU Sales to Occupants (see Chapter 3, V.F.2.) but does not have the financial ability to purchase a TTHU at the reduced sales price.

- The primary occupant lacks permanent housing and has not fulfilled a PHP through no fault of their own.
- The primary occupant continues to have a disaster-caused temporary housing need.
- The primary occupant indicates interest in participating in TTHU donations by replying to the Notice of Interest Letter with the required information.
    - If selected to participate in TTHU donations, the primary occupant must return the Acknowledgment Letter sent by FEMA, agreeing to be housed by the entity/organization approved to receive and manage the TTHU.

Conditions of Donation: FEMA will not donate any TTHU without a TTHU Donation Agreement signed by FEMA and the recipient entity/organization. The TTHU Donation Agreement will require the recipient entity/organization to:

- Use the occupied TTHU for the sole purpose of providing temporary housing to a FEMA-eligible occupant until the end of the period of assistance or for a minimum of one year, whichever is longer, unless:
    - The eligible occupant secures permanent housing earlier; and
    - FEMA is unable to identify another eligible applicant to occupy the unit for the remainder of this time period.
- Ensure the site where each TTHU is to be occupied complies with local codes, ordinances, 44 C.F.R. Part 9, and other EHP compliance procedures detailed in *FEMA Instruction 108-1-1*.



Voluntary Organization

A voluntary organization is any chartered or otherwise duly recognized 501(c)(3) tax-exempt which has provided or may provide needed services, in cooperation and partnership with SLTT or other government agencies, to individuals and households.

- Obtain and maintain hazard and flood insurance on the unit,[140] regardless whether the unit is or will be located within an SFHA.
- Not impose a rent or usage fee on an eligible occupant until after FEMA's initial period of assistance has ended.
- Acknowledge in writing, acceptance of any potential expenses (e.g., permit costs, insurance) related to the donation.
- Comply with the nondiscrimination provisions of the Stafford Act.[141]
- Notify occupants that RVs are not designated for use as a permanent dwelling but as temporary living quarters for recreational, camping, travel, or seasonal use.

Approval of Recipient Entities or Organizations: The RA or FCO must approve and sign all Donation of Temporary Housing Units Agreements when all the above criteria and documentation requirements have been met. Transfer of ownership is complete once the SF-97 Form, *U.S. Government Certificate to Obtain Title to a Vehicle*, is sent to the entity/organization. Upon approval, FEMA sends a Final Notification letter to participating occupants, stating that FEMA has approved the donation and giving the date of transfer of responsibility for providing temporary housing to the occupant.

4. Effect of TTHU Donations on FEMA Disaster Assistance

- During the period of assistance, an occupant's decision not to participate in a TTHU sale or donation does not affect their eligibility for continued Direct Temporary Housing Assistance.
- If an eligible occupant decides to participate in TTHU donation, upon the completion of the donation, the occupant will no longer be eligible to receive Direct Temporary Housing Assistance for that disaster declaration unless:
    - The recipient entity/organization fails to comply with the TTHU Donation Agreement;
    - The entity/organization's non-compliance creates an unmet disaster-caused temporary housing need for the eligible occupant during the period of assistance; and
    - The period of assistance remains open.

H. Direct Lease

Direct Lease is a form of Direct Temporary Housing Assistance where FEMA may lease existing residential properties for eligible applicants to use as temporary housing.[142] FEMA will only authorize Direct Lease when the verified disaster-caused housing need cannot be met with other Direct Temporary Housing Assistance options.

1. Authorizing Direct Lease

In addition to the criteria described in Chapter 3, V.A., FEMA will consider Direct Lease when Direct Temporary Housing Assistance has already been approved and:

- Other forms of Temporary Housing Assistance (i.e., Rental Assistance, Multi-Family Lease and Repair, and TTHUs) have been evaluated and delivered in sequential order based on program criteria.
- Housing needs exceed FEMA's capacity to provide MLR units or TTHUs in a timely manner.
- FEMA has identified one or more properties that meet the criteria identified in Property Eligibility Criteria (see Chapter 3, V.E.2.).
- FEMA will not authorize Direct Lease:
    - For the sole purpose of providing temporary housing to applicants who are unable to pass a background and/or credit check; or
    - On the sole basis that Direct Lease is more cost-effective than providing TTHUs.
- The FCO must submit a written request to the AA for Recovery demonstrating Direct Lease is needed as a result of a Presidentially-declared disaster based on the criteria provided above. The AA for Recovery will review the request and make a final determination regarding approval of Direct Lease. Once Direct Lease has been approved, FEMA will contract with a property management company to locate, acquire, and manage properties for FEMA.

2. Approving Properties

FEMA must identify one or more properties, provided by the contracted property management company, that meet the following conditions of eligibility:

- The property must be an existing residential property, not typically available to the general public (i.e., corporate apartments, vacation rentals, and second homes), for use as temporary housing;
    - Units that may be obtained by applicants using Rental Assistance will not be acquired for Direct Lease;
    - Hotels, motels, and other transient accommodations will not be acquired for Direct Lease;
- The property must be currently available to rent for housing up to 200% of the HUD FMR, unless a greater amount has been approved by the AA for Recovery;
- The property must comply with Housing Quality Standards (HQS) established by HUD, and all utilities, appliances, and other furnishings must be functional;
- Each individual unit must provide complete living facilities, including provisions for cooking, eating, and sanitation within the unit;
- The property must be located in an area designated for IA included in a major disaster declaration; and
- The property must be located within reasonable access to community and wrap-around services, such as accessible public transportation, schools, fire and emergency services, grocery stores, etc.

The property owner must agree to include provisions within the lease agreement granting FEMA the following:

- Exclusive use of the housing units and sole discretion to identify and select occupants during the term of the lease agreement;
- The option to extend the lease if FEMA extends the period of assistance beyond 18 months;
- The option of releasing the unit to the owner and ceasing all monthly payments for the unit at any time by providing thirty days' notice;
- The ability to make permanent modifications or improvements to the property (at FEMA's expense) to provide a reasonable accommodation for an eligible applicant with a disability; and
- The property owner's agreement to modify any lease between the property owner and the occupant to incorporate FEMA's program conditions of eligibility and termination of tenancy. The property owner acknowledges responsibility for evicting applicants whose assistance is terminated. FEMA will factor reasonable eviction costs into the Direct Lease contract so that property owners may receive reimbursement for reasonable costs associated with the eviction process.

3. Prioritizing and Leasing Properties

Before leasing a property, FEMA will inspect the property to ensure compliance with HUD HQS, and verify the property owner's capacity to provide all property management services. This includes building maintenance, except where the property is leased or contracted from another government entity, in which case FEMA may directly obtain such services. Leasing actions, including any modifications or improvements, are subject to EHP and floodplain management compliance requirements. When more eligible Direct Lease properties have been identified than are required for temporary housing, FEMA will use the following factors to prioritize:

- Cost to the Federal Government:
  - The RA or FCO, if the RA has delegated this authority to the FCO, is authorized to approve properties up to 200% of the FMR, if:
    - Lower cost units are unavailable or already used; and
    - A housing market analysis supports the approved increase.
  - The AA for Recovery must approve Direct Lease costs above 200% of FMR.
- Landlord's demonstrated ability to manage and provide maintenance services;
- Proximity to wrap-around services; and
- Accessibility: when selecting available properties, FEMA will prioritize properties that are already accessible, include accessibility features, or can be easily made accessible; and/or are in proximity to accessible public transportation.

FEMA will prioritize accessible units for applicants whose household includes one or more persons with a disability and others with access and functional needs. FEMA may only consider placing other eligible applicants in accessible units when all households with accessibility needs have been housed. FEMA may authorize a one-time payment of security deposits for each unit based on FMR. Unused portions of each security deposit must be returned to FEMA upon release of the unit. If the security deposit amount does not cover the amount of damage to the unit, the occupant will be responsible to the property owner for the additional funds. FEMA may authorize payment for utilities that are included in the monthly rent as an established practice by the property owner prior to the disaster; however, FEMA will not pay for background checks, credit checks, pet deposits,[143] or application fees. Occupants are responsible for the payment of utility services when utilities are not included as part of the monthly rent.

I. Permanent Housing Construction

FEMA may provide financial assistance or direct assistance to individuals and households to construct permanent or semi-permanent housing in insular areas outside the continental U.S. FEMA may also consider providing such assistance in other locations where no alternative housing resources are available, and other types of Temporary Housing Assistance are unavailable, infeasible or not cost-effective.[144] PHC may be provided in the form of direct assistance such as repairs or new home construction.

Repairs and new construction provided under PHC are limited to real property components eligible under FEMA Housing Assistance such as HVAC, walls, floors, ceilings, etc. Under PHC, FEMA will not repair or replace items eligible under Personal Property Assistance, such as furnishings and appliances.



Unavailable, Infeasible, and Not Cost-Effective

Unavailable: Temporary housing options do not exist for any reasonable cost or in any reasonable time. Rental housing is not available and other forms of temporary housing cannot be constructed and deployed in sufficient numbers.

Infeasible: Rental Assistance cannot be used because there are no available rental resources, or other forms of Direct Temporary Housing Assistance cannot be utilized because of terrain, distance, physical barriers, or time delays, that with reasonable means, FEMA cannot overcome.

Not Cost-Effective: The cost of providing another form of direct temporary housing option (MLR, TTHUs, and Direct Lease) is higher than providing PHC.

1. PHC Request and Approval

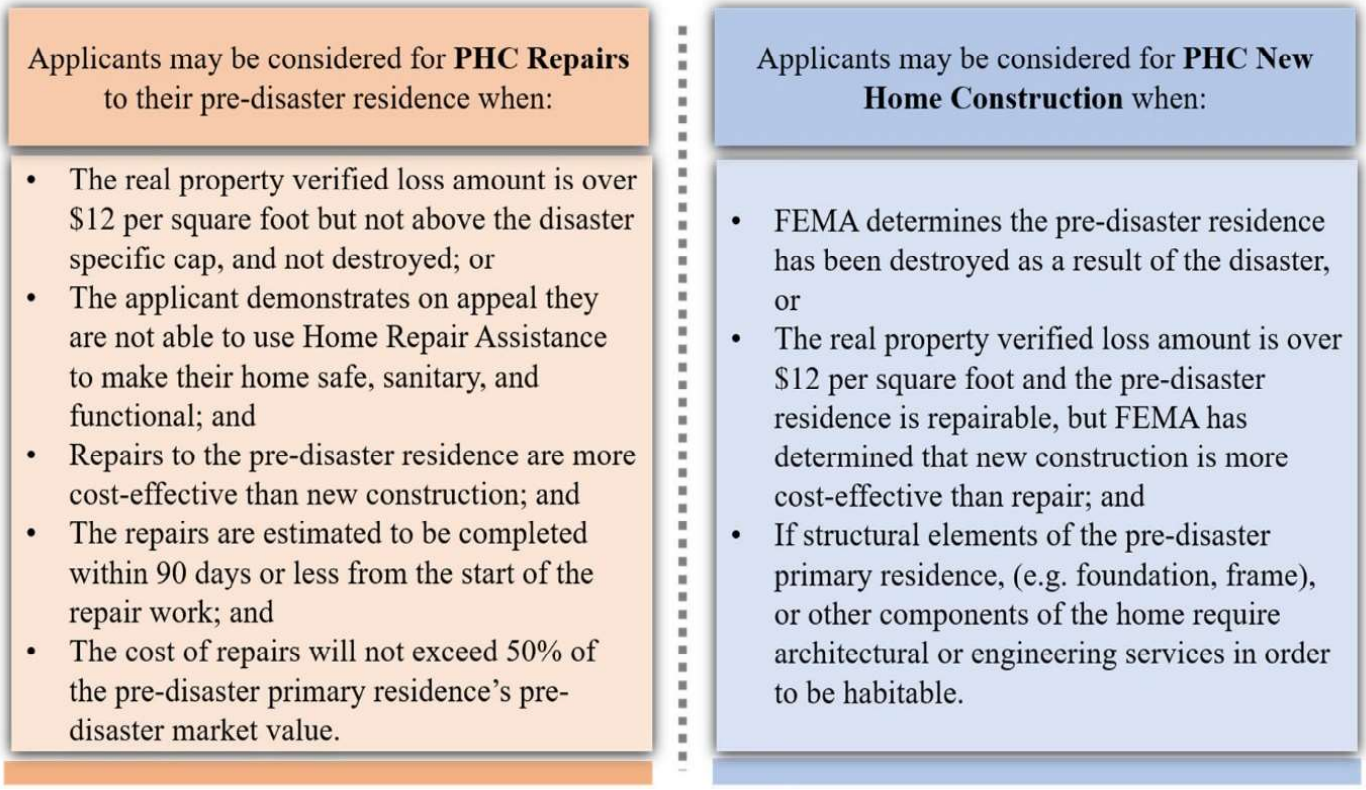
FEMA will only authorize PHC in response to a written request from the State, Territorial, or Tribal Coordinating Officer or the Governor/GAR or the Tribal Chief Executive/TAR. In order to recommend the use of PHC, the Sheltering and Housing Strategy must demonstrate that Temporary Housing options are unavailable, infeasible, or not cost-effective, including MLR, MHUs, RVs, and Direct Lease.

- The request must also identify all Federal, SLTT government compliance requirements, including:
  - The status and requirements of the currently-adopted building code, zoning, permitting process and requirements, and any impediments and requirements that may impact the delivery of PHC;
  - Cultural and climate considerations; and
  - Standard types of housing used in the area.
- Identify useful studies, projects, and reports, including Mitigation Assessment Reports, if available, that address the performance of buildings and essential facilities in the declared STT government, with specific recommendations for improving performance.

- The PHC request must include the following:
  - PHC Repair:
    - Analysis of the estimated associated costs, based on real property line items;
    - Availability of sources of repair labor other than FEMA, such as contractors or voluntary agencies; and
    - A disaster-specific financial cost cap recommendation for each type of PHC approved. The cost cap amount will be set through data collected through the Sheltering and Housing Strategy based on the square footage and pre-disaster market value of an average home in the declared area.
  - PHC New Construction:
    - Identification of potential new construction options appropriate for the culture, climate, and environmental requirements within the affected areas;
    - A comparative analysis of the timeliness, cost, feasibility, and suitability of each new construction option; and
    - A review of hazard mitigation measures (e.g., incorporation of seismic resistance in design and construction) and construction methods to be applied to ensure new construction provided by FEMA is resilient towards future hazards.
- Within PHC, FEMA will prioritize the use of PHC to repair existing homes before constructing new homes.
  - Before authorizing PHC, FEMA will develop a Business Case, to include:
    - Contract requirements, procurement strategy, project management plan, resource sourcing methods, and a comparative analysis of the available construction options, including the cost, speed, and feasibility of each.
- FEMA may also include the shipment of construction materials in the PHC authorization.
- The AA for Recovery will evaluate the PHC request using information obtained through the Direct Housing Assessment in order to approve PHC. If the request clearly demonstrates a need for PHC, the AA for Recovery will specify relevant conditions for implementation, such as establishing a disaster-specific financial cap for PHC Repairs.

Upon receipt of the authorization to implement PHC, FEMA will require the affected STT government to execute an amendment to the FEMA-State/Territory/Tribe Agreement.

Figure 26: Conditions for PHC Repair or New Construction



2. Conditions of Eligibility

FEMA may authorize PHC for pre-disaster homeowners. Similar to other forms of Direct Housing Assistance, FEMA prioritizes applicants with a real property verified loss of at least $12 per square foot. Applicants who have less than $12 per square foot in FEMA-verified losses may submit an appeal (see Chapter 3, II.C) with documentation that demonstrates they are not able to use FEMA financial assistance to secure temporary housing or afford repairs to make their home safe, sanitary, and functional. In addition to meeting general conditions of eligibility (see Chapter 3, II.), applicants must meet the following conditions in order to receive PHC:

- Applicants are unable to use Temporary Housing Assistance.
- Applicants are not receiving Continued Temporary Housing Assistance or Direct Temporary Housing Assistance.
    - Applicants will become ineligible for PHC if they receive Continued Temporary Housing Assistance or Direct Temporary Housing Assistance.
- Applicants do not have insurance for repair or replacement.
- Applicants must disclose to FEMA all grants and assistance received, including SBA disaster home loans for real property damages, and return any funds determined to be a DOB to FEMA prior to construction including previously awarded Home Repair Assistance or Home Replacement Assistance.

- Applicants have not accepted a disaster home loan from the SBA.
    - Applicants who have accepted an SBA disaster home loan for real property damages may be eligible for PHC if they cancel their SBA disaster home loan prior to disbursement.
    - Applicants who have accepted and received disbursement of an SBA disaster home loan for real property damages are not able to return those funds or cancel the loan in order to be considered for PHC.

3. PHC Repair

FEMA will only perform repairs necessary to restore the pre-disaster residence to a habitable condition. The components that may be deemed eligible for Home Repair Assistance, and the type of repairs authorized, will vary depending upon the nature of the disaster.

In order for the household to be eligible for PHC Repair, FEMA must determine that the repairs necessary to make the home safe, sanitary, and functional can be completed within 90 days and under the disaster-specific approved cost maximum.

The following components may be repaired or replaced:[145]

- The structure's interior, including walls, ceilings, doors, and cabinetry;
- Interior floors, when buckling or deterioration creates a safety hazard;
- Interior walls, limited to what is necessary to maintain the structural integrity of the home;
- Exterior walls, doors, and windows, limited to what is necessary to maintain the structural integrity of the home and meet local codes;
- Roof, when the damage affects the essential living area or are necessary to prevent additional damage;
- Plumbing system, including fixtures providing service to the kitchen and bathroom(s), and flushing and/or purifying the water well;
- Electrical system and essential fixtures necessary to ensure the home can safely receive electrical service;
- Sewerage system;
- HVAC, and integral fuel and electrical systems; and
- Pre-existing accessibility features and accessible routes.

All repairs shall be made using materials of average quality, size, and capacity ("builder grade"), in accordance with Federal EHP laws, regulations, EOs, and local codes and ordinances, or minimum construction industry standards where no codes and ordinances apply. Repairs to accessibility features and accessible routes will be guided by the ADAAG and the HUD's Design Details for Accessible Disaster Relief Housing.

4. PHC New Construction

FEMA will only complete PHC New Construction when an applicant's pre-disaster primary residence is determined to be destroyed or determined to be infeasible for PHC Repair because the home requires repairs which exceed the scope of PHC Repair Assistance. New construction shall be provided in accordance with EHP laws, regulations, EOs, and local codes and ordinances, or minimum construction industry standards where no codes and ordinances apply, using materials of average quality for the lowest price ("builder grade"), taking into consideration the accessibility needs of the occupant.

- FEMA partners with the affected SLTT government to select the type of construction and architectural design that meet SLTT government construction restrictions and EHP compliance regulations based on cultural and climate considerations, and standard type of housing used in the area. In addition, FEMA EHP staff will work with other Federal agencies and SLTT governments to determine if there are opportunities to incorporate conservation measures that would benefit Federally-listed threatened and endangered species and their designated critical habitat in the project area.
- New construction for households requiring accessibility features and accessible routes will be provided in accordance with UFAS.
- New construction shall be provided using mitigation practices to reduce the risk of damage from future disasters.
- FEMA and the affected SLTT government shall coordinate to ensure any PHC new construction complies with floodplain management regulations. New construction in an SFHA must comply with the full eight-step process and be installed in compliance with all applicable National Flood Insurance Program (NFIP) standards and local floodplain management codes, ordinances, and standards.
- The land/property where the new single-family dwelling will be constructed must be owned by the eligible applicant. In limited circumstances, FEMA will evaluate providing PHC on land provided by the SLTT government at no cost to FEMA (e.g., insular areas, islands, or tribal lands where land ownership may vary; for instance, communal land or land held in trust by the STT government).

Labor and materials costs for PHC New Construction will be capped at a pre-determined amount for each declared disaster. The FEMA JFO may submit a request to increase the authorized labor and materials costs for PHC New Construction, supported by a business case demonstrating the requested increase is necessary to construct adequate housing and the additional expenses are fair and reasonable.

5. PHC Terms and Conditions

Prior to FEMA providing PHC, applicants must:

- Acknowledge they understand and accept FEMA's terms and conditions for PHC assistance.
- Agree to obtain and maintain flood insurance coverage on the PHC home for at least the value of the PHC residence if the home is located in an SFHA and the applicant incurred damage to their pre-disaster residence as a result of a flood.[146] FEMA will not contribute to the cost of flood insurance premiums for PHC residences. If the applicant fails to maintain flood insurance, they may be deemed ineligible for flood-insurable losses in future disasters.
- Choose between receiving FEMA financial Housing Assistance (LER, Rental Assistance, Home Repair Assistance, and Home Replacement Assistance) or PHC.
- Return any previously-provided financial Home Repair Assistance and Home Replacement Assistance that has not been used for eligible repair or replacement line items to FEMA.
- Grant right of access and entry upon their property to FEMA and other participating U.S. Government agencies, including their agents, employees, contractors, and subcontractors for all activities necessary for providing PHC.
- Accept all responsibility and liability for the PHC dwelling upon completion including but not limited to recording fees and other costs associated with obtaining the title.
- Indemnify and hold harmless FEMA and other participating U.S. Government agencies, including their agents, employees, contractors, and subcontractors, from any complaints, losses, and damage of sorts, directly or indirectly related to any program-related activity on the real property site.

VI. Other Needs Assistance[i]

The ONA provision of the IHP, authorized by Section 408(e) of the Stafford Act,[147] provides financial assistance for disaster-related necessary expenses and serious needs that are not covered by insurance or provided by any other source. Unlike Housing Assistance, ONA is subject to a cost share between FEMA and the STT government.[148] FEMA, in coordination with the STT government pre-determines ONA-eligible items and amounts to be awarded.

Necessary Expense and Serious Needs

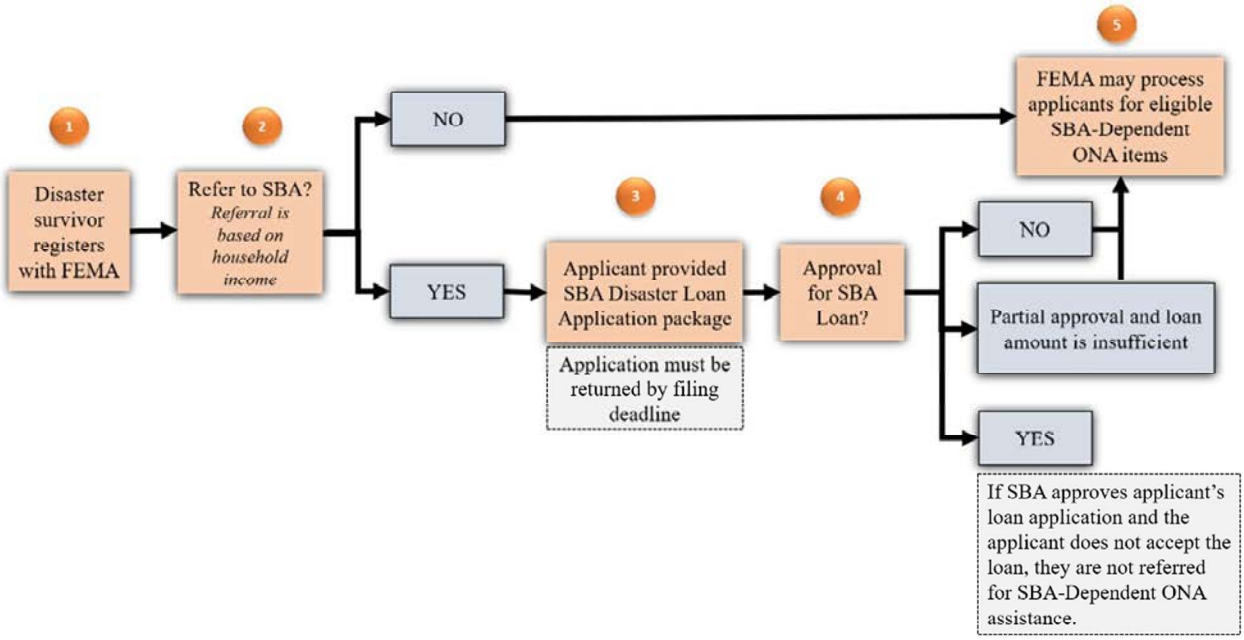
A "necessary expense" means the cost associated with acquiring an item, obtaining a service, or paying for any other activity that meets a serious need.

A "serious need" means the requirement for an item or service that is essential to an applicant's ability to prevent, mitigate, or overcome a disaster-caused hardship, injury, or adverse condition.

A. ONA Options and Cost Shares

FEMA collaborates with the SBA in determining applicant eligibility for some types of ONA. The Small Business Act authorizes the SBA to provide low-interest disaster loans to applicants who have sustained damage in a disaster. An applicant must meet a minimum income test, which the SBA establishes, to be considered for a loan. FEMA refers the applicant's information to SBA if the applicant's income meets SBA minimum guidelines. FEMA and SBA coordinate to ensure that ONA and SBA disaster loans do not cause a duplication of benefits for the same type of assistance.[149]

Figure 27: SBA Disaster Loan Application Process



*The SBA Disaster Loan application process only applies to SBA-Dependent ONA. FEMA does not require the applicant to apply for an SBA loan before being considered for Non-SBA-Dependent ONA or Housing Assistance.

[i] OMB revised the regulations that govern federal grants and cooperative agreements, please refer to Title 2 of the Code of Federal Regulations for more details. See Electronic Code of Federal Regulations.

Each type of ONA has specific conditions of eligibility applicants must satisfy prior to being considered for assistance. These conditions are described in the appropriate sections of this chapter.

Figure 28: Other Needs Assistance, Non-SBA-Dependent and SBA-Dependent	
Category of ONA	Type of ONA Assistance
Non-SBA Dependent ONA FEMA provides assistance for these items without regard to whether an applicant may obtain an SBA loan.	• Funeral Assistance • Medical and Dental Assistance • Child Care Assistance • Assistance for Miscellaneous Items • Moving and Storage Assistance • Critical Needs Assistance • Clean and Removal Assistance
SBA-Dependent ONA The applicant must first apply to the SBA for a loan for these expenses or serious needs.	• Personal Property Assistance • Transportation Assistance • Group Flood Insurance Policy

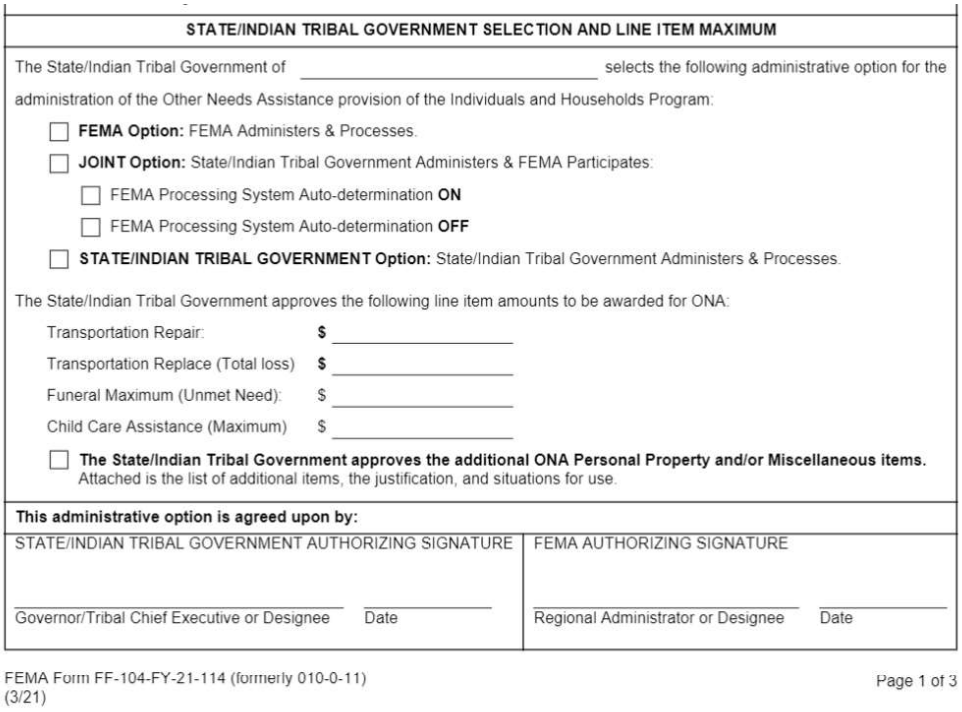
1. Amount of Assistance

Assistance for an eligible applicant will not exceed the financial ONA maximum award pursuant to Section 408(h) of the Stafford Act.[150] However, Personal Property Assistance for specific disaster-damaged accessibility items is not limited by a financial maximum award. The following accessibility items are eligible under Personal Property Assistance:

- Computer, if used as the sole means of communication for a household member
- Raised toilet seat
- Washer (front-loading), if a member of the household uses a wheelchair or has a similar mobility limitation
- Refrigerator (side-by-side), if a member of the household uses a wheelchair or has a similar mobility limitation
- Bed (hospital type)
- Walker
- Wheelchair
- Shower Chair
- Smoke Alarm (specialty), if a member of the household is sight or hearing-impaired
- TTY/ TDY Telephone

For some specific categories of ONA, the affected STT government will establish the maximum amount of financial assistance that may be awarded as part of their yearly submission of *FEMA Form FF-104-FY-21-114, ONA Administrative Option Selection*.[151]

Figure 29: Excerpt of FEMA Form FF-104-FY-21-114: ONA Administrative Option Selection



STATE/INDIAN TRIBAL GOVERNMENT SELECTION AND LINE ITEM MAXIMUM

The State/Indian Tribal Government of _____ selects the following administrative option for the administration of the Other Needs Assistance provision of the Individuals and Households Program:

- ☐ **FEMA Option:** FEMA Administers & Processes.
- ☐ **JOINT Option:** State/Indian Tribal Government Administers & FEMA Participates:
  - ☐ FEMA Processing System Auto-determination **ON**
  - ☐ FEMA Processing System Auto-determination **OFF**
- ☐ **STATE/INDIAN TRIBAL GOVERNMENT Option:** State/Indian Tribal Government Administers & Processes.

The State/Indian Tribal Government approves the following line item amounts to be awarded for ONA:

Transportation Repair:	$ _____
Transportation Replace (Total loss)	$ _____
Funeral Maximum (Unmet Need):	$ _____
Child Care Assistance (Maximum)	$ _____

☐ **The State/Indian Tribal Government approves the additional ONA Personal Property and/or Miscellaneous items.** Attached is the list of additional items, the justification, and situations for use.

This administrative option is agreed upon by:

STATE/INDIAN TRIBAL GOVERNMENT AUTHORIZING SIGNATURE		FEMA AUTHORIZING SIGNATURE	
Governor/Tribal Chief Executive or Designee	Date	Regional Administrator or Designee	Date

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2. ONA Cost Share and Administration

As outlined in Section 408(g)(2) of the Stafford Act, FEMA is responsible for 75% of ONA, and the STT government is responsible for the remaining 25% of ONA.

Federal Share

Section 408(g)(2) of the Stafford Act explicitly provides that the Federal share shall be 75%, giving FEMA no authority to adjust the Federal cost share for ONA. However, 48 U.S.C. § 1469(a) allows FEMA to waive or adjust the cost share for disaster grants in insular areas such as the Virgin Islands, Guam, American Samoa, and the Northern Mariana Islands.

The STT government may select from the following three options for the administration of the ONA (see *Figure 30*):

- **FEMA Option:** FEMA is responsible for all tasks associated with the administration of ONA: registration intake, inspection services, the processing system, disbursing awards, staffing, recovery of funds, case processing, mail processing, accessible forms of communication, appeals, and preparing closeout material. FEMA utilizes the National Emergency Management Information System (NEMIS) for processing all IHP assistance, including ONA. FEMA provides assistance to applicants, and the STT government is responsible for reimbursing FEMA for their portion of the cost share.[152]

- **Joint Option:** The STT government administers ONA jointly with FEMA. FEMA is responsible for registration intake, inspection services, the processing system, mail processing, and accessible forms of communication. The STT government is responsible for manually processing awards, staffing, recovery of funds, case processing, appeals, and preparing closeout material. The respective STT government uses NEMIS for ONA processing, but may utilize their own system of record for other program administration functions, such as disbursing awards.[153] The STT government provides assistance to applicants, and FEMA is responsible for reimbursing the STT government, for its portion of the cost share. The STT government may utilize 5% of the grant toward administrative costs.

- **State, Territorial, or Tribal Government Option:** FEMA provides ONA as a grant to the STT government; therefore, the STT government administers ONA. The STT government is responsible for all tasks associated with the administration of ONA. The respective STT government receives a grant to process ONA outside of NEMIS. The STT government provides assistance to applicants, and FEMA is responsible for reimbursing the STT government for its portion of the cost share. The STT government may utilize 5% of the grant toward administrative costs.[154]

Figure 30: ONA Administrative Option Responsibility

Process	FEMA Option	Joint Option	State, Tribal, Territorial Option
Registration Intake	FEMA	FEMA	State*
Inspection Services	FEMA	FEMA	State
Processing System	FEMA	FEMA	State
Disbursing Awards	FEMA	State	State
Staffing and Helpline	FEMA	State	State
Recovery of Funds	FEMA	State	State
Case Processing	FEMA	State	State
Mail Processing	FEMA	FEMA	State
Appeal Processing	FEMA	State	State
Preparing Closeout	FEMA	State	State

Note: The references to "State" include applicable tribal governments and territories.

3. ONA Administrative Option Selection Form

Regardless of the administrative option selected, all STT governments must indicate their option selection every year using the ONA Administrative Option Selection Form, which must be completed and provided to FEMA by November 30 of each year.[155]

The STT government also uses the ONA Administrative Option Selection Form to identify limits for specific ONA items and establish maximum award amounts for Transportation Assistance, Funeral Assistance, and Child Care Assistance. Additional line items, other than those on FEMA's *Standard Personal Property Line Items* list, may be requested when submitting or updating the ONA Administrative Option Selection Form.

ONA Administrative Option

The ONA Administrative Option Selection Form must be completed and submitted to the FEMA RA by November 30th each year. FEMA must have a current, approved SAP on file before any assistance can be provided.

The *Standard Personal Property Line Items* list identifies all ONA-eligible personal property and miscellaneous items, as well as the maximum number of items each individual or household may receive. The STT may obtain a copy of the most current *Standard Personal Property Line Items* list from their respective FEMA Region.

The STT government submits the applicable forms to the RA, who reviews and approves the documentation.[156] FEMA Headquarters also reviews the documentation to ensure consistency across disasters and for implementation.

STT governments, which select the Joint or the State, Territorial, or Tribal Government Option, must also submit a State Administrative Plan (SAP) every three years.[157] The SAP describes the procedures the STT government will use to administer ONA.[158] The RA must review and approve the SAP prior to submitting the documentation to FEMA Headquarters for coordination of implementation.[159]

State Administrative Plan

References to "State Administration Plan" in this document also include "Tribal Administration Plan" and "Territorial Administration Plan," as appropriate. The requirements found in 44 C.F.R. § 206.120(c) for State Administrative Plans also apply to tribal governments and territories.

The ONA Administrative Option Selection Form and the SAP may be changed during any non-disaster time period or within three days of a major disaster declaration.[160] However, in order for an STT government to change to the Joint Option or State/Territory/Tribe Option, they must submit an SAP.

B. Non-Small Business Administration-Dependent

The types of assistance described in this section are non-SBA-dependent, meaning FEMA does not require the applicant to apply for an SBA loan before being considered for these types of ONA. FEMA may award applicants Funeral Assistance and Child Care Assistance up to the limits established by the STT government in the ONA Administrative Option Selection Form, rather than paying fixed amounts based on FEMA inspection verification.

1. Funeral Assistance

FEMA provides financial assistance under the ONA provision of the IHP to individuals and households with disaster-caused funeral expenses.[161] Unlike most other forms of IHP assistance, an applicant seeking Funeral Assistance does not need to live in the Presidentially-declared disaster area to be considered for the assistance. An individual who incurs or will incur expenses related to a death or disinterment attributed directly or indirectly to a declared emergency or major disaster may apply for and, if eligible, receive Funeral Assistance. Multiple registrations for the same deceased are not allowed. The affected STT government establishes the maximum amount of Funeral Assistance that may be awarded per death or per household as part of the ONA Administrative Option Selection Form.



Funeral Assistance Key Terms

Interment: The placement of cremated remains or deceased human bodies in the ground, a cremation urn, or other burial facility such as a columbarium. A columbarium is a place where urns holding a deceased's cremated remains are stored and memorialized.

Disinterment: The unearthing of cremated remains or deceased human bodies from the ground, a cremation urn, or other burial facility such as a columbarium.

Reinterment: The replacement of cremated remains or deceased human bodies in the ground, a cremation urn, or other burial facility such as a columbarium.

Funeral Services: Services to care for and prepare deceased human bodies and services to arrange, supervise, or conduct the funeral ceremony. Services may include preparation of the deceased (e.g., embalming, cremation); use of facilities; staff for viewing; funeral ceremony or memorial service; use of equipment; staff for graveside service or committal service; use of hearse/funeral coach; etc.

Conditions of Eligibility

In addition to meeting general conditions of eligibility (see Chapter 3, II.), applicants must meet the following conditions in order to receive Funeral Assistance:

- A medical examiner, coroner, or other certifier has attributed the death to the emergency or disaster, either directly or indirectly.
    - The medical examiner, coroner, or other certifier may reference the guidance set forth by the Centers for Disease Control and Prevention (CDC) regarding death certifications in the event of natural, human-induced, or chemical/radiological disasters.[162]
    - Documentation stating the death "may have been caused by" or "was likely a result of" the emergency or disaster is not considered sufficient attribution

- The applicant incurred or will incur eligible funeral expenses not covered by other sources. Other sources may include burial insurance or financial assistance from voluntary agencies, applicable government programs/agencies, or other entities.
    - The following items are eligible expenses for interment or reinterment:
        - Transfer of remains;
        - Casket or urn;
        - Burial plot or cremation niche;
        - Marker or headstone; and/or
        - Additional expenses mandated by any applicable SLTT government laws or ordinances.
    - The following items are eligible expenses only for interment:
        - Transportation for up to two individuals to identify the deceased, if such identification is required by SLTT government authorities;
        - Interment;
        - Funeral services;
        - Clergy or officiant services; and/or
        - Costs associated with producing and certifying up to five death certificates.
    - The following items are eligible expenses only for reinterment:
        - Cost of identifying disinterred human remains
        - Reinterment (including costs for preparing and transporting the remains);
        - Funeral services (limited to the preparation and use of facilities to prepare the remains for reinterment)

Required Documentation

An applicant must submit:

- An official death certificate that clearly indicates the death was attributed to the emergency or disaster, or a signed statement from a medical examiner, coroner, or other certifier, attributing the death to the emergency or disaster, either directly or indirectly.
    - A death is directly attributed to a disaster if caused by the forces of the disaster or the direct consequences of the forces, including but not limited to structural collapse, flying debris, or radiation exposure.
    - A death is indirectly attributed to a disaster if it occurs as a result of unsafe or unhealthy conditions present during any phase of the disaster (i.e., pre-disaster preparations, during the actual disaster, or post-disaster during cleanup after a disaster), including disaster-caused exacerbation of pre-existing conditions.

- FEMA staff may coordinate with the SLTT government to obtain the required signed statement.
- FEMA staff will not share the applicant's personally identifiable information (PII) when obtaining documentation on the deceased.
- When FEMA receives a signed statement from a medical examiner, coroner, or other certifier that does not clearly state the disinterment, death, or underlying injury causing the death was attributed either directly or indirectly to the emergency or disaster, FEMA will work to obtain clearer certification.

- Receipts or verifiable estimates indicating the applicant incurred or will incur eligible interment, reinterment, or funeral expenses.
- Documentation of burial insurance and/or any forms of funeral assistance received from voluntary agencies, government agencies, or other entities.
- For reinterment only, documentation proving that the disinterment occurred in a privately-owned, licensed cemetery or burial facility (such as an association or community cemetery or burial facility) and the cemetery or burial facility is not responsible for reinterring displaced remains.
  - In the event of disinterment, FEMA will consider requests that include a funeral home representative or cemetery representative statement indicating that the cause of disinterment was a direct result of the disaster.
  - FEMA may coordinate with the SLTT government to obtain required documentation.

Limitations and Exclusions

- FEMA may provide assistance to the applicant only up to the Funeral Assistance amount established by the STT government.
- For multiple family member deaths, FEMA may provide assistance up to the Funeral Assistance amount established by the STT government on the ONA Administrative Option Selection Form. The STT government may set the assistance amount per death or per household. FEMA may provide assistance to the applicant up to the financial ONA maximum award for the fiscal year in which the disaster was declared.
- FEMA will not provide assistance for any of the following:
  - Obituaries
  - Flowers
  - Printed materials such as banners, programs, or register books
  - Catering services, including food
  - Transporting applicant or others to site(s) of funeral services, interment, or reinterment
  - Gratuities

2. Medical and Dental Assistance

FEMA provides financial assistance under the ONA provision of the IHP to individuals and households with medical or dental expenses caused by a disaster.[163] Unlike most other forms of IHP assistance, an applicant seeking Medical and Dental Assistance does not need to live in the Presidentially-declared disaster area to be considered for the assistance. Any person who incurs disaster-caused medical or dental expenses may apply for and, if eligible, receive Medical and Dental Assistance.

Conditions of Eligibility

In addition to meeting general conditions of eligibility (see Chapter 3, II.), applicants must meet the following conditions in order to receive Medical and Dental Assistance:

- The medical or dental injury or expense must be a direct result of the disaster, as verified by a written and signed statement by the applicant, medical provider, or dental provider.
    - Eligible expenses include costs associated with:
        - Injury or illness caused by the disaster.
        - Pre-existing injury, disability, or medical condition aggravated by the disaster.
        - Replacement of prescribed medication.
        - Loss or damage of personal medical or dental equipment.
        - Medical or dental insurance deductibles and co-payments for eligible expenses.
        - Loss or injury of a service animal.
- Medical or dental expenses will not be eligible for FEMA reimbursement if they are covered by insurance or any other source.
- Expenses related to the loss or injury of a service animal must be a direct result of the disaster. Eligible expenses may include costs associated with:
    - Veterinary expenses for disaster-caused injuries.
    - Replacement and/or training costs.
    - Lost or damaged equipment that enables the service animal to fulfill its function (e.g., specialized leash, harness, or vest).

Disability

The term "disability" means, with respect to an individual: (A) A physical or mental impairment that substantially limits one or more major life activities of such individual; (B) A record of such impairment; or (C) Being regarded as having such an impairment.

Major life activities include, but are not limited to, caring for oneself, performing manual tasks, seeing, hearing, eating, sleeping, walking, standing, lifting, bending, speaking, breathing, learning, reading, concentrating, thinking, communicating, and working.

(Source: 42 U.S.C. § 12102)

Required Documentation

Required documentation must indicate the expense was caused by the disaster, is medically-required, and includes the amount of expense.

Disaster-caused injury or illness documentation includes both of the following:

- Itemized bills, receipts, or estimates from the medical or dental provider or pharmacy;
- A written and signed statement from a medical or dental provider, including the date of disaster-caused injury and expenses necessary for recovery



Medical and Dental Providers

Medical or dental provider may include audiologists, rehabilitation specialists, or state-based agencies who can verify an applicant's disability and need for a device or equipment.

Replacement of prescribed medication documentation includes all the following:

- A written and signed statement by the applicant or the applicant's medical or dental provider verifying the loss was caused by the disaster;
- A written and signed statement from a medical or dental provider verifying the prescription is required and was previously prescribed to the applicant or a household member; and
- Receipts or other verifiable documentation from the pharmacy showing the replacement cost of the prescribed medication

Loss or damage of medical or dental equipment documentation includes all the following:

- A written and signed statement by the applicant or the medical or dental provider verifying the loss was caused by the disaster;
- A written and signed statement from a medical or dental provider verifying the applicant or household member required the medical or dental equipment prior to the disaster; and
- Itemized bills, receipts, or estimates showing repair or replacement cost of the medical or dental equipment

Required documentation verifying the loss or injury of a service animal includes all the following:

- A written and signed statement by the applicant, medical provider, or veterinary provider verifying the service animal's loss or injury was caused by the disaster;
- A written and signed statement from a medical provider verifying the applicant or household member required the service animal for a disability prior to the disaster;
- A statement from the applicant, medical provider, or other representative explaining the type of task or work performed by the service animal; and
- Itemized bills, receipts, or estimates showing expenses related to the service animal's loss or injury

Medical or dental insurance settlements must be provided if the applicant has medical or dental insurance.

Limitations and Exclusions

- Medically-required generators purchased or rented to power equipment necessary for an existing medical condition may be considered under Assistance for Miscellaneous Items rather than Medical and Dental Assistance. For additional information, see Chapter 3, VI.B.4.
- IHP assistance for replacing lost or damaged medical or dental equipment is limited to items of similar quality and function as the item being replaced.
- IHP assistance for medical and dental services does not include medically unnecessary procedures (e.g., procedures designed to enhance appearance, such as teeth whitening).
- A limited number of accessible items are available for qualified applicants with disabilities under Personal Property Assistance. To be eligible, these items must have been owned prior to the disaster and sustained disaster-caused damage or loss. For additional information, see Chapter 3, VI.C.1.
- IHP assistance for service animals is limited to service dogs and miniature horses that perform a qualified task for a person with a disability, as defined by the ADA of 1990 (ADA, as amended, 2008). The ADA defines service animal as "any dog [or miniature horse] that is individually trained to do work or perform tasks for the benefit of an individual with a disability."
- A service animal must be required because of a disability and perform a functional task for the applicant or a member of the household.
- IHP assistance is not available for therapy animals or emotional support animals.

3. Child Care Assistance

FEMA provides financial assistance under the ONA provision of the IHP to eligible individuals and households who have a disaster-caused increased financial burden for child care.[164] FEMA will award payment for Child Care Assistance for the household's increased financial burden for up to eight cumulative weeks of child care, plus any eligible expenses, or the maximum amount of assistance for Child Care Assistance identified by the STT government, whichever is less.

FEMA may provide financial assistance to address increased disaster-caused child care expenses for eligible households with:

- Children aged 13 and under; and/or
- Children with a disability, as defined by Federal law,[165] up to age 21, who need assistance with activities of daily living.



Activities of Daily Living (ADL)

Activities of daily living are routine activities that people tend to do every day without needing assistance. There are six basic ADLs: eating, bathing, dressing, toileting, transferring (walking), and continence.

The respective STT government must establish the maximum amount of Child Care Assistance on a per-child or per-household basis as part of the annual ONA Administrative Option Selection process.

Conditions of Eligibility

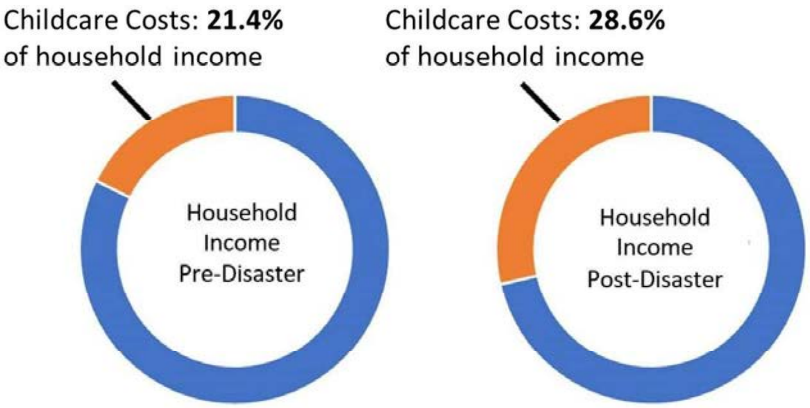
In addition to meeting general conditions of eligibility (see Chapter 3, II.), applicants must have a disaster-caused increase in financial burden for child care, regardless of whether child care expenses were required prior to the disaster, because:

- The applicant's gross household income has decreased as a direct result of the disaster; or
- The applicant's child care expenses have increased as a result of the disaster.

Applicants must certify they cannot utilize child care services provided by any other source (e.g., other Federal assistance for child care, private employer child care services) in order to qualify for Child Care Assistance.

For applicants with child care expenses prior to the disaster, FEMA compares the percentage of the household's gross income spent for child care expenses before the disaster to the percentage spent following the disaster to determine if the post-disaster child care costs create a financial burden. For example, if the household's pre-disaster income was $3,500 per week and pre-disaster child care costs were $750 per week, child care costs were 21.4% of the household's income pre-disaster. If the post-disaster income is $3,500 per week and post-disaster child care costs are $1,000 per week, child care costs are now 28.6% of the household's income post-disaster.

Figure 31: Example - Comparison of Pre- and Post-Disaster Child Care Costs



If the percentage of household gross income spent on child care post-disaster is higher than the percentage of household gross income spent on child care pre-disaster, the household has an increased financial burden for child care and may be eligible for assistance to cover the increase. In the example above, the percentage of the household's gross income spent on child care is 7.2% higher than the percentage of the household's gross income spent on child care pre-disaster. This household may be eligible to receive the difference in pre- and post-disaster household income spent on child care.

The applicant's post-disaster child care provider must be licensed, regulated, or registered under applicable SLTT government law to qualify for assistance.

The following items are eligible expenses for Child Care Assistance:

- Standard child care service fees, including personal assistance services that support activities of daily living for children with disabilities.
- Registration and health inventory fees may be eligible expenses only for applicants who require a new child care service provider.

Registration Fee

A registration fee is a one-time fee when registering an eligible child at an authorized child care provider. A health inventory fee is a medical office fee for processing required medical paperwork as part of the registration process.

Required Documentation

Details regarding information that must be included on each type of documentation are listed in *Figure 32.*

For FEMA to determine a disaster-caused need for Child Care Assistance, an applicant who had child care expenses pre-disaster must submit:

- Pre- and post-disaster gross household income documentation.
- Pre-disaster receipts, contract, or signed letter from the child care provider for child care expenses, if receipts or contract cannot be located.

IEPs and 504 Plans

IEP: A document developed for each public-school child who needs special education. An IEP defines the individualized objectives of a child who has been determined to have a disability, as defined by Federal regulations. As long as a student qualifies for special education, the IEP is mandated to be regularly maintained and updated up to the point of high school graduation, or prior to the 21st birthday.

504 Plan: This type of plan falls under Section 504 of the Rehabilitation Act of 1973. A 504 plan outlines how a child's specific needs are met with accommodations, modifications and other services. These measures "remove barriers" to learning.

- Post-disaster receipts or estimates for child care fees, registration, and/or health inventory fees.
- A post-disaster child care contract or agreement.
- A post-disaster child care provider's license, if the information cannot be located within a respective SLTT government's licensed provider database.
- Individualized Educational Plan (IEP), 504 plan,[166] or medical professional's statements, if applicable, to verify disability for children up to age 21 who need assistance with activities of daily living.

- A signed, written statement from the applicant affirming:
    - The applicant is not receiving assistance for child care expenses from any other source; and
    - The expected length of time the applicant will have a disaster-caused need for Child Care Assistance.

An applicant who did not have child care expenses pre-disaster, and has incurred or will incur child care expenses as a result of the disaster, must submit:

- Post-disaster receipts or estimates for child care fees, registration, and/or health inventory fees.
- A post-disaster child care contract or agreement.
- A post-disaster child care provider's license, if the information cannot be located within a respective SLTT government's licensed provider database.
- IEP, 504 plan, or medical professional's statements, if applicable, to verify disability for children up to age 21 who need assistance with activities of daily living.
- A signed, written statement from the applicant affirming:
    - The applicant is not receiving assistance for child care expenses from any other source; and
    - The expected length of time the applicant will have a disaster-caused need for Child Care Assistance.

Figure 32: Child Care Assistance Documentation	
Type of Documentation	Documentation Requirements
Pre-disaster child care expense receipts, contract, or signed letter from child care provider, if receipts or contract cannot be located.	• The name of the child/ren receiving care. • Provider's name, address, and telephone number. • Time period covered and total child care expenses for that time period. • Signature of child care provider and applicant.
Proof of pre-disaster and current post-disaster income for the individual(s) responsible for child care expenses	Examples include, but are not limited to: • Recent pay stubs. • W-2 forms or tax returns from most recent tax year. • Documentation of self-employment, if applicable. • Documentation of government assistance, including Social Security.
Verification of the child care provider's license	Applicant does not need to submit if the information can be located within a respective STT government's licensed provider database.
Individual Educational Program Plan, 504 plan, or medical professional's statement	Verifies a disability for children up to age 21 who require assistance with activities of daily living. If a child with a disability has not graduated from high school, they are eligible for education services under the Individuals with Disabilities Education Act (IDEA) until age 21. A child eligible under IDEA will have an IEP that documents the educational services. Children who are not eligible for an IEP, but still need some educational support, may have a 504 plan.

Limitations and Exclusions

- FEMA limits Child Care Assistance to up to eight cumulative weeks per child or per household or the maximum amount of assistance established by the STT government, whichever is less.
- FEMA will only provide Child Care Assistance to one applicant on behalf of the child(ren).
- If a child is a member of multiple households, FEMA will only award assistance to the primary custodial parent/guardian responsible for child care costs after the disaster.
- FEMA will not provide assistance for any of the following:
    - Fees for extra-curricular activities and additional services (e.g., school photographs, field trips)
    - Fees not directly related to the day-to-day child care services provided to the eligible child (e.g., prepared lunches, snacks, facility-provided linens, etc.)
    - Fuel expenses related to transporting the child to and from the child care provider (e.g., school bus service)
    - Education services (e.g., after-school tutoring)
    - Medical care or services
    - Recreational camps or clubs (e.g., after-school clubs, overnight camps)

4. Assistance for Miscellaneous Items

FEMA provides financial assistance under the ONA provision of the IHP to individuals and households with certain disaster-caused miscellaneous expenses.[167] Eligible items must be purchased or rented after the incident to assist with the applicant's disaster recovery, such as gaining access to the property or assisting with cleaning efforts. Items damaged by the disaster that were owned prior to the disaster will be considered under Personal Property Assistance. STT governments, in consultation with FEMA, have identified standard miscellaneous line items. However, the STT government may elect not to include all these items as eligible for reimbursement:

- Carbon Monoxide Detector
- Chainsaw
- Dehumidifier
- Generator (see Chapter 3, VI.B.4) under this section's Limitations and Exclusions)
- Humidifier
- Smoke Detector
- Weather Radio

STT governments may request additional miscellaneous line items on the ONA Administrative Option Selection Form, during any non-disaster time period or within 72 hours of a major disaster declaration.[168]

Conditions of Eligibility

In addition to meeting general conditions of eligibility (see Chapter 3, II.), applicants must meet the following conditions in order to receive Assistance for Miscellaneous Items:

- The expense must be a direct result of the disaster.
- The item must have been purchased or rented within 30 days after the incident start date or up to the last day of the incident period, whichever is greater.
- Generator exception: The reimbursement period starts the day the Governor or Tribal Chief Executive declares a State of Emergency and ends at the incident period closure date identified by FEMA in the Federal Register, or if warranted due to extraordinary circumstances, the date commercial power is restored to the applicant's primary residence as verified by the FEMA JFO or commercial power provider.
- Applicants must provide an itemized receipt or equipment rental agreement for eligible expenses.
- The expense must not be covered by insurance or provided by any other source.

Limitations and Exclusions

- Prior to the disaster, if the applicant owned the eligible miscellaneous item and the item was damaged by the disaster, the item will be considered under Personal Property Assistance.
- Assistance for Miscellaneous Items is limited to the quantity established for the item by the STT government on the ONA Administrative Option Selection Form.



Power lines in NY damaged by Hurricane Irene and Tropical Storm Lee.

- If the pre-disaster primary residence is located within a CBRS Unit, the applicant may not be considered for Assistance for Miscellaneous Items except for expenses to purchase or rent items required to power life-sustaining medical equipment (e.g., generators).
- Generator expenses only:
    - The generator must be purchased or rented to power a medically-required piece of equipment, including medically-required refrigerators.
    - The generator must be purchased or rented due to a disruption in electrical utility service as a result of the disaster.
    - The applicant must submit a statement from a medical services provider, indicating the equipment is medically necessary.

- The allowable award amount for generators is limited to the prevailing retail or rental rate for a 5.5 kw-sized generator, as identified by FEMA.
- The IA Division Director may waive one or more conditions of eligibility during extraordinary circumstances (e.g., sustained power outage during a period of sub-freezing temperatures) when determined to be in the public's interest.



A home in Arkansas is damaged by a fallen tree.

- Chainsaw expenses only:
  - The chainsaw must be purchased or rented to gain access to and/or remove hazards from the home.

5. Moving and Storage Assistance

FEMA may provide financial assistance under the ONA provision of the IHP to individuals and households for moving and storage expenses incurred on or after the incident period start date to avoid additional disaster damage.[169] Generally, these expenses include those related to: (1) storage of personal property in a storage unit or temporary housing unit while repairs are being made to the primary residence and returning the property to the applicant's primary residence, or (2) moving the items to the individual's or household's new primary residence.[170]

FEMA may provide assistance with eligible moving and storage expenses through the period of assistance or up to the financial ONA maximum award, whichever comes first.

Conditions of Eligibility

In addition to meeting general conditions of IHP eligibility (see Chapter 3, II.), applicants must meet the following conditions in order to receive Moving and Storage Assistance:

- The applicant's primary residence was rendered uninhabitable due to the disaster.
- The property being moved and/or stored is considered as essential personal property and/or household goods (such as appliances and furniture).
- The applicant is moving and/or storing essential household goods to prevent additional damage and returning the items to the individual's or household's primary residence or moving the items to the individual's or household's new primary residence.

Eligible Expenses: Moving and Storage Assistance may be provided for, but is not limited to, all of the following expenses:

- Costs for commercial moving labor
- Moving truck rental fee
- Fuel for the rental vehicle
- Costs for tape and boxes
- Commercial storage unit fees
- Associated sales taxes

Ineligible Expenses: All the following expenses are ineligible:

- Moving and storage of recreational items (e.g., fishing gear, canoes, jet skis)
- Costs incurred for the moving and storage of items as a preventative measure prior to the disaster
- Costs covered by another source (e.g., insurance, SBA disaster loan)
- Expenses for essential personal property that is permanently moved out of the damaged pre-disaster residence and will not be returned to the applicant's primary residence or to the applicant's new primary residence.
- Optional insurance and security deposits listed on applicant's receipts, bills, or estimates

Required Documentation

Applicants must submit:

- Receipts, bills, or estimates with associated dates for the moving expenses.
- Receipts or bills with associated dates for storage expenses.
- Statements with all the following:
  - The reason(s) moving and storage expenses were required
  - A description of the essential household goods being stored
  - Information indicating the essential household goods are being stored while repairs to the primary residence are being made
  - Information indicating the essential household goods will be returned to the primary residence or to the individual's or household's new primary residence



Flood Insurance Policies

Many flood insurance policies provide up to $1,000 in moving expenses. As FEMA is unable to duplicate assistance from other sources, applicants who have flood insurance will have to submit documentation to show they have exhausted funds allocated under their policy for moving expenses prior to being considered for FEMA's Moving and Storage Assistance.

Limitations and Exclusions

When the cause of damage is flooding and the applicant failed to maintain flood insurance as required as a condition of receiving previous Federal assistance, FEMA is unable to provide assistance for the first $1,000 of moving expenses.

6. Critical Needs Assistance

FEMA may provide financial assistance under the ONA provision of the IHP to applicants who have immediate or critical needs because they are displaced from their primary residence or to applicants who need assistance in order to leave their pre-disaster primary residence to temporarily shelter elsewhere. Immediate or critical needs are life-saving and life-sustaining items including, but not limited to: water, food, first aid, prescriptions, infant formula, diapers, CMS, DME, personal hygiene items, and fuel for transportation.

Program Request and Approval

FEMA's IADD may authorize CNA when the affected STT government submits a written request with justification, to implement CNA. The request must be submitted within 14 days from the date of the Presidential disaster declaration. This form of assistance may be considered for specific geographic areas (i.e., counties or ZIP codes), identified by the STT government, within the declared disaster when the request demonstrates:

- Applicants are displaced due to restrictions placed by the SLTT government officials (e.g., evacuation advisory, inaccessibility);
- Shelters in the area do not meet the needs of the displaced population; and
- Community and life-sustaining services within a reasonable distance from the area are limited due to the disaster-caused impact.

Based on the affected STT government's request, the IA Division Director may authorize a CNA eligibility period of up to 30 days from the Presidential disaster declaration. When necessary based on applicant need, the IA Division Director may extend the CNA eligibility period if requested by the affected STT government.

Conditions of Eligibility

Applicants will be considered for assistance if all the following apply:

- They register for FEMA assistance within the CNA eligibility period established for the declared disaster;
- They pass FEMA's identity and occupancy verification process;
    - The IADD may waive occupancy verification in locations where automated verification of public records is limited.
- They are displaced from their pre-disaster primary residence as a result of the disaster, or they are sheltering in their pre-disaster residence and report a need to shelter elsewhere at registration;

- At registration, they assert that they have critical needs and request financial assistance for those needs and expenses; and
- Their pre-disaster primary residence is located in the designated geographic area requested by the STT government and approved by the IADD for CNA.
- They report damage that may impact the habitability of their home.

Limitations and Exclusions

- Applicants temporarily residing in correctional facilities or secondary residences are not eligible for CNA.
- Applicants will not receive CNA if there are any unresolved high-risk fraud indicators associated with their registration.
- Applicants who apply during the eligible period and resolve all issues impacting eligibility prior to the end of the registration period and any approved extensions may receive CNA. Applicants that resolve ineligibility issues after the close of the registration period will not receive a CNA payment.
- Unless otherwise authorized by the IA Division Director and the respective STT government, CNA is limited to $500 per eligible household and will be awarded as a one-time award. This amount is based on the reasonably prudent cost of life-saving and life-sustaining items that a household may need immediately upon displacement from their home.

7. Clean and Removal Assistance

FEMA may provide a limited amount of financial assistance to homeowners with disaster-caused real property damage who do not qualify for Home Repair Assistance because the damage did not render the home uninhabitable. Clean and Removal Assistance (CRA) is intended to ensure contamination from floodwaters is addressed in a timely manner to prevent additional losses and potential health and safety concerns. Individual property owners will be responsible for performing or contracting for services to remove contaminants and disinfect surface areas of their homes that have been affected by floodwater.

Program Request and Approval

An affected STT government must submit a written request to FEMA to implement CRA. The GAR or TAR must submit a written request for CRA to the FCO. CRA may only be requested in disasters where "flood" is listed as an incident type. CRA must be approved by the RA prior to implementation. The eligibility period for CRA will correspond to the standard FEMA registration period of 60 days, but will not include extension periods unless specifically authorized by the RA.

Conditions of Eligibility

In addition to meeting general conditions of eligibility (see Chapter 3, II.), applicants must meet the following conditions in order to receive CRA:

- The pre-disaster primary residence is located in an area designated for Individual Assistance.
- The applicant has at least one real property line item recorded during inspection as flood-damaged.
- The pre-disaster primary residence is not covered by insurance for flood damage, including flood or mobile home insurance, at the time of the disaster.
- The applicant receives a denial indicating the disaster-damaged primary residence was safe to occupy.

Limitations and Exclusions

- CRA is limited to $550 per eligible household. This amount is based on the average historical cost of cleaning, sanitizing, and removing floor covering after a flood.
- CRA will be awarded as a one-time payment.
- The CRA award will be deducted from any subsequent Home Repair Assistance award.
- If FEMA determines that the subsequent Home Repair Assistance award would be less than the $550 CRA award, the applicant will not receive additional funds.

C. SBA-Dependent

The types of assistance described in this section are SBA-dependent. Applicants who were referred to the SBA but who did not qualify for an SBA loan, or who were approved for a partial loan but the amount of the loan was insufficient to meet the applicant's disaster expenses or serious needs, may be referred back to FEMA to determine their eligibility for Personal Property Assistance, Transportation Assistance, and a Group Flood Insurance Policy (GFIP) certificate.

Applicants who withdraw from the process or decline a loan from the SBA will not be referred back to FEMA for assistance with SBA-Dependent ONA.



A woman helps her neighbor clean out a flood damaged home in Cedar Rapids, Iowa.

1. Personal Property Assistance

FEMA may provide financial assistance under the ONA provision of the IHP to repair or replace personal property damaged or destroyed due to a disaster.[171] The *ONA Administrative Option Selection Form* includes a list of eligible items, also known as the Standard Personal Property Line Items list that may be considered for assistance. The affected STT government has the ability to request that items be added to or removed from the list within 72 hours of an IA declaration.

FEMA and the affected STT government establish a maximum quantity that may be awarded for each personal property item. FEMA calculates the award amount for each item according to the consumer price index data for items of average quality, size, and capacity in the area where the damage occurred. The assistance is intended to meet the basic needs of the household, not to restore all personal property items to a pre-disaster condition.

When applicants reside in the same damaged pre-disaster residence and are not classified by FEMA as roommates or boarders, the owner or head of household will be considered responsible for the personal property in the common living areas up to the quantity limit. However, a household member could be eligible if the owner, head of household, or landlord has not met the item quantity limit. The household members may be awarded the minimum amount of assistance to meet the household needs, not to exceed the quantity limit. For more information about roommates and boarders see Chapter 3, II.

FEMA will record personal property as being unaffected or needing repair or replacement during inspection of the applicant's damaged primary residence. FEMA assistance to repair and replace personal property falls within the following categories:

- **Appliances:** Includes standard household appliances, such as a refrigerator, washing machine, etc.
- **Clothing:** Essential clothing needed due to overall loss, damage, or contamination.
- **Room furnishings:** Standard furnishings found in a bedroom, kitchen, bathroom, and living room.
- **Essential Tools:** Tools and equipment required by an employer as a condition of employment and items required as a condition of an applicant's or household member's education.



Non-Typical Appliances

For processing purposes, FEMA identifies certain items as "appliances" that may not be considered a typical appliance to the general public. Items that fall into this category include:

- Children's strollers and toys
- Everyday dining tables
- Twin beds
- Property for applicants with access or functional needs, such as accessible toilet seats, beds, etc.

Conditions of Eligibility

In addition to meeting general conditions of eligibility (see Chapter 3, II.), applicants must meet the following conditions in order to receive Personal Property Assistance:

- The item needs to be repaired or replaced due to disaster damage.
- The occupants of the household have an unmet disaster-related need for the damaged item. FEMA may not provide assistance if the applicant can meet that need with another similar item in their possession or available to the household.
- The item was owned and being used by occupants of the household.
    - FEMA does not provide assistance for furnishings and/or appliances provided by a landlord.

- Items used by guests and relatives who were not members of the pre-disaster household are not eligible for assistance.

Applicants must also meet specific eligibility requirements for each category of item for which they are requesting Personal Property Assistance. These include:

- **Appliances:** Assistance is based on the number and type of household appliances in need of repair or replacement. Applicants may receive assistance for two appliances that serve a similar purpose or function (e.g., a range and a microwave).
- **Clothing:** Assistance is based on a set dollar amount per person (intended to provide funds for up to seven days of clothing needs) and the number of household members requiring clothing, as recorded during FEMA inspection. FEMA may provide assistance for clothing when existing clothing has been destroyed, is physically gone (e.g., blown away), or contaminated by chemicals or sewer backup as a result of disaster. FEMA expects applicants to clean clothing soaked by wind-driven rain, seepage, or flood waters. Stored clothing is generally not eligible for assistance.



FEMA inspector records disaster damage at a survivor's home.

- **Room Furnishings:** Assistance is based on the level of damage to furnishings within specific rooms of the residence as recorded during FEMA inspection. The rooms must be one of the four types required to meet the needs of a typical household: kitchen, living room, bathroom, or bedroom.
- **Essential Tools:** Assistance is based on a need to replace disaster-damaged essential tools, supplies, equipment, items required by an employer as a condition of employment, or required for education. This includes disaster-damaged tools and equipment, or other items required for a specific trade or profession, not provided or supplied by the employer. Tools, uniforms, computers, supplies, or other items used for self-employment are not eligible for IHP assistance.

  Eligible essential tool items are listed below:

  - Schoolbooks/Supplies: Equipment and supplies required to be provided by the applicant for educational courses or schooling, including home schooling, college, and trade school courses.
  - Uniforms: Required for school or work when the applicant is responsible for replacement of the uniforms.
  - Computers: Required by a school or an employer when the applicant is responsible for the replacement of the computer.
  - Occupational Tools: Essential tools and equipment, not provided or supplied by an employer, and required by an employer as a condition of employment.

- Applicants may be asked to provide the following documentation for essential tools:
    - A statement from the employer on company letterhead documenting the applicant is required to provide their own tools or computer as a condition of employment.
    - A statement on school letterhead documenting a computer is required as a condition of education and the school does not provide access to computers to use outside of class, such as a school computer lab.
    - An itemized list of the tools required by the employer, also on company letterhead.
    - An itemized list of each tool required and a verifiable statement, estimate, or bill from the place of the potential purchase stating that the damage was caused by the disaster.
- **Accessible Items:** FEMA also provides assistance for damaged personal property items required for qualified applicants with disabilities. The list includes accessible beds, raised toilet seats, accessible refrigerators, accessible washers, and computers or adaptive technology when utilized as the sole means of communication for a household member with a disability. Motorized and non-motorized wheelchairs, shower chairs, visual/vibrating fire signals, and walkers, are also eligible items.
    - If an applicant has additional assistive devices not included in the list above, they may request assistance to replace those items under the Medical and Dental Assistance category of ONA (see Chapter 3, VI.B.2.).
    - If the cost to repair or replace an ADA Personal Property item exceeds the award amount, applicants may request assistance for the additional amount by providing the following:
        - A written or signed statement from a medical provider verifying the applicant or household member required the item prior to the disaster; and
        - An itemized bill, receipt, or estimate showing the repair or replacement cost of the item.

Limitations and Exclusions

- Applicants incarcerated at the time of the disaster who incur disaster-caused damage to their personal property within their unit at the correctional facility or detention center are ineligible for IHP assistance. Prisoners are legally entrusted to the corrections institute which is responsible for safeguarding their persons and providing for their needs.
- Financial assistance for flood-damaged personal property in basements is limited to both of the following:
    - Washers and dryers
    - Essential personal property in rooms required for the occupation of the dwelling (for example, occupied bedrooms, a bathroom required for the occupied bedroom, a sole kitchen or living room).

2. Transportation Assistance



A car is surrounded by floodwaters.

FEMA may provide financial assistance under the ONA provision of the IHP to individuals and households with disaster-caused vehicle repair or replacement expenses.[172] Unlike most other forms of IHP assistance, an applicant seeking Transportation Assistance does not need to live in the Presidentially-declared disaster area to be considered for the assistance.

The affected STT government uses the ONA Administrative Option Selection Form to establish the maximum amount of Transportation Assistance (i.e., Transportation Repair and Transportation Replacement) that may be awarded. The amount of Transportation Repair and Replacement Assistance awarded is based on the degree of damage and the STT government's repair and replacement maximum.

Conditions of Eligibility

In addition to meeting general conditions of eligibility (see Chapter 3, II.), applicants must meet the following conditions in order to receive Transportation Assistance:

- The vehicle sustained disaster-caused damage, and the damage occurred within the Presidentially-declared disaster area.
- The damaged vehicle is an approved vehicle type; eligible vehicles include but may not be limited to vans, trucks, sport utility vehicles, and cars.
    - Other modes of transportation may be added to the ONA Administrative Option Selection Form by the STT government during any non-disaster time period or within three days of a major disaster declaration. This may include boats, motorcycles, golf carts, or other non-standard modes of primary transportation.
- The damaged vehicle is in compliance with its STT government's registration and insurance requirements at the time of the disaster.
- The damaged vehicle is owned or leased (i.e., not a rental vehicle) by the applicant, co-applicant, or household member.

Vehicle Damage Levels

Destroyed Vehicle is a vehicle declared a total loss due to disaster-caused damages (e.g., flood water covered the engine, vehicle was crushed by a fallen tree or was burned).

Repairable Vehicle is a vehicle that sustained disaster-caused damage affecting the drivability or safety of the vehicle (e.g., broken windshield, window glass, mirror, or headlight assembly; minor mechanical repairs; medically-required repairs).

Vehicle with Cosmetic Damage is a vehicle with disaster-caused damage that does not affect the drivability or safety of the vehicle in any way (e.g., minor dents, scratches, and other similarly low

Required Documentation

Generally, FEMA will verify vehicle damage during an on-site inspection and record it as repairable or destroyed. If the vehicle is not available at the time of inspection, the vehicle was recorded destroyed by the on-site inspector, or if the applicant does not receive an on-site inspection, the applicant must submit:

- A copy of the vehicle registration valid at the time of the disaster for the damaged vehicle(s);
- A list of all vehicle(s) owned (year, make, and model) and a brief description of damage for each vehicle;
- Proof of insurance policy showing type of coverage or proof the vehicle meets the STT's minimum insurance requirement;
- Insurance settlement, denial, or statement that insurance coverage does not exist;
- **For vehicle repair**: a verifiable bill, receipt, or estimate from a mechanic that:
    - Confirms the damage is disaster-caused;
    - Identifies repair or replacement costs (e.g., parts, service, and labor); and
    - Includes the mechanic's contact information.
- **For vehicle replacement:** documentation from an SLTT government confirming the vehicle was salvaged due to the disaster.
- If the damaged vehicle included medically-required modifications that exceed the Transportation Assistance maximum repair or replacement amount, as applicable, the applicant may request assistance for those modifications under the Medical and Dental Assistance category of ONA (see Chapter 3, VI.B.2.). Applicants must provide the following documentation related to any medically-required vehicle modifications and the repair or replacement cost of those items, as appropriate, including:
    - A written or signed statement from a medical provider verifying the applicant or household member required the item prior to the disaster; and
    - An itemized bill, receipt, or estimate showing the repair or replacement cost of the component or modified vehicle, as appropriate.



Vehicle Repair Expenses

Eligible repair expenses based on bills, receipts, and estimates may include but are not limited to:

- Costs for labor
- Service fees (e.g., battery recharge or wheel re-alignment)
- Repair estimates and vehicle towing expenses
- The repair/replacement of vehicle parts when repairs for drivability and safety are required (includes paint and/or body work and carpet)
- Medically-required modifications (e.g., ramp, lift, hand controls)

Limitations and Exclusions

- FEMA will not award assistance to repair or replace an operational vehicle. An operational vehicle is defined as a vehicle that may need cosmetic repairs or incurred minimal damage but is in compliance with its STT government's registration and insurance requirements at the time of the disaster and can be legally driven.
- Assistance is usually limited to one vehicle. However, in instances where any additional unaffected and operational vehicles owned by members of the household are not sufficient to meet the needs of the household, FEMA may award assistance to repair or replace a second damaged vehicle. Any additional vehicles would be required to meet all applicable conditions of eligibility, as referenced above. Additionally, the applicant must certify in writing that the damaged vehicle is essential for the household's daily usage, outline the relevant circumstances, and explain the serious need for a second vehicle.

3. Group Flood Insurance Policy

The Group Flood Insurance Policy[173] refers to a flood insurance policy established under the NFIP regulations.[174] As part of the effort to reduce future expenses from floods, FEMA directly purchases GFIP certificated on behalf of applicants who are required to purchase and maintain flood but who may not otherwise be able to purchase a policy.

In Joint and State Option disasters, the STT government directly purchases the GFIP as part of their administration of ONA. The premium for a three-year certificate of coverage is $2,400[175] and counts towards an applicant's ONA financial maximum award.[176] The GFIP is a policy that is established for each disaster declaration that results from flooding and authorizes the provision of IA.



GFIP Overview

- GFIP certificates provide up to three years of flood insurance coverage for real property and personal property items insurable under the NFIP.
- The master GFIP policy term is for 36 months and begins 60 days after the date of the Presidential disaster declaration. However, individual coverage becomes effective after a 30-day waiting period, which starts on the day NFIP receives the applicant's name and premium payment from either the SLTT government or FEMA.
- The coverage amount of the GFIP policy is equal to the combined value of the Housing Assistance and ONA financial maximum awards.

Conditions of Eligibility

In addition to meeting general conditions of eligibility (see Chapter 3, II.), applicants must meet the following conditions in order to be considered for a GFIP certificate:

- FEMA verifies that damage to the applicant's property is caused by flooding and the damaged items are insurable under NFIP.
- The applicant's damaged residence is located in an SFHA.
- The applicant's damaged residence is not located in a sanctioned community, CBRS area, or OPA.
- The applicant does not have a previous requirement to maintain flood insurance as a condition of receiving IHP assistance.
    - If a subsequent disaster occurs during the 30-day waiting period for the purchased flood insurance to become effective, the applicant is considered compliant and eligible for IHP assistance.
- The applicant is referred to FEMA for SBA-dependent ONA.
    - Applicants who accept an SBA loan during the disaster in which a flood insurance requirement is set will not be considered for a FEMA-purchased GFIP certificate.
    - Applicants who were referred to the SBA, did not qualify for an SBA loan, and were referred back to FEMA may be considered for a FEMA-purchased GFIP certificate.
- The applicant is eligible for Home Repair Assistance, Home Replacement Assistance, or Personal Property Assistance, prompting the requirement to maintain flood insurance on the damaged residence and initiating the GFIP.
- An eligible applicant whose pre-disaster primary residence is located within an OPA and an SFHA may only be considered for a GFIP certificate if they also meet the following conditions:
    - A legally valid building permit for the construction of the applicant's pre-disaster primary residence was issued prior to November 16, 1991; and
    - The applicant's pre-disaster primary residence was built (walled and roofed) no later than November 16, 1991; and
    - The applicant's pre-disaster primary residence was not substantially improved or substantially damaged on or after November 16, 1991.

Applicant Notification and Response

- Pre-disaster owners included in the GFIP receive notification from FEMA stating they have been included in the policy as well as a "Certificate of Flood Insurance;" applicants do not receive a copy of the actual policy. Applicants do not have the ability to decline the GFIP.
- Pre-disaster renters will only receive a GFIP certificate if they notify FEMA within six months of receiving a GFIP notification letter that they have moved back or intend to move back to their pre-disaster residence.
    - GFIP certificates are not transferable to a new renter of a rental unit and are not transferable to a new rental address.
- FEMA gives applicants a 60-day notice of policy expiration and a final notice of termination of coverage. When the GFIP expires, the applicant is responsible for purchasing and maintaining flood insurance on their own. Failure to maintain flood insurance will affect applicant eligibility for future disaster assistance.

Limitations and Exclusions

- If the cost of a GFIP policy exceeds the remaining amount of IHP assistance available to the applicant, then FEMA will not purchase a policy. The applicant will still be responsible for purchasing a policy on their own.
- Applicants who own or rent travel trailers on non-permanent foundations are not eligible for GFIP.[177]
- Applicants whose pre-disaster primary residence is located within an OPA and SFHA must submit the following documentation in order to receive consideration for a GFIP certificate:
    - A legally valid building permit for the construction of the applicant's pre-disaster primary residence issued prior to November 16, 1991; or if the building permit was lost or destroyed, a written statement to this effect signed by the community official responsible for the building permits demonstrating the construction of the applicant's pre-disaster primary residence permitted prior to November 16, 1991.
    - A written statement by a responsible community official that:
        - The applicant's pre-disaster primary residence was built (walled and roofed) no later than November 16, 1991; and
        - The building was not substantially improved or substantially damaged on or after November 16, 1991.

- Additional forms of documentation to demonstrate a pre-disaster residence's eligibility for NFIP coverage may include:
  - First mortgage financing records;
  - Property tax records;
  - Electrical permit records;
  - On-site septic or sewer system records;
  - State Coastal Zone Management Agency Records;
  - State Wetlands Program Permit Record

VII. Recovery of Program Funds

Federal agencies are required to take action to identify and recover improper payments, whether made in error or obtained by fraud, per the following Federal laws:

- Debt Collection Improvement Act of 1996 (DCIA)
- Improper Payments and Information Act of 2002 (IPIA)
- Improper Payments Elimination and Recovery Act of 2010 (IPERA)
- Improper Payments Elimination and Recovery Improvement Act of 2012 (IPERIA)

Eligibility of Applicant with a FEMA Debt

An applicant who has a FEMA debt from a current or previous disaster may be eligible for IHP assistance in a subsequent disaster, except in cases where the debt is a result of the applicant obtaining assistance through fraudulent means.

In addition, the applicant must agree to return funds to FEMA when the assistance provided by FEMA duplicates assistance from another source,[178] was provided in error, was spent on expenses inappropriately, or was obtained through fraudulent means.

After every disaster, FEMA is required to review disaster assistance payments to ensure taxpayer dollars were properly spent. Those reviews often show a small percentage of specific cases where disaster assistance was given to applicants who were not eligible for some or all the money they received. FEMA collects these overpayments through a process called "recoupment."

FEMA employs a deliberative process to identify and verify payments that must be recouped and established as debts. This process involves multiple levels of FEMA staff and management review and validation before a debt is established. When FEMA determines assistance was given to applicants who were not eligible for some or all the money received, FEMA IA program staff notifies the applicant in writing of their potential debt and their right to appeal the decision.

Fraud

Fraud is the intentional deception, concealment, or use of documents intended to mislead FEMA in order to wrongfully obtain IHP assistance an applicant is not eligible to receive. FEMA staff are required to report suspected fraud to the Department of Homeland Security (DHS) Office of Inspector General (OIG). The OIG investigates potential fraud cases and, when appropriate, refers them to the Department of Justice (DOJ) for appropriate legal action. FEMA may not establish or collect debts related to fraud unless the OIG or DOJ declines to pursue legal action and instructs FEMA to proceed.

Significant Enforcement Principle

FEMA will consider initiating collection of a potential debt, regardless of debt value, when it is necessary to aggressively pursue recoupment of any assistance obtained through fraudulent means.

After the applicant exhausts their appeal rights or the period to appeal the debt expires, a debt is officially established. The FEMA Finance Center (FFC) will send a letter to the applicant to provide information on repayment options.

FEMA considers expenses associated with the time and resources required to prepare and follow up on a potential debt to determine if the costs of recovering the potential debt will exceed the amount owed. FEMA will not initiate recoupment activity for any potential debt valued less than $250.00 unless a significant enforcement principle is at stake.

Figure 33: FEMA Process for Identifying and Validating Debt Payments

Steps	Process
Identifying Potential Debt	FEMA staff review cases to identify potential debts and submit the case for additional review and validation.
Verifying Potential Debt	Each case is assigned to another FEMA staff member who reviews to ensure the decision to request an applicant return funds to FEMA is absolutely necessary. All reasonable efforts are made to identify assistance the applicant was eligible to receive and does not need to be returned. FEMA may contact applicants at this stage to give them an opportunity to provide additional documentation to resolve the issue.
Potential Debt Notification and Appeal	Multiple FEMA managers are required to review each case and concur on the type and amount of assistance that must be returned. FEMA managers also review the case to ensure all appropriate steps to resolve the issue were taken. When FEMA managers determine the assistance must be returned, a written notice is sent to the applicant notifying them of their potential debt, the reason the applicant is not eligible for the assistance provided, and information regarding how they may appeal and/or request an oral hearing.
Appealing a Potential Debt	Applicants may submit a written appeal within 60 days of receiving written notice of their potential debt.
Establishing Debt	If an applicant does not appeal the decision or their appeal is not granted, the debt becomes final and is forwarded to the FFC to continue debt collection activities.
Debt Compromise, Suspension, and Termination	Once a debt has been established, applicants may work with the FFC to make payment arrangements. In limited circumstances, the FFC may suspend or terminate debt collection.
Transfer of Debt to Treasury	If the applicant has not repaid the debt or has not entered into a repayment plan within 120 days of FFC's letter, FEMA refers the debt to Treasury for collection. Significant additional costs will be incurred as a result of referral to Treasury.

A. Reasons for Recovery of Funds

FEMA must recover IHP assistance when there is evidence of:

- Duplication of Benefits (DOB),[179] which occurs when FEMA provides funds that were also previously received or available from another source, such as insurance or another Federal agency. A DOB may also occur when multiple applicants in a household receive an award for the same item or type of assistance.
- Assistance provided in error, which occurs when FEMA determines assistance was provided to the applicant for which they are not eligible.
- Misuse of funds, which occurs when FEMA determines the applicant spent the funds inappropriately (e.g., using assistance to pay off credit card debt).
- Fraud, which occurs when FEMA determines the applicant obtained the assistance through false means (e.g., false address, submitting false or altered documents, misrepresenting insurance coverage).

B. Identifying and Verifying Potential Debts

FEMA must ensure IHP assistance is provided only to eligible applicants, and when it is determined assistance was provided in error or for which the applicant is not otherwise entitled, recoupment actions are mandatory.[180] FEMA identifies potential debts through routine internal reviews, information provided by the applicant, reports from other agencies, audits conducted by the Government Accountability Office, FEMA fraud investigators, or the Department of Homeland Security Office of Inspector General (OIG). When FEMA identifies that funds may need to be returned, at least two FEMA employees perform an initial review of the applicant's case to determine whether an erroneous payment has been made. Each FEMA employee compares the assistance provided to the amount the applicant was eligible for during the review process.

In reviewing for error, FEMA may identity more than one applicant who may be liable for the same potential debt and choose to pursue simultaneous notification and collection against all potentially-liable applicants. When one of the potentially-liable applicants was a minor at the time they applied for assistance, FEMA will pursue collection against a co-applicant or legal guardian. If the sole applicant was a minor at the time they applied for assistance, FEMA will only pursue funds awarded in error in limited circumstances, such as the applicant received assistance through fraud, or was legally emancipated or living outside their household in order to attend an institution for higher education when they received assistance.



A FEMA staff member explains disaster assistance programs to an applicant at a Disaster Recovery Center (DRC) in Washington.

When the case reviews indicate an error was made, FEMA will, whenever possible, attempt to obtain additional information that may demonstrate that the funds do not need to be repaid by contacting the applicant, contractors, landlords, insurance companies, or other third parties. After the additional information has been obtained, FEMA may determine that the funds were properly awarded and close the review. When the review confirms that an error or improper payment has been made, the case is provided to a senior manager within the IHP program office at FEMA Headquarters for a final review.

FEMA does not initiate debt collection on cases of fraud until they have been investigated by FEMA fraud investigators and/or OIG. FEMA investigators and the Office of Chief Counsel will coordinate on the appropriate debt collection activities.

C. Notice of Potential Debt and Appeal Process

Once FEMA has determined that the applicant was awarded more assistance than they were eligible for, the applicant is sent a Notice of Potential Debt Letter (NPDL) identifying all the following:

- The amount of assistance the applicant received for which FEMA has determined the applicant is ineligible
- The reason(s) the applicant is not eligible for the assistance provided
- The process for appealing the decision, including the process for requesting an oral hearing
- The documentation required to dispute the ineligibility determination
- The notice that a final determination will be provided to the applicant informing them whether a debt is owed

Applicants who disagree with the potential debt amount or reason may submit a written appeal or request for an oral hearing within 60 days of the date on the FEMA potential debt notification letter (for more information about appeals, (see Chapter 3, II.). When an applicant submits an appeal, FEMA will evaluate their case, including any new information submitted, to determine whether the potential debt stands in whole or in part.

1. Oral Hearings

Applicants may request an oral hearing as part of their written appeal. FEMA will only grant oral hearings in limited cases, when there is an issue of identity theft, credibility, or truthfulness, and the case cannot be decided solely on the review of documents. The Alternate Dispute Resolution Division within FEMA's Office of Chief Counsel conducts the hearing. If the Oral Hearing Officer decides not to grant an oral hearing, that decision is final and cannot be appealed.

The Oral Hearing Officer reviews all the information regarding the case before the hearing. If the case was previously investigated for potential fraud, the Oral Hearing Officer's review will include reports and information gathered during the investigation. During oral hearings, applicants are permitted to present information and witness statements to support their claim(s), and FEMA staff represent the Agency's position. If a potential fraud investigation is conducted, a representative from the Fraud Investigation and Prevention Branch will present information about the investigation and report during the oral hearing. Oral hearing decisions are considered final agency determinations that cannot be appealed, and a decision letter drafted by the Oral Hearing Officer is mailed to the applicant.

2. FEMA Appeal Determination

FEMA evaluates an applicant's case and their appeal and makes a final written decision within 90 days after receiving the appeal, or 45 days after concluding the oral hearing.

- Based on a review of the applicant's appeal, FEMA may grant an appeal challenging the reason for the potential debt or the amount of the potential debt.
    - When FEMA grants an appeal for the reason for the potential debt, FEMA will terminate the debt and return any money the applicant paid to FEMA.
    - When FEMA grants an appeal for any amount of the potential debt, FEMA will adjust the amount of the debt based on the appeal decision when:
        - An applicant already paid the debt. FEMA will return any money to the applicant that was in excess of the final debt amount based on the appeal.
        - The final debt amount based on the appeal was more than the amount the applicant already paid. FEMA will continue to collect any remaining portion of the reduced debt.
        - The applicant has not made any payments to FEMA for the debt. FEMA will continue debt collection activities.
- Based on a review of the applicant's appeal, FEMA may deny an appeal challenging the reason or amount for the potential debt. If FEMA denies the appeal, FEMA's decision is considered a final agency determination and may not be appealed again.

FEMA establishes the debt amount after the appeal period expires and all decisions are rendered on any appeals received, and forwards the debt to the FFC for continued debt collection activities.

As part of the debt collection activities, the FFC will provide each applicant the opportunity to make payment arrangements.

D. Debt Compromise, Suspension, or Termination

Once the appellate process is complete and a debt has been established, the FEMA Finance Center (FFC) sends a written "Notice and Debt Letter" (NDL) to applicants to inform them that the debt has become final, that interest and penalties that will start to accrue on the debt if it is not paid, and of their rights to request payment arrangements, compromise, and request a debt waiver. FFC considers an applicant's request to compromise, suspend, or waive a debt.

1. Payment Arrangements and Compromise

When an applicant requests a payment plan or compromise, FFC may request financial information in order to determine whether the applicant has the ability to pay the debt in one lump sum or in monthly payments. If the FFC determines that the applicant does not have the ability to pay the entire debt, it may agree to a compromise by accepting less than the full amount owed to satisfy the debt. If the applicant's financial situation does not warrant a compromise of the debt or is otherwise not qualified due to a substantiated finding of fraud, the FFC will notify the applicant.



Compromise

Compromise means an agreement to accept less than the full amount owed to satisfy the debt.

2. Debt Waiver

If the debtor does not qualify for a full compromise and the debt results from a major disaster or emergency declared on or after October 28, 2012, the FFC may – upon written request from the debtor – waive a debt, in whole or part, where the erroneous payment was based on FEMA error, there is no debtor fault, and the collection of the debt would be against equity and good conscience. Debt waivers are discretionary and will not be granted retroactively. The applicant has the burden of demonstrating that a waiver is appropriate.

3. Debt Suspension

FEMA may suspend debt collection activities at any time during the collection process for various reasons, to include: when the debt is uncollectible due to the applicant's current financial inability to pay but the applicant has the future potential to reinstate payments.

4. Debt Termination

FEMA may terminate debt collection efforts in accordance with the criteria established in the Federal Claim Collection Standards where such collection is not economically worthwhile or collection is otherwise inappropriate, including when:

- FEMA is unable to collect any substantial amount through its own efforts or through the efforts of others.
- FEMA is unable to locate the debtor.
- The debt is legally without merit or enforcement of the debt is barred by any applicable statute of limitations.
- The debt has been successfully discharged in bankruptcy.
- Costs of collection are anticipated to exceed the amount recoverable.
- The applicant is deceased; no assets remain in the estate sufficient to cover some portion of the established debt, and no co-applicant or co-debtor exists.
- A debt is returned by Treasury to FEMA as uncollectable.

E. Transfer of Debt to Treasury

The FFC refers the unpaid debt to Treasury for collection if a debt is not paid in full, the debt is not being paid on schedule when there is an established payment plan, or the debt was not compromised, suspended, or terminated. Delinquent debts may be referred to Treasury as early as 61 days after FFC notifies the applicant of delinquency, but no later than 120 days after FFC notifies the applicant. In addition, documents which substantiate the debt are provided to Treasury at the time of referral. When the debt is referred to Treasury, interest and penalties continue to accrue on the unpaid debt, and Treasury may add substantial additional fees and administrative charges to the debt. Once a debt has been referred to Treasury for collection, FEMA will not recall the debt unless the applicant is able to prove the notices were sent to an outdated address.

During the debt collection process, Treasury will offset any eligible Federal payments by the debt amount. Treasury is not required to notify the debtor prior to the offset. Federal payments eligible for offset include: income tax refunds; Federal/state salary pay, including military pay; Federal/state retirement, including military retirement pay; contractor vendor payments; and certain Federal benefit payments.[181]

Treasury provides FEMA all applicant requests for disputes, Proof of Debt (POD), and/or Administrative Wage Garnishment (AWG) hearings. FEMA works with Treasury and processes every hearing request in which new information is provided or a new issue is raised that was not previously addressed in a hearing. When Treasury submits an AWG hearing to FEMA, any debt related to the case is administratively suspended while awaiting the decision (i.e., collections cease); however, during POD and dispute reviews, the debts are not suspended and collections continue unless the FFC specifically recalls the debt.

F. Statute of Limitations

Starting October 2018, FEMA must notify applicants of any potential debt owed within three years of the date the assistance was received for debts arising from major disasters or emergencies declared on or after October 28, 2012. However, if civil or criminal fraud is suspected, FEMA will initiate actions to recover assistance, regardless of the time that has passed between the award and debt notification.

Chapter 4: Disaster Case Management[ii]

I. Overview

Disaster case management (DCM) is a time-limited process that involves a partnership between a disaster case manager and a disaster survivor (also known as a "client") to develop and carry out an individual disaster recovery plan. This partnership provides the client with a single point of contact to facilitate access to a broad range of available resources. The goal of the DCM program is to assist individuals and families through the recovery process with finding resources to meet their disaster caused unmet needs.

Disaster Caused Unmet Need

Any un-resourced item, support, or assistance that has been assessed and verified as necessary for a survivor to recover from disaster. This may include food, clothing, shelter, first aid, emotional and spiritual care, household items, temporary housing, home repair, or rebuilding.

FEMA may provide DCM services or financial assistance to SLTT government agencies, or qualified private organizations (collectively, non- Federal entities), to identify and address disaster caused unmet needs following a major disaster declaration.[182]

FEMA's DCM is intended to augment, not to replace, existing case management capabilities in the impacted area. Following a major disaster declaration, the non-Federal entity must submit an application to FEMA for review and be approved for Federal assistance. There is no non-Federal cost share.

A. Overview of Services to Survivors

Services are provided at no cost and are available to any survivor that has been impacted by the disaster, regardless of eligibility for FEMA IHP or other Federal assistance. DCM must be accessible to people regardless of race, color, national origin, sex, age, disability, English proficiency, or economic status. In particular, providers of DCM must plan to meet the needs of people with limited English proficiency and people with disabilities, such as people who are deaf or hard of hearing who may use sign language or captioning.

The DCM process is described in *Figure 34*.

Qualified Private Organization

A qualified private organization is defined as any non-governmental organization or entity that currently has an effective ruling letter from the U.S. Internal Revenue Service, granting tax exemption under Sections 501(c) of Internal Revenue Code of 1954, or satisfactory evidence from the state, territorial, or tribal government that the nonrevenue producing organization or entity is a nonprofit one organized or doing business under state, territorial, or tribal government law and that has experience providing case management services.

[ii] OMB revised the regulations that govern federal grants and cooperative agreements, please refer to Title 2 of the Code of Federal Regulations for more details. See Electronic Code of Federal Regulations.

Figure 34: DCM Process	
Service	Definition
Define Eligible Clients	Individuals and families whose primary residence or place of employment was in the impacted area and have a verifiable disaster-caused unmet need that has not been met through other assistance. Clients do not have to be registered with FEMA to receive Federal Disaster Case Management assistance.
Client Outreach	In coordination with the Joint Field Office (JFO), other Federal partners, non-Federal entities, local and community leaders, providers will perform outreach to connect with clients who could benefit from Federal Disaster Case Management services.
Triage Needs	The primary purpose of triage is to assign a priority level to a case based on the client's severity of need and ability to recover. It requires regular reassessment, particularly as the client transitions into long-term disaster case management.
Screen and Assess	After clients are identified through outreach and triaged, the disaster case manager will conduct a screening, gathering necessary information such as pre- and post-disaster contact information, the number of impacted individuals in the household, whether the client rented or owned their pre-disaster residence, and copies of documents needed to verify clients residence or employment in the declared area. Case managers may also use available FEMA registrant data to inform this step and avoid asking survivors for duplicate information.
Information and Referral (I&R)	Based on clients' identified needs, the disaster case manager will provide information and referral to short term, immediate available resources and work with the survivor to develop an individually tailored disaster recovery plan that includes next steps and goals. A survivor may not require full DCM services, but may have immediate needs that can be resolved through I&R.
Development of Recovery Plan	The disaster case manager and the client will develop a preliminary recovery plan based on the client's identified unmet needs. The plan may be revised as necessary. During the assessment process, the disaster case manager seeks to establish a baseline of pre-disaster functioning.
Advocacy and Referral	Both the disaster case manager and the client are responsible for advocating for the services needed to move toward recovery. The disaster case manager will provide referrals to available resources to help meet the client's needs.
Monitor Recovery Plan	A disaster case manager will monitor a client's progress toward achieving goals defined in their disaster recovery plan by providing regular client contact and case file reviews that may result in adjustments to the client's disaster plan.

B. Program Types

FEMA's DCM is comprised of two programs, Immediate Disaster Case Management (IDCM) and the Disaster Case Management (DCM) program. IDCM is not a prerequisite for DCM, nor is DCM required if IDCM has been approved. Both programs require the event to be a Presidentially-declared disaster.

1. Immediate Disaster Case Management

IDCM provides short-term, limited services to address immediate disaster caused unmet needs and make referrals for disaster survivors. IDCM may have a period of performance not to exceed 180 days, at which time cases will be transferred to the longer-term DCM program to local social service organizations. Under extraordinary circumstances, extensions may be considered. The size of the impacted population and the scope of the disaster, as well as the ability of local resources to adequately meet the immediate disaster-caused unmet needs of survivors, may be factors in determining whether or not IDCM is required.

2. Disaster Case Management Program

The DCM program is a FEMA-funded supplemental program that generally provides financial assistance to SLTT government agencies, or qualified private organizations, through a Federal award. This program may be implemented through a grant or a cooperative agreement. FEMA shall make the determination of which funding instrument to use in administering the award. Typically, a grant agreement is used when no substantive involvement by FEMA is anticipated. If substantial FEMA involvement is anticipated, a cooperative agreement may be utilized. The DCM Federal award, when approved, enables non-Federal entities to provide services or contract with local providers familiar with disaster case management and the impacted communities.

C. Waivers to Existing Program Policy

Any waivers to this policy guidance must be submitted in writing, with justification, to the FEMA IA Division Director (IADD) for consideration and a determination.

D. Authorities

FEMA is authorized to provide case management services, including financial assistance, to SLTT governments or qualified private organizations to provide such services to survivors of major disasters to identify and address disaster caused unmet needs under Section 426 of the Stafford Act.

Regulations within the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards found in 2 C.F.R. Part 200 are applicable to all DCM Federal awards implemented as grants or cooperative agreements.

II. Immediate Disaster Case Management

IDCM provides short-term, limited services to address immediate disaster caused unmet needs and make referrals for disaster survivors. IDCM may have a period of performance of 90-180 days, at which time cases will be transferred to the longer-term DCM program to local social service organizations. The size of the impacted population and the scope of the disaster, as well as the ability of local resources to adequately meet the immediate disaster-caused unmet needs of survivors, may be factors in determining whether or not to request IDCM services. IDCM is not a prerequisite of the DCM program. An SLTT government or qualified non-Federal entity may apply for DCM even if no IDCM is implemented. IDCM staff provide the same case management services as the DCM Federal award, but are generally focused on the early steps in the DCM process – outreach, triage, information and referral, and development of an individual recovery plan. The goal of IDCM is to address the immediate unmet needs of disaster survivors and prepare their cases to be transferred to long-term case management services if additional support is needed.

IDCM funding is not awarded to the SLTT; it is directly implemented, administered, and overseen by FEMA, in coordination with the STT government. IDCM may be implemented through:

- a mission assignment to other Federal agencies to provide case management services
- invitational travel to support travel for voluntary agency personnel experienced in case management
- an interagency agreement with national-level partners experienced in case management
- direct hiring of case managers to work with FEMA personnel
- an existing FEMA contract with an experienced case management entity

FEMA will assess the alternatives, in coordination with the impacted STT government and determine the best approach to implementing IDCM based on the needs of the impacted community.

A. IDCM Period of Assistance

Implementation of IDCM typically begins within 14-30 days of the declaration date. The period of assistance for IDCM will not exceed 180 days. In extraordinary circumstances, such as catastrophic events, the program may be extended by the Federal Coordinating Officer (FCO).

B. Transition to Non-Federal Entity DCM Federal Award Program

At the conclusion of IDCM, cases will be transitioned either to a DCM program or, if the non- Federal entity elects not to apply for a DCM Federal award or is not approved, to local providers (i.e., SLTT government agencies, nonprofits). Planning for the transition, particularly of survivor data should begin early to ensure smooth and efficient transition of information and to streamline the access to services for survivors.

Transition from IDCM will require collaboration between the non-Federal entity, FEMA, and IDCM program or technical staff to identify the most effective approach to transfer files, including a process both for information sharing and the actual transfer of cases. The timing of actual transition may be fluid due to the non-Federal entity DCM program Federal award application and award process.

The transition plan must include an ability to securely transfer hard copy and electronic case files to the DCM program or local service providers, as directed by the non-Federal entity. Service providers shall not disclose applicant contact information to other parties outside of FEMA in accordance with the Privacy Act of 1974.

C. Congressional Notification Process

Department of Homeland Security Appropriations Act, Sec. 507, requires FEMA to notify the Congressional Appropriations Committee at least three full business days in advance of making or awarding a grant allocation, grant, contract, or other transaction agreement exceeding $1,000,000. This process may add weeks to the timeline before obligating the Federal award.

III. Disaster Case Management Program

The DCM program is a FEMA-funded supplemental program that provides financial assistance to SLTT government agencies, or qualified private organizations, through a Federal award. This program may be implemented through a grant or a cooperative agreement. The DCM Federal award, when approved, enables non-Federal entities (also known in this context as recipients) to provide services or contract with local providers familiar with disaster case management and the impacted communities.

A. Period of Assistance

DCM Federal awards may be awarded for a period of performance up to 24 months from the date of declaration. If necessitated and justified, the recipient may submit a request in writing to the appropriate FEMA Regional Administrator (RA) for a 90-day extension to the period of performance. These written requests must be submitted no later than 90 days prior to the end of the period of performance. Extension requests will be evaluated based on the progress made during the initial period of performance and the justification of ongoing need.

DCM Federal Award Toolkit

FEMA has developed an online toolkit containing required documents and tools to complement this guidance and assist stakeholders in preparing, assessing, applying, implementing, managing, and closing a DCM Federal award program. FEMA updates the toolkit regularly to incorporate best practices and lessons learned, thus, non-Federal entities should refer to the most current documents in the DCM Toolkit prior to applying for a Federal award.

In some instances, due to the exigency of the need in the impacted area and in order to expedite award of the funding, the non-Federal entity may apply for and FEMA may approve an initial award with a shorter period of performance, less than 24 months. In these instances, the recipient will have the option to request, in writing, an extension to the period of performance up to the full 24-month period of performance. Extension requests will be evaluated based on the progress made during the initial period of performance and the justification of ongoing need.

B. General Conditions of Eligibility for a DCM Federal award

All the following conditions must be met for a non-Federal entity to be considered by FEMA for a DCM Federal award:

- The President must declare a major disaster.
- The need for disaster case management services must be beyond the capabilities of the SLTT government to provide due to the severity and magnitude of the disaster.
- The non-Federal entity must submit a complete DCM Federal award package (see Chapter 4, III.D.) within 90 days of the date of declaration of the Presidentially-declared major disaster.
- The DCM Federal award packet must be signed by the Governor or Governor's Authorized Representative (GAR); the Tribal Chief Executive or Tribal Chief Executive Authorized Representative (TAR); or the non-Federal entity must be authorized to directly receive the award in the FEMA-State/Territory/Tribe Agreement.

C. DCM Award Roles and Responsibilities

1. FEMA

As the Federal awarding agency, FEMA's primary responsibility is to ensure the DCM program is implemented in accordance with this guidance, the Federal award articles of agreement, the requirements of the financial award as noted in the NOA, and 2 C.F.R. Part 200. FEMA provides quality assurance and monitors the non-Federal entity in meeting Federal award requirements, use of the Federal award funding, and meeting the audit requirements of the program. FEMA will maintain a toolkit accessible by the non-Federal entity; this toolkit will include application information, forms, templates, and job aids for preparation, application, and implementation of the program. FEMA will also provide two Federal officers to support the non-Federal entity in overseeing the Federal award, the Grants Management Officer (GMO) and the Program Officer (PO).

The GMO is primarily responsible for receiving and acting on requests for prior approval or for changes in the terms and conditions of the award as well as for monitoring the drawdown of funding to ensure it is consistent with allowable and unallowable cost. The GMO is the primary point of contact for receiving and processing non-Federal entity requests for changes to the terms and conditions of the award. The PO provides regular technical assistance and programmatic coordination with the non-Federal entity.

Figure 35 details the GMO and PO responsibilities associated with the Federal award.

Figure 35: Fiscal and Program Officer Responsibilities

Grants Management Officer	Program Officer
• Evaluating Federal award applications for administrative content and compliance with statutes, regulations, and guidelines. • Making site visits to monitor the progress of the Federal award.	
• Negotiating awards. • Providing consultation and technical assistance to applicants and recipients, including interpretation of grants administration policies and provisions. • Administering and closing out Federal awards.	• Providing programmatic technical assistance. • Determine the frequency of conference calls, site visits, and programmatic performance reports within Federal guidelines. • Monitoring performance of the program, including reviewing progress reports.

2. Non-Federal Entity

The non-Federal entity is responsible for assessing the DCM needs, applying, and overseeing the DCM award in accordance with all applicable Federal award requirements, state regulations, and this guidance. In addition, they are responsible for:

- Formulating the program, budget, and project design in a manner that meets minimum reporting and monitoring requirements, as well as the Federal award agreement;
- Maintaining clear control of program operations and ensure response to emergent issues in a timely manner;
- Reporting aggregate data on program activities to FEMA, and with FEMA's prior approval, to determine how personally identifiable information (PII) will be shared with service providers following The Privacy Act of 1974, 5 U.S.C. § 552a;
- Standardizing services among DCM service providers with overall quality assurance, including budget requirements, staffing, program planning, reporting, training, and technology for tracking and resource sharing; and
- Developing a plan that outlines their collaborative process with SLTT voluntary organizations, including, if applicable Voluntary Organizations Active in Disasters (VOAD), as well as the Emergency Management agency, and Long-Term Recovery Groups.

D. Pre-Award Requirements for DCM

1. DCM Needs Assessment

The DCM needs assessment is the responsibility of the non-Federal entity and should be submitted with the DCM Federal award application. The assessment evaluates the current capacity to provide disaster case management services and identifies the specific populations that will be served by the supplemental program. A capacity survey to support the non-Federal entity in this step is available in the DCM toolkit. Information from this survey and any additional information regarding needs of the impacted community should be included in the overall justification when applying for DCM.

Tailoring the Program to the Population

When assessing the needs of the affected population, it is important to consider the proportion and effect on older adults, people with disabilities and others with access and functional needs, children, people with lower incomes, and people with limited English proficiency. The program may be tailored to meet the needs of the specific community. For instance, if a high proportion of children were impacted, adding a children's coordinator in your staffing plan may be beneficial.

2. DCM Award Application

After a Presidential disaster declaration, a non-Federal entity may apply for a DCM Federal award. The DCM application package must be submitted to FEMA no later than 90 days after the IA declaration. In extraordinary circumstances, such as catastrophic events, the non-Federal entity may apply for an extension to this deadline in writing to the applicable FEMA RA; this request must document the extraordinary circumstances and what the additional time will allow them to accomplish. The non-Federal entity must submit this request within 60 days of disaster declaration. Extension requests not submitted within this timeframe will not be considered.

Shortened DCM Awards

The non-Federal entity may apply for, and FEMA may approve, an initial award with a shorter period of performance less than 24 months. This shortened application may be submitted at any point prior to the DCM deadline. If approved, the non-Federal entity may request an extension up to the total 24 months consistent with extension requirements.

Application Process and Delegation of Authority

DCM Federal awards are generally applied for by an STT government agency. However, the STT government may request that FEMA provide an opportunity for a local government agency or qualified private organization to apply for a Federal award. This request should be made in writing, accompanied by an assessment justifying the need for a DCM program, signed by the Governor or GAR or Tribal Chief Executive or TAR, and submitted with the application. FEMA will review the documentation and make a determination on the best alternative to address the needs.

Cover letters for the DCM Federal award application packet should be addressed to the applicable FEMA RA. Applications for DCM will be reviewed and approved or denied by the FEMA RAs. Note that the Disaster Recovery Manager (DRM) authority does not carry the delegation of the DCM determination (delegation of authority is separate from the delegation of DRM authority).

Approval or Denial of Application

The RA may approve or deny the Disaster Case Management program award. The program may be approved if:

- A complete application is received no later than 90 days from the date of declaration; and
- There is a need for the program clearly articulated in the application.

If approved, the RA will send a signed approval memo with reason for approving to the FCO and Regional Grants Management Division (GMD) Director for processing of the Federal financial award, as a grant or cooperative agreement. The RA or their designee will issue the Notice of Award to the non-Federal entity receiving the award.

The program may be denied if:

- A complete application is not received within 90 days from the date of declaration; or
- The application lacks sufficient information to justify the need for the program.

If denied, the RA must submit a written denial with justification to the non-Federal entity.

Required Information

A complete DCM Federal award application must include the information found in *Figure 36* and documentation to be considered for an award:

Figure 36 : DCM Application Required Information and Documents	
Information	• The geographical areas within the designated disaster area for which services will be provided; • An estimate of the number of disaster survivors requiring assistance; • A description of the SLTT resources and capabilities, and an explanation of why these resources cannot meet the need; • A description of activities from the date of the disaster incident to the date of application; • A plan of services to be provided to meet the identified needs; and • A detailed budget, showing the cost of proposed services separately from the cost of reimbursement for any eligible services provided prior to the application.
Request for Federal Assistance (SF-424)	A Federal form that OMB requires for Federal award applications. All entities that apply for DCM funds must submit a completed SF-424 signed by the Governor or GAR, or Tribal Chief Executive or TAR.
Budget Information for Non-Construction Programs (SF-424A)	A Federal form OMB required for Federal award applications.
Assurances for Non-Construction Programs (SF-424B)	A Governor, GAR, Tribal Chief Executive, or TAR signature is required.
Budget Narrative	The budget narrative should include a detailed justification for all cost categories requested in the SF-424A. Refer to the DCM toolkit for additional instructions on completing the Budget Narrative.
Lobbying Forms	SF-LLL and the Grants.gov Lobbying Certification Form must be on file with FEMA. The STT government must acknowledge that the forms are in compliance with the FEMA-State/Territory/Tribe Agreement and the most current Department of Homeland Security (DHS) Standard Terms and Conditions.

3. Budget

The DCM budget narrative must justify the proposed budget for the non-Federal entity, subrecipients of a Federal award, and each individual service provider. The narrative must provide a justification of the costs and an itemization for each line of the budget. When filling out the DCM award application, applicants must adhere to the following budget limitations:

Allowable Costs

- **Pre-Award Costs—**Pre-award costs are those which are incurred prior to the start date of the period of performance (2 C.F.R. § 200.209). The period of performance for an approved DCM Federal award typically starts on the date of the disaster declaration. Costs accrued prior to the disaster declaration are not allowable. If exigent circumstances occur and the period of performance starts later than the declaration date and prior to the notice of award, such costs may be allowable only to the extent that they would have been allowable if incurred after the date of the Federal award and only with written approval of the FEMA Regional Administrator.[183]

- **Salaries and Wages:** Salary compensation must be reasonable in amount and in alignment with local prevailing rates for the position funded.

- **Fringe Benefits:** Fringe benefits may be charged directly to the Federal award. Claimed costs must be reasonable and conform to established policies for the STT government.
  Use of a pre-established provisional rate requires allocation to total salary costs. Rates must be adjusted to actuals at the year-end and rates should be reviewed at least once annually.

- **Equipment:** The non-Federal entity obtains title to equipment acquired under the DCM award and is subject to the conditions outlined in 2 C.F.R. § 200.313, including these requirements:
  - To use the equipment for the authorized purposes of the project until funding for the project ceases, or until the property is no longer needed for the purposes of the project;
  - To not encumber the property without approval of FEMA;
  - To use and dispose of the property in accordance with guidelines for states and non-states as set forth in 2 C.F.R. § 200.313; and
  - To use in a manner consistent with the purposes of the award and to benefit the beneficiaries of the project.

- **Supplies:** FEMA retains an interest in any unused supplies exceeding $5,000 in total aggregate value upon termination or completion of the award if they are not needed for any other Federal award. The STT government must compensate FEMA for its share of the supplies in compliance with 2 C.F.R. § 200.314. As long as FEMA retains an interest in supplies, the STT government must not use the supplies to provide services to other organizations for a fee that is less than private companies charge for equivalent services.
- **Travel:** Travel must be included in the budget for preapproval as part of the application process. During implementation, travel costs must be supported by travel expense reports detailing employee name, the reason for the trip, and itemized expenses claimed. Claimed costs should be charged according to the award recipient's travel policy, which requires prior approval from FEMA and must comply with OMB cost principles and provide expenditure limitations. Major items of expense (e.g., airfare, lodging) must be supported by receipts.
- **Other:** The budget may identify costs that are unique to the disaster and area impacted but do not fall into one of the prescribed cost categories listed above. Costs must be supported by adequate documentation (invoices, receipts, etc.). Consult with the FEMA Grants and Program Officer to determine which costs are allowable under this cost category and to confirm what items may require prior approval.
- **Contractual Costs:** The non-Federal entity must follow the applicable Federal procurement requirements at 2 C.F.R. § 200.317 through § 200.326. Per 2 C.F.R. § 200.317, STT governments must follow the same policies and procedures they normally use, as well as comply with the requirements for procurement of recovered materials (§ 200.322) and including required contract provisions (§ 200.326). For all other non- Federal entities, they must follow all the requirements at 2 C.F.R. § 200.318 through §200.326. As part of these requirements, non-STT government entities must use their own documented procurement procedures that reflect applicable STT government laws and regulations provided that the procurements comply with Federal law and procurement regulations.

Unallowable Costs

- **Indirect Costs—Unallowable:** FEMA does not authorize the use of funds for indirect costs. Indirect costs, including management costs, are defined as costs not directly chargeable to a specific project. Please note that even if an indirect cost rate is established, it is subject to statutory and administrative limitations. A DCM award recipient or provider is not entitled to an established rate for services provided under the DCM award. The program's statutes, regulations, and policy govern whether any indirect costs are eligible. Section 324 of the Stafford Act requires FEMA to establish management cost rates through regulations. At this time, FEMA does not have regulations that govern the eligibility of indirect costs for the DCM.

Please refer to Appendix E for more information on allowable and unallowable costs.

4. Federal and Congressional Review Process

Once submitted, the non-Federal entity's DCM application will go through a review by FEMA Joint Field Office (JFO), FEMA Region, and FEMA HQ staff for completeness, cost-effectiveness, and feasibility of the non-Federal entity's DCM application. FEMA will respond to the non-federal entity with an application determination or a request for additional/clarifying information within 60 days of receipt of packet. The FEMA RA will issue a determination.

Under the Department of Homeland Security Appropriations Act, Sec. 507, FEMA is required to provide 72 hours advance Congressional notification when awarding a grant or contract in excess of $1,000,000. Notification is routed to the Congressional Appropriations Committee for advanced notification review, after review and clearance by DHS and OMB. This process may add multiple weeks to the timeline before FEMA may issue the Notice of the Federal award to the non-Federal entity.

E. Notice of Award (NOA)

The NOA is the official Federal award document notifying the recipient and others that an award has been made. The NOA contains all terms and conditions of the Federal award and provides the support documentation for recording the obligation of Federal funds in the non-Federal entity's accounting system.

Critical information included in the NOA:

- The start and end dates for the period of performance
- The award amount
- Name of FEMA grants officer
- Name of FEMA program officer
- Reporting requirements

FEMA will schedule a post-award call with the non-Federal entity to review the NOA, Conditions of Award, program expectations, reporting requirements, the funding process, and the monitoring schedule.

1. Conditions of Award

FEMA's Regional Grants Management Division will generate an NOA letter that details all conditions the non-Federal entity must satisfy within the timeframe designated in the letter.

The non-Federal entity is responsible for the accounting and expenditures, cash management, maintenance of financial records, and refunding expenditures disallowed by audits. The non-Federal entity must establish a Payment Management System (PMS) account prior to allocation and obligation of funds to transfer funds from FEMA to the non-Federal entity.

Additional information on the funding process is available in the DCM Toolkit.

F. Post-Award Requirements for DCM

1. Multiple DCM Programs within the Geographic Area

Multiple DCM Programs

Occasionally, multiple DCM programs are awarded for the same area that is affected by overlapping Presidentially-declared disasters. If multiple DCM programs are operating concurrently, the non-Federal entity must ensure there is a mechanism in place to identify and mitigate the risk of duplication of services for clients impacted by the multiple disasters. The non-Federal entity must develop internal controls and provide its strategy for operating multiple programs for the same affected population and identifying the programmatic, financial, and management components of each program.[184] This strategy must be included as part of the application. Budget and programmatic reporting must remain distinct and separate between programs and highlight the number of cases transferred between disasters.

A client will have one open case at a time. If a client has identified needs from multiple disasters, and there are multiple DCM programs operational concurrently, the state must outline the process for coordination between DCM programs to ensure that services provided are disaster- specific and not duplicated for clients impacted by multiple disasters.

2. Procurement Requirements under a Federal Award

Federal award recipients shall ensure that the process of soliciting DCM services is fair and transparent. Recipients must follow the same policies and procedures when procuring property and services under a Federal award that they follow for procurement from non-Federal funds. Recipients must ensure that any contract provisions as required by 2 C.F.R. § 200.326 Contract Provisions are included on all purchase orders or contracts that draw from Federal funds, and states must follow the state procurement requirements as described in 2 C.F.R. § 200.317. All other recipients, including subrecipients of an STT government will follow the General procurement standards as required by 2 C.F.R. § 200.318 through § 200.326.

3. Appeals and Modifications to Award

Appeal for Denied Federal Award Application or Extension

The non-Federal entity may appeal the FEMA RA's decision. This appeal must be submitted to the IA Division Director (IADD), in writing, within 60 days of the date of the application decision.[185] The appeal must include new justifying information not included in the original Federal award application, including a new budget and associated training and implementation plans if applicable.[186] See the DCM Toolkit for additional information.

Appeals of Remedies for Noncompliance

The non-Federal entity may submit a written appeal letter with supporting documentation, signed by the Governor or GAR, Tribal Chief Executive or TAR, or applicable authorized representative of the non-Federal entity to the appropriate FEMA RA within 15 days of the date of notification of the remedial action. The FEMA RA will make an appeal determination in writing to the applicant.

Objections/Appeals of Decisions Regarding Allowable Costs

The non-Federal entity may submit a written appeal letter with supporting documentation, signed by the Governor or GAR, Tribal Chief Executive, or applicable authorized representative of the non-Federal entity to the FEMA RA within 15 days of the date of notification of the disallowed cost. The FEMA RA will make an appeal determination, in writing to the applicant.

Appeal of Termination or Suspension

In order to appeal termination, the non-Federal entity must include a letter acknowledging receipt of notice of termination as well as a termination appeal letter, unless otherwise specified. If the non-Federal entity decides to terminate their approved DCM prior to the end of the period of performance or withdraw their submitted DCM application, then a signed letter should be sent to the FEMA RA indicating the desire to withdraw the application or terminate the program. The official notification must be signed by the Governor or GAR, Tribal Chief Executive or TAR, or applicable authorized representative of the non-Federal entity. The termination or withdrawal will become effective on the date of the official acknowledgement from FEMA and will not be subject to an appeal should the non-Federal entity choose to reverse the decision.[187]

All closeout and reconciliation documents must be submitted in accordance with the timeframes indicated in the DCM Toolkit unless otherwise specified.

Award Modifications or Extensions

- If initial award was for less than the full 24-month Period of Performance (POP):
    - If awarded funding for a period of performance less than 24 months from the date of declaration, the non-Federal entity may request, in writing, an extension to the period of performance up to the full 24-month period of performance.
    - An extension request must be submitted in writing no later than 90 days prior to the end of the current POP.
    - An extension request must include the progress made during the initial period of performance and a justification of ongoing need.
    - The official written request must be submitted to the FEMA RA for determination.
- If the initial award is for the maximum 24-month POP:
    - The POP for DCM is a maximum of 24 months from the date of the major declaration. However, a request for an additional 90-day extension to the POP may be considered when requested in writing with adequate justification to the FEMA RA.
    - A program extension request must be submitted in writing at least 90 days prior to the end of the current POP.
    - All financial and programmatic reports must be current and complete at the time of request or the request will not be processed.
    - The official written request must be submitted to the FEMA RA for determination.

- Supplemental Funding
  - A request for supplemental funding may be considered under extenuating circumstances when sufficient justification is submitted.
  - Written requests for supplemental funding may be requested at least 90 days before the end of the period of performance.
  - Financial and performance status reports must be current and complete.
  - The decision to approve/deny the supplemental funding request will be made by the FEMA RA.

Requests for Supplemental Funding Must Include:

- An explanation of why current funding is insufficient.
- An updated DCM work plan.
- All pertinent statistical information regarding current cases.

4. Monitoring and Reporting

Monitoring

- **FEMA monitoring responsibilities**: FEMA must ensure that the Federal award recipient adheres to all laws, regulations, and policy guidance in all aspects of the DCM program. To do this, they will monitor the non-Federal entity operations and activity. This is done through regularly scheduled monitoring calls, reviewing and analyzing non-Federal entity reporting, and on-site visits. A minimum of three on-site visits is required for each DCM award, more if required in the Conditions of Award if the size, complexity, or scope of the award poses elevated risks. Additional on-site visits, as well as the frequency of the monitoring calls, will be determined by FEMA based on the program needs and may be added during the POP if a need is identified.
- **Non-Federal entity monitoring responsibilities:** The non-Federal entity is responsible for oversight of the entire operations and all supported activities pertaining to the Federal award. As a recipient of a Federal award, the non-Federal entity is responsible for being compliant with all applicable provisions under 2 C.F.R. Part 200, refer to *Figure 37* for some key provisions of 2 C.F.R. Part 200. They must monitor the activities under the awards to ensure compliance with applicable Federal requirements and to ensure performance expectations are being achieved. Monitoring by the non-Federal entity must cover each program, function, and activity.

Reporting Requirements

The non-Federal entity must submit performance reports at the interval required by the Federal awarding agency or pass-through entity to inform status of achieving program outcomes and ensuring compliance with laws, regulations, and policies applicable to the program. Intervals must be no less frequent than annually nor more frequent than quarterly except in unusual circumstances, e.g., where more frequent reporting is necessary for the effective monitoring of the Federal award or could significantly affect program outcomes.[188] Annual reports must be submitted 90 calendar days after the reporting period; quarterly or semiannual reports must be submitted 30 calendar days after the reporting period. Alternatively, the Federal awarding agency or pass-through entity may require annual reports before the anniversary dates of multiple year Federal awards.

Programmatic Reports

Monthly programmatic reports are required. Reports should be submitted to the FEMA Project Officer, and should include the following data, as well as anything else requested by FEMA:

- Number of cases opened
    - Include how many cases are at each tier/priority level
- Number of cases with completed needs assessments
- Number of cases with developed recovery plans
- Number of cases closed
    - Number of cases closed without a completed recovery plan (resource unavailable)
    - Number of cases closed after completing the recovery plan (needs met)
    - Number of cases withdrawn by survivor
    - Other
- Number of survivors on a waitlist for services
- Top 3-5 identified unmet needs

Reported numbers should include a monthly total and a cumulative total for the program. Refer to the DCM Toolkit for templates and additional instructions for assistance.

Financial Reports

The non-Federal entity submits the Federal Financial Report (SF-425) to the FEMA Grants Management Officer within 30 days of the end of each reporting period.[189] The non-Federal entity submits the SF-425 for every quarter of the period of performance, including partial calendar quarters during the life cycle, regardless of the level of activity in that quarter. This requirement continues until the end of the period of performance.

Reporting periods are as follows:

- Quarter 1: October 1-December 31; Due January 30
- Quarter 2: January 1-March 31; Due April 30
- Quarter 3: April 1-June 30; Due July 30
- Quarter 4: July 1-September 30; Due October 30

Budget and Fiscal Management

The non-Federal entity is responsible for accounting, including expenditures, cash management, maintenance of adequate financial records, and the refund of expenditures disallowed by audits. The non-Federal entity and DCM providers must comply with Federal Regulations at 2 C.F.R. Part 200.

A non-Federal entity can only charge allowable costs incurred during the POP. Any costs incurred after the POP, including during the 90-day closeout timeframe for submitting all financial and performance reports, are not allowable.[190]

Figure 37: 2 C.F.R. Part 200 Compliance	
Item	References and Details
Uniform Administrative Requirements	• 2 C.F.R. Part 200 ○ Subpart A: Acronyms and Definitions ○ Subpart B: General Provisions ○ Subpart C: Pre-Federal Award Requirements and Contents of Federal Awards ○ Subpart D: Post Federal Award Requirements
Cost Principles	2 C.F.R. Part 200, Subpart E
Audit Requirements	2 C.F.R. Part 200, Subpart F

5. DCM Award Closeout and Record Retention

Closeout

Within 90 days of the end of the period of performance, the non-Federal entity must submit a final SF-425 with a final narrative, detailing all accomplishments of the program, to both the FEMA GMO and Project Officer. The non-Federal entity must also liquidate all obligations within 90 days of the end of the period of performance as stated in 2 C.F.R § 200.343. After FEMA reviews the final reports, the closeout process will begin. The closeout process will include an accounting of any remaining funds that must be obligated and will address the maintenance of Federal award records. Additional tools and resources for award closeout will be available in the online DCM Toolkit.

Record Retention

All recipients and subrecipients are subject to audit by FEMA and the Office of Inspector General personnel. Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award generally must be retained for at least three years from the date the final financial report is submitted.[191] Further, if the recipient does not submit a final financial report and the award is administratively closed, FEMA issues the date of administrative closeout as the start of the general record retention period.

The record retention period may be longer than three years or have a different start date in certain cases. These include:

- Records for real property and equipment acquired with Federal funds must be retained for three years after final disposition of the property.[192]
- If any litigation, claim, or audit is started before the expiration of the 3-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken.[193]
- The record retention period will be extended if the recipient is notified in writing of the extension by DHS/FEMA, the cognizant or oversight agency for audit, or the cognizant or oversight agency for audit, or the cognizant agency for indirect costs.[194]
- Where DHS/FEMA requires recipients to report program income after the period of performance ends, the program income record retention period begins at the end of the recipient's fiscal year in which program income is earned.[195]
- For indirect cost rate proposals, cost allocation plans, or other rate computations records, the start of the record retention period depends on whether the indirect cost rate documents were submitted for negotiation.
    - If the indirect cost rate documents were submitted for negotiation, the record retention period begins from the date those documents were submitted for negotiation.
    - If indirect cost rate documents were not submitted for negotiation, the record retention period begins at the end of the recipient's fiscal year or other accounting period covered by that indirect cost rate.[196]

The non-Federal entity is responsible for returning any funds that are drawn down but remain unliquidated on non-Federal entity financial records.

Chapter 5: Crisis Counseling Assistance and Training Program[iii]

I. Overview

The Crisis Counseling Assistance and Training Program (CCP) is a Federally- funded supplemental program that provides financial assistance to state, local, territorial, or tribal (SLTT) government agencies through a grant or cooperative agreement. The CCP award enables SLTT government agencies to provide crisis counseling services or contract with local mental health service providers familiar with the affected communities to provide services.



Crisis Counseling

Crisis Counseling means the application of individual and group support designed to help alleviate the mental and emotional crises and their subsequent psychological and behavioral conditions resulting from a major disaster or its aftermath.

The intent of the CCP award is to augment, not replace, current mental health and training activities in order to assist disaster survivors. This program is only available after a Presidentially-declared disaster that includes Individual Assistance (IA), and a non-Federal entity has submitted an approved Federal award application. There is no cost-share.

The goal of the CCP services is to reach a large number of disaster survivors in a short period of time to prevent or mitigate adverse psychological effects caused or aggravated by a major disaster.

A. Overview of Services to Survivors

Services are provided at no cost and are available to any survivor, regardless of FEMA IHP applicant eligibility, who has been impacted by the disaster. These services are provided in accessible locations, including survivor homes, shelters, temporary living sites, and places of worship; they may even be provided virtually through a helpline. Services can be provided in a group setting or one-on-one and include supportive crisis counseling, education, development of coping skills, and linkage to appropriate resources.

CCP must be accessible to people regardless of race, color, national origin, sex, age, disability, English proficiency, or economic status. In particular, providers of CCP must plan to meet the needs of people with limited English proficiency and people with disabilities, such as people who are deaf or hard of hearing who may use sign language or captioning.

Characteristics of the program are described in *Figure 38*.

[iii] OMB revised the regulations that govern federal grants and cooperative agreements, please refer to Title 2 of the Code of Federal Regulations for more details. See Electronic Code of Federal Regulations.

Figure 38 : Characteristics of CCP	
Characteristic	Description
Strengths-based	Crisis counselors instill resilience in individuals and communities, and promote independence rather than dependence on the CCP, other people, or organizations. Crisis counselors help survivors regain a sense of control.
Outreach-oriented	Crisis counselors take services into the communities rather than wait for survivors to come to them.
More practical than psychological in nature	Crisis counseling is designed to prevent or mitigate adverse repercussions of disasters rather than to treat them. Crisis counselors provide support and education, listen to survivors, and accept the content at face value. Crisis counselors help survivors to develop a plan to address self-identified needs and suggest connections with other individuals or organizations that can assist them.
Diagnosis-free	Crisis counselors do not classify, label, or diagnose people; they keep no records or case files. The CCP does not provide mental health or substance use treatment, or critical incident stress debriefing. Services are supportive and educational in nature.
Conducted in non-traditional settings	Survivors can reach out to crisis counselors staffing helplines, or crisis counselors may make contact with survivors in their homes and communities, not in clinical office settings.
Culturally-aware	The CCP embraces cultural and spiritual diversity.
Designed to strengthen existing community support systems	Crisis counselors support, but do not organize or manage, community recovery activities. Likewise, the CCP supplements, but does not supplant or replace, existing community systems.
Provided in ways that promote a consistent program identity	Crisis counselors should work together early to establish a unified identity. The CCP strives to be a single, easily identifiable program, with services delivered by various local agencies.

B. Crisis Counseling vs. Traditional Mental Health Treatment

Mental health treatment, as typically defined within the professional community, implies the provision of assistance to individuals for a diagnosable disorder. In contrast, crisis counseling seeks to prevent the onset of diagnosable disorders by helping the majority of survivors understand that they are experiencing common reactions to extraordinarily uncommon occurrences.

A mental health professional often will engage a client in short or long-term recovery treatment, committing the person to a set number of sessions. The crisis counselor should treat each encounter as if it will be the only one, assisting the survivor with identifying needs and goals. Finally, while a traditional mental health professional will make a diagnosis and treat mental illnesses, the crisis counselor will avoid classifying, labeling, or diagnosing people in any way. The crisis counselor keeps no formal individual records or case files.

Differences between traditional mental health and the CCP services can be seen in *Figure 39*.

Figure 39: Mental Health vs Crisis Counseling Services

Traditional Mental Health	Crisis Counseling
Office based	Home and community based
Diagnoses and treats mental illnesses	Assesses strengths and coping skills
Focuses on personality and functioning	Seeks to restore or improve functioning
Examines content	Accepts content at face value
Explores past experiences and their influence on current problems	Validates common reactions and experiences
Has psychotherapeutic focus	Has psycho-educational focus
Keeps records, charts, case files, etc.	Does not collect any identifying information
Makes reoccurring appointments	Treats each encounter as if it is the only one

C. Services Funded Through the CCP

The CCP award funds primary and secondary services. Primary services are high-intensity and include crisis counseling, public education, and community support. Secondary services are those that have a broader scope and are less intense and include development and distribution of psycho-educational material and public service announcements. Services that the program funds are described in *Figure 40*:

Figure 40: Services Funded through the CCP

Services	Description
Individual crisis counseling	Helps survivors understand their reactions, improve coping strategies, reviews their options, and connect with other individuals in agencies that may assist them.
Basic supportive or educational contact	General support and information on resources and services available to disaster survivors.
Group crisis counseling	Group sessions led by trained crisis counselors who offer skills to help survivors cope with their situations and reactions.
Public education	Information and education about typical reactions, helpful coping strategies, and available disaster related resources.
Community networking and support	Relationship building with community resource organizations, faith-based groups, and local agencies.
Assessment, referral, and resource linkage	Adult and child needs assessment and referral to additional disaster relief services, mental health, or substance abuse treatment.
Development and distribution of educational materials	Flyers, brochures, tip sheets, educational materials, and website information developed by a non-Federal entity and distributed by the CCP staff.
Media and public services announcements	Media activities and public messaging in partnership with local media outlets, SLTT government, charitable organizations, or other community brokers.

D. General Conditions of Eligibility for a Federal CCP Award

All the following conditions must be met for an entity to be considered by FEMA and Substance Abuse and Mental Health Services Administration (SAMHSA) for a Federal CCP award:[197]

- The President must declare a major disaster approved for IA.
- The need for crisis counseling services must be beyond the capabilities of the SLTT government to provide due to the severity and magnitude of the major disaster.
- The STT government and FEMA must execute a FEMA-State/Territory/Tribe Agreement.
- A CCP needs assessment must be initiated within 10 days of the date that IA was approved on the major disaster.
- The SLTT government mental health agency must be designated by the Governor, Governor's Authorized Representative (GAR), the Tribal Chief Executive, or the Tribal Chief Executive's Authorized Representative (TAR).
- The Federal award packet must be complete and submitted by the appropriate program application deadline.
    - Immediate Services Program (ISP): Application is due no later than 14 days from the date that IA was approved on the major disaster declaration.
    - Regular Services Program (RSP): Application is due no later than 60 days from the date that IA was approved on the major disaster declaration.

Eligible CCP Recipients

The definition of a Recipient, as per this guidance, is an STT government mental health agency, or other local or private mental health organization designated by the Governor, GAR, Tribal Chief Executive, or TAR, to receive funds under Section 416 of the Stafford Act.

This definition aligns with the program specific definition of a grantee, as per 44 C.F.R. § 206.171 (b)(5), and the Federal award definition of a recipient, as per 2 C.F.R. § 200.86.

The CCP application deadlines are set by regulation and cannot be waived or extended.

E. Waivers to Existing Policy

Any waivers to this CCP Guidance must be requested in writing, with justification, to the FEMA IA Division Director for consideration and a determination.

F. Authorities

FEMA is authorized to fund mental health assistance and training activities for survivors impacted by a Presidentially-declared disaster approved for IA under Section 416 of the Stafford Act,[198] [199] implementing regulations found in 44 C.F.R. § 206.171, and Federal award regulations found in 2 C.F.R. Part 200.

G. Partner Organizations

The Center for Mental Health Services (CMHS), within the SAMHSA, works in partnership with FEMA through an interagency agreement to provide technical assistance, consultation, award administration, program oversight, and training for STT government mental health authorities.

H. Types of Assistance

The CCP comprises two separate Federal award programs, the Immediate Services Program (ISP) and the Regular Services Program (RSP). An ISP is not a prerequisite for an RSP, nor is an RSP required if an ISP has been approved; however, separate Federal award applications and needs assessments must be completed for both programs, if both are requested. For more information on the ISP, see Chapter 5, II. For more information on the RSP, see Chapter 5, III. For additional information on staffing, stress management, data collection, and quality assurance, please see Chapter 5, IV.

II. Immediate Services Program

A. Period of Assistance for ISP

The ISP provides funds for up to 60 days of services immediately following the date IA was approved on the major disaster declaration. ISP funding may continue for up to 60 additional days if an RSP award application is submitted to allow time for FEMA and SAMHSA to review the application. Notification must be provided, in writing, signed by the GAR or TAR, to FEMA no later than 45 days from the date of declaration if the STT government plans to submit an RSP application to receive an extension and ensure that there are no gaps in services. If extenuating circumstances exist, the FEMA RA may authorize an additional 30 days and/or supplemental funding, if needed, while the RSP application remains under review with FEMA.[200]

B. Pre-Award Assessment and Application

This section covers the pre-award considerations and process of applying for an ISP.

Tailoring the Program to the Population

When assessing the needs of the affected population, it is important to consider the proportion and effect on the whole community, including older adults, people with disabilities and others with access and functional needs, children, and those with limited English proficiency. The program can be tailored to meet the needs of the specific community. For instance, if a high proportion of children were impacted, adding a children's coordinator in your staffing plan may be beneficial.

1. Needs Assessment

The purpose of the needs assessment is to provide an estimate of the size and cost of the program needed and to determine if supplemental Federal assistance is required. The factors of the needs assessment must include:

- An estimate of the number of disaster survivors requiring assistance;
- A description of the SLTT government resources and capabilities; and
- Justification of why the SLTT government cannot meet the needs.

2. Applying for the Federal ISP Award

The cover letter for the ISP application should be addressed to the applicable Federal Coordinating Officer (FCO). The RA may delegate decision-making authority for the ISP to the FCO through the Disaster Recovery Manager (DRM) authority.

After the President declares a major disaster that includes IA, the applicable STT government may apply for the ISP. An ISP application package must be submitted to FEMA and SAMHSA within 14 days of the date IA was approved on the disaster. This application deadline is set by regulation and cannot be waived or extended.

ISP Application Assistance

A completed and signed application and Federal forms are required to apply for the ISP. For application assistance, call SAMHSA DTAC at 800-308-3515.

An ISP application package represents the Governor or Tribal Chief Executive's agreement and/or certification:

- That the requirements are beyond the SLTT governments' capabilities;
- That the program, if approved, will be implemented according to the plan contained in the application approved by the applicable RA;
- To maintain close coordination with and provide reports to the RA, FEMA JFO and FEMA HQ staff, and SAMHSA Project Officer; and
- To include mental health disaster planning in the STT government's emergency plan prepared under Title II of the Stafford Act.

The application must contain the following information and documentation to be considered for an award (see CCP Online Toolkit):

Figure 41: ISP Application Required Information and Documents	
Information	• The geographical areas within the designated disaster area for which services will be provided; • An estimate of the number of disaster survivors requiring assistance; • A description of the SLTT government resources and capabilities, and an explanation of why these resources cannot meet the need; • A description of activities from the date of the disaster incident to the date of application; • A plan of services to be provided to meet the identified needs; and • A detailed budget, showing the cost of proposed services separately from the cost of reimbursement for any eligible services provided prior to the application.
ISP Application	The OMB approved ISP Application (1660-0085) must be completed and signed by the Governor or Tribal Chief Executive, or their Authorized Representative.
Request for Federal Assistance (SF-424)	A Federal form that OMB requires for Federal award applications. All entities that apply for CCP funds must submit a completed SF-424 signed by the Governor or GAR, or the Tribal Chief Executive or TAR.
Budget Information for Non-Construction Programs (SF-424A)	A Federal form OMB requires for Federal award applications. Complete "Section B—Budget Categories," column one only, for the total CCP budget.
Assurances for Non-Construction Programs (SF-424B)	A Governor, GAR, Tribal Chief Executive, or TAR signature is required.
Budget Narrative	The budget narrative should include a detailed justification for all cost categories requested in the SF-424A.
Lobbying Forms	SF-LLL and the Grants.gov Lobbying Certification Form must be on file with FEMA. The STT government must acknowledge that the forms are in compliance with the FEMA-State/Territory/Tribe Agreement and the most current *Department of Homeland Security (DHS) Standard Terms and Conditions*.

3. Instructions for Submission of the ISP Application Package

Prior to the 14-day application deadline, the STT government must submit the completed ISP application packet to the applicable Federal Coordinating Officer. In order to expedite the review process, it is helpful if the STT government submits an electronic copy of the application to the applicable FEMA Joint Field Office (JFO), FEMA Headquarters (HQ), and SAMHSA staff. Once received, the FEMA Human Services Group Supervisor at the FEMA JFO, FEMA Community Services Specialist at FEMA HQ, and the SAMHSA Project Officer will review the application to ensure all required documentation meet the minimum eligibility requirements per 44 C.F.R. § 206.171 and the General Terms and Conditions outlined in 2 C.F.R. § 200.210.

4. Budget

The ISP budget narrative must justify the proposed budget for the STT government and subrecipients and each individual service provider's budget. The narrative must provide a justification of the costs and an itemization for each line of the budgets.

Pre-Award Costs—Allowable: Pre-award costs are those which are: a) incurred prior to the effective date of the Federal award, and b) directly pursuant to the negotiation and in anticipation of the Federal award where such costs are necessary for efficient and timely performance of the scope of work. Such costs are allowable under the ISP award only to the extent that they would have been allowable if incurred after the date of the Federal award and only with written approval of the Federal Coordinating Officer (FCO).[201]

The STT government may seek reimbursement for pre-award costs associated with crisis counseling services provided from the date of the disaster incident to the date of major disaster declaration. The STT government must document the crisis counseling services provided and justify the costs.

Documentation should include the following:

- Types of crisis counseling services provided
- Location of service provision
- Types of staff who provided the services
- Hourly rates of staff who provided the services
- Number of hours that staff worked
- Number of disaster survivors who received services and types of services provided

The STT government may seek reimbursement only for crisis counseling services and not for any other type of behavioral health response. Crisis counseling services are typically provided during the immediate disaster response phase to survivors and their families, first responders, and other individuals directly affected by the disaster. Typical locations of service provision in the immediate disaster response include shelters, family assistance centers, homes, or other community settings.

Services often are provided by behavioral health agency staff, local behavioral health service provider staff, or members of disaster behavioral health response groups. Reimbursement for staff costs is allowable if it can be clearly demonstrated that the normal duties of the staff (if employed by the STT government during the disaster) were back-filled during the disaster response.

Indirect Costs—Unallowable: FEMA does not authorize the use of funds for indirect costs. Indirect costs, including management costs, are defined as costs not directly chargeable to a specific project. Please note that even if an indirect cost rate is established, it is subject to statutory and administrative limitations. A CCP award recipient or provider is not entitled to an established rate for services provided under the CCP award. The program's statutes, regulations, and policy govern whether any indirect costs are eligible. Section 324 of the Stafford Act requires FEMA to establish management cost rates through regulations. At this time, FEMA does not have regulations that govern the eligibility of indirect costs for the CCP.

Salaries and Wages: Salary compensation must be reasonable in amount and in alignment with local prevailing rates for the position funded.

Fringe Benefits: Fringe benefits may be charged directly to the grant. Claimed costs must be reasonable and confirm to established policies for the STT government. Use of a pre-established provisional rate requires allocation to total salary costs. Rates must be adjusted to actuals at the year-end and rates and should be reviewed at least once annually.

Consultant Costs: Consultant costs must be supported by a consulting agreement that documents the service to be performed, cost, and applicable time periods. Documentation to support need, reasonable rates, and consultant expertise must be maintained, together with evidence of work product.

Equipment: The STT government obtains title to equipment acquired under the CCP award and is subject to the conditions outlined in 2 C.F.R. § 200.313, including these requirements:

- To use the equipment for the authorized purposes of the project until funding for the project ceases, or until the property is no longer needed for the purposes of the project.
- To not encumber the property without approval of FEMA.
- To use and dispose of the property in accordance with 2 C.F.R. § 200.313.
- To use the equipment in a manner consistent with the purposes of the CCP award and to benefit the beneficiaries of the CCP project.

Supplies: FEMA retains an interest in any unused supplies exceeding $5,000 in total aggregate value upon termination or completion of the CCP if they are not needed for any other Federal award. The STT government must compensate FEMA for its share of the supplies in compliance with 2 C.F.R. § 200.313 and § 200.314. As long as FEMA retains an interest in supplies, the STT government must not use the supplies to provide services to other organizations for a fee that is less than private companies charge for equivalent services.

Travel: Travel must be included in the budget for preapproval as part of the application process. During implementation, travel costs must be supported by travel expense reports detailing employee name, the reason for the trip, and itemized expenses claimed. Claimed costs should be charged according to the award recipient's travel policy, which requires prior approval from FEMA and SAMHSA, compliance with OMB cost principles, and provides expenditure limitations. Major items of expense (e.g., airfare, lodging) must be supported by receipts.

Other: The budget may identify costs that are unique to the disaster and area impacted but do not fall into one of the prescribed cost categories listed above. Costs must be supported by adequate documentation (invoices, receipts, etc.). Consult with the FEMA specialists or the SAMHSA Program Officer to determine which costs are allowable under this cost category.

Contractual Costs: The non-Federal entity must follow the applicable Federal procurement requirements at 2 C.F.R. § 200.317 through § 200.326. Per 2 C.F.R. § 200.317, states must follow the same policies and procedures they normally use as well as comply with the requirements for procurement of recovered materials (§ 200.322) and including required contract provisions (§ 200.326). For all other non-Federal entities, including tribes, they must follow all of the requirements at 2 C.F.R. § 200.318 through §200.326. As part of these requirements, non-state entities must use their own documented procurement procedures that reflect applicable state, local, and tribal laws and regulations provided that the procurements comply with Federal law and the Federal procurement regulations. As a note, hiring of consultants falls under the procurement requirements, so non-Federal entities must follow applicable procurement regulations at 2 C.F.R. § 200.317 – 200.326.

For more information on allowable and unallowable costs, refer to the Appendix F.

C. Approval Process for ISP

The FCO may approve or deny the ISP in coordination with SAMHSA. The program may be approved for a period of performance of 60 days from the date IA was authorized in the major disaster declaration if:

- A complete application is received no later than 14 days from the date of declaration; and
- There is a need for the program clearly articulated in the application.

If approved, the FCO will submit a written approval with justification to the STT government agency receiving the award.

The program may be denied if:

- A complete application is not received within 14 days from the date IA was authorized in the major disaster declaration; or
- The application lacks sufficient information to justify the need for the program.

If denied, the FCO must submit a written denial with justification to the STT government agency that applied for the award and the Governor, GAR, Tribal Chief Executive, or TAR.

1. Federal and Congressional Review Process for ISP

Once submitted, the non-Federal entity's CCP application will go through a review by FEMA HQ, FEMA JFO, and FEMA Region staff for completeness, cost-effectiveness, and feasibility of the non-Federal entity's CCP application. The FEMA FCO will issue a determination.

All Federal awards greater than $1,000,000 must be routed to the Congressional Appropriations Committee for advanced notification review. This process may add multiple weeks to the timeline before obligating the Federal award. Once the notification process is complete, the FEMA FCO is notified that the funds can be released and the FCO may issue the notice of award to the recipient after the mandatory 72 hour waiting period.

D. Notice of Award (NOA) for ISP

When the non-Federal entity has been approved for a Federal CCP award, they will receive a Notice of Award (NOA). The NOA is the official document notifying the recipient and others that a Federal award has been made. The recipient will receive an NOA from the applicable FEMA RA. The NOA contains all terms and conditions of the Federal award and supporting documentation for recording the obligation of Federal fund in the recipients accounting system. Important information included in the NOA:

- The start and end dates for the program's period of performance
- The award amount
- Name of the FEMA and SAMHSA program officers
- Reporting requirements

1. Conditions of Award

Conditions of Award, or Terms and Conditions and/or Special Conditions, are requirements that the recipient must satisfy within the timeframe specified in the NOA. Failure to comply with all terms and conditions of a Federal award may result in funding restrictions, award termination, and/or denial of any future funding.

E. Post-Award Requirements

This section covers the post-award requirements of the Immediate Services Program, including training requirements, reporting requirements, and extensions.

1. Training

Training materials and recommendations for qualified trainers are provided by SAMHSA and through SAMHSA Disaster Technical Assistance Center (DTAC). Often, personnel from other assistance and disaster relief agencies are invited to attend.

The required standard CCP trainings are organized into modules that are provided to recipients by SAMHSA DTAC once a CCP is approved. Required trainings include:

- **Core Content Training:** This is the basic CCP skills-building training and includes administrative procedures and data collection information specific to the program. The course takes place in two days, during which time crisis counselors are provided with critical information and skills related to individual, group, and community outreach strategies. This training is conducted during the first few weeks of the ISP. However, ongoing training must be provided to ensure all new crisis counselors receive the Core Content Training prior to working independently within the program.

2. Reporting Requirements for the ISP

The recipient must submit to the appropriate FEMA FCO:[202]

- A mid-program report only when an RSP award application is being prepared and submitted. This report will be included as part of the RSP Federal award application;
- A final program report; and
- A financial status report.

This documentation is due no more than 90 days after the last day of immediate services funding.

3. Extensions

ISP Extension of the Period of Performance

ISP funding may continue for up to 60 additional days if an RSP award application is submitted to allow time for FEMA and SAMHSA to review the application. Notification must be provided, in writing, to FEMA and SAMHSA no later than 45 days from the date of declaration if the STT government plans to submit an RSP application to receive a continuation and ensure there are no gaps in services. If extenuating circumstances exist, the FEMA RA may authorize an additional 30 days and/or supplemental funding, if needed, while the RSP application remains under review with FEMA.

During the ISP period of performance, the STT government must notify FEMA program officer and SAMHSA program officer, no later than 45 days from the date of declaration, if they are planning to submit an application for the RSP award.[203]

ISP No-Cost Administrative Extension

All costs associated with finalizing the program and financial reports are allowable costs, as long as those costs are included in the approved ISP budget. In order for these costs to be covered, the STT government must request for a no-cost administrative extension to the ISP award period of performance. The STT government must submit the written extension request to their FEMA Regional office prior to the end of the period of performance. A no-cost administrative extension can be granted for up to 30 days when requested in writing and approved by the FCO. In exceptional circumstances, an additional 30-day no-cost administrative extension may be approved by FEMA if the submitted written request demonstrates sufficient need.

Any programmatic or service activities (not related to the ISP final report) conducted after the period of performance will not be funded under the CCP award. Any extension granted for the ISP once the RSP is awarded is only for work associated with finalizing the program and financial reports of providers to the recipient.

F. Closeout and Records Retention

1. ISP Closeout

The final ISP program narrative and fiscal report (*Federal Financial Report SF-425*) are due to the FCO, no later than 90 calendar days after the last day of the ISP award's period of performance. The STT government must liquidate all obligated balances, and show the exact balance of funds and total expenditures, which must be consistent with the line-item report. The final SF-425 must show the total Federal award amount, total Federal expenditures, and the unobligated balance. The reported total Federal expenditures reflected on the SF-425 report must be consistent with the line-item expenditures reflected in the program report.

2. ISP Record Retention

Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award generally must be retained for at least three years from the date the final financial report is submitted.[204] Further, if the recipient does not submit a final financial report and the award is administratively closed, FEMA sees the date of administrative closeout as the start of the general record retention period.

The record retention period may be longer than three years or have a different start date in certain cases. These include:

- Records for real property and equipment acquired with Federal funds must be retained for three years after final disposition of the property.[205]
- If any litigation, claim, or audit is started before the expiration of the three-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken.[206]
- The record retention period will be extended if the recipient is notified in writing of the extension by DHS/FEMA, the cognizant or oversight agency for audit, or the cognizant or oversight agency for audit, or the cognizant agency for indirect costs.[207]
- Where DHS/FEMA requires recipients to report program income after the period of performance ends, the program income record retention period begins at the end of the recipient's fiscal year in which program income is earned.[208]
- For indirect cost rate proposals, cost allocation plans, or other rate computations records, the start of the record retention period depends on whether the indirect cost rate documents were submitted for negotiation.
    - If the indirect cost rate documents were submitted for negotiation, the record retention period begins from the date those documents were submitted for negotiation.
    - If indirect cost rate documents were not submitted for negotiation, the record retention period begins at the end of the recipient's fiscal year or other accounting period covered by that indirect cost rate.[209]

The non-Federal entity is responsible for returning any funds that are drawn down but remain unliquidated on non-Federal entity financial records.

III. Regular Services Program

A. Period of Assistance for RSP

The RSP provides funds for up to nine months of services immediately following the date of the Notice of Award. If needed, STT government may request up to a 90-day extension to the period of performance in writing to the appropriate FEMA Regional Administrator (RA). This request must document the extraordinary circumstances and what the additional time will allow them to accomplish. In limited circumstances, such as disasters of catastrophic nature, the FEMA RA may extend the period of performance for more than 90 days when they deem it to be in the public interest.[210]

B. Pre-Award Assessment and Application

This section covers the pre-award requirements and process of applying for a Regular Services Program.

1. Needs Assessment

The purpose of the needs assessment is to provide an estimate of the size and cost of the program needed and to determine if supplemental Federal assistance is required. The factors of the needs assessment must include:

- An estimate of the number of disaster survivors requiring assistance;
- A description of the SLTT government resources and capabilities; and
- Justification of why the SLTT government cannot meet the needs.



Tailoring the Program to the Population

When assessing the needs of the affected population, it is important to consider the proportion and effect on the whole community, including older adults, people with disabilities and others with access and functional needs, children, and those with limited English proficiency. The program may be tailored to meet the needs of the specific community. For instance, if a high proportion of children were impacted, adding a children's coordinator in your staffing plan may be beneficial.

2. Applying for the Federal RSP Award

The cover letter for the RSP application should be addressed to the applicable FEMA RA. As per the 2018 Delegation of Authority, the FEMA RAs have the authority to approve or disapprove an application for the RSP. Note that the Disaster Recovery Manager authority does not carry the delegation of the RSP determination.

After the President declares a major disaster that includes IA, the applicable STT government may apply for the RSP. The RSP application package must be submitted to FEMA and SAMHSA no later than 60 days following the date IA was approved on the disaster. This application deadline is set by regulation and cannot be waived or extended.

An RSP application package represents the Governor or Tribal Chief Executive's agreement and/or certification:

- That the requirements are beyond the SLTT government's capabilities;
- That the program, if approved, will be implemented according to the plan contained in the application approved by the applicable FEMA RA;
- To maintain close coordination with and provide reports to the applicable FEMA RA, FEMA HQ, and FEMA JFO staff, and SAMHSA Project Officer and Grants Officer; and
- To include mental health disaster planning in the STT government's emergency plan prepared under Title II of the Stafford Act.

The application must contain the information and documentation found in *Figure 42* to be considered for an RSP award (see CCP Toolkit):

Figure 42: RSP Application Required Information and Documents	
Information	• The geographical areas within the designated disaster area for which services will be provided; • An estimate of the number of disaster survivors requiring assistance; • A description of the SLTT government resources and capabilities, and an explanation of why these resources cannot meet the need; • A description of activities from the date of the disaster incident to the date of application; • A plan of services to be provided to meet the identified needs; and • A detailed budget, showing the cost of proposed services separately from the cost of reimbursement for any eligible services provided prior to the application.
RSP Application	The OMB approved RSP Application (1660-0085) must be completed and signed by the Governor or Tribal Chief Executive, or their Authorized Representatives.
Request for Federal Assistance (SF-424)	A Federal form OMB requires for Federal award applications. All entities that apply for CCP funds must submit a completed SF-424 signed by the authorized representative of the non-Federal entity receiving funds.
Budget Information for Non-Construction Programs (SF-424A)	A Federal form OMB requires for Federal award applications. Complete "Section B-Budget Categories," Column 1 only for the total CCP budget.
Assurances for Non-Construction Programs (SF-424B)	A signature from the authorized representative of the non-Federal entity receiving the funds is required.
Budget Narrative	The budget narrative must include a detailed justification for all cost categories requested in the SF-424A. Refer to Appendix F for additional instructions on completing the budget narrative.
Health and Human Services (HHS) Checklist	A required form for an RSP that ensures pertinent documents, information, certifications, and assurances are included in the application, to include acknowledgement by the STT government that the forms are in compliance with the most current DHS Standard Terms and Conditions.
Disclosure of Lobbying Activities (SF-LLL)	A required form for the CCP RSP. The STT government may indicate "N/A" on the form if it is not applicable.
Project/Performance Site Locations	A Federal form required for the CCP RSP. The STT government should complete a form for each site where providers will perform project work.

3. Instructions for Submission of the RSP Application Package

Prior to the 60-day application deadline, the STT government must submit the completed RSP application package to the applicable FEMA RA. In order to expedite the review process, it is helpful if the STT government submits an electronic copy of the application to the applicable FEMA JFO Human Services Group Supervisor, FEMA HQ Community Services Program Specialist, and SAMHSA Project Officer. Once received, the FEMA Human Services Group Supervisor at the FEMA JFO, FEMA Community Services Specialist at FEMA HQ, and the SAMHSA Project Officer and Grants Officer will review the application to ensure all required documentation meets the minimum eligibility requirements per 44 C.F.R. § 206.171 and the General Terms and Conditions outlined in 2 C.F.R. § 200.210.

4. Budget

The RSP budget narrative must justify the proposed budget for the STT government and subrecipients and each individual service provider's budget. The narrative must provide a justification of the costs and an itemization for each line of the budgets.

Indirect Costs—Unallowable: FEMA does not authorize the use of funds for indirect costs. Indirect costs, including management costs, are defined as costs not directly chargeable to a specific project. Please note that even if an indirect cost rate is established, it is subject to statutory and administrative limitations. A CCP award recipient or provider is not entitled to an established rate for services provided under the CCP award. The program's statutes, regulations, and policy govern whether any indirect costs are eligible. Section 324 of the Stafford Act requires FEMA to establish management cost rates through regulations. At this time, FEMA does not have regulations that govern the eligibility of indirect costs for the CCP.

Salaries and Wages: Salary compensation must be reasonable in amount and in alignment with local prevailing rates for the position funded.

Fringe Benefits: Fringe benefits may be charged directly to the grant. Claimed costs must be reasonable and confirm to established policies for the STT government. Use of a pre-established provisional rate requires allocation to total salary costs. Rates must be adjusted to actuals at the year-end and rates and should be reviewed at least once annually.

Consultant Costs: Consultant costs must be supported by a consulting agreement that documents the service to be performed, cost, and applicable time periods. Documentation to support need, reasonable rates, and consultant expertise must be maintained, together with evidence of work product.

Equipment: The STT government obtains title to equipment acquired under the CCP award and is subject to the conditions outlined in 2 C.F.R. § 200.313, including these requirements:

- To use the equipment for the authorized purposes of the project until funding for the project ceases, or until the property is no longer needed for the purposes of the project.
- To not encumber the property without approval of FEMA.
- To use and dispose of the property in accordance with 2 C.F.R. § 200.313.
- To use the equipment in a manner consistent with the purposes of the CCP award and to benefit the beneficiaries of the CCP project.

Supplies: FEMA retains an interest in any unused supplies exceeding $5,000 in total aggregate value upon termination or completion of the CCP if they are not needed for any other Federal award. The STT government must compensate FEMA for its share of the supplies in compliance with 2 C.F.R. § 200.313 and § 200.314.

As long as FEMA retains an interest in supplies, the STT government must not use the supplies to provide services to other organizations for a fee that is less than private companies charge for equivalent services.

Travel: Travel must be included in the budget for preapproval as part of the application process. During implementation, travel costs must be supported by travel expense reports detailing employee name, the reason for the trip, and itemized expenses claimed. Claimed costs should be charged according to the award recipient's travel policy, which requires prior approval from FEMA and SAMHSA, compliance with OMB cost principles, and provides expenditure limitations. Major items of expense (e.g., airfare, lodging) must be supported by receipts.

Other: The budget may identify costs that are unique to the disaster and area impacted but do not fall into one of the prescribed cost categories listed above. Costs must be supported by adequate documentation (invoices, receipts, etc.). Consult with the FEMA Specialists or SAMHSA Program Officer to determine which costs are allowable under this cost category.

Contractual Costs: The STT government will follow its established policies and procedures used for procurements from non-Federal funds when procuring property and services under a Federal award. All other states, territories or tribes will follow the requirements in 2 C.F.R. § 200.318, General Procurement Standards, through § 200.326, Contract Provisions. All states, territories or tribes should review the procurement standards outlined in 2 C.F.R. § 200.317 through § 200.326.

For more information on allowable and unallowable costs, refer to Appendix F.

C. Approval Process for RSP

The RA may approve or deny the RSP in coordination with SAMHSA. The program may be approved for a period of performance of nine months from the date of award if:

- A complete application is received no later than 60 days from the date of declaration; and
- There is a need for the program clearly articulated in the application.

If approved, the RA must submit a written approval with justification to the Chief of the Emergency Mental Health and Traumatic Stress Services Branch at SAMHSA for processing and award of the grant to the STT government agency receiving the award.

The program may be denied if:

- A complete application is not received by 60 days from the date of declaration; or
- The application lacks sufficient information to justify the need for the program.

If denied, the RA must submit a written denial with justification to the applicant agency and GAR/TAR.

Under the 2018 Delegation of Authority, the FEMA RAs have authority to approve or deny an application for the RSP. This authority is not delegated with the DRM authority.

CCP Toolkit

SAMHSA and the SAMHSA Disaster Technical Assistance Center (DTAC), in collaboration with FEMA, have established and maintain an online CCP Toolkit containing required documents and tools to assist stakeholders in preparing, applying, implementing, managing, and closing out a CCP.

If you would like to speak with a SAMHSA Project Officer, please email SAMHSA DTAC at DTAC@samhsa.hhs.gov or call 800-308-3515 (Monday-Friday 9 A.M. to 5 P.M. Eastern time) and a staff member will forward your request to the appropriate project officer.

1. Federal and Congressional Review Process for RSP

Once the RSP application is submitted, the non-Federal entity's RSP application will go through a review by SAMHSA, FEMA HQ, FEMA JFO, and FEMA Region staff for completeness, cost-effectiveness, and feasibility of the non-Federal entity's RSP application. The FEMA RA will issue a determination.

All Federal awards greater than $1,000,000 must be routed to the Congressional Appropriations Committee for advanced notification review. This process may add multiple weeks to the timeline before obligating the Federal award. Once the notification process is complete, the FEMA RA is notified that funds can be released and the RA may notify SAMHSA, in writing, that they may issue the notice of award to the recipient after a minimum of 72 hours.

D. Notice of Award (NOA) for RSP

When the non-Federal entity has been approved for a Federal CCP award, they will receive a Notice of Award (NOA). The NOA is the official Federal award document notifying the award recipient and others that an award has been made. The recipient will receive an NOA from SAMHSA. The NOA contains all terms and conditions of the Federal award and supporting documentation for recording the obligation of Federal fund in the recipients accounting system. Important information included in the NOA:

- The start and end dates for the program's period of performance
- The award amount
- Name of the FEMA and SAMHSA Program Officers
- Name of the SAMHSA Grants Management Officer
- Reporting requirements

1. Conditions of Award

Conditions of Award, or Terms and Conditions and/or Special Conditions, are requirements that the recipient must satisfy within the timeframe specified in the NOA. Failure to comply with all terms and conditions of a Federal award may result in funding restrictions, award termination, and/or denial of any future funding.

E. Post-Award Requirements

This section covers the post-award requirements for a Regular Services Program, including training, reporting requirements, and extensions.

1. Training

Training materials and recommendations for qualified trainers are provided by SAMHSA and through SAMHSA DTAC. Often, personnel from other assistance and disaster relief agencies are invited to attend

The required standard CCP trainings are organized into modules that are provided to recipients by SAMHSA DTAC once a CCP is approved. Required trainings include:

- **Core Content Training:** This is the basic CCP skills-building training and includes administrative procedures and data collection information specific to the program. The course takes place over two days, during which time crisis counselors are provided with critical information and skills related to individual, group, and community outreach strategies. This training is conducted during the first few weeks of the ISP; however, if no ISP is implemented, the training will need to be conducted during the RSP. However, ongoing training must be provided to ensure all new crisis counselors receive the Core Content Training prior to working independently within the program.

- **Transition to RSP Training:** During this course, existing and newly hired CCP staff review key concepts related to crisis counseling skills, with an emphasis on longer-term service provision. This curriculum highlights how the needs of disaster survivors and communities evolve in the RSP and differ from those encountered in the immediate disaster response. If the STT government applied for the RSP only, it should begin with the Core Content Training. This training usually takes place as soon as formal RSP grant funding is awarded.

- **RSP Mid-Program Training:** This training typically is held 3-6 months into the RSP. Issues of staff morale and stress management for subrecipients are addressed. A focus is maintained on how crisis counselors will continue to provide services under difficult circumstances. This training includes problem-solving techniques for specific issues commonly encountered in the CCP such as emerging substance use or significant mental health needs. It also begins to address the subject of program phasedown.

- **Disaster Anniversary Training:** This training is held several weeks before the first anniversary of the disaster event. Crisis counselors are taught expected anniversary reactions and intervention strategies. This training can be paired with the Mid-program Training or the Phasedown Training, depending on the anniversary date.

- **RSP Phasedown Training:** This training should take place six–eight weeks prior to the scheduled phasedown of the CCP. All CCP administrative and outreach staff attend. Topics in this training include staff stress management and future planning, assisting the program and its staff to document the event, planning to leave a legacy for the community, resource linkage and referrals, and continuity of service via community partnerships.

The CCP training plan is not limited to providing required trainings. The STT government should identify and deliver additional trainings based on specific disaster and staff needs. Additional recommended training areas include more in-depth learning about specific crisis counseling interventions, as well as cultural competence, working with children, and mental health or substance use assessment and referral.

To promote community partnerships, the CCP leadership is encouraged to share training opportunities with other disaster and community providers. In quarterly reports, programs should document training sessions held, describe the content of the sessions, and note the number of people who attended. As highlighted in the training section of this document, required training takes place throughout the program period and should be planned in a proactive manner.

Optional training may take place, as deemed appropriate by the STT government.

2. Reporting Requirements for the RSP

For the RSP, quarterly reports, including documentation on financial expenditures, must be submitted to the FEMA Headquarters Program Specialist; the FEMA JFO Specialist (or Regional Specialist if the FEMA JFO is demobilized); and the SAMHSA Project Officer. Quarterly reports are due 30 calendar days after the end of each three-month reporting period (refer to the NOA for specific due dates). The quarterly report must include a budget expenditure report for the STT government and any subrecipients, showing:

- The approved budget;
- Costs incurred and obligated expenditures;
- Approved budget modifications; and
- Remaining balance of unspent funds.

The report should be consistent with the cost categories and budget line items listed in the approved budget. If only a portion of award funding is disbursed at the start of the RSP, the STT government must submit a letter of request for the second allotment of funds with the first quarterly RSP report.

3. Extensions

RSP Extension of the Period of Performance

The RSP provides funds for up to nine months of services immediately following the date of the NOA. If needed, the STT government may request supplemental funding and/or up to a 90-day extension to the period of performance in writing to the appropriate FEMA RA; this request must document the extraordinary circumstances and what the additional funding and/or time will allow them to accomplish. In limited circumstances, such as disasters of catastrophic nature, the FEMA RA may extend the period of performance for more than 90 days where they deem it to be in the public interest.[211]

RSP No-Cost Administrative Extension

All costs associated with finalizing the program and financial reports are allowable costs, as long as those costs are included in the approved RSP budget. In order for these costs to be covered, the STT government must request for a 90-day no-cost administrative extension to the RSP award period of performance. The STT government must submit the written extension request to SAMHSA and FEMA no later than one month prior to the end of the period of performance. Any programmatic or service activities (not related to the RSP closeout) conducted after the period of performance will not be funded under the CCP award.

When an STT government provides a subaward to a subrecipient, the STT government must include the start and end dates of the period of performance in the subaward document.

F. Closeout and Records Retention

1. RSP Closeout

The final RSP program report and the Federal Financial Report SF-425 form (OMB form number 0348-0061) are due to FEMA and SAMHSA 90 calendar days after the end of the period of performance. Note that the reported total Federal expenditures reflected on the SF-425 report must be consistent with the line-item expenditures reflected in the program report.

2. RSP Record Retention

Financial records, supporting documents, statistical records, and all other non-Federal entity records pertinent to a Federal award generally must be retained for at least three years from the date the final financial report is submitted.[212] Further, if the recipient does not submit a final financial report and the award is administratively closed, FEMA sees the date of administrative closeout as the start of the general record retention period.

The record retention period may be longer than three years or have a different start date in certain cases. These include:

- Records for real property and equipment acquired with Federal funds must be retained for three years after final disposition of the property.[213]
- If any litigation, claim, or audit is started before the expiration of the three-year period, the records must be retained until all litigation, claims, or audit findings involving the records have been resolved and final action taken.[214]
- The record retention period will be extended if the recipient is notified in writing of the extension by DHS/FEMA, the cognizant or oversight agency for audit, or the cognizant or oversight agency for audit, or the cognizant agency for indirect costs.[215]
- Where DHS/FEMA requires recipients to report program income after the period of performance ends, the program income record retention period begins at the end of the recipient's fiscal year in which program income is earned.[216]
- For indirect cost rate proposals, cost allocation plans, or other rate computations records, the start of the record retention period depends on whether the indirect cost rate documents were submitted for negotiation.

- If the indirect cost rate documents were submitted for negotiation, the record retention period begins from the date those documents were submitted for negotiation.
- If indirect cost rate documents were not submitted for negotiation, the record retention period begins at the end of the recipient's fiscal year or other accounting period covered by that indirect cost rate.[217]

The non-Federal entity is responsible for returning any funds that are drawn down but remain unliquidated on non-Federal entity financial records.

IV. Considerations for Implementation of ISP and RSP

This section provides information regarding staffing a CCP, collecting data, modifying budget and program plans, and appealing various decisions regarding both an ISP and an RSP.

A. Main Components of a Program Management Plan

The recipient is responsible for developing a program management plan. The components of the program management plan may vary given the needs of the non-Federal entity and the scope of the disaster. Typical components include the following:

- Performing ongoing needs assessment
- Developing and effecting outreach strategies to identify and serve affected individuals, groups, and the community, including distinct outreach strategies for different populations, as well as accessibility to all survivors.
- Ensuring supervision and quality assurance at the STT government and subrecipient levels
- Identifying and tracking resource referrals.
- Employing program media and marketing strategies
- Recruiting and hiring staff
- Providing training
- Providing staff stress management
- Ensuring effective fiscal management
- Accomplishing program evaluation

B. Media and Marketing the CCP

It is important for the recipient to select a name in order to develop a cohesive identity among the sub-recipients. All CCP staff need to share a group identity. This is achieved through training and use of media and marketing strategies that define program services and inform the community about the CCP. Strategies to build a program identity and to market services include the following:

- **Developing a brand for the program**: Establishing a clearly recognizable program name and logo or design elements that appear on all program materials. Staff members are provided with items, such as t-shirts, with the specific program name and logo.
- **Using media outlets**: Recipients may develop public service announcements, interviews, articles, advertisements, letters to the editor, or educational material.
- **Establishing toll-free numbers or hotlines**: A toll-free line may be established purely for informational purposes, or a hotline that provides counseling via phone may be incorporated into the CCP program.
- **Establishing program websites**: Recipients may establish a program website that explains the CCP services and links to other disaster-related resources whenever possible.

- **Developing and revising outreach and psycho-educational materials**: Recipients may develop materials that are tailored to address the unique characteristics of a specific disaster or CCP.
- **Promoting word-of-mouth promulgation**: Outreach workers may encourage people to spread the word about CCP.

C. Staffing of the CCP

The needs assessment will inform required CCP staff roles and the number of individuals needed for each position to be included in the staffing plan. The non-Federal entity determines how staff members are deployed to meet the needs of disaster survivors and at-risk groups. For safety and efficacy, crisis counselors typically are deployed in teams. Team deployment is linked with the CCP outreach strategy in order to target services to those individuals and groups identified to be in the greatest need. In its essential staffing, the CCP should reflect the cultural demographics of the affected area and use paraprofessionals with prior training and experience in the mental health and substance use fields. For additional information on training, refer to the CCP Toolkit.

1. Staff Stress Management

Many staff members of a CCP are also survivors of the disaster. Interacting with disaster survivors is rewarding but may also produce strong levels of anxiety, frustration, anger, or depression in some workers. Sustained service provision can become debilitating when coupled with a staff member's personal experience of loss. It is, therefore, critical that ongoing staff stress management is integrated into the CCP at all levels of the program. All standard CCP trainings provided by SAMHSA include content on individual staff stress management. The program management plan developed by the recipient must include provisions for organizational stress management. Elements of an organizational stress management plan are as follows:

- A clearly defined management and supervision structure
- Defined purpose and goals
- Functionally defined roles reinforced through effective supervision
- Sound clinical consultation, support, and supervision
- Supportive peer relationships
- Active stress management program
- Comprehensive training plan

D. Data Collection, Evaluation, and Reporting

Consistent and timely data collection and evaluation are necessary to measure success of outreach efforts and the changing needs of disaster survivors. The recipient's program management plan should include mechanisms to collect, examine, and react to immediate and changing needs encountered by crisis counselors. Frequent analysis of both CCP data and feedback provided by staff allows the program to evolve to meet needs as they arise. The process improves the program's behavioral health disaster response, documents the program's accomplishments, and provides accountability information to stakeholders. SAMHSA and FEMA also use data to justify program efforts, as well as to make modifications to the CCP model and program service delivery. The recipient is required to submit a summary of up-to-date program data with their programmatic quarterly and final reports.

Procedures for data collection and evaluation include the following:

- Establishment of quality control and assurance processes is necessary for data collection and analysis. Typical quality control procedures include management review of forms for completeness prior to approval; staff review for consistency and accuracy, and giving feedback to the subrecipient-level supervisors; and staff developing ways to resolve errors in collected data forms.
- All CCP administrators and managers should carefully review evaluation and reporting requirements.
- The CCP award conditions require collecting information on specific forms in specific formats. This should not limit the STT government and subrecipients in collecting additional information that will assist in both program improvement and process. The specific required forms are detailed in the NOA.
- The Federal CCP award conditions require database development and data delivery to SAMHSA upon submission of each quarterly report and with the final report.
- Data on service delivery must be collected using the Individual/Family Crisis Counseling Services Encounter Log, Group Encounter Log, Weekly Tally Sheet, and the Child/Youth and Adult Assessment and Referral Tools, each a part of the standard FEMA CCP Toolkit as approved by the OMB (OMB No. 0930–0270). The Participant Feedback Survey and Service Provider Feedback Survey are also included in the CCP Toolkit.

E. Quality Assurance

The CCP is a time-limited program that must identify and address emergent issues related to both disaster survivor needs and operational realities, while developing and implementing solutions to improve program services. Quality assurance and quality improvement activities are developed even before the program begins and assist the STT government in reporting program highlights and issues to SAMHSA and FEMA through regular program monitoring and reporting. Activities related to quality assurance include the following:

- Regular onsite supervision, training, and consistent communication between CCP management and workers providing services
- Weekly meetings and regular submission of data collection forms to the SAMHSA Program Officer and FEMA Specialist

- Phone and email communication whenever workers have questions or concerns
- Collecting and organizing programmatic materials and data to continue to improve service provision and educational materials
- Identifying problems or gaps in service through data and informant feedback
- Redirecting resources and modifying the service plan
- Conducting ongoing needs assessment
- Providing information to workers to improve services

F. General Provisions

1. Conflicts of Interest in the Administration of Federal Awards or Subaward

Recipients and pass-through entities must disclose, in writing, any real or potential conflicts of interest that may arise during the administration of the Federal award, as defined by the Federal or SLTT statues or regulations or their own existing policies, to the FEMA Specialist and SAMHSA Program Officer within 15 days of learning of the conflict of interest. Additionally, to eliminate and reduce the impact of conflicts of interest int eh subaward process, recipients and pass-through entities must follow their own policies and procedures regarding the elimination or reduction of conflicts of interest when making subawards. Recipients and pass-through entities are also required to follow any applicable Federal and SLTT statutes or regulations governing conflicts of interest in the making of subawards.

Similarly, sub-recipients, whether acting as subrecipients or pass-through entities, must also disclose any real or potential conflict of interest to the pass-through entity as required by the pass-through entity's conflict of interest policies, or any applicable Federal or STT government statutes or regulations.

Conflicts of interest may arise during the process of FEMA and SAMHSA making a Federal award in situations where an employee, officer, or agent, any members of their immediate family, or their partner has a close personal relationship, a business relationship, or a professional relationship with an STT government provider entity, recipient, subrecipient, or FEMA employee.

2. Mandatory Disclosures

All violations of Federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the Federal award must be submitted in writing to the FEMA Specialist and SAMHSA Program Officer at the time of the application, or if the violation occurs after the time of application, no later than 15 days after confirmation that such a violation occurred.[218]

G. Modifications to Budget and Program Plans

The STT government is required to report deviations from the approved budget or project scope and request prior approval from the SAMHSA Project Officer and FEMA Specialist for budget and program plan revisions, in accordance with 2 C.F.R. § 200.308. The STT government must request prior approval for the following:

- Change in scope or the objective of the project or program.
- Change in key personnel specified in the application or Federal award.
- The disengagement from the project for more than three months, or 25% reduction in time devoted to the project, by the approved project director or principal investigator.
- Unless described in the application and funded in the approved Federal award, the sub-awarding, transferring, or contracting out of any work under a Federal award. This provision does not apply to the acquisition of supplies, material, equipment, or general support services.
- Incurrence of project costs 90 calendar days before the Federal awarding agency makes the Federal award. The Federal awarding agency is under no obligation to reimburse such costs if for any reason the STT government does not receive a Federal award or if the Federal award is less than anticipated and inadequate to cover such costs. (See also section on pre-award costs.)

The Federal awarding agency may, at its option, restrict the transfer of funds among direct cost categories or programs, functions, and activities for Federal awards in which the Federal share of the project exceeds the Simplified Acquisition Threshold and the cumulative amount of such transfers exceeds or is expected to exceed 10% of the total budget as last approved by the Federal awarding agency.

Changes to the program plan based on the evolving needs assessment may require that funding be moved from one line item to another or from one provider to another. The STT government has the authority to move funds, up to a cumulative 10% of the total budget, from one line item to another without requesting approval.

Any budget adjustments that move a cumulative 11–25% of funds must be submitted in writing and approved by the FEMA Specialist, in collaboration with the SAMHSA Project Officer. Any budget adjustments that exceed a cumulative 25% of the total budget must be submitted in writing and approved by FEMA and the SAMHSA Project Officer and Grants Management Officer.

Budget adjustments or modifications should be discussed with FEMA Specialist and SAMHSA Project Officer prior to submitting a request for additional funds. Use a Request for Budget Adjustment letter template available online at CCP Toolkit.

The FEMA RA, in coordination with the SAMHSA Project Officer, is responsible for providing technical assistance to the STT government regarding budget adjustments. The RA approves any budget adjustment requests for the ISP or those up to 25% for the RSP. If the requested budget adjustment for an RSP exceeds 25%, the responsibility for approval belongs to the SAMHSA Grants Management Officer.

H. Appeals

1. Appealing a Denial Determination

A Federal award applicant may appeal FEMA's decision. This appeal must be submitted to the FEMA Individual Assistance Division Director (IADD), in writing, within 60 days of the date of the application decision.[219] The appeal must include additional information justifying a reversal of the previous decision. The FEMA IADD shall review the material submitted, and after consultation with SAMHSA, notify the applicant within 15 days, in writing, of their decision.

2. Appeals of Remedies for Noncompliance

The STT government may submit a written appeal letter (including supporting documentation), signed by the Governor, GAR, or Tribal Chief Executive, to the appropriate FEMA RA and SAMHSA within 15 days of the date of notification of the remedial action. The FEMA RA, after consultation with SAMHSA and FEMA HQ, will make an appeal determination within 15 days, in writing to the applicant.

3. Objections/Appeals of Decisions Regarding Allowable Costs

The STT government may submit a written appeal letter (including supporting documentation), signed by the Governor, GAR, or Tribal Chief Executive, to the FEMA RA and SAMHSA within 15 days of the date of notification of the disallowed cost. The FEMA RA, after consultation with SAMHSA and FEMA HQ, will make an appeal determination within 15 days, in writing to the applicant.

4. Objections/Appeals of Termination or Suspension

The STT government may submit a written appeal letter (including supporting documentation), signed by the Governor, GAR, or Tribal Chief Executive, to the FEMA RA and SAMHSA within 15 days of the date of notification of the suspension or termination action. The FEMA RA, after consultation with SAMHSA and FEMA HQ, will make an appeal determination within 15 days, in writing to the applicant.

I. Procurement Requirements under a Federal Award

Federal award recipients shall ensure that the process of soliciting CCP services is fair and transparent. Recipients must follow the same policies and procedures when procuring property and services under a Federal award that they follow for procurement from non-Federal funds. Recipients must ensure that any contract provisions as required by 2 C.F.R. § 200.326 *Contract provisions* are included on all purchase orders or contracts that draw from Federal funds. All other recipients, including subrecipients of an STT government will follow the *General procurement standards* as required by 2 C.F.R. § 200.318 through § 200.326.

Chapter 6: Disaster Legal Services

I. Overview

When the President declares a major disaster that is approved for Individual Assistance (IA), FEMA, through an agreement with the Young Lawyers Division (YLD) of the American Bar Association, provides free legal help for survivors of that disaster through a request from the state, local, territorial, or tribal (SLTT) government. Disaster Legal Services (DLS) provides confidential legal assistance to low-income individuals who are unable to secure legal services to meet their unmet disaster-caused needs without a cost-share.

A. Period of Assistance

DLS can be authorized immediately following the IA declaration and continue until FEMA, in coordination with the legal representatives and the state, territorial, or tribal (STT) government determine that the hotline and services are no longer needed.

Fee-Generating Case

For the purposes of this section, a fee-generating case is one which would not ordinarily be rejected by local lawyers as a result of its lack of potential remunerative value.

B. Types of Assistance

DLS is limited to cases that will not generate a fee for the survivor. Typically, local lawyers provide the following types of disaster legal services:

- Help with insurance claims for doctors and hospital bills, loss of property, loss of life, etc.;
- Drawing up new wills and other legal papers lost in the disaster;
- Estate administration, including guardianships and conservatorships;
- Consumer protection matters, remedies, and procedures;
- Help with home repair contracts and contractors;
- Counseling and advice about landlords/tenants;
- Preparing power of attorney and guardianship materials; and
- FEMA appeals and other disaster-related actions against the government.



A Legal Aid representative helps a resident at a Disaster Recovery Center (DRC).

DLS must be accessible to people regardless of race, color, national origin, sex, age, disability, English proficiency, or economic status. In particular, providers of DLS must plan to meet the needs of people with limited English proficiency and people with disabilities, such as people who are deaf or hard of hearing who may use sign language or captioning.

DLS cases that may generate a fee (such as lawsuits) are not covered by this service and may be referred to private lawyers through existing lawyer referral services in the affected area. It is important to note that DLS provides legal advice and not cash assistance.

C. Authorities

The FEMA DLS program is authorized under Section 415[220] of the Stafford Act and implementing regulations found in 44 C.F.R. § 206.164.

D. Partner Organizations

FEMA partners with the YLD of the American Bar Association to provide DLS through a memorandum of agreement. YLD coordinates with participating attorneys, law firms, nonprofit legal services providers, Legal Services Corporation recipients, state and local bar associations, and pro bono organizations to provide legal assistance under the DLS Program. The disaster operation will pay for representatives from the American Bar Association overseeing the DLS program to travel to the disaster (FEMA JFO, DRC, etc.) to review and audit the program in progress at least once during the period of performance (POP) for that specific program.

E. Conditions of Eligibility

An individual is eligible for disaster legal services if they were directly affected by a Presidentially-declared disaster, meet the definition of low-income, and do not have the means to hire an attorney.

1. Definition of low-income

For the purpose of defining eligibility for Disaster Legal Services assistance, low-income is used to refer to those disaster survivors who have insufficient resources to secure adequate legal services, whether the insufficiency existed prior to or resulted from the major disaster.



Tools and Resources

For more information, an individual may visit the American Bar Association's website at:

- American Bar Association Committee on Disaster Response and Preparedness
- Disaster Legal Services Program

An individual may also visit their local FEMA Disaster Recovery Center (DRC) for further referral information.

II. Delivery of Services

Once FEMA has authorized the DLS program, the ABA YLD will establish a local toll-free hotline to receive calls and provide free legal assistance services. In addition to the local hotline, individuals can visit FEMA Disaster Recovery Centers (DRCs) where DLS representatives may be located. Attorneys seeking to volunteer their time can visit the YLD's Disaster Relief Portal at https://aba.joinpaladin.com/aba-disaster-relief/, where they can seek local or national opportunities to assist low income disaster survivors.

A. Program Approval Process

The impacted STT government can request the DLS program through the Request for Presidential Disaster Declaration (FEMA Form 010-0-13).

For individuals seeking DLS, there is no formal application process. Individuals can access these services by contacting the toll-free number established once the program has been authorized.

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Chapter 7: Disaster Unemployment Assistance[iv]

I. Overview

The Disaster Unemployment Assistance (DUA) program may be available to STT governments to provide temporary benefits to individuals whose employment or self-employment has been lost or interrupted as a direct result of a major disaster and who are not eligible for regular unemployment insurance (UI). DUA is available when the President declares a major disaster and Individual Assistance (IA) is approved. Individuals may also receive re-employment services administered by the STT government; there is no cost share.

The DUA program is authorized under Section 410 of the Stafford Act. The U.S. Department of Labor[221] oversees the DUA program and coordinates with the Federal Emergency Management Agency (FEMA). DUA is administered by the respective state or territory UI agency acting as agents of the Federal Government. When DUA is approved under a direct tribal declaration, the program is administered through the state UI of the state in which the tribal headquarters is located. FEMA provides the funding for DUA payments and state administrative costs. DUA payments are made by the respective state or territory UI agencies, under agreements with the Secretary of Labor, to eligible individuals unemployed as a direct result of the disaster. The Department of Labor implementing regulations for DUA are found at 20 C.F.R. § 625. More information is available in the current UI DUA Handbook.

A. Period of Assistance

DUA benefits are generally paid for up to 26 weeks beginning with the first week following the date the major disaster began, and ending with the 26th week following the date the major disaster is declared by the President. DUA will not be paid for any period of unemployment that occurs prior to the date of the start of the incident period nor for any period of unemployment which begins subsequent to the end of the disaster assistance period.



FEMA employee discusses how to file a claim for disaster unemployment.

B. Types of Assistance

DUA provides temporary financial assistance and reemployment services to eligible individuals. The weekly DUA benefit amount will be based on the gross wages of the individual. If the individual is self-employed, the weekly benefit amount will be based on the net earnings (income) from self-employment. Generally, benefits are calculated using the same formula used for state UI benefits. However, the minimum weekly benefit amount payable can be no less than half (50%) of the average UI benefit amount in the state,[222] with certain exceptions for part-time workers.

C. Application Process

Individuals seeking DUA must file a claim within 30 days of the public announcement of the availability of DUA in the STT government. Individuals must follow the instructions in the announcement and apply for DUA based on the filing method used by the respective state or territory UI agency (i.e., in person, online, or by mail or telephone).

[iv] OMB revised the regulations that govern federal grants and cooperative agreements, please refer to Title 2 of the Code of Federal Regulations for more details. See Electronic Code of Federal Regulations.

Individuals who moved or evacuated to another STT government should contact the affected STT government for claim filing instructions. Individuals can also contact the respective state or territory UI agency in the state or territory where they are currently residing for claim filing assistance.

Individuals are required to substantiate employment or self-employment or to substantiate work that was to begin on or after the first day of the incident period for the disaster. If proof of employment cannot be provided at the time the claim is filed, individuals have 21 calendar days from that time to meet this requirement.[223] Failure to submit this documentation within the 21 days will result in a denial of DUA, and any benefits already paid will be considered overpaid. Individuals are required to repay any benefits overpaid. Individuals are also required to submit proof of wages or income within 21 days of filing the DUA claim.

The RA may approve or deny requests for funding to support the DUA program in coordination with the U.S. Department of Labor.

Tools and Resources

For additional information concerning the DUA program, individuals may contact the U.S. Department of Labor at:

Website: https://workforcesecurity.doleta.gov/

Phone: 866-487-2365

An individual may also contact their state UI agency for state-specific information:

Website: https://www.careeronestop.org/

Phone: 877-872-5627

The state or territory workforce agency will submit the funding request to the RA through the Regional Department of Labor; the tribal government will work with the state workforce agency to submit the funding request in the same way. The program may be funded for up to 26 weeks of benefits and subsequent administrative costs if:

- A complete state workforce agency funding request and Regional Department of Labor recommendation is received;
- The funding amount request provides reasonable justification for the key assumptions leading to the cost estimate; and
- There is sufficient explanation for unusually high cost estimates, to include administrative costs exceeding 15%.

If approved, the RA must submit a written approval with justification to the U.S. Department of Labor Comptroller for processing of the funds to the state or territory workforce agency.

If denied, the RA must submit a written denial with justification to the state or territory workforce agency.

D. Congressional Notification Process

Department of Homeland Security Appropriations Act, Sec. 507, requires FEMA to notify the Congressional Appropriations Committee at least three full business days in advance of making or awarding a grant allocation, grant, contract, or other transaction agreement exceeding $1,000,000. This process may add weeks to the timeline before obligating the Federal award.

E. Conditions of Eligibility

1. General Requirements

These general conditions must be met for an applicant to be eligible for DUA. Requested documents to establish the proof of these conditions may vary by state or territory. A disaster survivor must:

- Provide proof of identity;
- Be a U.S. citizen, non-citizen national, or qualified alien;
- Be ineligible for regular UI;
- Be unemployed or partially unemployed as a direct result of the major disaster;
- Be able and available for work, unless injured as a direct result of the disaster;
- File an application for DUA within 30 days of the date of the public announcement of availability of DUA; and
- Have not refused an offer of employment in a suitable position.

2. Conditions of Unemployment

In addition to general requirements to receive DUA, an individual must meet one of several conditions of unemployment or inability to perform services in self-employment as a direct result of the disaster. Requested documents to establish the proof of these conditions may vary by state or territory. These conditions are:[224]

- The individual has had a week of unemployment following the date the major disaster began;
- The individual is unable to reach their place of employment;
- The individual was scheduled to start work and the job no longer exists or the individual was unable to reach the job;
- The individual became the major support of the household because the head of the household died as a direct result of the disaster; or
- The individual cannot work because of an injury caused as a direct result of the major disaster

3. Frequency of Filed Claims

The individual must continue to file weekly or biweekly claims for DUA benefits according to the instructions given by the state or territory agency when the DUA application is filed.



How to File a Claim

To file a claim, individuals who are unemployed as a direct result of the disaster should contact their state or territory UI agency

Website: https://www.careeronestop.org/

II. Delivery of Services

After the President declares a major disaster, the affected STT government can apply for funding for the DUA program. DUA is administered by the state or territory UI agency, which issues a public announcement throughout the declared disaster area notifying individuals in the affected area at the time of the disaster that they may be eligible for DUA compensation if they apply within the 30-day application period starting on the first public announcement date for the designated area. Under a direct tribal declaration, this public announcement would be issued by the state or territory workforce agency in conjunction with the tribal government. If the Presidential disaster declaration is later amended to include additional areas, another release should be prepared that allows for a separate 30-day application period for affected employment in the newly designated areas.

Chapter 8: Voluntary Agency Coordination

I. Overview

Voluntary agencies are the first and the last organizations to provide survivor support services in a community before and after a disaster. Agencies in the community begin providing services prior to a disaster and continue throughout the long-term recovery period after a disaster. The FEMA Voluntary Agency Coordination Section provides technical assistance, coordination and subject matter expertise to partners who are addressing gaps in resources, providing financial support and additional support to survivors after government assistance is exhausted. This assistance is provided through FEMA's Voluntary Agency Liaison (VAL) staff.

A. Voluntary Agency Coordination

The mission of FEMA VALs is to establish, foster, and maintain relationships among government, voluntary, faith-based, and community partners. Through these relationships, the VALs support the delivery of inclusive and equitable services and empower and strengthen capabilities of communities to address disaster-caused unmet needs.

A function of the VAL staff is the coordination of voluntary activities between internal FEMA partners, local, state and federal government entities and state and National VOAD.

FEMA VALs work with state VALs and voluntary agencies at all levels. Some examples of voluntary agencies supported by VALs include: National VOAD, state VOADs, Domestic Hunger Relief Organizations, Long-Term Recovery Groups, and other NGOs in local communities.

During disaster operations, VALs provide additional support by organizing and building the capability of disaster recovery for current and future disasters.[225] Additionally, FEMA VALs continue to support ongoing community recovery activities even after the period of assistance has ended.



The Calvary Chapel Relief volunteers, an organization, works tirelessly to remove damaged boards from the Asbury Park boardwalk after Hurricane Sandy.

II. Responsibilities of FEMA Voluntary Agency Liaisons

The VALs provide expertise to FEMA offices concerning the voluntary agency sector's participation in prevention, protection, response, recovery, and mitigation. The VALs also keep the Voluntary Organizations Active in Disaster and other partners informed about programs, policies, trends, reports, services and plans. Additionally, VALs host and attend quarterly meetings, provide trainings and briefings with primary responsible voluntary agencies, community-based and faith-based organizations, and the private sector organizations independent from state and local VOADs. Some of the FEMA VAL activities may include:

A. National Response Coordination Center

The FEMA National Response Coordination Center (NRCC) is a resource the Federal Government employs to bring multi-agency resources, both government and NGO, together during major disasters. VALs in the NRCC, as well as the RRCCs, support the Regions and coordinate with voluntary organizations to identify gaps in services to survivors and communicate available resources to meet them. Resources may also include donated goods.

B. Non-Declared Disasters

FEMA VALs may provide support to communities that do not receive a Presidential disaster declaration. This shifts more duties to voluntary agencies without the Individual Assistance structure administered by FEMA and public support often engendered by a Presidential disaster declaration. In these instances, the complex challenge of survivor recovery is addressed by voluntary agencies working to assist in the impacted areas. VALs may provide subject matter expertise to an STT government to help coordinate agencies and improve service delivery. VALs may also be called upon to help conduct a preliminary damage assessment, prior to a declaration, to gauge the level of voluntary agency activity, as well as support the development of long-term recovery efforts. VALs may also be requested to support non-Stafford Act events where subject matter expertise for community convening, volunteer and donations management, and other emergency management functions assist other Federal agencies, international incidences, and private sector disaster activities.

C. Emergency Declarations and Public Assistance

VALs may be requested to assist during emergency declarations and major disaster declarations that have only been approved for Public Assistance (PA). While FEMA PA programs are focused on infrastructure repair, there may be individual households impacted by the disaster that will not receive government disaster assistance. VALs work with the community to develop voluntary agency capacity to provide assistance to impacted survivors. Examples of these types of activities can be seen in *Figure 43*.

Figure 43: VAL Coordination during Non-Declared Emergencies and PA-Only Declarations				
Operation	Month/Year	Location	Declaration Type	Activities
Miwok Tribe Structure Fire Tribal Headquarters destroyed	April 2015	CA	N/A	Provided volunteer coordination, technical assistance, and information for philanthropic grant opportunities.
Okanogan, WA Floods/Wildfires	August 2014	WA	PA	Assisted in developing Long-Term Recovery Groups, identified recovery resources for disaster survivors, and coordinated assistance efforts among government and NGO service providers.
West Virginia Flood Recovery	April 2015	WV	PA	Coordinated Bridge Committee resources and assisted with developing pilot program for bridge construction by Voluntary Agencies.

D. Volunteer and Donations Management

Individuals and organizations often donate resources to SLTT governments to assist with response and recovery. A donated resource may be in the form of materials, equipment, financial contributions, services or supplies. In an effort to efficiently manage the donated goods and services to SLTT governments, or voluntary agencies, FEMA VALs may provide logistical support by coordinating donations and working with the state to develop warehouse solutions. The *Volunteer and Donations Management Support Annex to the National Response Framework* describes the coordination process of unaffiliated volunteers, unaffiliated organizations, and unsolicited donated goods.[226]

FEMA provides assistance through:

- Volunteer and donations management training;
- Volunteer and donations messaging; and
- Technical assistance on volunteer and donations management.

Donations management training is provided through the Emergency Management Institute (EMI), for Federal, SLTT government, nonprofit and private sector partners. Additional trainings are delivered through Independent Study, attendance at a classroom offering at the National Emergency Training Center (NETC), or through a field-based classroom offering at a FEMA Joint Field Office (JFO) which can be accessed at the FEMA Emergency Management Institute website.

FEMA donations messaging regarding the appropriate method for the public to donate can be found at the *FEMA Volunteer and Donate Responsibly* website. Financial contributions are the most efficient method of donating. Funds allow the most flexibility in obtaining the needed resources, at the correct time and moves money into the local economy to help businesses recover. The site also provides information on accepted methods for unaffiliated volunteers to get connected.

More information and technical assistance on volunteer and donations management may be found through the following resources:

- National Voluntary Organizations Active in Disaster;
- National Association of State Emergency Volunteer and Donations Coordinators (NASEDoVoC);
- The American Logistics Aid Network (ALAN); and
- FEMA also uses the International Assistance System (IAS) Concept of Operations as the mechanism to accept/reject offers of donations from countries outside the United States of America.

Appendix A: Section 425 Transportation Assistance

Section 425 of the Stafford Act authorizes FEMA to provide assistance to relocate individuals displaced from their pre-disaster primary residences as a result of a major disaster or emergency or otherwise transported from their pre-disaster primary residence under Section 403(a)(3) or Section 502 of the Stafford Act, to and from alternative locations for short or long-term accommodation or to return an individual or household to their pre-disaster primary residence or alternative location.

I. Authorizing Section 425 Transportation Assistance

FEMA's AA for Recovery has the authority to approve Section 425 Transportation Assistance at the request of the affected STT government. This form of assistance may be considered for specific areas within the declared disaster when:

- The scale and magnitude of the declared incident results in an extended displacement of disaster survivors;
- Temporary housing options within a reasonable distance from the disaster area are limited; and
- A concurrent lack of infrastructure exists within the affected area.

A. Conditions of Eligibility

Section 425 Transportation Assistance does not count toward an applicant's financial Housing Assistance maximum award or the Other Needs Assistance maximum award. To be considered for Section 425 Transportation Assistance, the applicant must:

- Register for FEMA assistance within the registration period;
- Confirm their pre-disaster primary residence is in the declared disaster area;
- Be significantly displaced from their pre-disaster residence due to the disaster, factoring in distance to include travel time and mileage;
- Be able to secure temporary housing (excluding hotels/motels) in the alternative location;
- Pass identity verification;
- Pass citizenship requirements; and
- Not have assistance available for transportation expenses from any other source.

All household members who will travel under Section 425 Transportation Assistance must be listed on the FEMA application.[227] Applicants may call FEMA to add pre-disaster household members to the application if they were not listed at the time of registration.

B. Eligible Expenses

Eligible expenses may include expenses for:

- Domestic airfare, via commercial flight, at the equivalent of economy/coach class; however, FEMA may approve increased airfare costs for seat upgrades, when necessary, to accommodate household member(s) with an access or functional need.
    - Individuals and households are eligible for one round trip for all pre-disaster household members and HPSA.
    - Individuals and households who have already evacuated, whether by assistance from FEMA or of their own accord, may be eligible for a one-way return trip, for all household members and HPSA.
- Passenger baggage fees up to $100 per pre-disaster household member.
- Transporting HPSA that may be transported via a commercial airline.
    - Interstate requirements pertaining to inbound animal clearances and all costs not included in the standard airfare cost of transporting animals will be the responsibility of the passenger.

C. Limitations and Exclusions

- Travel for unaccompanied minors is not an eligible expense. Minors must travel with at least one adult household member.
- The maximum time limit for Section 425 Transportation Assistance is the period of assistance for the IHP. FEMA may further limit the timeframe of availability for Section 425 Transportation Assistance for specific populations of applicants based on the progress of their permanent housing plan.
- Section 425 Transportation Assistance is limited to relocation to U.S. states, territories, and possessions; no international destinations will be included.
- Meals, ground transportation, and additional costs for the applicant, household, or HPSA beyond the cost of the flight are not eligible costs under Transportation Assistance.
- Travelers must have a government-issued photo ID in order to clear Transportation Security Administration airline security. The household is responsible for establishing and maintaining required travel documents.
    - It is the responsibility of travelers to ensure they have all necessary travel documentation and identification required. Travelers may also be required to have an available credit card for baggage fees.
- Section 425 Transportation Assistance is limited to travel arranged under this policy; applicants are not eligible for reimbursement of expenses for travel that has already occurred or is booked outside of this program.
- Costs for reasonable accommodations, baggage, and travel of pets and service animals must be identified when airfare is booked. Additional travel expenses incurred at the time of travel are not eligible for reimbursement.

Appendix B: Individual Assistance Program and Assistance Approvals

Not all Individual Assistance programs and types of assistance are automatically implemented when a disaster is declared for Individual Assistance.

When requested by the STT government, FEMA officials at various levels throughout the Agency may approve the below Individual Assistance programs and types of assistance.

Figure 44 outlines who may approve each program or type of assistance: the Assistant Administrator for Recovery, the Individual Assistance Division Director, the Regional Administrator (RA), or the Federal Coordinating Officer (FCO).

FEMA RAs may further delegate some approval authority to Federal Coordinating Officers, with the exception of Transitional Sheltering Assistance, Crisis Counseling Program, Disaster Case Management, and Disaster Unemployment Assistance.

Figure 44: Delegation of IA Authorities			
Program Area	Recovery AA	IADD	RA
Mass Care and Community Services			
Transitional Sheltering Assistance			
- Initial Approval		X	
- Extensions *FCO may approve individual case exceptions			X
Crisis Counseling Assistance and Training Program—Regular Services Program			
- Initial Approval			X
- Approval Appeals		X	
Crisis Counseling Assistance and Training Program—Immediate Services Program			
- Initial Approval			X (or DRM)
- Approval Appeals			X
- Second Appeals		X	
- Policy Waivers		X	
- Extensions			X (or DRM)
Disaster Case Management			
- Initial Approval *FCO approves Immediate Disaster Case Management			X
- Approval Appeals		X	
- Extensions			X
- Extension Appeals		X	

Figure 44: Delegation of IA Authorities			
Program Area	Recovery AA	IADD	RA
Disaster Unemployment Assistance			
- Initial Approval			X
- Appeals		X	
IAPPG Policy Waivers		X	
Individuals and Households Program			
18-Month Period of Assistance Extension	X		
Registration Period			
- Extensions up to 60 days			X
- Additional extensions past 60 days		X	
Section 425 Transportation Assistance	X		
Geospatial Inspections		X	
FMR Rental Assistance Rate Increase			
- Up to 125%			X
- More than 125%		X	
ONA Administrative Option Selection Form and State Administrative Plan			X
Critical Needs Assistance		X	
Clean and Removal Assistance			X
Direct Temporary Housing Assistance	X		
Forms of Direct Housing (includes TTHU/MLR)			X
Direct Lease	X		

Figure 44: Delegation of IA Authorities			
Program Area	Recovery AA	IADD	RA
Direct Lease FMR Increase			
- Up to 200%			X
- More than 200%		X	
Multi-Family Lease and Repair FMR Increase	FMR will be consistent with Rental Assistance Rate Increase approvals		
MHU/RV Group Sites			X
Permanent Housing Construction	X		
Disposal of MHUs/RVs via Sales/Donations			X

Appendix C: Individual Assistance Policy Supersession

These policies have been superseded by IAPPG 1.1:

Figure 45: Individual Assistance Policy Supersession	
Document Number	Document Name
FP 104-009-03	Individual Assistance Program and Policy Guide – March 2019
	Policy Changes to the Individuals and Households Program resulting from the Disaster Recovery Reform Act of 2018, Section 1212 – March 2019

Appendix D: Federal Civil Rights Authorities Applicable to Recipients of Federal Financial Assistance

All recipients of Federal financial assistance, including but not limited to non-Federal entities such as SLTT government and their subrecipients, and providers of disaster-related assistance must abide by the nondiscrimination provisions in Section 308 of the Stafford Act stating the provision of disaster-related assistance shall be accomplished in an equitable and impartial manner, without discrimination on the grounds of race, color, religion, nationality, sex, age, disability, English proficiency, or economic status.

Recipients of Federal financial assistance must also comply with other Federal civil rights laws and regulations.[228] Specifically, the recipient is required to provide assurances about compliance with Federal civil rights laws and regulations as a condition for receipt of Federal funds.

The FEMA-State/Territory/Tribe Agreement contains a link to the DHS Standard Terms and Conditions.

Among the provisions within the DHS Standard Terms and Conditions for grants, cooperative agreements, fixed amount awards, and other types of Federal financial assistance are the following civil rights-related obligations:

Recipients of Federal financial assistance from DHS must complete the DHS Civil Rights Evaluation Tool within thirty days of receipt of the Notice of Award or, for State Administering Agencies, thirty days from receipt of the DHS Civil Rights Evaluation Tool from DHS or its awarding component agency. Recipients are required to provide this information once every two years, not every time an award is made. After the initial submission, recipients are only required to submit updates. Recipients should submit the completed tool, including supporting materials to CivilRightsEvaluation@hq.dhs.gov. This tool clarifies the civil rights obligations and related reporting requirements contained in the DHS Standard Terms and Conditions. Subrecipients are not required to complete and submit this tool to DHS. The evaluation tool can be found at https://www.dhs.gov/evaluation-tool.

Age Discrimination Act of 1975: Recipients must comply with the requirements of the Age Discrimination Act of 1975 (Title 42 U.S.C. § 6101 et seq.), which prohibits discrimination on the basis of age in any program or activity receiving Federal financial assistance.

Americans with Disabilities Act of 1990: Recipients must comply with the requirements of Titles I, II, and III of the Americans with Disabilities Act, which prohibit recipients from discriminating on the basis of disability in employment, the operation of public entities, public and private transportation systems, places of public accommodation, and certain testing entities. (42 U.S.C. § 12101–12213).

Title VI of the Civil Rights Act of 1964: Recipients must comply with the requirements of Title VI of the Civil Rights Act of 1964 (42 U.S.C. § 2000d et seq.), which provides that no person in the United States will, on the grounds of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving Federal financial assistance. DHS implementing regulations for the Act are found at 6 C.F.R. § 21 and 44 C.F.R. § 7.

Limited English proficiency (LEP) (Title VI of the Civil Rights Act of 1964): Recipients must comply with the Title VI of the Civil Rights Act of 1964 (42 U.S.C. § 2000d et seq.) prohibition against discrimination on the basis of national origin, which requires that recipients of Federal financial assistance take reasonable steps to provide meaningful access to persons with LEP to their programs and services. For additional assistance and information regarding language access obligations, please refer to the DHS Recipient Guidance https://www.dhs.gov/meaningful-access and additional resources on http://www.lep.gov.

Title VIII of the Civil Rights Act of 1968: Recipients must comply with the requirements of Title VIII of the Civil Rights Act of 1968, which prohibits recipients from discriminating in the sale, rental, financing, and advertising of dwellings, or in the provision of services in connection therewith, on the basis of race, color, national origin, religion, disability, familial status, and sex[229], as implemented by the Department of Housing and Urban Development at 24 C.F.R. § 100. The prohibition on disability discrimination includes the requirement that new multifamily housing with four or more dwelling units—i.e., the public and common use areas and individual apartment units (all units in buildings with elevators and ground-floor units in buildings without elevators)—be designed and constructed with certain accessible features.[230]

Title IX of the Education Amendments of 1972 (Equal Opportunity in Education Act): Recipients must comply with the requirements of Title IX of the Education Amendments of 1972 (20 U.S.C. § 1681 et seq.), which provide that no person in the United States will, on the basis of sex, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any educational program or activity receiving Federal financial assistance. DHS implementing regulations are codified at 6 C.F.R. § 17 and 44 C.F.R. § 19.

Section 504 of the Rehabilitation Act of 1973: Recipients must comply with the requirements of Section 504 of the Rehabilitation Act of 1973, (29 U.S.C. § 794), as amended, which provides that no otherwise qualified individual with a disability in the United States will, solely by reason of the disability, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving Federal financial assistance.

In addition, the United States has the right to seek judicial enforcement of these obligations.

Members of the public have the right to file a civil rights complaint if they believe they have been discriminated against by a recipient of Federal financial assistance. Please contact FEMA's Office of Equal Rights for more information: (202) 212-3535 or FEMA-CivilRightsOffice@fema.dhs.gov.

Appendix E: DCM - Allowable and Unallowable Costs

Figure 46: DCM—Allowable and Unallowable Costs		
Budget Category	Allowable Expenses	Unallowable Expenses
Personnel	Salaries and wages for DCM Positions must be justified. Below is a list of some of the positions DCM allows: • Program Director • Finance Director • Finance Manager • Administrative Assistant • Monitoring/Data Management • Data Entry Specialist • Construction Cost Analyst • Case Management Supervisor • Case Manager	Supplanting of existing non-Federal entity or provider positions
Fringe Benefits	Fringe benefit costs at the usual and customary fringe benefit rate for SLTT providers	Fringe benefit costs above the customary fringe benefit rate for temporary SLTT provider staff
Travel	• Mileage reimbursement for Case Managers travel to and from disaster survivor locations or trainings if provided outside of the base office location • All travel costs must be in accordance with non-Federal entity travel policy Guidelines	• Providing transportation for survivors • Out-of-state travel for DCM personnel except in exceptional circumstances with prior FEMA approval • Rental or leasing of vehicles
Equipment	Equipment means an article of nonexpendable, tangible personal property having a useful life of more than one year and an acquisition cost which equals or exceeds the lesser of the capitalization level established by the governmental unit for financial statement purposes, or $5,000. Pre-approval for equipment is required by FEMA	N/A

Appendix E: DCM – Allowable and Unallowable Costs

Budget Category	Allowable Expenses	Unallowable Expenses
Contractual	Contracted consulted maximum FEMA reimbursement rate is $750 per day, which includes equipment, supplies, lodging, and travel costs	Consultant costs exceeding $750 a day
Supplies	• Supplies include materials that are expendable/consumed during the course of the project and less than $5000 • Basic office equipment, such as computers, mobile phones, printers/copiers, etc.	• Food and beverages • Refreshments for meetings/trainings • Video cameras, video recording equipment, televisions, and other types of video production equipment • Supplies or swag given to survivors other than training or disaster case management support documentation
Other	The budget may identify costs that are unique to the declared disaster area, but do not fall into one of the budget sub-categories. Note: Costs must not be identified as miscellaneous (i.e., they must be described in detail). • Advertising costs associated with the recruitment of personnel required for the DCM program • Rental office space • Telephone and utility costs directly billed to the DCM Program • Background checks for case managers • FEMA will pay licensing fee for technology platform • Hotline and/or call center utilized for resource collection and/or referral services may be considered with sufficient justification	• Direct financial assistance for survivors • Telephone and utility charges not directly related to the DCM program • FEMA will not provide funding for a media campaign
Indirect Costs	N/A	Indirect costs, including management costs, are defined as costs not directly chargeable to a specific project. Section 324 of the Stafford Act requires FEMA to establish management cost rates in program regulations. Since DCM does not have regulations under 44 C.F.R., they are unallowable per statute

Appendix F: CCP – Allowable and Unallowable Costs

Figure 47: CCP—Allowable and Unallowable Costs			
Budget Category	Fundable Expenses	Non-Fundable Expenses (items apply to all budget categories)	Typical In-Kind Contributions
Salaries and Wages	• Salaries and wages for typical CCP positions: ○ Crisis Counselor ○ Team Leader (Supervisor) ○ Administrative Assistant ○ Data Evaluation Specialist ○ Consultant/Trainer (listed in personnel only if a direct SLTT or provider employee) ○ Fiscal Specialist ○ SLTT CCP Program Manager/Director ○ Provider Project Manager ○ Community Liaison/Resource Linkage Coordinator ○ Media Liaison ○ Child/Senior Care Specialist ○ Disability Specialist / Communications Access Specialist	• Longer term, more formal mental health services, and mental health professionals providing these services (e.g., diagnosis and therapy) • Longer-term, more formal substance use treatment, and para professionals providing these services • Advocacy • Formal critical incident stress debriefing (CISD) services or critical incident stress management (CISM) training • Reimbursement for uncollected revenue (e.g., if mental health workers respond to the disaster and it results in fewer Medicaid billings, the state will not be reimbursed for these lost Medicaid billings) • Supplanting of existing SLTT or provider positions	Salaries and wages of existing SLTT and local staff, such as the SLTT Disaster Behavioral Health Coordinator and local area provider agency managers who dedicate a percentage of time to the CCP in addition to their existing duties
Fringe Benefits	Fringe benefit costs at the usual and customary fringe benefit rate for SLTT and local providers	Fringe benefit costs above the customary fringe benefit rate for temporary SLTT and local provider staff	N/A

Figure 47: CCP—Allowable and Unallowable Costs			
Travel	• Mileage reimbursement for crisis counselor travel to deliver services in survivor homes, meet with community groups or agency personnel, and conduct/receive training • The standard motor-pool cost if SLTT cars are used	• Out-of-state/area travel for CCP personnel • Transportation for survivors • Rental/leasing of vehicles except in unusual circumstances that indicate personal vehicles are not a reasonable option	The SLTT may offer to use the motor-pool as an in-kind contribution
Equipment	Equipment purchases of more than $5,000 per individual item *Consult with FEMA and CMHS Project Officer prior to developing the budget for this category.*	Consult with FEMA and CMHS Project Officer for specific non- fundable expenses	N/A
Consultants / Trainers	• CMHS-approved, qualified consultants providing technical assistance or consultation to SLTT project staff on program development and project management • CMHS-approved, qualified trainers providing standardized CCP training or training concerning unique disaster-related issues (e.g., cultural competence, working with children, working with special populations) • Travel costs, lodging, and per diem for consultants *For contracted consultants, the maximum FEMA reimbursement rate is $750 per day, which includes preparation, materials, and travel time*	• Consultants or trainers not approved by CMHS • Consultant charges exceeding $750 per day • Conferences or workshops not directly related to the project • Out-of-state/territory training • Disaster preparedness training • CISD or CISM training	Costs and time associated with the use of SLTT in- house consultants

Figure 47: CCP—Allowable and Unallowable Costs			
Supplies	• Basic office equipment, such as computers, mobile phones, printers, pagers, fax machines, or photocopiers • One phone per two crisis counselors when providing door-to-door outreach	• Food and beverages • Refreshments for meetings and trainings • Medications • Toys or playground items for recreational programs • Disaster kits • Video cameras and recording equipment, televisions, and other video production equipment • Supplies or swag given to survivors other than training or crisis counseling support documentation • Using personal phones or providing stipends for personal phones to make anonymous calls • More than one smart technology device per two crisis counselors or one helpline staff unless the need is required in which to provide services • MiFis or additional hotspot devices other than phones	• Use of existing equipment, such as office furniture, computers, fax machines, printers, or photocopiers • Food and beverages • Toys and recreational items

Figure 47: CCP—Allowable and Unallowable Costs			
Media / Public Information	• Advertisements to recruit crisis counselors • Educational materials, pamphlets, and handouts • Flyers or other materials to promote access to CCP services • Staff identification items, such as t-shirts or name badges • Media messaging and public service announcements • Staff identification items, such as t-shirts, with the STT's CCP brand and/or name badges • Duplication of existing materials, such as FEMA and CMHS disaster behavioral health materials *Video and multimedia product development may be funded only if it is carefully justified and the following three criteria are met:* • No comparable resource is available from another CCP, any Federal or SLTT government agency, or any private entity • The SLTT government has provided a comprehensive description of the objectives and format of the product, and has demonstrated the disaster mental health expertise to develop a quality product • The product can be completed to be used as an educational or training tool during the CCP	• Items/activities not included as part of the Federal award application program plan or not approved by the FEMA and CMHS project officers • Disaster preparedness materials • Expensive print, television, or radio advertisements • Video cameras, video recording equipment, televisions, and other types of video production equipment • Shirts, hats, and other clothing intended to be worn by CCP staff and/or any advertisement that does not have the STT's specific CCP project brand will be considered self-promoting for the non-Federal entity and providers and is unallowable as per 2 C.F.R. § 200.421	For print advertisements and broadcast time, FEMA and CMHS advise that programs seek donations as a public service for space and airtime announcements. If this is not possible, list these media costs as a budget item, and provide ample justification in the narrative.

Figure 47: CCP—Allowable and Unallowable Costs			
Provider / Contractual Costs	• Provider costs and any other contractual costs must be itemized. The itemization should include costs associated with salaries, fringe, travel, per diem, and training. These costs must be justified in the budget narrative.	• Items or activities not included as part of the Federal award application program plan or not approved by the FEMA and CMHS Project Officers • Transportation of survivors • Mental health treatment	• Office space • Additional trainings • Equipment (copiers, printers, fax) • Human resources

Other	*The budget may identify costs that are unique to the disaster and area affected but do not fall into one of the prescribed categories.* Costs must not be identified as miscellaneous (i.e., they must be described in detail)
	
	Categories typically listed as Other:
	• Rental Office Space
	  ○ Office space for the management and administration of the program.
	  ○ Appropriate telephone and utility costs for CCP operations when not located within existing SLTT mental health authority or provider space
	  ○ Telephone and Utilities
	  ○ Additional costs to conduct CCP evaluation and data collection in compliance with program guidance
		• Facility renovation, repair, or construction
		• Transportation for survivors
		• Child care
		• Case management
		• Diagnostic testing
		• Toys or recreational equipment or activities
		• Food and beverages
		• Video or multimedia recording equipment
		• Long-term mental health services
		• Financial assistance for survivors
		• Fundraising activities
		• Disaster preparedness
		• Facility renovation, repair, or construction
		• Telephone and utility charges not directly related to CCP operations
		• Evaluation activities outside program guidance
			• Office space within the SLTT government mental health authority and CCP provider facilities
			• Utilities such as heat, water, or electricity

Appendix G: Environmental Planning and Historic Preservation Compliance

Federal Environmental Planning and Historic Preservation (EHP) laws, regulations, and executive orders (EOs) establish requirements to protect the environment and preserve historic and cultural resources. Federally-funded IA grants and programs must comply with EHP requirements, including but not limited to the following:

National Historic Preservation Act

Section 106 of the National Historic Preservation Act requires FEMA to consider the effects a project will have on historic properties and provide the Advisory Council on Historic Preservation the opportunity to comment on the effects of the project.[231] Historic properties include buildings or groups of buildings (districts), structures, objects, landscapes, archaeological sites, and traditional cultural properties included in, or eligible for inclusion in, the National Register of Historic Places.[232]

National Environmental Policy Act

Section 102 of the National Environmental Policy Act (NEPA) requires Federal agencies to integrate environmental values into their decision-making processes by considering the environmental impacts of their proposed actions and reasonable alternatives to those actions. The White House Council on Environmental Quality (CEQ) publishes NEPA regulations at Title 40 of the Code of Federal Regulations Parts 1500–1508. The U.S. Department of Homeland Security (DHS) Directive 023-01 Rev 01 and the Instruction Manual 023-01-001-01 Rev 01 establish DHS policy and procedures for compliance with NEPA and CEQ regulations for implementing the procedural provisions of NEPA. FEMA's Directive 108-1 and Instruction 108-1-1 detail FEMA's roles, responsibilities, authorities, and procedures to ensure all FEMA's actions comply with Federal EHP statutes and Executive orders. These documents tier off of and serve as component supplementary instructions for DHS's Directive and Instruction. The process ensures consideration of environmental consequences of the project and informs the general public.

Endangered Species Act

Section 7 of the Endangered Species Act requires Federal agencies to use their authorities to conserve Federally-listed threatened and endangered species (listed species) and their designated critical habitat. FEMA must consult with the U.S. Fish and Wildlife Service (USFWS) and the National Oceanic and Atmospheric Administration Fisheries, also known as the National Marine Fisheries Service (NMFS) to ensure that proposed projects will not jeopardize the continued existence of any listed species or result in the destruction or adverse modification of designated critical habitat for listed species.[233]

Clean Water Act

The Clean Water Act (CWA) establishes the basic structure for regulating discharges of pollutants in the waters of the United States (e.g., rivers and streams, lakes and ponds, coastlines, wetlands, estuaries). The CWA makes it unlawful to discharge any pollutant from a specific source into navigable waters without the appropriate CWA permits from the U.S. Army Corps of

Engineers (USACE) or state regulatory agency. If a proposed project is located in one of these regulated features, FEMA must review the action for compliance with CWA and may have to consult with the USACE or state regulatory agency.[234]

Clean Air Act

The Clean Air Act protects the Nation's air through the reduction of smog and atmospheric pollution. Except for activities in non-attainment areas (defined as those areas that do not meet national standards for air quality and, therefore, require more rigorous compliance measures), air quality compliance often requires certain measures be implemented, such as dust abatement, vehicle emissions control, fuel storage, and distribution procedures.[235]

Coastal Barrier Resources Act

The Coastal Barrier Resources Act (CBRA)[236] established the John H. Chafee Coastal Barrier Resources System (CBRS), which consists of relatively undeveloped coastal barriers along the Atlantic, Gulf, and Great Lakes coasts. CBRA minimizes adverse impacts to these areas by restricting Federal assistance that encourages development within the CBRS. USFWS publishes maps designating these areas.[237] FEMA must consult with USFWS prior to providing IA funding for work within the CBRS, when applicable.[238]

Migratory Bird Treaty Act

The Migratory Bird Treaty Act makes it unlawful to pursue, hunt, take, capture, kill, or sell migratory birds listed in the statute without a take permit from USFWS.[239] FEMA consults with USFWS regarding projects likely to trigger compliance with this Act.

Resource Conservation and Recovery Act

The Resource Conservation and Recovery Act (RCRA) establishes a framework for Federal, state, territorial, and local cooperation for controlling the management of hazardous and non-hazardous solid waste. The U.S. Environmental Protection Agency's (EPA's) establishes minimum regulatory standards for compliance, usually implemented by the states, and provides technical assistance. RCRA requires the safe disposal of waste materials, promotes the recycling of waste materials, and encourages cooperation with local agencies. Proposed Group Sites may require an Environmental Site Assessment to establish any potential site contamination prior to construction.[240]

Coastal Zone Management Act

The Coastal Zone Management Act (CZMA) provides for the management of the Nation's coastal resources. The CZMA establishes a voluntary partnership between the Federal Government and coastal and great lakes states and territories. It requires participating states to develop State coastal zone management plans. IA projects located in, or near, established coastal zone management areas must be consistent with the enforceable policies of the state's Federally-approved coastal zone management plan.[241] Before approving a project in a coastal zone management area, FEMA consults with the state agency overseeing the implementation of the CZMA plan to ensure the project is consistent with the plan's provisions.

Farmland Protection Policy Act

The Farmland Protection Policy Act minimizes the extent to which Federal programs contribute to the conversion of prime or unique farmland, or land of statewide or local importance, to non-agricultural uses and to ensure that Federal programs are administered in a manner that, to the extent practicable, will be compatible with state, territorial, local, and private programs and policies to protect farmland. The Farmland Protection Policy Act and U.S. Department of Agriculture (USDA) implementing procedures require FEMA to evaluate projects for adverse effects to such farmland and to consider alternative actions that could avoid adverse effects. For projects that have the potential to affect such farmland, FEMA must consult with the USDA Natural Resources Conservation Service to identify potential impacts to that farmland.[242]

Fish and Wildlife Coordination Act

The Fish and Wildlife Coordination Act protects fish and wildlife when Federal actions result in the control or modification of a natural stream or body of water. The Fish and Wildlife Coordination Act requires Federal agencies to determine whether a proposed action will result in the control or modification of a body of water. Projects involving the control or modification of any water body require Federal agencies to consult with USFWS and NMFS (as appropriate) and state wildlife agencies to develop measures to protect, develop, and improve fish and wildlife conditions.[243]

Wild and Scenic Rivers Act

The Wild and Scenic Rivers Act preserves the free-flowing state of rivers that are listed in the National Wild and Scenic Rivers System (System) or are under study for inclusion in the System because of their scenic, recreational, geologic, fish and wildlife, historic, cultural, or other similar values. If a proposed project is located on a river included in the System, FEMA must review it for compliance with the Wild and Scenic Rivers Act and consult with the managing agency for the affected designated river.[244]

Magnuson-Stevens Fishery Conservation and Management Act

The Magnuson-Stevens Fishery Conservation and Management Act is the primary law for managing and maintaining sustainable fisheries in waters of the United States. The Magnuson-Stevens Fishery Conservation and Management Act protects essential fish habitat, which includes the waters and substrate necessary to maintain healthy fisheries. FEMA must consult with NMFS when any proposed IA project could have an adverse effect on essential fish habitat.[245]

Native American Graves Protection and Repatriation Act (NAGPRA)

All Federal authorizations to carry out land use activities on Federal lands or tribal lands, including all leases and permits, must include a requirement for the holder of the authorization to notify the appropriate Federal or tribal official immediately upon the discovery of human remains, funerary objects, sacred objects, or objects of cultural patrimony.[246]

Executive Order 11988, Floodplain Management

EO 11988, Floodplain Management, requires Federal agencies to minimize or avoid activity that adversely affects floodplains. It requires Federal agencies to use a systematic decision-making process to evaluate the potential effects of projects located in, or affecting, floodplains; document each step of the process; and involve the public in the decision-making process. This process is designed to:

- Reduce flood loss risks;
- Minimize the impacts of floods on human safety, health, and welfare; and
- Restore and preserve the natural and beneficial functions of floodplains.

FEMA publishes its implementing regulations for EO 11988 at 44 Code of Federal Regulations Part 9, Floodplain Management and Protection of the Wetlands. These regulations set forth the policy, procedures, and responsibilities to implement and enforce the EO, including the decision-making process, which is referred to as the 8-step process.[247]

Executive Order 11990, Protection of Wetlands

EO 11990, Protection of Wetlands, requires Federal agencies to minimize or avoid activity that adversely affects wetlands and to encourage the preservation and enhancement of the beneficial functions of wetlands. To meet these objectives, EO 11990 requires Federal agencies to use a systematic decision-making process to evaluate the potential effects of projects in, or affecting, wetlands; document each step of the process; and involve the public in the decision-making process.

FEMA publishes its implementing regulations for EO 11990, Protection of Wetlands at 44 C.F.R. Part 9, Floodplain Management and Protection of the Wetlands. These regulations set forth the policy, procedures, and responsibilities to implement and enforce the EO, including the decision-making process, which is referred to as the 8-step process.

Executive Order 12898, Environmental Justice

EO 12898, Environmental Justice, requires Federal agencies to identify and address any disproportionately high and adverse human health or environmental effects on minority and low-income populations as a result of their actions.

Appendix H: Definitions

Terms may have different meanings when applied to other FEMA, non-Federal entity, non-governmental organizations (NGOs), or local programs.

Access and Functional Needs: Circumstances that are met for providing physical, programmatic, and effective communication access to the whole community by accommodating individual requirements through universal accessibility and/or specific actions or modifications which includes assistance, accommodation or modification for mobility, communication, transportation, safety, health maintenance, etc.; need for assistance, accommodation or modification due to any situation (temporary or permanent) that limits an individual's ability to take action in an emergency.

When physical, programmatic, and effective communication access is not universally available, individuals may require additional assistance in order to take protective measures to escape to and/or from, access either refuge and/or safety in an emergency or disaster, and/or may need other assistance, accommodations or modifications in an emergency or disaster. This is accomplished through pre-planning by emergency management, first response agencies and other stakeholders or in sheltering or other situations, from notification and evacuation, to sheltering, to return to pre-disaster level of independence.

Individuals having access and functional needs may include, but are not limited to, individuals with disabilities, older adults, and individuals with limited English proficiency, limited access to transportation, and/or limited access to financial resources to prepare for, respond to, and recover from the emergency.

Federal civil rights law and policy require nondiscrimination, including on the basis of race, color, national origin, religion, sex, age, disability, English proficiency, and economic status. Many individuals with access and functional needs are protected by these provisions.[248]

Allowable Costs: For programs administered via a contract, like DLS, allowable costs are defined in the FAR as costs that are reasonable and chargeable to the contract. (See FAR 31.201-2). Although this is a broad definition, FAR Section 31 specifically addresses many types of costs a recipient/contractor may incur.

Under a grant program, like state-administered ONA, DCM, CCP, and DUA, allowable costs as defined by 2 C.F.R. § 200.403 must be necessary, reasonable, and allocable; conform to any limitations or exclusions set forth by the applicable cost principles or the Federal award; be consistent with policies and procedures that apply uniformly to both Federally-financed and other activities of the non-Federal entity; be treated consistently as either indirect or direct costs; and be adequately documented, among other factors identified by 2 C.F.R. § 200.403.

Appeal: Applicants will have the opportunity to appeal a denial of initial program award or extension requests within 60 days of receipt of the written notice of determination. The appeal will be submitted to the IADD for review and determination.

Appeals (DUA Definition): The state workforce agency will have the opportunity to appeal a denial of initial program award or supplemental funding requests within 60 days of receipt of the written notice of determination. First level appeals will be submitted to the RA for review and determination and second level appeals will be submitted to the IADD for review and determination.

Client: A disaster survivor enrolled in a Disaster Case Management (DCM) program that is receiving case management services.

Closeout: The process by which FEMA or the pass-through entity determines that all applicable administrative actions and all required work of the Federal award have been completed and takes actions as described in 2 C.F.R. § 200.343.

Conditions of Award: The legal requirements imposed on a grant by FEMA, whether based on statute, regulation, policy, or other document referenced in the grant award, or specified by the Notice of Grant Award. It may include standard and/or special conditions, as necessary to attain the grant's objectives.[249]

Contract: A legal instrument by which an STT government purchases property or services needed to carry out the project or program under a Federal award. The term as used in this part does not include a legal instrument, even if the STT government considers it a contract, when the substance of the transaction meets the definition of a Federal award or subaward.

Congressional Notification: In compliance with Section 507 of the Appropriations Act, for all awards greater than or equal to one million dollars, the RA will be required to submit advance Congressional notification and summary of award through the External Coordination Unit, Office of the Chief Financial Officer at FEMA Headquarters.

Disaster Case Management (DCM): A time-limited process involving a partnership between a disaster case manager and a disaster survivor (also known as a "client") to develop and carry out an individualized disaster recovery plan. This partnership provides the client with a single point of contact to facilitate access to a broad range of resources. The process involves an assessment of the client's verified disaster-caused unmet needs; development of a goal-oriented plan that outlines the steps necessary to achieve recovery; organization and coordination of information on available resources that match the disaster-caused unmet needs; the monitoring of progress toward reaching the recovery plan goals; and when necessary, client advocacy.

Disaster-Caused Unmet Needs: Any un-resourced item, support, or assistance that has been assessed and verified as necessary for a survivor to recover from disaster. This may include food, clothing, shelter, first aid, emotional and spiritual care, household items, home repair, or rebuilding.

Disaster Recovery Plan: A formal, written plan developed to accomplish the recovery goals identified by the client, with support from the disaster case manager. The plan is developed following a comprehensive disaster-impact assessment conducted by the disaster case manager, in close collaboration with the client, and should be updated regularly throughout the recovery process. The Disaster Recovery Plan includes specific goals and objectives that link with the client's disaster-caused unmet needs.

Extensions and additional funding: Additional funding and/or requests for extensions to the period of performance, closeout reporting, appeal deadline, or liquidation deadline may be approved by the RA. Requests for additional time may be approved for up to 90 days. Extensions and/or additional funding may be approved if sufficient justification is provided. If sufficient need is not provided, the RA may deny the request for extension and/or additional funding.

Federal award: The Federal financial assistance that an STT government receives directly from a Federal awarding agency or indirectly from a pass-through entity.

Federal awarding agency: The Federal agency that provides a Federal award directly to an STT government.

Household: All persons (adults and children) who lived in the pre-disaster residence, as well as any persons, such as infants, spouses, or part-time residents who were not present at the time of the disaster, but who are expected to return during the assistance period.[250]

Information and Referral (I&R): The provision of disaster-related resource information provided to disaster survivors to meet immediate unmet needs. Referrals may include those for temporary shelter, food, clothing, and medical assistance. I&R also refers to the ongoing process by which case managers facilitate a disaster survivor's access to needed services throughout the DCM life cycle.

Long-Term Recovery Group: A cooperative body that is made up of representatives from faith-based, nonprofit, government, business, and other organizations moving within a community to assist individuals and families as they recover from disaster.[251]

Non-Federal entity: An SLTT government, or a private organization that carries out a Federal award as a recipient or subrecipient, or as referenced in previous guidance, a grantee or sub-grantee.

Nonprofit organization: Nonprofit organization means any corporation, trust, association, cooperative, or other organization, not including Institutes of Higher Education, that is operated primarily for scientific, educational, service, charitable, or similar purposes in the public interest, is not organized primarily for profit and uses net proceeds to maintain, improve, or expand the operations of the organization.

Pass-through entity: An STT government that provides a subaward to a subrecipient to carry out part of a Federal program.

Performance goal: A target level of performance expressed as a tangible, measurable objective, against which actual achievement can be compared, including a goal expressed as a quantitative standard, value, or rate. In some instances (e.g., discretionary research awards), this may be limited to the requirement to submit technical performance reports (to be evaluated in accordance with agency policy).

Period of Performance: Per 2 C.F.R. § 200.77, period of performance for a Federal award means the time during which the non-Federal entity may incur new obligations to carry-out the work authorized under the Federal Award.

Pre-Award Costs: Per 2 C.F.R. § 200.209, pre-award costs for a Federal award are costs incurred by the applicant prior to the start date of the period of performance.

Reasonable Accommodations or Modifications: Under Section 504 of the Rehabilitation Act of 1973 and Section 308 of the Stafford Act, FEMA is required to provide reasonable accommodations or modifications to policies, practices, and procedures to ensure equal access to qualified applicants with disabilities. Reasonable accommodations may include the provision of technologies and services to ensure equal access to disaster assistance. Disaster survivors with disabilities have a right to equal access to FEMA programs and activities, including FEMA's registration process and housing inspections, physical access to facilities, and effective communication.

Recipient: An STT government mental health agency, or other local or private mental health organization which is designated by the Governor, Governor's Authorized Representative (GAR), or Tribal Chief Executive, or Tribal Chief Executive's Authorized Representative (TAR) to receive funds under Section 416 of the Stafford Act. This definition aligns with the program specific definition of a grantee, as per 44 C.F.R. § 200.171 (b)(5), and the Federal award definition of a recipient, as per 2 C.F.R. § 200.86.

Service Providers: Any entity providing DCM services at the local level. These agencies include, but are not limited to, nonprofit, voluntary, faith-based, and/or private not-for-profit organizations that provide DCM services to disaster survivors.

Subaward: An award provided by a pass-through entity to a subrecipient for the subrecipient to carry out part of a Federal award received by the pass-through entity. It does not include payments to a contractor or payments to an individual that is a beneficiary of a Federal program.
A subaward may be provided through any form of legal agreement, including an agreement that the pass-through entity considers a contract.

Subrecipient: An STT government that receives a subaward from a pass-through entity to carry out part of a Federal program; does not include an individual that is a beneficiary of such program.

Supplant: STT governments may not use Crisis Counseling Assistance and Training Program (CCP) funds to take the place of or serve as a substitute for previously existing state or local activities.

Supplemental: If sufficient justification is provided by the state workforce agency, requests for supplemental funding may be approved by the RA.

Appendix I: Abbreviations and Acronyms

Abbreviation/Acronyms	Definition
AA	Assistant Administrator
ABFE	Advisory Base Flood Elevation
ACS	Adventist Community Services
ADA	Americans with Disabilities Act
ADAAG	Americans with Disabilities Act Accessibility Guidelines
ADL	Activities of Daily Living
AFHI	Advisory Flood Hazard Information
AFMV	Adjusted Fair Market Value
ALAN	American Logistics Aid Network
ALE	Additional Living Expense
ASL	American Sign Language
ASPR	Assistant Secretary for Preparedness and Response
AT	Advanced Technology
AWG	Administrative Wage Garnishment
BFE	Base Flood Elevation
BPA	Blanket Purchase Agreements
CARB	California Air Resources Board
CART	Communication Access Real-time Translation
CBRA	Coastal Barrier Resources Act
CBRS	Coastal Barrier Resources System
CCP	Crisis Counseling Assistance and Training Program
CDC	Centers for Disease Control and Prevention
C.F.R.	Code of Federal Regulations
CMHS	Center for Mental Health Services

Abbreviation/Acronyms	Definition
CMS	Consumable Medical Supplies
CNA	Critical Needs Assistance
CNCS	Corporation for National and Community Service
CRA	Clean and Removal Assistance
CTN	Critical Transportation Needs
CUSI	Commonly Used Shelter Items
DCIA	Debt Collection Improvement Act
DCM	Disaster Case Management
DFA	Direct Federal Assistance
DHAP	Disaster Housing Assistance Program
DHAT	Direct Housing Assessment Team
DHS	Department of Homeland Security
DHTF	Disaster Housing Task Force
DLS	Disaster Legal Services
DME	Durable Medical Equipment
DOB	Duplication of Benefits
DOD	Department of Defense
DOJ	Department of Justice
DOL	Department of Labor
DRC	Disaster Recovery Center
DSA	Disaster Survivor Assistance
D-SNAP	Disaster Supplemental Nutrition Assistance Program
DTAC	Disaster Technical Assistance Center
DUA	Disaster Unemployment Assistance
EHP	Environmental Planning and Historic Preservation
EMI	Emergency Management Institute
EOC	Emergency Operations Center

Abbreviation/Acronyms	Definition
EOP	Emergency Operations Plan
EOs	Executive Orders
ESF	Emergency Support Function
ESF6-SS	Emergency Support Function #6-Support Systems
FAQ	Frequently Asked Questions
FAR	Federal Acquisition Regulation
FCO	Federal Coordinating Officer
FEMA	Federal Emergency Management Agency
FFC	FEMA Finance Center
FIID	Fraud and Internal Investigations Division
FIOP	Federal Interagency Operational Plan
FIRM	Flood Insurance Rate Map
FMR	Fair Market Rent
FODAC	Friends of Disabled Adults and Children
FOIA	Freedom of Information Act
FVL	FEMA-Verified Loss
GAO	Government Accountability Office
GAR	Governor's Authorized Representative
GFIP	Group Flood Insurance Policy
GIS	Geographic Information System
GMO	Grants Management Officer
GSA	General Services Administration
HA	Housing Assistance
HHS	Health and Human Services
HPSA	Household Pets and Service and Assistance Animals
HQ	Headquarters
HQS	Housing Quality Standards

Abbreviation/Acronyms	Definition
HUD	Department of Housing and Urban Development
HVAC	Heating, Ventilation, and Air Conditioning
IA	Individual Assistance
IAA	Interagency Agreement
IADD	IA Division Director
IAPPG	Individual Assistance Program and Policy Guide
IAS	International Assistance System
IASC	Individual Assistance Support Contracts
IDCM	Immediate Disaster Case Management
IDEA	Individuals with Disabilities Education Act
IDIQ	Indefinite Delivery Indefinite Quantity
IEP	Individualized Educational Plan
IHP	Individuals and Households Program
IOF	Initial Operating Facility
IPERA	Improper Payments Elimination and Recovery Act
IPERIA	Improper Payments Elimination and Recovery Improvement Act
IPIA	Improper Payments and Information Act
I&R	Information and Referral
ISP	Immediate Services Program
JFO	Joint Field Office
LEP	Limited English Proficiency
LER	Lodging Expense Reimbursement
LOU	Loss of Use
MASTF	Multi-agency Sheltering Task Force
MC/EA	Mass Care/Emergency Assistance
MHU	Manufactured Housing Unit
MLR	Multi-Family Lease and Repair

Abbreviation/Acronyms	Definition
MOA	Memorandum of Agreement
MOU	Memorandum of Understanding
N/A	Not Applicable
NAMB	North American Mission Board
NARSC	National Animal Rescue and Sheltering Coalition
NASAAEP	National Alliance of State Animal and Agriculture Emergency Programs
NASEDoVoC	National Association of State Emergency Donations and Volunteer Coordinators
NCIL	National Council on Independent Living
NDL	Notice and Demand Letter
NDMS	National Disaster Medical System
NDRF	National Disaster Recovery Framework
NDRN	National Disability Rights Network
NECLC	National Emergency Child Locator Center
NEMIS	National Emergency Management Information System
NETC	National Emergency Training Center
NFIP	National Flood Insurance Program
NFIRA	National Flood Insurance Reform Act
NGO	Non-Governmental Organization
NMCE	National Mass Care Exercise
NOA	Notice of Award
NOR	Notice of Revocation
NPG	National Preparedness Goal
NRCC	National Response Coordination Center
OIG	Office of Inspector General
OMB	Office of Management and Budget

Abbreviation/Acronyms	Definition
ONA	Other Needs Assistance
OPA	Otherwise Protected Area
PA	Public Assistance
PAPPG	Public Assistance Policy and Program Guide
PAS	Personal Assistance Services
PHC	Permanent Housing Construction
PHP	Permanent Housing Plan
PII	Personally Identifiable Information
PKEMRA	Post-Katrina Emergency Management Reform Act
PMS	Payment Management System
PNP	Private Non-Profit
PO	Program Officer
POD	Proof of Debt
POP	Period of Performance
PPD	Presidential Policy Directive
PSMA	Pre-Scripted Mission Assignments
RA	Regional Administrator
ROE	Right of Entry
RRCC	Regional Response Coordination Center
RSF	Recovery Support Function
RSP	Regular Services Program
RSVP	Retired and Senior Volunteer Program
RV	Recreational Vehicle
SAMHSA	Substance Abuse and Mental Health Services Administration
SAP	State Administrative Plan
SBA	U.S. Small Business Administration
SBDR	Southern Baptist Disaster Relief

Abbreviation/Acronyms	Definition
SCO/TCO	State or Territorial Coordinating Officer
SFHA	Special Flood Hazard Area
SLTT	State, local, territorial, or tribal
SME	Subject Matter Expert
SPOC	Single Point of Contact
SSA	Social Security Administration
SSN	Social Security Number
STT	State, territorial, or tribal
THU	Temporary Housing Unit
TPS	Tank and Pump System
TSA	Transitional Sheltering Assistance
TTHU	Transportable Temporary Housing Unit
TTY	Text Telephone
UFAS	Uniform Federal Accessibility Standards
UI	Unemployment Insurance
UMR	Unaccompanied Minor Registry
USACE	United States Army Corps of Engineers
USDA	United States Department of Agriculture
VA	Department of Veterans Affairs
VAL	Voluntary Agency Liaison
VISTA	Volunteers in Service to America
VOAD	Voluntary Organizations Active in Disasters
VRI	Video Remote Interpreting
VRS	Video Relay Service
YLD	Young Lawyers Division

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Endnotes

[1] Stafford Act § 102(4), 42 U.S.C. § 5122 and Title 44 of the Code of Federal Regulations (C.F.R.) § 206.2(a)(22), state governments include the District of Columbia, American Samoa, the Commonwealth of the Northern Mariana Islands, Guam, Puerto Rico, and the U.S. Virgin Islands.
[2] Stafford Act § 102(8), 42 U.S.C. § 5122, and 44 C.F.R. § 206.2.(a)(16), local governments include counties or parishes, municipalities, cities, towns, townships, local public authorities, school districts, special districts established under state law, intrastate districts, councils of governments (regardless of whether the council of governments is included as a nonprofit corporation under State law), regional or interstate government entities, agencies or instrumentalities of a local government; state- recognized tribes; and rural communities, unincorporated towns or villages, or other public entities, for which an application for assistance is made by a state or political subdivision of a state.
[3] Stafford Act § 102(6), 42 U.S.C. § 5112, and 44 C.F.R. § 206.201(i), a Tribal Government refers to any Native American tribe, band, nation, pueblo, village, or community in the continental U.S. and Alaska that is listed as a tribe under the Federal Recognized Native American Tribe List Act of 1994.
[4] See Robert T. Stafford Disaster Relief and Emergency Assistance Act.
[5] Stafford Act § 102(12), 42 U.S.C. § 5122
[6] 44 C.F.R. § 206.35(a) and 206.36(a)
[7] 44 C.F.R. § 206.110(c)
[8] Voluntary Agency Coordination may take place prior to and during Emergency Declarations and Major Disaster Declarations.
[9] Stafford Act § 408(g), 42 U.S.C. § 5174(g) and 44 C.F.R. § 206.110(i).
[10] TSA is authorized under Sections 403 or 502 of the Stafford Act and implemented under Section 408.
[11] Id.
[12] Stafford Act Section 102(1), 42 U.S.C. § 5122
[13] Stafford Act § 102(1), 42 U.S.C. § 5122, and 44 C.F.R. § 206.2(a)(17)
[14] 44 C.F.R. § 206.32(d)
[15] 44 C.F.R. § 206.44
[16] 44 C.F.R. § 206.32(f)
[17] 44 C.F.R. § 206.2(a)(6)
[18] 44 C.F.R. § 206.32(d)
[19] See also 44 C.F.R. § 206.11(c) (requiring organizations or governments receiving assistance under the Stafford Act to provide a written assurance of their intent to comply with regulations relating to nondiscrimination).
[20] 44 C.F.R. § 206.110(f)
[21] 44 C.F.R. § 206.110(g)
[22] 44 C.F.R. § 206.119(b)
[23] 44 C.F.R. § 206.117(b)(ii)
[24] 44 C.F.R. § 206.119(a)
[25] 44 C.F.R. § 206.110(e)
[26] Stafford Act Section 426, 42 U.S.C. § 5189d
[27] Stafford Act Section 416, 42 U.S.C. § 5183; 44 C.F.R. § 206.171
[28] Stafford Act Section 415, 42 U.S.C. § 5182, 44 C.F.R. § 206.164
[29] Stafford Act Section 410, U.S.C. § 5177, 44 C.F.R. § 206.141
[30] 44 C.F.R. § 206.191(d)(2)
[31] 29 U.S.C § 701 et seq.
[32] 29 U.S.C. § 794 and 42 U.S.C. § 5151, respectively
[33] Stafford Act, codified at 42 U.S.C. § 5151; Executive Order 13166, Improving Access to Services for Persons with Limited English Proficiency, August 11, 2000
[34] Mass Care/Emergency Assistance is authorized under Sections 402, 403, and 502 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, Public Law 93-288, as amended; 42 U.S.C. § 5121 (Stafford Act); and Title 6 U.S.C. § 774 and 775
[35] Section 402 (a)(3)(j) authorizes the provision of rescue, care, shelter and essential needs…for individuals and their household pets, service animals, and assistance animals.
[36] The authorities governing the declaration of a disaster and the ensuing sheltering support by FEMA MC/EA are the Stafford Act (Sections 309, 402, 403, 502, 775).
[37] The authorities governing the declaration of a disaster and the emergency feeding support by FEMA MC/EA are the Stafford Act (Sections 309, 402, 403, 412, 413, and 502).
[38] The authority governing the declaration of a disaster and the ensuing distribution of emergency supplies by FEMA MC/EA is the Stafford Act (Sections 309, 402, 403, 502).
[39] Section 308 of the Stafford Act, 42 U.S.C. § 5151
[40] The authorities governing the declaration of a disaster and the Personal Assistance Services support by FEMA MC/EA are the Robert T. Stafford Disaster Relief and Emergency Assistance Act (Sections 402, 403, 502), The Rehabilitation Act of 1973 (Provisions of 504 and 508), ADA of 1990 (and as amended in 2008), Architectural Barriers Act of 1968, Fair Housing Act of 1968 (and as amended in 1988), Air Carrier

Access Act of 1986, and the UFAS of 1984. FEMA personal assistance contracts are governed by Section 3(2) of the ADA of 1990 (42 U.S.C. 12102(2) and the Stafford Act (Public Law 93-288).

[41] Support to children in disasters was added in the June 2016 (version 3) of the ESF6 Annex.

[42] The authorities governing the declaration of a disaster and the ensuing deployment of reunification services by FEMA MC/EA are the Stafford Act (Section 403), and Title 6 U.S.C. § 774. NECLC is authorized under the Stafford Act (Sections 403 and 774).

[43] The authorities governing the declaration of a disaster and the ensuing household pets, service animals, and assistance animals support by FEMA MC/EA are the Stafford Act (Sections 309, 402, 403, 502, 611, and 613).

[44] The authorities governing the declaration of a disaster and the ensuing deployment of mass evacuee assistance by FEMA MC/EA are the Stafford Act (Sections 309, 402, 403, 502), and Title 6 U.S.C. § 774 and 775.

[45] Section 403, 42 U.S.C. § 5170b, Essential Assistance; Section 408, 42 U.S.C. § 5174, Federal Assistance to Individuals and Households; and Section 502, 42 U.S.C. § 5192, Federal Emergency Assistance, of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, as amended.

[46] IRC Section 61(a), and Sections 139(a),(b)(1), (b)(4), (c)(2), (c)(4), and (h)

[47] 44 C.F.R. § 206.110(f)

[48] 44 C.F.R. § 206.110(g)

[49] Stafford Act § 408(c)(1)(B)(iii), 42 U.S.C. § 5174(c)(1)(B)(iii) and 44 C.F.R. § 206.110(e).

[50] 44 C.F.R. § 206.110(e).

[51] 44 C.F.R. § 206.110(e)

[52] Stafford Act § 408(h), 42 U.S.C. § 5174(h) and 44 C.F.R. § 206.110(b)

[53] The Disaster Recovery Reform Act (DRRA) of 2018 amends the Stafford Act 408(h) to remove Temporary Housing Assistance from any financial maximum and separates Other Needs Assistance (ONA) so ONA and Home Repair Assistance/Home Replacement Assistance have equal, independent financial maximums.

[54] This minimum is only limited to initial IHP awards. There is no minimum award amount for secondary awards.

[55] Stafford Act § 408(b)(2)(B), 42 U.S.C. § 5174(b)(2)(B) and § 206.110(c)

[56] Stafford Act § 408(h), 42 U.S.C. § 5174(h) and 44 C.F.R. § 206.110(b)

[57] Stafford Act § 408(c)(1)(A)(i), 42 U.S.C. 5174 (c)(1)(A)(i) and 44 C.F.R. 206.117(b)(1)(i)

[58] Id.; 44 § C.F.R. 206.111

[59] Stafford Act § 408(c)(2), 42 U.S.C. § 5174 (c)(2) and 44 C.F.R. § 206.117(b)(2)

[60] Stafford Act § 408(c)(3), 42 U.S.C. § 5174(c)(2) and 44 C.F.R. § 206.117(b)(3)

[61] Stafford Act § 408(c)(1)(B)(i), 42 U.S.C. § 5174(c)(1)(B)(i) and 44 C.F.R. § 206.117(b)(1)(ii)

[62] Stafford Act § 408(c)(1)(B)(ii), 42 U.S.C. § 5174(c)(1)(B)(ii)

[63] Stafford Act § 408(c)(4), 42 U.S.C. § 5174(c)(4) and 44 C.F.R. § 206(b)(4)

[64] Stafford Act § 408(e), 42 U.S.C. § 5174(e) and 44 C.F.R. § 206.119

[65] 42 U.S.C. § 5174(i)

[66] Stafford Act § 408(a)(1), 42 U.S.C. § 5174(a)(1). Disaster Operations Legal Reference, Version 2.0, Department of Homeland Security, June 1, 2013

[67] Id.

[68] Stafford Act § 408(i), 42 U.S.C. § 5174(i)

[69] 44 C.F.R. § 206.113(a)(3)

[70] 44 C.F.R. § 206.113(a)(3)

[71] 44 C.F.R. § 206.111

[72] 44 C.F.R. § 206.111

[73] National Flood Insurance Program, Dwelling Form: Standard Flood Insurance Policy. F-122. October 2015.

[74] 16 U.S.C. § 3504

[75] 44 C.F.R. § 206.113(b)(7)

[76] 44 C.F.R. § 206.113(b)(7)

[77] 44 C.F.R. § 61, Appendix A(1) and A(3)

[78] 44 C.F.R. § 61

[79] 44 C.F.R. § 206.110(k)(3)

[80] 44 C.F.R. § 61.17 Appendix A.III.6

[81] 44 C.F.R. § 206.115

[82] 44 C.F.R. § 206.115(a)

[83] 44 C.F.R. § 206.115(b)

[84] 44 C.F.R. § 206.115(f)

[85] Id

[86] 42 U.S.C. § 5151(a); 44 C.F.R. § 7

[87] 44 C.F.R. § 206.112(a)

[88] 44 C.F.R. § 206.112(c)

[89] 44 C.F.R. § 206.110(i)

[90] 44 C.F.R. § 206.111

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[91] 44 C.F.R. § 206.110
[92] 44 C.F.R. § 206.117(b)(1)(i)
[93] 44 C.F.R. § 206.110
[94] 42 U.S.C. § 5174(c)(1)(A)(i) and 44 C.F.R. § 206.117(b)(1)(i)
[95] 44 C.F.R. § 206.111
[96] 44 C.F.R. § 206.117(b)(1)(i)(B)
[97] 44 C.F.R. § 206.117(b)(1)(i)(C)
[98] 44 C.F.R. § 206.113(b)(2)
[99] 44 C.F.R. § 206.111
[100] 44 C.F.R. § 206.113(b)(3)
[101] 44 C.F.R. § 206.117(b)(1)(i)(A)
[102] 44 C.F.R. § 206.114(a)
[103] 44 C.F.R. § 206.114(b)(3)
[104] 44 C.F.R. § 206.111
[105] 44 C.F.R. § 206.111
[106] 44 C.F.R. § 206.114(b)(2)
[107] 44 C.F.R. § 206.114(b)(2)
[108] 42 U.S.C. § 5174(c)(2) and 44 C.F.R. § 206.114(b)(2)
[109] The definition of the term 'basement' comes from 44 C.F.R. § 59.1
[110] 44 C.F.R. § 206.117(b)(2)(ii)(F)
[111] PHC does not count towards the financial Housing Assistance maximum award, but an applicant is not eligible for financial Home Repair or Home Replacement Assistance if they choose PHC. If accepting PHC, the applicant must disclose and return any funds determined to be a duplication of benefits to FEMA prior to construction, if already awarded Home Repair or Home Replacement Assistance
[112] 42 U.S.C. § 5174(c)(1)(B) and 44 C.F.R. § 206.117(b)(1)(ii)
[113] 42 U.S.C. § 5174(c)(4) and 44 C.F.R. § 206.117(b)(4)
[114] Stafford Act, codified at 42 U.S.C. § 5174, 408(c)(B)(1)
[115] This threshold is based on a 2019 statistical analysis of FEMA direct housing operations from 2011 to 2018.
[116] Resource: Department of Housing and Urban Development (HUD) – Housing Quality Standards.
[117] 44 C.F.R. § 206.117(b)(1)(ii)(G)
[118] 44 C.F.R. § 206.117(b)(1)(ii)(H)
[119] 42 U.S.C. § 5174(c)(1)(B)(iii)
[120] 44 C.F.R. § 206.117(b)(1)(ii)(F)
[121] 44 C.F.R. § 206.117(b)(1)(ii)(F)
[122] For example, if the original period of assistance ends on March 24, 2016, and the extension is granted, rent will begin to accrue on April 1, 2016
[123] For example, if rent begins to accrue on April 1, 2016, the primary occupant's first monthly rent payment will be due on May 1, 2016
[124] 44 C.F.R. § 206.117(b)(1)(ii)(G)
[125] 42 U.S.C. § 5174(c) (1)(B)(ii)
[126] https://www.access-board.gov/guidelines-and-standards/buildings-and-sites/about-the-ada-standards/background/adaag
[127] https://www.huduser.gov/portal/publications/destech/design_details.html
[128] Landlords may not prohibit service animals or charge "pet rent" or a pet deposit for service animals (Fair Housing Act, 42 U.S.C. § 3601 – 3619)
[129] 24 C.F.R. § 3280, Manufactured Home Construction and Safety Standards.
[130] In accordance with 44 C.F.R. § 9.13
[131] 44 C.F.R. § 9.13, Executive Order 11988 - Floodplain Management, Executive Order 11990 - Protection of Wetlands, and FEMA Instruction 108-1-1.
[132] Dispose means to release a unit from FEMA's inventory
[133] 42 U.S.C. § 5174(d)(2)(B); 44 C.F.R. § 206.118
[134] 42 U.S.C. § 5174(d)(2)(A)(i); 44 C.F.R. § 206.118(a)(1)(i)
[135] FEMA will use the MHU Depreciation Calculator to identify the AFMV of a unit.
[136] 42 U.S.C. § 4012(a)
[137] 44 C.F.R. § 9.6
[138] 42 U.S.C. § 5174(d)(2)(B)(ii); 44 C.F.R. § 206.118(a)(2)(i)
[139] 42 U.S.C. § 5174(d)(2)(B)(ii); 44 C.F.R. § 206.118(a)(2)(i)
[140] 42 U.S.C. § 5174(d)(2)(B)(ii)(b); 44 C.F.R. § 206.118(a)(2)(i)(B)
[141] 42 U.S.C. § 5151; 44 C.F.R. § 206.118(a)(2)(i)(A)
[142] 42 U.S.C. § 5174(c)(1)(B)(i)
[143] Landlords may not prohibit service animals or charge "pet rent" or a pet deposit for service animals. (Fair Housing Act, 42 U.S.C. § 3601 – 3619)
[144] 42 U.S.C. § 5174(c)(4) and 44 C.F.R. § 206.117(b)(4)

[145] 44 C.F.R. § 206.117(2)(ii)
[146] 44 C.F.R § 206.110(k)(3)
[147] 42 U.S.C. § 5174(e)
[148] 42 U.S.C. § 5174(g)
[149] Refer to *Chapter 1: Introduction*, for further information on the relationship between IHP and other forms of assistance, including SBA.
[150] 42 U.S.C. § 5174(h)
[151] 44 C.F.R. § 206.120(b)
[152] 44 C.F.R. § 206.120(a) and (b)
[153] 44 C.F.R. § 206.120(d)
[154] 44 C.F.R. § 206.120(a)
[155] 44 C.F.R. § 206.120(c)(1)
[156] 44 C.F.R. § 206.120(b)
[157] 44 C.F.R. § 206.120(c)(1).
[158] 44 C.F.R. § 206.120(c) and (d)
[159] Id
[160] 44 C.F.R. § 206.120(c)(3)(i) and 44 C.F.R. § 206.120(c)(3)(ii)
[161] 44 C.F.R. § 206.119(c)(4)
[162] https://www.cdc.gov/nchs/data/nvss/vsrg/vsrg01.pdf.
[163] 44 C.F.R. § 206.119(c)(3)(i) and (ii)
[164] 42 U.S.C. § 5174 (e)(1)
[165] 42 U.S.C. § 12102(1) defines disability to mean, "(A) a physical or mental impairment that substantially limits one or more major life activities of such individual; (B) a record of such an impairment; and (C) being regarded as having such an impairment…"
[166] 34 C.F.R. § 104.
[167] 44 C.F.R. § 206.119(c)(6)(ii)
[168] 44 C.F.R. § 206.120(c)(3)(i) and (ii)
[169] 44 C.F.R. § 206.119(c)(5)
[170] Id
[171] 44 C.F.R. § 206.119(c)(1)
[172] 44 C.F.R. § 206.119(c)(2)
[173] 44 C.F.R. § 206.119(c)(6)(ii)
[174] 44 C.F.R. § 206.119(d) and 44 C.F.R. § 61.17
[175] 44 C.F.R. 61.17(b)
[176] 44 C.F.R. § 206.119(c)(6)(i) and (d)(2)
[177] 44 C.F.R. § 61, Appendix A (1)
[178] Section 312(c) of the Stafford Act, 42 U.S.C. § 5155(c) and 44 C.F.R. § 206.191
[179] Stafford Act § 312, 42 U.S.C. § 5155
[180] 44 C.F.R. § 206.116
[181] Examples of "Certain Federal benefit payments" include Social Security (other than Supplemental Security Income), Railroad Retirement (other than tier 2), and Black Lung (part B) benefits, and other Federal payments including certain loans that are not exempt from offset.
[182] Section 426 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, 42 U.S.C. § 5189d.
[183] 2 C.F.R. § 200.458
[184] 2 C.F.R. § 200.303
[185] Section 423 of the Stafford Act (42 U.S.C. § 5189(a))
[186] 42 U.S.C. § 5189(a)
[187] 2 C.F.R. § 200.339
[188] 2 C.F.R. § 200.327-328
[189] 2 C.F.R. § 200.327
[190] 2 C.F.R. § 200.343 and 200.309
[191] 2 C.F.R. § 200.333
[192] 2 C.F.R. § 200.333(c)
[193] 2 C.F.R. § 200.333(a)
[194] 2 C.F.R. § 200.333(b)
[195] 2 C.F.R. § 200.333(e)
[196] 2 C.F.R. § 200.333(f)
[197] 44 C.F.R. § 206.171
[198] Section 416 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act, 42 U.S.C. § 5183.
[199] 44 C.F.R. § 206.171
[200] 44 C.F.R. § 206.171 (f)(1)(i)(ii)

Endnotes

[201] 2 C.F.R. § 200.458
[202] 44 C.F.R. § 206.171(f)(3)
[203] 44 C.F.R. § 206.171(f)(3)
[204] 2 C.F.R. § 200.333
[205] 2 C.F.R. § 200.333(c)
[206] 2 C.F.R. § 200.333(a)
[207] 2 C.F.R. § 200.333(b)
[208] 2 C.F.R. § 200.333(e)
[209] 2 C.F.R. § 200.333(f)
[210] 44 C.F.R. § 206.171 (g)(4)(i)
[211] 44 C.F.R. § 206.171 (g)(4)(i)
[212] 2 C.F.R. § 200.333
[213] 2 C.F.R. § 200.333(c)
[214] 2 C.F.R. § 200.333(a)
[215] 2 C.F.R. § 200.333(b)
[216] 2 C.F.R. § 200.333(e)
[217] 2 C.F.R. § 200.333(f)
[218] 2 C.F.R. § 200.113; see also 2 C.F.R. § 180.335, 180.350
[219] Section 423, Stafford Act (42 U.S.C. § 5189a)
[220] 42 U.S.C. § 5182
[221] 42 U.S.C. § 5177
[222] Published weekly by DOL
[223] 20 C.F.R. § 625.6
[224] 20 C.F.R. § 625.4 Eligibility requirements for Disaster Unemployment Assistance.
[225] The Americans with Disabilities Act of 1990 prohibits applicable recipients from discriminating on the basis of disability in employment, the operation of public entities, public and private transportation systems, places of public accommodation, and certain testing entities. In order to ensure compliance, recipients must provide program access, ensure effective communication, and provide physical access for persons with disabilities in developing budgets and in conducting programs and activities.
[226] https://www.fema.gov/media-library/assets/documents/32282
[227] 44 C.F.R. § 206.111
[228] FEMA itself has similar civil rights responsibilities for the public-facing programs and activities it conducts, such as providing disaster assistance, temporary housing, home inspections, National Flood Insurance Program and Flood Insurance Advocate, public messaging and information, training to state, territorial, and tribal officials, among others. See, e.g., 44 C.F.R. § 16.
[229] 42 U.S.C. § 3601 et seq.
[230] 24 C.F.R. § 100.201
[231] 16 U.S.C. § 470f
[232] http://nps.gov/nr/
[233] 16 U.S.C. § 1531, Section 7
[234] 33 U.S.C. § 1251 et seq.
[235] 42 U.S.C. § 7401 et seq.
[236] 16 U.S.C. § 3501 et seq.
[237] 16 U.S.C. § 3501 and 3503. CBRS maps: www.fws.gov/ecological-services/habitatconservation/cbra/Maps/index.html.
[238] 16 U.S.C. § 3505
[239] 16 U.S.C. § 703–712
[240] 42 U.S.C. § 6901 et seq.
[241] 16 U.S.C. § 1451 et seq.
[242] 7 U.S.C. § 4201 et seq.
[243] 16 U.S.C. § 661–667e
[244] 16 U.S.C. § 1271 et seq.
[245] 16 U.S.C. § 1801–1884
[246] 43 C.F.R. § 10.4(g)
[247] 44 C.F.R. § 9.6, Decision-making process.
[248] PPD-8 Access and Functional Needs Working Group 2014
[249] 2 C.F.R. § 200.300
[250] 44 C.F.R. § 206.111
[251] http://www.nvoad.org/wp-content/uploads/2014/05/long_term_recovery_guide_-_final_2012.pdf

FEMA POLICY: COVID-19 Funeral Assistance Individuals and Households Program Policy (Interim)

FEMA Policy FD 104-21-0001

POLICY STATEMENT

FEMA provides financial assistance under the Other Needs Assistance (ONA) provision of the Individuals and Households Program (IHP) to individuals and households with disaster-related funeral expenses. Under the Coronavirus Response and Relief Supplemental Appropriations Act, 2021, and the American Rescue Plan Act of 2021, FEMA will provide financial assistance for funeral costs specifically related to Coronavirus Disease (COVID-19) for funeral expenses at 100 percent federal cost share.

Due to the unprecedented number of deaths caused by COVID-19, FEMA will implement this Interim Policy to streamline the delivery of Funeral Assistance. When implemented, this Interim Policy:

- Establishes eligibility criteria for funeral assistance specific to COVID-19 related deaths;
- Minimizes the documentation review typically required to award Funeral Assistance; and
- Establishes a standard Funeral Assistance maximum award amount for COVID-19 related deaths.

PRINCIPLES

A. This Interim Policy may only be implemented in conjunction with major disasters declared due to COVID-19.

B. Due to the magnitude of loss and forecasted number of individuals who may apply for Funeral Assistance, this Interim Policy is intended to reduce processing time required to award Funeral Assistance.

C. All ONA Funeral Assistance provisions established in FEMA Policy 104-009-03 *Individual Assistance Program and Policy Guide* and not specifically addressed by this Interim Policy remain unchanged.

REQUIREMENTS

A. FUNERAL ASSISTANCE ADMINISTRATION

Outcome: All Funeral Assistance for COVID-19 related fatalities will be administered under the ONA FEMA Option.

1. To ensure consistent and timely implementation, all Funeral Assistance for COVID-19 related fatalities will be administered under the ONA FEMA Option where FEMA administers the program.
2. Eligible funeral expenses incurred by the applicant will be provided at 100 percent federal funding of costs.
3. Funeral Assistance may be provided when the death is attributed to COVID-19 in the state, territory, or tribe, (hereinafter referred to as STT), in which the death occurred, as indicated on the death certificate. The applicant's registration will be associated with the major disaster declaration for the State or Territory in which the death occurred.
    a. Tribal members may apply and receive assistance under the applicable state or territory declaration. No agreement between the tribal government and state or territory is required by FEMA in order for tribal members to receive assistance.
4. All registrations for Funeral Assistance will be completed via FEMA's telephone or teletypewriter call center capabilities. No online registrations will be accepted.

B. FUNERAL ASSISTANCE CONDITIONS OF ELIGIBILITY

Outcome: FEMA will verify applicant eligibility prior to awarding Funeral Assistance.

Applicants must meet the following conditions in order to receive Funeral Assistance:

1. The applicant must be a U.S. citizen, non-citizen national, or qualified alien. There is no requirement for the decedent to have been a U.S. citizen, non-citizen national, or qualified alien.
2. FEMA must be able to verify the applicant's identity.
3. Funeral expenses must be incurred by the applicant after January 20, 2020.
4. Funeral expenses must not be covered by other sources. Other sources may include funeral or burial insurance or financial assistance from voluntary agencies, applicable government programs/agencies, or other entities.
5. A medical examiner, coroner, or other certifier indicated that COVID-19 played a role in the decedent's death on the death certificate.
    a. The Centers for Disease Control and Prevention (CDC) (Vital Statistics Reference Guidance Number 03, April, 2020) established guidance regarding death certifications for COVID-19, which states: *If COVID–19 played a role in the death, this condition should be specified on the death certificate. In many cases, it is likely that it will be the underlying cause of death, as it can lead to various life-threatening conditions, such as pneumonia and acute respiratory distress syndrome.*

b. Death certificates indicating the death "may have been caused by" or "was likely a result of" COVID 19 or “COVID-19 like symptoms” and similar phrases that indicate a high likelihood of COVID-19 are considered sufficient attribution.

6. The death occurred in the United States, including the U.S. territories and the District of Columbia.

7. No other applicant received Funeral Assistance funds for the same decedent.

C. FUNERAL ASSISTANCE DOCUMENTATION

Outcome: Applicants must submit all required documentation before FEMA will award Funeral Assistance.

The following documentation is required to confirm eligibility for Funeral Assistance:

1. Death certificate: Applicants must provide an official death certificate that meets the criteria in B.5 above.
2. Proof of funeral expenses: Applicants must provide documentation demonstrating they incurred funeral expenses for the decedent. The documentation, such as receipts or funeral home contract, must include all of the following information:
    a. The applicant’s name indicated as the responsible party for the expenses;
    b. The total amount of the funeral expenses;
    c. The decedent’s name; and
    d. The date funeral expenses were incurred.
3. Insurance or other funeral benefits: If the decedent had insurance covering funeral costs and/or any form of Funeral Assistance was received from voluntary agencies, government agencies (state, tribal or other federal programs that pay for funeral or burial assistance, etc.), or other sources, the applicant must provide this documentation to FEMA.
    a. Applicants who indicate the decedent had insurance or received other forms of assistance covering funeral costs must provide documentation of the insurance proceeds, an insurance denial, or documentation of the other forms of assistance before receiving further consideration for Funeral Assistance.
    b. FEMA is required to recover funds awarded for Funeral Assistance that duplicate insurance proceeds and/or any form of Funeral Assistance received from other sources.
        i. FEMA does not consider life insurance proceeds, death gratuities, or other forms of assistance not specifically intended to defray funeral costs as a duplication of benefit.

D. FUNERAL ASSISTANCE AWARD

Outcome: FEMA will utilize a standard process to determine eligible Funeral Assistance award amounts across all COVID-19 declarations.

1. An applicant may receive Funeral Assistance for actual funeral costs up to $9,000 for each COVID-19 related funeral for which they are responsible, that occurred in

the area covered by a COVID-19 disaster declaration including Individual Assistance. This assistance may be provided for multiple decedents under one registration up to the financial ONA maximum award for the fiscal year in which the disaster was declared. For the COVID-19 disasters, the financial ONA maximum award is $35,500.

   a. Any funds received by the applicant for Lost Wages Supplemental Payment Assistance (LWA) up to $1,800, authorized by the President to supplement the payment of lost wages as a result of COVID-19, also count toward an applicant's financial ONA maximum award.

   b. When an applicant is eligible for more than $33,700 (the maximum amount of ONA less the maximum amount of LWA) in Funeral Assistance, FEMA will coordinate with the state to determine the amount of LWA funds the applicant received and utilize that information in calculating the total eligible Funeral Assistance available to the applicant.

2. Funeral Assistance is intended to assist with expenses for funeral services and interment or cremation.

   a. Eligible funeral service expenses include, but are not limited to:, transportation for up to two individuals to identify the decedent; transfer of remains; casket or urn; burial plot or cremation niche; marker or headstone; clergy or officiant services; arrangement of the funeral ceremony; use of funeral home equipment or staff; interment; costs associated with producing and certifying multiple death certificates; and additional expenses mandated by any applicable local or state government laws or ordinances.

3. The total amount of burial or funeral insurance proceeds and/or any other forms of Funeral Assistance received from other sources will be deducted from the total actual cost of the funeral expenses incurred prior to FEMA awarding Funeral Assistance for any remaining unmet needs up to the Funeral Assistance award amount maximum.

4. FEMA will only award Funeral Assistance for a decedent on a single registration.

   a. If multiple individuals contribute toward funeral expenses, they must register with FEMA under the same registration as the applicant and co-applicant, or the first applicant that submits all required documentation will be awarded the Funeral Assistance for the decedent.

5. Applicants responsible for funeral expenses for multiple decedents may receive assistance under multiple registrations if the deaths occurred in different States and Territories. Each registration will be associated with the major disaster declaration for the State or Territory in which the death occurred.

_____

Keith Turi

Assistant Administrator, Recovery Directorate

March 23, 2021
Date

FEMA POLICY:
Pandemic Remote Inspection Process Individuals and Households Program Policy (Interim)

FEMA Policy FP 104-009-17

POLICY STATEMENT

Under current policy, the Federal Emergency Management Agency (FEMA) employs onsite or geospatial inspections to verify losses and determine an applicant's eligibility for Individuals and Households Program (IHP) assistance.[1] FEMA may, at its discretion, determine other methods of verification to validate loss and deliver assistance.[2]

In a pandemic environment due to the coronavirus (COVID-19) Public Health Emergency, as declared by the Secretary of Health and Human Services, FEMA will implement this Interim Policy to efficiently deliver IHP assistance to disaster survivors when traditional onsite methods of inspection are not feasible. When implemented, this Interim Policy:

- Establishes an additional method of verifying losses to determine awards for IHPassistance; and
- Eliminates automatically issuing inspections for applicants who self-report only inaccessibility or utility outage disaster-caused impacts to their home.

PRINCIPLES

A. To maintain safety and social distancing in a pandemic environment, this Interim Policy eliminates the need for inspectors to perform an onsite inspection to verify disaster-caused losses.

B. FEMA Strategic Plan (2018-2022) Priority 3.1 states that FEMA shall "[s]treamline the disaster survivor and grantee experience" and further states, "FEMA must re-design the way the Federal government assesses disaster impacts to reduce the number of required inspections and deliver the needed assistance faster."

C. This Interim Policy expedites IHP assistance to disaster survivors by implementing remote inspection processes.

[1] Individual Assistance Program and Policy Guide (IAPPG), (FP 104-009-03, March 2019), Ch. 3, Individuals and Households Program, Section III. Individuals and Households Program Assistance, B. Verifying Losses, at 72.
[2] *Id.*

D. This Interim Policy reduces the number of required inspections by forgoing inspections for some applicants based on information provided during registration intake.

E. This Interim Policy will be implemented in conjunction with FP 104-009-15, Streamlined Inspection Process Individuals and Households Program Policy (Interim), which eliminates automatic inspections for applicants who self-report they have minor damage and are able to live in their home.

F. All conditions of eligibility for IHP assistance remain unchanged by this Interim Policy.

REQUIREMENTS

A. VERIFYING LOSSES

Outcome: FEMA will verify disaster-caused losses through remote inspections in a pandemic environment, as determined necessary by the Individual Assistance Division Director, based on public health and safety restrictions enforced by state, territorial, tribal, or local officials.

1. In addition to existing loss verification methods of onsite inspections, geospatial inspections, and applicant submission of documentation, FEMA will utilize remote inspections to verify losses to determine applicant eligibility for IHP assistance.
2. For remote inspections, FEMA inspectors will contact applicants via phone and complete the standard onsite inspection process remotely based on responses from the applicant. Reasonable accommodations will be made to ensure effective communication with applicants with limited English proficiency, applicants with disabilities, and other individuals with access and functional needs, when necessary.
3. Based on responses from the applicant and existing eligibility criteria, awards will be generated for Rental Assistance, Home Repair Assistance, Replacement Assistance, Other Needs Assistance (ONA) for Personal Property Assistance, and Assistance for Miscellaneous Items based on existing line items.
4. Home Repair Assistance will be provided based on type of residence and responses provided by the applicant during the remote inspection to determine level of damage sustained.
5. Remote inspections have no impact on types of ONA that do not require an inspection to include Funeral, Child Care, Transportation, Medical and Dental, Moving and Storage, Critical Needs Assistance, and Group Flood Insurance Policy Assistance.
6. Clean and Removal Assistance will not be authorized in disasters that utilize remote inspections.

B. Inspection Elimination for Self-Reported Inaccessibility and Utility Outage

Outcome: FEMA will eliminate automatic inspections for applicants who self-report inaccessibility and/or utility outage as their sole home damage at registration.

1. Applicants who meet FEMA's standard eligibility criteria to be referred for an inspection, but self-report only inaccessibility and/or utility outages as their sole

home damage, will be issued one month of Rental Assistance in lieu of an inspection.

a. Applicants will be provided a letter from FEMA outlining the Rental Assistance award amount, its intended use, and the applicant's appeal rights.

b. Applicants may appeal if they believe they have additional damage or temporary housing needs.

c. Applicants who appeal and indicate they have home damage will go through the remote inspection process.

C. APPEAL PROCESS

Outcome: Appeal documentation received will serve to inform the need for additional assistance in order to minimize the need for FEMA inspections in a pandemic environment.

1. In all disasters, appeal requests should be accompanied by documentation to support the appeal request such as repair estimates, contractor estimates, or other supporting documentation.[3]
2. For types of assistance awarded based on remote inspections, FEMA will base appeal decisions and awards on verifiable appeal documentation rather than completing a second appeal remote inspection.



Keith Turi
Assistant Administrator, Recovery Directorate

March 20, 2020
Date

[3] Individual Assistance Program and Policy Guide (IAPPG), (FP 104-009-03, March 2019), Ch. 3, Individuals and Households Program, Section II. Individuals and Households Program Eligibility, C. Appeal Process, at 67.

ADDITIONAL INFORMATION

REVIEW CYCLE

FEMA will review, reissue, revise, or rescind this Interim Policy within two years of the signature date.

AUTHORITIES

A. *Robert T. Stafford Disaster Relief and Emergency Assistance Act, P.L. 93-288, as amended*. §408.

B. *Federal Disaster Assistance*, 44 C.F.R. Part 206.

C. *Individual Assistance Program and Policy Guide*, FP 104-009-03, March 2019.

MONITORING AND EVALUATION

FEMA will monitor inspection results to determine if this policy is an effective method of verifying loss in a pandemic environment.

FEMA POLICY:
Pandemic Remote Inspection Process Individuals and Households Program Policy (Interim)

FEMA Policy FP 104-009-17

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Keith Turi
Assistant Administrator, Recovery Directorate

March 20, 2020
Date

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MONITORING AND EVALUATION

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U.S. Department of Homeland Security
Joint Field Office (JFO)
Federal Emergency Management Agency
FEMA Region 2
26 Federal Plaza
New York, New York 10278

FEMA

October 7, 2021

Deputy Commissioner Anne Bink
Alternate Governor's Authorized Representative
New York Division of Homeland Security and Emergency Services
1220 Washington Avenue
Building 7A, 4th Floor
Albany, New York 12242

RE Individual Assistance Registration Period 30-Day Extension Request for FEMA-4615-DR-NY

Dear Ms. Bink:

This letter is in response to the State of New York's request, dated October 5, 2021, for a 30-day extension of the registration period for Individual Assistance for FEMA-4615-DR-NY.

Based on the justification provided by the state and through the authority delegated to me by the Regional Administrator, I approve a 30-day extension to the registration period for FEMA-4615-DR-NY. The registration period will now end on December 5, 2021. This includes all areas designated for Individual Assistance.

If you have any questions, please contact Bryon Boka Individual Assistance Branch Director, at (816) 289-0742 or via email bryon.boka@fema.dhs.gov.

Sincerely,

LAISUN M YEE
Digitally signed by LAISUN M YEE
Date: 2021.10.07 08:36:52 -04'00'

Lai Sun Yee
Federal Coordinating Officer
FEMA-4615-DR-NY

cc: Chad Gorman, Acting Regional Administrator, FEMA Region 2
Christopher B. Smith, Director, Individual Assistance Division, FEMA HQ
Claude Hyacinthe, Director, Recovery Division, FEMA Region 2
Julie Blanciak, IA Branch Chief, Recovery Division, FEMA Region 2